

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

For calendar year 2017 or tax year beginning

, and ending

Name of foundation THE HEISING-SIMONS FOUNDATION		A Employer identification number 26-0799587
Number and street (or P.O. box number if mail is not delivered to street address) 400 MAIN STREET	Room/suite 200	B Telephone number (650) 887-0277
City or town, state or province, country, and ZIP or foreign postal code LOS ALTOS, CA 94022		C If exemption application is pending, check here ... <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here ... <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ... <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ... <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 505,089,441.	J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ... <input type="checkbox"/>
		(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	19,970,757.		N/A	
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	496,048.	496,048.		STATEMENT 2
	4 Dividends and interest from securities				
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	55,952,824.			STATEMENT 1
	b Gross sales price for all assets on line 6a	150,978,164.			
	7 Capital gain net income (from Part IV, line 2)		59,250,271.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss)					
11 Other income	0.	2,376,959.		STATEMENT 3	
12 Total. Add lines 1 through 11	76,419,629.	62,123,278.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	1,093,197.	0.		1,091,007.
	14 Other employee salaries and wages	3,342,303.	0.		3,444,219.
	15 Pension plans, employee benefits	1,743,948.	0.		1,629,445.
	16a Legal fees STMT 4	133,161.	650.		129,764.
	b Accounting fees STMT 5	61,373.	0.		61,321.
	c Other professional fees STMT 6	977,685.	0.		1,002,920.
	17 Interest				
	18 Taxes STMT 7	1,556,210.	0.		310.
	19 Depreciation and depletion	479,656.	0.		
	20 Occupancy	1,188,383.	0.		1,195,666.
	21 Travel, conferences, and meetings	491,988.	0.		493,007.
	22 Printing and publications	26,318.	0.		26,198.
	23 Other expenses STMT 8	1,964,942.	1,159,339.		1,253,130.
	24 Total operating and administrative expenses. Add lines 13 through 23	13,059,164.	1,159,989.		10,326,987.
	25 Contributions, gifts, grants paid	73,079,872.			62,646,505.
26 Total expenses and disbursements. Add lines 24 and 25	86,139,036.	1,159,989.		72,973,492.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements ...	-9,719,407.				
b Net investment income (if negative, enter -0-)		60,963,289.			
c Adjusted net income (if negative, enter -0-)			N/A		

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only.			
		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1 Cash - non-interest-bearing	2,829,156.	890,035.	890,035.	
	2 Savings and temporary cash investments	3,170,973.	13,933,525.	13,933,525.	
	3 Accounts receivable				
	Less: allowance for doubtful accounts				
	4 Pledges receivable				
	Less: allowance for doubtful accounts				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons				
	7 Other notes and loans receivable				
	Less: allowance for doubtful accounts				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges	264,676.	399,610.	399,610.	
	10a Investments - U.S. and state government obligations				
	b Investments - corporate stock				
	c Investments - corporate bonds	STMT 10	32,289,958.	10,079,842.	10,079,842.
	11 Investments - land, buildings, and equipment: basis				
Less: accumulated depreciation					
12 Investments - mortgage loans					
13 Investments - other	STMT 11	327,348,710.	465,267,516.	465,267,516.	
14 Land, buildings, and equipment: basis	4,766,847.				
Less: accumulated depreciation	STMT 9	1,287,296.	3,479,551.	3,479,551.	
15 Other assets (describe)	STATEMENT 12)	79,071,621.	11,039,362.	11,039,362.	
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		448,598,569.	505,089,441.	505,089,441.	
Liabilities	17 Accounts payable and accrued expenses	1,089,650.	1,883,919.		
	18 Grants payable	30,906,664.	41,358,717.		
	19 Deferred revenue				
	20 Loans from officers, directors, trustees, and other disqualified persons				
	21 Mortgages and other notes payable				
	22 Other liabilities (describe)	STATEMENT 13)	2,496,000.	3,577,000.	
23 Total liabilities (add lines 17 through 22)		34,492,314.	46,819,636.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here	<input checked="" type="checkbox"/>			
	and complete lines 24 through 26, and lines 30 and 31.				
	24 Unrestricted		414,106,255.	458,269,805.	
	25 Temporarily restricted				
	26 Permanently restricted				
	Foundations that do not follow SFAS 117, check here	<input type="checkbox"/>			
	and complete lines 27 through 31.				
27 Capital stock, trust principal, or current funds					
28 Paid-in or capital surplus, or land, bldg., and equipment fund					
29 Retained earnings, accumulated income, endowment, or other funds					
30 Total net assets or fund balances		414,106,255.	458,269,805.		
31 Total liabilities and net assets/fund balances		448,598,569.	505,089,441.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	414,106,255.
2 Enter amount from Part I, line 27a	2	-9,719,407.
3 Other increases not included in line 2 (itemize) UNREALIZED GAINS ON INVESTMENTS	3	53,882,957.
4 Add lines 1, 2, and 3	4	458,269,805.
5 Decreases not included in line 2 (itemize)	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	458,269,805.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b	SEE ATTACHED STATEMENT		
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a			
b			
c			
d			
e	150,978,164.	91,727,893.	59,250,271.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			59,250,271.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	59,250,271.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2016	58,493,327.	458,412,014.	.127600
2015	46,144,878.	390,439,779.	.118187
2014	32,092,248.	298,971,466.	.107342
2013	27,931,556.	250,179,042.	.111646
2012	18,584,781.	253,876,616.	.073204

2 Total of line 1, column (d)	2	.537979
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	.107596
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5	4	517,429,874.
5 Multiply line 4 by line 3	5	55,673,385.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	609,633.
7 Add lines 5 and 6	7	56,283,018.
8 Enter qualifying distributions from Part XII, line 4	8	73,273,491.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

Table with 11 rows for excise tax calculations. Includes fields for exempt foundations, domestic foundations, tax under section 511, and credits/payments. Total tax due is 1,690,892.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes questions about political campaigns, tax on political expenditures, and asset requirements. Includes state information for CA.

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions STMT 15
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions SEE STATEMENT 14 SEE STATEMENT 16
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address WWW.HSFOUNDATION.ORG
14 The books are in care of JUDY BLUMENSTEIN Telephone no. (650) 887-0277 Located at 400 MAIN STREET, SUITE 200, LOS ALTOS, CA ZIP+4 94022
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year 15 N/A
16 At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? 16 X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year, did the foundation (either directly or indirectly):
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? Yes No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? Yes No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) Yes No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):
a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? Yes No
If "Yes," list the years
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? Yes No
b If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017.) N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions **5b** X
Organizations relying on a current notice regarding disaster assistance, check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **SEE STATEMENT 18** Yes No
If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No **6b** X
If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No **7b** Yes No **N/A**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 17		1,091,007.	230,030.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CYNTHIA ATHERTON - 400 MAIN STREET, SUITE 200, LOS ALTOS, CA 94022	PROGRAM DIRECTOR 37.50	324,380.	90,172.	0.
JUDY BLUMENSTEIN - 400 MAIN STREET, SUITE 200, LOS ALTOS, CA 94022	DIRECTOR OF FINANCE 37.50	203,674.	81,342.	0.
JOCHEN MARSCHALL - 400 MAIN STREET, SUITE 200, LOS ALTOS, CA 94022	PROGRAM OFFICER 37.50	170,407.	73,321.	0.
DEVRA WANG - 400 MAIN STREET, SUITE 200, LOS ALTOS, CA 94022	PROGRAM DIRECTOR 30.00	153,914.	58,835.	0.
BARBARA CHOW - 400 MAIN STREET, SUITE 200, LOS ALTOS, CA 94022	PROGRAM DIRECTOR 37.50	196,126.	7,689.	0.
Total number of other employees paid over \$50,000				25

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
THE BRIDGESPAN GROUP 2 COPLEY PLAZA, STE 3700B, BOSTON, MA 02116	STRATEGIC PLANNING	200,000.
WILLIAMS GROUP - 70 IONIA AVE, SW STE 200, GRAND RAPIDS, MI 49503-5139	COMMUNICATIONS ASSISTANCE	138,731.
LIANE WONG PO BOX 748, LOS ALTOS, CA 94023	PROGRAM CONSULTING	70,700.
DEBORAH STARK 4727 BAYFIELDS RD., HARWOOD, MD 20776	PROGRAM CONSULTING	66,538.
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 SEE STATEMENT 19	88,299.
2 SEE STATEMENT 20	152,332.
3 SEE STATEMENT 21	154,200.
4 SEE STATEMENT 22	170,140.

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount	
1 SEE STATEMENT 23	299,999.	
2 		
3 All other program-related investments. See instructions.		
Total. Add lines 1 through 3		299,999.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities	1a	38,433,148.
b	Average of monthly cash balances	1b	12,937,346.
c	Fair market value of all other assets	1c	473,939,023.
d	Total (add lines 1a, b, and c)	1d	525,309,517.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	525,309,517.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	7,879,643.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	517,429,874.
6	Minimum investment return. Enter 5% of line 5	6	25,871,494.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	25,871,494.
2a	Tax on investment income for 2017 from Part VI, line 5	2a	609,633.
b	Income tax for 2017. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	609,633.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	25,261,861.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	25,261,861.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	25,261,861.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:			
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	72,973,492.
b	Program-related investments - total from Part IX-B	1b	299,999.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	73,273,491.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	609,633.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	72,663,858.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				25,261,861.
2 Undistributed income, if any, as of the end of 2017:				
a Enter amount for 2016 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2017:				
a From 2012				
b From 2013				
c From 2014				14,258,568.
d From 2015				46,395,531.
e From 2016				59,567,004.
f Total of lines 3a through e	120,221,103.			
4 Qualifying distributions for 2017 from Part XII, line 4: ▶ \$	73,273,491.			
a Applied to 2016, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions) **	73,273,491.			
d Applied to 2017 distributable amount				0.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a).)	25,261,861.			25,261,861.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	168,232,733.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2016. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2017. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2018				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2012 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a	168,232,733.			
10 Analysis of line 9:				
a Excess from 2013				
b Excess from 2014				
c Excess from 2015				35,392,238.
d Excess from 2016				59,567,004.
e Excess from 2017				73,273,491.

** SEE STATEMENT 24

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year				(e) Total
	(a) 2017	(b) 2016	(c) 2015	(d) 2014	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:
 Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution **	Amount
Name and address (home or business)				
a Paid during the year				
ACADIA CENTER P.O. BOX 583 ROCKPORT, ME 04856-0583	N/A	PC	ADVANCING ELECTRIFICATION OF TRANSPORTATION AND BUILDINGS	75,000.
ACCOUNTABILITY COUNSEL 244 KEARNY STREET, FLOOR 6 SAN FRANCISCO, CA 94108	N/A	PC	GENERAL SUPPORT	40,000.
ADELANTE ALABAMA WORKER CENTER 2104 CHAPEL HILL RD. BIRMINGHAM, AL 35216	N/A	PC	GENERAL SUPPORT	5,000.
ADVANCED ENERGY ECONOMY INSTITUTE 135 MAIN STREET, SUITE 1320 SAN FRANCISCO, CA 94105	N/A	PC	21ST CENTURY ELECTRICITY SYSTEM, FEDERAL ENERGY REGULATORY COMMISSION ENGAGEMENT	672,000.
ADVANCEMENT PROJECT 1910 WEST SUNSET BLVD., SUITE 500 LOS ANGELES, CA 90026-3291	N/A	PC	ADVANCING ECE POLICY IN CALIFORNIA	200,000.
Total	SEE CONTINUATION SHEET(S)			62,646,505.
b Approved for future payment				
AMERICAN ASSOCIATION FOR THE ADVANCEMENT OF SCIENCE 1200 NEW YORK AVENUE NW WASHINGTON, DC 20005-3928	N/A	PC	STEM EQUITY ACHIEVEMENT (SEA) CHANGE	98,000.
ASIAN AMERICANS ADVANCING JUSTICE-ASIAN LAW CAUCUS 55 COLUMBUS AVE SAN FRANCISCO, CA 94111-2101	N/A	PC	DEFENDING AGAINST MASS DEPORTATIONS	125,000.
BERKELEY LAB FOUNDATION 1111 FRANKLIN STREET, 7TH FLOOR OAKLAND, CA 94607	N/A	PC	PROMOTING EFFICIENCY AND ALTERNATE REFRIGERANT LEAPFROGGING IN MEXICO	500,000.
Total	SEE CONTINUATION SHEET(S)			33,052,792.

Application for Automatic Extension of Time To File an Exempt Organization Return

Department of the Treasury
Internal Revenue Service

▶ **File a separate application for each return.**

▶ **Information about Form 8868 and its instructions is at www.irs.gov/form8868.**

Electronic filing (e-file). You can electronically file Form 8868 to request a 6-month automatic extension of time to file any of the forms listed below with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, for which an extension request must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile, click on Charities & Non-Profits, and click on e-file for Charities and Non-Profits.

Automatic 6-Month Extension of Time. Only submit original (no copies needed).

All corporations required to file an income tax return other than Form 990-T (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

	Enter filer's identifying number	
Type or print	Name of exempt organization or other filer, see instructions.	Employer identification number (EIN) or
	THE HEISING-SIMONS FOUNDATION	26-0799587
File by the due date for filing your return. See instructions.	Number, street, and room or suite no. If a P.O. box, see instructions.	Social security number (SSN)
	400 MAIN STREET, NO. 200	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
	LOS ALTOS, CA 94022	

Enter the Return Code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

JUDY BLUMENSTEIN

• The books are in the care of ▶ **400 MAIN STREET, SUITE 200 - LOS ALTOS, CA 94022**
Telephone No. ▶ **(650) 887-0277** Fax No. ▶ _____

- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box . If it is for part of the group, check this box and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 6-month extension of time until **NOVEMBER 15, 2018**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

- ▶ calendar year **2017** or
- ▶ tax year beginning _____, and ending _____.

2 If the tax year entered in line 1 is for less than 12 months, check reason: Initial return Final return Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$ 895,086.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$ 494,086.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$ 401,000.

Caution: If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

THE HEISING-SIMONS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a MEDALLION CAPITAL INVESTMENTS, LTD	P	04/01/14	06/30/17
b CHARLES SCHWAB	P	01/01/17	12/31/17
c EIGHTFOLD REAL ESTATE CAPITAL FUND V, LP	P	01/01/17	12/31/17
d RENAISSANCE INSTITUTIONAL DIVERSIFIED ALPHA FUND	P	01/01/17	12/31/17
e MADRIGAL FOUNDATION FUND, LP	P	01/01/17	12/31/17
f PRIME FINANCE CMBS B-PIECE FUND I, LP	P	01/01/17	12/31/17
g			
h			
i			
j			
k			
l			
m			
n			
o			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 72,130,717.		16,205,726.	55,924,991.
b 75,550,000.		75,522,167.	27,833.
c 1.			1.
d 826,156.			826,156.
e 2,470,937.			2,470,937.
f 353.			353.
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			55,924,991.
b			27,833.
c			1.
d			826,156.
e			2,470,937.
f			353.
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	59,250,271.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ALLIANCE FOR CLIMATE EDUCATION INC. 4696 BROADWAY, SUITE 2 BOULDER, CO 80304	N/A	PC	SAN FRANCISCO BAY AREA PROGRAM	30,000.
ALLIANCE FOR EARLY SUCCESS P.O. BOX 6756 LEAWOOD, KS 66206	N/A	PC	GENERAL SUPPORT	900,000.
AMERICAN ASSOCIATION FOR THE ADVANCEMENT OF SCIENCE 1200 NEW YORK AVENUE NW WASHINGTON, DC 20005-3928	N/A	PC	MASS MEDIA SCIENCE AND ENGINEERING FELLOWS PROGRAM, STEM EQUITY ACHIEVEMENT (SEA) CHANGE	152,000.
AMERICAN INSTITUTES FOR RESEARCH IN THE BEHAVIORAL SCIENCES 1000 THOMAS JEFFERSON STREET, N.W. WASHINGTON, DC 20007	N/A	PC	TRANSITIONAL KINDERGARTEN QUALITY AND OUTCOME STUDY	22,867.
AMERICAN LEADERSHIP FORUM - SILICON VALLEY 1400 PARKMOOR AVENUE, SUITE 280 SAN JOSE, CA 95126	N/A	PC	SCHOLARSHIPS AND GENERAL SUPPORT	30,000.
ANITA BORG INSTITUTE FOR WOMEN AND TECHNOLOGY 1501 PAGE MILL ROAD PALO ALTO, CA 94394	N/A	PC	GENERAL SUPPORT	30,000.
ARIZONA BOARD OF REGENTS, UNIVERSITY OF ARIZONA 2020 N CENTRAL AVE PHOENIX, AZ 85004	N/A	PC	DATA ASSIMILATION FOR DEEP TIME, LET'S TALK ABOUT MATH	278,599.
ASIAN AMERICAN-PACIFIC ISLANDERS IN PHILANTHROPY 300 FRANK H. OGAWA PLAZA, SUITE 256 OAKLAND, CA 94612	N/A	PC	MEMBERSHIP DUES	5,000.
ASIAN AMERICANS ADVANCING JUSTICE-ASIAN LAW CAUCUS 55 COLUMBUS AVE SAN FRANCISCO, CA 94111-2101	N/A	PC	DEFENDING AGAINST MASS DEPORTATIONS	125,000.
ASIAN PACIFIC ENVIRONMENTAL NETWORK 426 17TH STREET, SUITE 500 OAKLAND, CA 94612-2820	N/A	PC	EXPANDING APEN'S RESEARCH CAPACITY	50,000.
Total from continuation sheets				61,654,505.

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ASPEN CENTER FOR PHYSICS 700 WEST GILLESPIE STREET ASPEN, CO 81611-1197	N/A	PC	CONFERENCE ON HIGH TEMPERATURE SUPERCONDUCTIVITY	25,000.
ASPEN GLOBAL CHANGE INSTITUTE INC. 104 MIDLAND AVENUE, SUITE 205 BASALT, CO 81621	N/A	PC	INTERDISCIPLINARY CLIMATE SCIENCE WORKSHOPS, THE ENERGY PROJECT	808,779.
ASSOCIATION OF STATE AND TRIBAL HOME VISITING INITIATIVES 639 MASSACHUSETTS AVE NE WASHINGTON, DC 20002-6005	N/A	PF	IAFSP EXPERT PANEL	96,896.
ASYLUM ACCESS 1611 TELEGRAPH AVENUE, SUITE 1111 OAKLAND, CA 94612-2138	N/A	PC	GENERAL SUPPORT	40,000.
BARD COLLEGE PO BOX 5000 ANNANDALE-ON-HUDSON, NY 12504-5000	N/A	PC	BARD PRISON INITIATIVE	40,000.
BIPARTISAN POLICY CENTER, INC. 1225 I STREET, NW, STE 1000 WASHINGTON, DC 20005	N/A	PC	EARLY CHILDHOOD INITIATIVE	200,000.
BLACK ALLIANCE FOR JUST IMMIGRATION 660 NOSTRAND AVENUE BROOKLYN, NY 11216-3306	N/A	PC	GENERAL SUPPORT AND SAFETY BEYOND POLICING CONVENING	150,000.
BOARD OF REGENTS NEVADA SYSTEM OF HIGHER EDUCATION 2601 ENTERPRISE ROAD RENO, NV 89512-1666	N/A	PC	FORCE SENSING AT THE QUANTUM LIMIT WITH LEVITATED MICROSPHERES: SENSING AND INTERFEROMETRY	134,016.
CALIFORNIA ACADEMY OF SCIENCES 55 MUSIC CONCOURSE DRIVE SAN FRANCISCO, CA 94118-4503	N/A	PC	ACADEMY FOR ALL INITIATIVE	45,000.
CALIFORNIA ASSOCIATION FOR RESEARCH IN ASTRONOMY 65-1120 MAMALAHOA HIGHWAY KAMUELA, HI 96743-8431	N/A	PC	KECK PLANET FINDER PRELIMINARY DESIGN PHASE	700,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CALIFORNIA BAR FOUNDATION 180 HOWARD STREET SUITE 1220 SAN FRANCISCO, CA 94105-1614	N/A	PC	BUILDING A PIPELINE OF REFORM-MINDED PROSECUTORS	85,000.
CALIFORNIA CHILD CARE RESOURCE AND REFERRAL NETWORK 1182 MARKET ST, SUITE 300 SAN FRANCISCO, CA 94102	N/A	PC	GENERAL SUPPORT, PARENT VOICES 20TH ANNIVERSARY EVENT	105,000.
CALIFORNIA INSTITUTE OF TECHNOLOGY 1200 EAST CALIFORNIA BOULEVARD PASADENA, CA 91125	N/A	PC	51 PEGASI B FELLOW KATHERINE DE KLEER, DOWN BUT NOT OUT: THE WHITE DWARF SURVIVORS OF LOW-LUMINOSITY	807,676.
CALIFORNIANS DEDICATED TO EDUCATION FOUNDATION 260 MAIN STREET, SUITE 200 REDWOOD CITY, CA 94063	N/A	PC	CALIFORNIA STEAM SYMPOSIUM	25,000.
CALMATTERS 1017 L STREET #261 SACRAMENTO, CA 95814-0000	N/A	PC	GENERAL SUPPORT	50,000.
CENTER FOR AMERICAN PROGRESS 1333 H STREET, NW, 10TH FLOOR WASHINGTON, DC 20005	N/A	PC	GENERAL SUPPORT	100,000.
CENTER FOR COMMUNITY CHANGE 1536 U ST NW WASHINGTON, DC 20009	N/A	PC	STRENGTHENING A NATIONAL INFRASTRUCTURE FOR EARLY CHILDHOOD EDUCATION	250,000.
CENTER FOR ENERGY EFFICIENCY AND RENEWABLE TECHNOLOGIES 1100 11TH STREET, SUITE 311 SACRAMENTO, CA 95814-0000	N/A	PC	GRID, CLEAN ENERGY, CLIMATE POLICY, WESTERN GRID GROUP	321,500.
CENTER FOR INVESTIGATIVE REPORTING INC. 1400 65TH STREET, SUITE 200 EMERYVILLE, CA 94608-1020	N/A	PC	GENERAL SUPPORT	300,000.
CENTER FOR LAW AND SOCIAL POLICY 1200 18TH STREET NW, SUITE 200 WASHINGTON, DC 20036	N/A	PC	ADVANCING THE EARLY CHILD CARE AND EDUCATION SYSTEM	300,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CHILD CARE ALLIANCE OF LOS ANGELES 815 COLORADO BLVD SUITE C LOS ANGELES, CA 90041-1757	N/A	PC	CALIFORNIA EARLY CARE AND EDUCATION WORKFORCE REGISTRY	125,500.
CHILD CARE COORDINATING COUNCIL OF SAN MATEO COUNTY, INC. 330 TWIN DOLPHIN DRIVE, STE 119 REDWOOD CITY, CA 94065-1455	N/A	PC	BUILD UP FOR SAN MATEO COUNTY'S CHILDREN	85,000.
CHILD CARE LAW CENTER 445 CHURCH STREET, 4TH FLOOR SAN FRANCISCO, CA 94114	N/A	PC	GENERAL SUPPORT	75,000.
CHILD TRENDS INCORPORATED 7315 WISCONSIN AVE STE 1200W BETHESDA, MD 20814-3666	N/A	PC	INCORPORATING HOME VISITING DATA INTO STATE EARLY CHILDHOOD INTEGRATED DATA SYSTEMS	250,000.
CHILDREN NOW 1404 FRANKLIN STREET, SUITE 700 OAKLAND, CA 94612	N/A	PC	MOVING CALIFORNIA TOWARD A HIGHER QUALITY EARLY CHILDHOOD SYSTEM	200,000.
CHINESE FOR AFFIRMATIVE ACTION 17 WALTER U. LUM PLACE SAN FRANCISCO, CA 94108-1801	N/A	PC	ASIAN PRISONER SUPPORT COMMITTEE "CELEBRATING OUR ROOTS" RECEPTION	5,000.
CITIZENS UTILITY BOARD 309 W WASHINGTON STREET STE 800 CHICAGO, IL 60606	N/A	PC	MAXIMIZING THE CONSUMER VALUE FROM ELECTRIC VEHICLE DEPLOYMENT	75,000.
CIVIL RIGHTS CORPS 916 G ST. NW APT 701 WASHINGTON, DC 20001-4574	N/A	PC	GENERAL SUPPORT	25,000.
CLEAN AIR TASK FORCE INC. 114 STATE STREET, 6TH FLOOR BOSTON, MA 02109	N/A	PC	METHANE EMISSIONS REDUCTION IN THE U.S. AND CANADA	50,000.
CLIMATE CENTRAL INC. ONE PALMER SQUARE, SUITE 330 PRINCETON, NJ 08542	N/A	PC	CLIMATE MATTERS: REACHING MILLIONS THROUGH THE WEATHERCAST	355,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CLIMATE POLICY INITIATIVE, INC. 235 MONTGOMERY STREET, 13TH FLOOR SAN FRANCISCO, CA 94104-3006	N/A	POF	ELECTRIC UTILITY CAPITAL RECYCLING AND SECURITIZATION, FINANCING COLORADO'S CLEAN ENERGY	220,000.
COLLABORATIVE LABELING AND APPLIANCE STANDARDS PROGRAM 1401 K STREET, NW SUITE 1100 WASHINGTON, DC 20005-3418	N/A	PC	GENERAL SUPPORT	500,000.
COLLEGE TRACK 112 LINDEN STREET OAKLAND, CA 94607	N/A	PC	SCHOLARSHIPS AND SUPPORT FOR UNDOCUMENTED STUDENTS	110,000.
COLORADO SEMINARY 2199 S. UNIVERSITY BLVD. DENVER, CO 80210	N/A	PC	EXTENDING THE LEARNING AND TEACHING WITH LEARNING TRAJECTORIES TOOL (LT2).	277,680.
COMMITTEE TO PROTECT JOURNALISTS 330 SEVENTH AVE., 11TH FLOOR NEW YORK, NY 10001-5010	N/A	PC	DEFENDING PRESS FREEDOM IN THE UNITED STATES	100,000.
COMMON JUSTICE, INC. 540 ATLANTIC AVENUE, 4TH FLOOR BROOKLYN, NY 11217	N/A	PC	GENERAL SUPPORT	200,000.
COMMUNICATION CONSORTIUM MEDIA CENTER 1300 EYE ST NW SUITE 400E WASHINGTON, DC 20005	N/A	PC	GUIDEPOSTS FOR EARLY LEARNING, POLICY DEVELOPMENTS AND DEVELOPMENTS	25,000.
COMMUNICATION NETWORK 718 7TH STREET NW, 2ND FLOOR WASHINGTON, DC 20001	N/A	PC	MEMBERSHIP DUES AND GENERAL SUPPORT	10,000.
COMMUNITY INITIATIVES 354 PINE STREET, SUITE 700 SAN FRANCISCO, CA 94104	N/A	PC	BAY AREA EARLY CHILDHOOD FUNDERS MEMBERSHIP DUES, EDUCATORS FOR FAIR CONSIDERATION, PROJECT	513,500.
COMMUNITY LEGAL SERVICES IN EAST PALO ALTO INC 1861 BAY ROAD EAST PALO ALTO, CA 94303	N/A	PC	LEGAL SERVICES FOR IMMIGRANT CHILDREN AND YOUTH, LEGAL SUPPORT FOR IMMIGRANT YOUTH AND THEIR FAMILIES	175,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CONSULTATIVE GROUP ON BIOLOGICAL DIVERSITY PO BOX 29361 SAN FRANCISCO, CA 94129-0361	N/A	PC	CLIMATE AND ENERGY FUNDERS GROUP MEMBERSHIP DUES	5,000.
CORPORATION OF THE FINE ARTS MUSEUMS 50 HAGIWARA TEA GARDEN DRIVE SAN FRANCISCO, CA 94118-4502	N/A	PC	GENERAL SUPPORT	30,000.
COUNCIL FOR A STRONG AMERICA 1212 NEW YORK AVE. NW, SUITE 300 WASHINGTON, DC 20005-0000	N/A	PC	BUSINESS AND RETIRED MILITARY CHAMPIONS FOR EARLY MATH EDUCATION, UNEXPECTED MESSENGERS FOR EARLY MATH AND	300,000.
COUNCIL FOR THE ADVANCEMENT OF SCIENCE WRITING P.O. BOX 910 HEDGESVILLE, WV 25427	N/A	PC	WORLD CONFERENCE OF SCIENCE JOURNALISTS	21,921.
COUNCIL OF CHIEF STATE SCHOOL OFFICERS 1 MASSACHUSETTS AVE STE 700 WASHINGTON, DC 20001-1406	N/A	PC	EARLY LEARNING STATE NETWORK IMPROVEMENT COMMUNITIES	375,000.
COUNCIL ON FOUNDATIONS INC. 2121 CRYSTAL DRIVE, SUITE 700 ARLINGTON, VA 22202	N/A	PC	MEMBERSHIP DUES	21,600.
COUNTY OF SAN MATEO HUMAN SERVICES AGENCY 1 DAVIS DRIVE BELMONT, CA 94002	N/A	PC	SECOND ANNUAL IMMIGRANT INTEGRATION SUMMIT	4,000.
CSUSB PHILANTHROPIC FOUNDATION 5500 UNIVERSITY PARKWAY SAN BERNARDINO, CA 92407-2393	N/A	PC	TEACHING ENGLISH LEARNERS EARLY MATHEMATICS (TEEM)	81,290.
CURIODYSSEY 1651 COYOTE POINT DRIVE SAN MATEO, CA 94401-1002	N/A	PC	GENERAL SUPPORT	30,000.
DAILY CALIFORNIAN EDUCATION FOUNDATION 2483 HEARST AVENUE BERKELEY, CA 94709	N/A	PC	GENERAL SUPPORT	30,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
DEFINE AMERICAN 900 S SHELBY STREET LOUISVILLE, KY 40203-2532	N/A	PC	RESPONSIBLE JOURNALISM AND IMMIGRANT NARRATIVES IN MEDIA	150,000.
E C S V 1399 SANTEE DRIVE SAN JOSE, CA 95122-1839	N/A	PC	PROFESSIONAL DEVELOPMENT INSTITUTE: DUAL LANGUAGE LEARNERS PLAN DEVELOPMENT AND PILOT IMPLEMENTATION	100,000.
EAST PALO ALTO KIDS FOUNDATION P.O. BOX 50542 PALO ALTO, CA 94303	N/A	PC	GENERAL SUPPORT	30,000.
EASTSIDE COLLEGE PREPARATORY SCHOOL INC. 1041 MYRTLE STREET EAST PALO ALTO, CA 94303-2013	N/A	PC	JOURNALISM PROGRAM AND GENERAL SUPPORT	45,000.
EDSOURCE, INC. 436 14TH STREET, SUITE 1005 OAKLAND, CA 94612	N/A	PC	COVERING EARLY CHILDHOOD EDUCATION AND STRENGTHENING EDSOURCE TODAY	315,000.
EDUCATION DEVELOPMENT CENTER INC. 43 FOUNDRY AVE WALTHAM, MA 02453-8313	N/A	PC	ENGAGING FAMILIES TO PROMOTE CHILDREN'S MATH LEARNING AND MOTIVATION, PLACE-BASED	673,065.
EDUCATION FIRST CONSULTING LLC P.O. BOX 22871 SEATTLE, WA 98122	N/A	NC	FAMILY MATH FLUENCY	50,000.
ELLA BAKER CENTER FOR HUMAN RIGHTS IN CALIFORNIA 1970 BROADWAY, SUITE 1125 OAKLAND, CA 94612-2234	N/A	PC	GENERAL SUPPORT	200,000.
ENVIRONMENTAL DEFENSE FUND, INC. 123 MISSION STREET SAN FRANCISCO, CA 94105	N/A	PC	DOMESTIC AND INTERNATIONAL OCEANS, HABITAT EXCHANGE, WATER MANAGEMENT, NATURAL GAS WASTE	3,050,000.
ENVIRONMENTAL VOLUNTEERS INC. 2560 EMBARCADERO WAY PALO ALTO, CA 94303-3319	N/A	PC	GENERAL SUPPORT	10,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
EQUAL JUSTICE INITIATIVE 122 COMMERCE ST. MONTGOMERY, AL 36104-2538	N/A	PC	GENERAL SUPPORT	200,000.
ERIKSON INSTITUTE 451 NORTH LASALLE STREET CHICAGO, IL 60654	N/A	PC	PROMISING MATH IN EARLY CHILDHOOD: A CONFERENCE LINKING RESEARCH AND PRACTICE	50,000.
ESSIE JUSTICE GROUP 300 FRANK H. OGAWA PLAZA SUITE 420 OAKLAND, CA 94612-0000	N/A	PC	GENERAL SUPPORT	300,000.
FAMILIES IN SCHOOLS 1545 WILSHIRE BLVD., SUITE 700 LOS ANGELES, CA 90017-4508	N/A	PC	GENERAL SUPPORT	100,000.
FIRST FOCUS 1110 VERMONT AVE NW SUITE 900 WASHINGTON, DC 20005-3538	N/A	PC	HOME VISITING COALITION	155,000.
FIVE KEYS SCHOOLS AND PROGRAMS 70 OAK GROVE STREET SAN FRANCISCO, CA 94107	N/A	PC	GENERAL SUPPORT	30,000.
FOOTHILL - DE ANZA COMMUNITY COLLEGES FOUNDATION 12345 EL MONTE ROAD LOS ALTOS, CA 94022	N/A	PC	FAMILY ENGAGEMENT INSTITUTE	275,000.
FOUNDATION FINANCIAL OFFICERS GROUP INC. 19 MANTUA RD MOUNT ROYAL, NJ 08061-1006	N/A	PC	MEMBERSHIP DUES	4,800.
FOUNDATION FOR MINORITY INTERESTS IN MEDIA, INC 30 ROCKEFELLER PLAZA 1221 AVENUE OF THE AMERICAS, 28TH FLOOR NEW YORK, NY 10112	N/A	PC	GENERAL SUPPORT	65,000.
FRAMEWORKS INSTITUTE 1333 H STREET NW SUITE 700 WEST WASHINGTON, DC 20005-4754	N/A	PC	FRAMING THE DISCOURSE AROUND FAMILY ENGAGEMENT, REFRAMING EARLY MATH	222,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
FRESH LIFELINES FOR YOUTH, INC. 568 VALLEY WAY MILPITAS, CA 95035-4106	N/A	PC	CAFA MENTOR PROGRAM FOR SYSTEM-INVOLVED YOUTH	100,000.
FUND FOR GLOBAL HUMAN RIGHTS 1301 CONNECTICUT AVENUE NW, SUITE 400 WASHINGTON, DC 20036	N/A	PC	GENERAL SUPPORT	80,000.
FUTURO MEDIA GROUP 361 WEST 125TH ST. 6TH FLOOR NEW YORK, NY 10027-4853	N/A	PC	GENERAL SUPPORT	100,000.
GEORGETOWN UNIVERSITY 37TH AND O STREETS NW WASHINGTON, DC 20057-0001	N/A	PC	CAPTURING THE NEXT STAGE OF PRE-K EDUCATION: A STUDY OF FOUR-YEAR OLDS IN TULSA, OKLAHOMA	494,761.
GO KIDS INC. 885 MORO DRIVE GILROY, CA 95020-3260	N/A	PC	GENERAL SUPPORT	75,000.
GRANTMAKERS CONCERNED WITH IMMIGRANTS AND REFUGEES P.O. BOX 1100 SEBASTOPOL, CA 95473-1100	N/A	PC	MEMBERSHIP DUES	7,000.
GRANTMAKERS FOR EDUCATION 851 SW 6TH AVENUE, SUITE 350 PORTLAND, OR 97204	N/A	PC	MEMBERSHIP DUES	7,500.
GRANTMAKERS FOR EFFECTIVE ORGANIZATIONS 1725 DESALES STREET NW, SUITE 404 WASHINGTON, DC 20036-4406	N/A	PC	MEMBERSHIP DUES AND CONFERENCE SPONSORSHIP	52,000.
GREAT PLAINS INSTITUTE 2801 21ST AVENUE SOUTH MINNEAPOLIS, MN 55407	N/A	PC	ENABLING GREENHOUSE GAS REDUCTIONS IN THE MIDCONTINENT ELECTRICITY GRID	300,000.
GREATER WASHINGTON EDUCATIONAL TELECOMMUNICATIONS ASSOCIATION, INC. 3939 CAMPBELL AVENUE ARLINGTON, VA 22206	N/A	PC	EARLY EDUCATION REPORTING INITIATIVE	150,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
GREATNONPROFITS 330 TWIN DOLPHIN DR., SUITE 131 REDWOOD CITY, CA 94065-1455	N/A	PC	SAN JOSE PILOT OF COMMUNITY-BASED CANVASSING	25,000.
GREEN TECHNOLOGY LEADERSHIP GROUP 426 17TH STREET, SUITE 700 OAKLAND, CA 94612	N/A	PC	DEVELOPING A NEW PATH FOR NET ENERGY METERING IN CALIFORNIA	50,000.
GREENLINING INSTITUTE 360 14TH STREET, 2ND FLOOR OAKLAND, CA 94612	N/A	PC	CALIFORNIA ELECTRIC VEHICLES "CHARGE AHEAD INITIATIVE"	163,000.
GROUNDSWELL FUND 436 14TH ST. SUITE 1312 OAKLAND, CA 94612-2709	N/A	PC	GENERAL SUPPORT	40,000.
GROWTH PHILANTHROPY NETWORK, INC. 122 EAST 42ND STREET, 17TH FLOOR NEW YORK, NY 10168	N/A	PC	LEARNING COMMUNITY ON SCALED IMPACT	20,000.
HENRY J. KAISER FAMILY FOUNDATION 2400 SAND HILL ROAD MENLO PARK, CA 94025-6941	N/A	PC	EXPANDING AND SUPPORTING CHILDRENS HEALTH JOURNALISM	355,000.
HUMAN RIGHTS FIRST 75 BROAD STREET, 31ST FLOOR NEW YORK, NY 10004-2471	N/A	PC	GENERAL SUPPORT	80,000.
HUMAN RIGHTS WATCH INC. 350 FIFTH AVENUE, 34TH FLOOR NEW YORK, NY 10118-3299	N/A	PC	ASSERTING A HUMAN RIGHTS APPROACH TO CRIMINAL JUSTICE AND IMMIGRATION POLICY, BAIL REFORM IN	225,000.
IMMIGRANT LEGAL RESOURCE CENTER 1663 MISSION STREET, SUITE 602 SAN FRANCISCO, CA 94103	N/A	PC	GENERAL SUPPORT	300,000.
IMPACT JUSTICE 2633 TELEGRAPH AVENUE STE 104 OAKLAND, CA 94612-1744	N/A	PC	RESTORATIVE JUSTICE PROJECT	100,000.
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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
INSIDEOUT WRITERS INC 1212 N. VERMONT AVE LOS ANGELES, CA 90029	N/A	PC	GENERAL SUPPORT	30,000.
INSTITUTE FOR HUMAN AND SOCIAL DEVELOPMENT INC. 155 BOVET ROAD, SUITE 300 SAN MATEO, CA 94402-3142	N/A	PC	GENERAL SUPPORT	75,000.
INTERSECTION FOR THE ARTS 901 MISSION ST. SUITE 306 SAN FRANCISCO, CA 94103-3052	N/A	PC	THE BEAT WITHIN BENEFIT CONCERT SPONSORSHIP	1,000.
JAEAH J LEE 1763 HAYES STREET SAN FRANCISCO, CA 94117	NONE	I	AMERICAN MOSAIC JOURNALISM PRIZE WINNER 1	100,000.
JAMES BELL ASSOCIATES 3033 WILSON BLVD., SUITE 650 ARLINGTON, VA 22201	N/A	NC	HOME VISITING SHARE PROJECT	481,960.
JOHNS HOPKINS UNIVERSITY 1101 EAST 33RD STREET, SUITE C020 BALTIMORE, MD 21218-3637	N/A	PC	HOME VISITOR TRAINING TO IMPROVE COMMUNICATION QUALITY	404,408.
JOHNSON GROUP CONSULTING, INC. 175 RED PINE ROAD HINESBURG, VT 05461	N/A	NC	MEDICAID AND HOME VISITING LEARNING NETWORK, MEDICAID AND HOME VISITING TECHNICAL ASSISTANCE	74,994.
JUSTLEADERSHIPUSA INC. 1900 LEXINGTON AVENUE NEW YORK, NY 10035	N/A	PC	GENERAL SUPPORT	25,000.
KIDANGO INC. 44000 OLD WARM SPRINGS BLVD. FREMONT, CA 94538-6145	N/A	PC	GENERAL SUPPORT	100,000.
KQED INC. 2601 MARIPOSA STREET SAN FRANCISCO, CA 94110-1426	N/A	PC	KQED NEWS AND GENERAL SUPPORT, PLEDGE DRIVE CHALLENGE GRANTS	75,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
LAS CUMBRES OBSERVATORY GLOBAL TELESCOPE NETWORK INC. 6740 CORTONA DRIVE, SUITE 102 GOLETA, CA 93117-5575	N/A	EOF	ADDING A 1-METER TELESCOPE AT THE MCDONALD OBSERVATORY SITE TO THE LAS CUMBRES OBSERVATORY	1,000,000.
LATINO COMMUNITY FOUNDATION 235 MONTGOMERY STREET, SUITE 1160 SAN FRANCISCO, CA 94104	N/A	PC	NORCAL WILDFIRE RELIEF FUND	40,000.
LEARNING POLICY INSTITUTE 1530 PAGE MILL RD STE 200 PALO ALTO, CA 94304-1140	N/A	PC	RESEARCH AND POLICY SUPPORT FOR INVESTMENTS IN EARLY CHILDHOOD EDUCATION	300,201.
LEGAL AID SOCIETY OF SAN MATEO COUNTY 330 TWIN DOLPHIN DRIVE, SUITE 123 REDWOOD CITY, CA 94065-1455	N/A	PC	LIBRE: LINKING IMMIGRANTS TO BENEFITS, RESOURCES, AND EDUCATION	60,000.
LOS ALTOS COMMUNITY FOUNDATION 183 HILLVIEW AVENUE LOS ALTOS, CA 94022-3742	N/A	PC	COMMUNITY GRANTS PROGRAM, GIVING CODE EXPERIMENTATION AND LEARNING GRANTS, MVLA SCHOLARS PROGRAM	320,000.
MARINE SCIENCE INSTITUTE 500 DISCOVERY PARKWAY REDWOOD CITY, CA 94063-4746	N/A	PC	GENERAL SUPPORT	30,000.
MARSHALL PROJECT INC. 156 W 56TH ST. SUITE 701 NEW YORK, NY 10019-3877	N/A	PC	GENERAL SUPPORT	100,000.
MASSACHUSETTS INSTITUTE OF TECHNOLOGY 77 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02139	N/A	PC	51 PEGASI B FELLOW JASON DITTMANN, AN OBSERVATORY TO FIND PLANETS FOR IMMIDENT HABITABILITY	1,723,953.
MATH SHELF INC. 22 PEARCE MITCHELL PL. STANFORD, CA 94305	N/A	PC	OPERATION NUMBER SENSE! TECHNOLOGY INFUSED EARLY MATHEMATICS EDUCATION	62,909.
MEDIA IMPACT FUNDERS INC 200 WEST WASHINGTON SQUARE, SUITE 220 PHILADELPHIA, PA 19106-3513	N/A	PC	MEMBERSHIP DUES	10,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MIGRATION POLICY INSTITUTE 1400 16TH ST NW STE 300 WASHINGTON, DC 20036-2203	N/A	PC	FIELD-BUILDING TO SUPPORT DUAL LANGUAGE LEARNER SUCCESS IN LINGUISTICALLY DIVERSE EARLY CHILDHOOD	150,000.
MISSION INVESTORS EXCHANGE, INC 2440 W. EL CAMINO REAL, STE. 300 MOUNTAIN VIEW, CA 94040	N/A	PC	MEMBERSHIP DUES	2,000.
MK LEVEL PLAYING FIELD INSTITUTE 2148 BROADWAY OAKLAND, CA 94612	N/A	PC	BAY AREA SMASH PROGRAM	30,000.
MOMSRISING EDUCATION FUND 12011 BEL-RED ROAD SUITE 100B BELLEVUE, WA 98005-2471	N/A	PC	GENERAL SUPPORT	100,000.
NATIONAL ACADEMY OF SCIENCES 500 FIFTH STREET, NW WASHINGTON, DC 20001	N/A	PC	FINANCING EARLY CARE AND EDUCATION WITH A HIGHLY QUALIFIED WORKFORCE	150,000.
NATIONAL ASSOCIATION FOR FAMILY SCHOOL AND COMMUNITY ENGAGEMENT 601 KING STREET, SUITE 401 ALEXANDRIA, VA 22314	N/A	PC	GENERAL SUPPORT, PHASE II IMPLEMENTATION OF THE NATIONAL ASSOCIATION FOR FAMILY, SCHOOL, AND	363,000.
NATIONAL ASSOCIATION FOR THE EDUCATION OF YOUNG CHILDREN 1313 L STREET NW, SUITE 500 WASHINGTON, DC 20005-4110	N/A	PC	LEVERAGE NAEYC ACCREDITATION DATA TO ADVANCE SYSTEMS DEVELOPMENT	200,000.
NATIONAL ASSOCIATION OF COMMUNITY AND RESTORATIVE JUSTICE 16650 HUEBNER ROAD APT 1336 SAN ANTONIO, TX 78248-2320	N/A	PC	6TH NATIONAL RESTORATIVE JUSTICE CONFERENCE	2,500.
NATIONAL CENTER FOR FAMILY PHILANTHROPY INC 1667 K STREET NW, SUITE 550 WASHINGTON, DC 20006-1652	N/A	PC	20TH ANNIVERSARY CAMPAIGN, MEMBERSHIP DUES	107,400.
NATIONAL COMMITTEE FOR RESPONSIVE PHILANTHROPY 1900 L STREET NW, SUITE 825 WASHINGTON, DC 20036-5002	N/A	PC	MEMBERSHIP DUES	20,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
NATIONAL CONSUMER LAW CENTER INC 7 WINTHROP SQ 4TH FLOOR BOSTON, MA 02110-1245	N/A	PC	EQUITABLE ELECTRIFICATION OF TRANSPORTATION	50,000.
NATIONAL GOVERNORS ASSOCIATION CENTER FOR BEST PRACTICES 444 NORTH CAPITOL STREET, SUITE 267 WASHINGTON, DC 20001	N/A	PC	STATE TECHNICAL ASSISTANCE ON ENERGY POLICY, SUPPORTING GOVERNORS AND STATES ON IMPROVING EARLY	250,000.
NATIONAL IMMIGRATION LAW CENTER 3435 WILSHIRE BLVD. STE. 1600 LOS ANGELES, CA 90010-1938	N/A	PC	GENERAL SUPPORT	400,000.
NATIONAL MUSEUM OF MATHEMATICS 134 WEST 26TH STREET, SUITE 4-S NEW YORK, NY 10001	N/A	PC	IMPROVING FAMILY MATH FLUENCY IN INFORMAL LEARNING ENVIRONMENTS, IMPROVING FAMILY MATH FLUENCY IN SCIENCE AND	137,770.
NATIONAL PUBLIC EDUCATION SUPPORT FUND 1900 L ST. NW, SUITE 520 WASHINGTON, DC 20036	N/A	PC	MEMBERSHIP DUES FOR EDUCATION FUNDER STRATEGY GROUP	25,000.
NATIONAL WOMEN'S LAW CENTER 11 DUPONT CIRCLE NW, SUITE 800 WASHINGTON, DC 20036-1209	N/A	PC	CHILD CARE AND EARLY EDUCATION PROGRAM	425,000.
NATURAL RESOURCES DEFENSE COUNCIL, INC. 111 SUTTER STREET SAN FRANCISCO, CA 94104	N/A	PC	STATE CLEAN ENERGY AND CALIFORNIA CLIMATE LEADERSHIP, THE SUSTAINABLE FERC PROJECT	2,550,000.
NELSON MULLINS RILEY & SCARBOROUGH LLP 101 CONSTITUTION AVE NW SUITE 900 NW WASHINGTON, DC 20001	N/A	NC	EDUCATIONCOUNSEL - LEVERAGING ESSA TO CREATE STRONG STATE BIRTH TO THIRD GRADE FRAMEWORKS	325,000.
NEW AMERICA FOUNDATION 740 15TH STREET, NW, SUITE 900 WASHINGTON, DC 20005	N/A	PC	ALIGNMENT POLICIES AND WORKFORCE, DUAL LANGUAGE LEARNERS NATIONAL WORK GROUP	425,000.
NEW PROFIT INC 200 CLARENDON STREET 9TH FLOOR BOSTON, MA 02116-5021	N/A	PC	EARLY LEARNING FUND	250,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
NEW VENTURE FUND 1201 CONNECTICUT AVENUE NW, SUITE 300 WASHINGTON, DC 20036-2605	N/A	PC	2020 CENSUS PROJECT, EARLY EDGE CALIFORNIA, FUND FOR A SAFER FUTURE, HOPE AND HEAL FUND, SCIENCE	1,275,000.
NEW YORK UNIVERSITY 70 WASHINGTON SQUARE SOUTH NEW YORK, NY 10012	N/A	PC	DISSEMINATION AND CONSULTATION SUPPORT FOR CRADLE TO KINDERGARTEN: A NEW PLAN TO COMBAT	45,983.
NORTHEASTERN UNIVERSITY 360 HUNTINGTON AVE. BOSTON, MA 02115-0000	N/A	PC	RESEARCH STUDY ON PHILANTHROPY, NONPROFIT NEWS, AND JOURNALISM	35,000.
NORTHERN CALIFORNIA GRANTMAKERS 160 SPEAR STREET, SUITE 360 SAN FRANCISCO, CA 94105-1543	N/A	PC	ANNUAL CONFERENCE SPONSORSHIP, MEMBERSHIP DUES AND GENERAL SUPPORT, PHILANTHROPY	104,000.
NW ENERGY COALITION 811 1ST AVE STE 305 SEATTLE, WA 98104-1463	N/A	PC	NEXT GENERATION UTILITY BUSINESS MODEL AND VEHICLE ELECTRIFICATION	125,000.
OUNCE OF PREVENTION FUND 33 WEST MONROE STREET, SUITE 2400 CHICAGO, IL 60603-5400	N/A	PC	NATIONAL HOME VISITING SUMMIT	250,000.
PARENTS AS TEACHERS NATIONAL CENTER, INC. 2228 BALL DRIVE SAINT LOUIS, MO 96346	N/A	PC	MEASURING IMPACT OF PARENTS AS TEACHERS HOME VISITING PROGRAM ON AMERICAN INDIAN CHILDRENS KINDERGARTEN	257,548.
PEAK GRANTMAKING 1666 K STREET NW, SUITE 440 WASHINGTON, DC 20006-1242	N/A	PC	MEMBERSHIP DUES	5,900.
PEN AMERICAN CENTER INC 588 BROADWAY, SUITE 303 NEW YORK, NY 10012-5258	N/A	PC	BUILDING SUPPORT FOR PRESS FREEDOM IN LOCAL COMMUNITIES	75,000.
PENINSULA OPEN SPACE TRUST 222 HIGH STREET PALO ALTO, CA 94301-1040	N/A	PC	GENERAL SUPPORT	30,000.
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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
PHILANTHROPIC VENTURES FOUNDATION 1222 PRESERVATION PARK WAY OAKLAND, CA 94612	N/A	PC	MATHEMATICS RESOURCE GRANTS PROGRAM	57,500.
PLANNED PARENTHOOD MAR MONTE, INC. 1605 THE ALAMEDA SAN JOSE, CA 95126	N/A	PC	SECOND CENTURY CAMPAIGN	100,000.
PRISON UNIVERSITY PROJECT, INC P.O. BOX 492 SAN QUENTIN, CA 94964	N/A	PC	GENERAL SUPPORT	50,000.
PRO PUBLICA INC. 155 AVENUE OF THE AMERICAS, 13TH FLOOR NEW YORK, NY 10013-0000	N/A	PC	GENERAL SUPPORT	150,000.
PROJECT SOUTH THE INSTITUTION FOR THE ELIMINATION POVERTY & GENOCIDE 9 GAMMON AVE, SE ATLANTA, GA 30315	N/A	PC	GENERAL SUPPORT	5,000.
PUBLIC POLICY INSTITUTE OF CALIFORNIA 500 WASHINGTON STREET, SUITE 600 SAN FRANCISCO, CA 94111-2932	N/A	PC	IMPROVING OUTCOMES FOR PRIMARY GRADE ENGLISH LEARNERS: INSTRUCTION AND RECLASSIFICATION, PPIC STATEWIDE SURVEY	72,283.
PUENTE DE LA COSTA SUR P.O. BOX 554 PESCADERO, CA 94060-0554	N/A	PC	SOUTH COAST FAMILY ENGAGEMENT INITIATIVE	100,000.
PUENTE HUMAN RIGHTS MOVEMENT PO BOX 21837 PHOENIX, AZ 85036-1837	N/A	PC	MIJENTE SUPPORT COMMITTEE	100,000.
REACH OUT AND READ INC 89 SOUTH STREET BOSTON, MA 02111	N/A	PC	EARLY MATH INITIATIVE	94,294.
RECTOR & VISITORS OF THE UNIVERSITY OF VIRGINIA 914 EMMET ST. N CHARLOTTESVILLE, VA 22903-4829	N/A	PC	SUPPORTING TEACHERS TO ENHANCE THEIR PRACTICE IN EXECUTIVE FUNCTION, MATH, AND SCIENCE	385,084.
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3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
REGENTS OF THE UNIVERSITY OF CALIFORNIA AT BERKELEY 2150 SHATTUCK AVENUE, SUITE 300 BERKELEY, CA 94704	N/A	PC	51 PEGASI B FELLOW PETER GAO, 51 PEGASI B FELLOW PETER GAO, BERKELEY CENTER FOR COSMOLOGICAL PHYSICS	3,157,213.
REGENTS OF THE UNIVERSITY OF CALIFORNIA AT RIVERSIDE 200 UNIVERSITY OFFICE BUILDING RIVERSIDE, CA 92521-0217	N/A	PC	INSTIGATING A MECHANISTIC UNDERSTANDING OF THE DYNAMICS OF THE SEDIMENTARY RECORD	258,946.
REGENTS OF THE UNIVERSITY OF CALIFORNIA SANTA CRUZ 1156 HIGH STREET SANTA CRUZ, CA 95064-1077	N/A	PC	DOWN BUT NOT OUT: THE WHITE DWARF SURVIVORS OF LOW-LUMINOSITY THERMONUCLEAR SUPERNOVAE, OTHER	740,998.
REGENTS OF THE UNIVERSITY OF CALIFORNIA, DAVIS ONE SHIELD AVENUE DAVIS, CA 95616	N/A	PC	FIREARM VIOLENCE: MAKING CHANGE NOW, BUILDING FOR THE FUTURE	449,949.
REGENTS OF THE UNIVERSITY OF COLORADO 3100 MARINE STREET, ROOM 479 BOULDER, CO 80303-1058	N/A	PC	EXTREME AXION SEARCH	100,000.
REGENTS OF THE UNIVERSITY OF MICHIGAN 3003 SOUTH STATE STREET, ROOM 1062 ANN ARBOR, MI 48109-1274	N/A	PC	DATA ASSIMILATION AND CLIMATE MODELING, PALEOCLIMATE SIMULATION OF WARM CLIMATES	224,339.
REGULATORY ASSISTANCE PROJECT 50 STATE STREET, SUITE 3 MONTPELIER, VT 05602	N/A	PC	CPUC TECHNICAL ASSISTANCE, REALIZING THE OPPORTUNITIES OF BENEFICIAL ELECTRIFICATION: AN	150,000.
RENEWABLE NORTHWEST PROJECT 421 SW 6TH AVENUE, SUITE 975 PORTLAND, OR 97204-1635	N/A	PC	GENERAL SUPPORT	200,000.
REPORTERS COMMITTEE FOR FREEDOM OF THE PRESS 1156 15TH ST NW, SUITE 1250 WASHINGTON, DC 20005-1779	N/A	PC	GENERAL SUPPORT	200,000.
RESEARCH FOUNDATION FOR THE STATE UNIVERSITY OF NEW YORK STONY BROOK UNIVERSITY STONY BROOK, NY 11794	N/A	PC	SENSEI: A NOVEL SEARCH FOR HIDDEN-SECTOR AND ULTRALIGHT DARK MATTER	496,301.
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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ROBIN HOOD FOUNDATION 826 BROADWAY, 9TH FLOOR NEW YORK, NY 10003	N/A	PC	FUND FOR EARLY LEARNING, ROBIN HOOD EARLY CHILDHOOD RESEARCH INITIATIVE (MAKING PRE-K COUNT	1,933,333.
ROCKEFELLER PHILANTHROPY ADVISORS INC 6 WEST 48TH STREET, 10TH FLOOR NEW YORK, NY 10036-1802	N/A	PC	CLIMATE NEXUS ENERGY TRANSITION DESK	125,000.
ROCKY MOUNTAIN INSTITUTE 22830 TWO RIVERS ROAD BASALT, CO 81621	N/A	PC	ELECTRICITY PROGRAM	275,000.
RUTGERS, THE STATE UNIVERSITY OF NEW JERSEY 3 RUTGERS PLAZA, ASB III NEW BRUNSWICK, NJ 08901	N/A	PC	STATE OF PRESCHOOL YEAR BOOK AND NIEER STRATEGIC PLANNING	400,000.
SAN FRANCISCO BALLET ASSOCIATION 455 FRANKLIN STREET SAN FRANCISCO, CA 94102-4438	N/A	PC	GENERAL SUPPORT	30,000.
SAN FRANCISCO JAZZ ORGANIZATION 201 FRANKLIN STREET SAN FRANCISCO, CA 94102-5108	N/A	PC	GENERAL SUPPORT	30,000.
SAN FRANCISCO MUSEUM OF MODERN ART 151 3RD STREET SAN FRANCISCO, CA 94103-3107	N/A	PC	GENERAL SUPPORT	30,000.
SAN FRANCISCO OPERA ASSOCIATION 301 VAN NESS AVENUE SAN FRANCISCO, CA 94102-0000	N/A	PC	GENERAL SUPPORT	30,000.
SAN FRANCISCO SYMPHONY 201 VAN NESS AVENUE SAN FRANCISCO, CA 94102	N/A	PC	GENERAL SUPPORT	45,000.
SAN JOSE CHILDREN'S DISCOVERY MUSEUM 180 WOZ WAY SAN JOSE, CA 95110-2722	N/A	PC	GENERAL SUPPORT	30,000.
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3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SAN JOSE CHILDREN'S MUSICAL THEATER 1401 PARKMOOR AVENUE, SUITE 100 SAN JOSE, CA 95126-3450	N/A	PC	GENERAL SUPPORT	30,000.
SAN JOSE GRAIL FAMILY SERVICES 2003 E. SAN ANTONIO STREET SAN JOSE, CA 95116-3048	N/A	PC	FAMILY ENGAGEMENT PROFESSIONAL DEVELOPMENT PILOT	100,000.
SAN JOSE JAZZ 38 WEST SANTA CLARA STREET SAN JOSE, CA 95113	N/A	PC	GENERAL SUPPORT	30,000.
SAN MATEO COUNTY OFFICE OF EDUCATION 101 TWIN DOLPHIN DRIVE REDWOOD CITY, CA 94065	N/A	PC	EARLY LEARNING MATHEMATICS INITIATIVE (ELMI)	100,000.
SAVE THE REDWOODS LEAGUE 111 SUTTER STREET, 11TH FLOOR SAN FRANCISCO, CA 94104	N/A	PC	GENERAL SUPPORT	30,000.
SCIENCE FRIDAY INITIATIVE, INC. 19 WEST 44TH STREET, SUITE 412 NEW YORK, NY 10036-5900	N/A	PC	GENERAL SUPPORT	150,000.
SCIENCE IS ELEMENTARY, INC. 650 ROSEWOOD COURT LOS ALTOS, CA 94024	N/A	PC	GENERAL SUPPORT	10,000.
SEMPERVIRENS FUND 419 SOUTH SAN ANTONIO ROAD, SUITE 211 LOS ALTOS, CA 94022-3640	N/A	PC	GENERAL SUPPORT	30,000.
SILICON VALLEY COMMUNITY FOUNDATION 2440 WEST EL CAMINO REAL, SUITE 300 MOUNTAIN VIEW, CA 94040	N/A	PC	CENTER FOR EARLY LEARNING, SAN JOSE FLOOD VICTIMS RELIEF FUND	275,000.
SILICON VALLEY COUNCIL OF NONPROFITS 1400 PARKMOOR AVE., SUITE 130 SAN JOSE, CA 95126-3797	N/A	PC	MEMBERSHIP DUES AND EARLY CHILDHOOD LEADERSHIP PROGRAM	75,387.
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3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SILICON VALLEY DE-BUG 701 LENZEN AVENUE SAN JOSE, CA 95126-2734	N/A	PC	GENERAL SUPPORT	300,000.
SILICON VALLEY EDUCATION FOUNDATION 1400 PARKMOOR AVENUE #200 SAN JOSE, CA 95126-3797	N/A	PC	REPRINTING MATH BROCHURES	1,700.
SOCIAL AND ENVIRONMENTAL ENTREPRENEURS INC. 23532 CALABASAS ROAD, SUITE A CALABASAS, CA 91302-1333	N/A	PC	RACIAL JUSTICE ACTION CENTER & ATLANTA/FULTON COUNTY PRE-ARREST DIVERSION INITIATIVE	20,000.
SOCIETY FOR RESEARCH IN CHILD DEVELOPMENT 2950 SOUTH STATE STREET, SUITE 401 ANN ARBOR, MI 48104-6773	N/A	PC	EXTENDING AND STRENGTHENING THE SRDC POLICY FELLOWSHIP PROGRAM	349,848.
SOMOS MAYFAIR INC. 370B S. KING ROAD SAN JOSE, CA 95116	N/A	PC	SOMOS MAYFAIR: IN OUR HANDS	185,000.
SOUTH CENTRAL PARTNERSHIP FOR ENERGY EFFICIENCY AS A RESOURCE 3103 BEE CAVES ROAD, SUITE 135 AUSTIN, TX 78746	N/A	PC	ADVANCING ENERGY EFFICIENCY POLICY INITIATIVES, ADVANCING REGIONAL ENERGY EFFICIENCY POLICY	182,500.
SOUTHERN CALIFORNIA GRANTMAKERS 1000 N ALAMEDA STREET, SUITE 230 LOS ANGELES, CA 90012-1804	N/A	PC	MEMBERSHIP DUES	13,800.
SOUTHERN CENTER FOR HUMAN RIGHTS 83 POPLAR STREET NW ATLANTA, GA 30303	N/A	PC	GENERAL SUPPORT	5,000.
SOUTHERN POVERTY LAW CENTER INC 400 WASHINGTON AVE MONTGOMERY, AL 36104-4344	N/A	PC	GENERAL SUPPORT	50,000.
SOUTHERNERS ON NEW GROUND 250 GEORGIA AVE., SUITE 201 ATLANTA, GA 30312	N/A	PC	GENERAL SUPPORT	5,000.
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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SOUTHWEST ENERGY EFFICIENCY PROJECT LLC 2334 BROADWAY SUITE A BOULDER, CO 80304	N/A	PC	ENERGY EFFICIENCY AND ELECTRIC VEHICLE POLICY ADVOCACY IN THE SOUTHWEST	217,000.
SPARK 101A CLAY ST 188 SAN FRANCISCO, CA 94111-2033	N/A	PC	GENERAL SUPPORT	15,000.
TAHIRIH JUSTICE CENTER 6402 ARLINGTON BOULEVARD, SUITE 300 FALLS CHURCH, VA 22042-2333	N/A	PC	NATIONAL CAMPAIGN AND WEST COAST EXPANSION	75,334.
TEACHING CHANNEL 2 EMBARCADERO CENTER 8TH FLOOR SAN FRANCISCO, CA 94111	N/A	PC	TEACHING CHANNEL AND DREME PARTNERSHIP: ADVANCING EXEMPLAR PRE-K MATH INSTRUCTIONAL PRACTICE	122,154.
TECHNICAL EDUCATION RESEARCH CENTERS INC 2067 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02140-1340	N/A	PC	STORYTELLING MATH	156,732.
THE ALGEBRA PROJECT INC 99 BISHOP ALLEN DRIVE CAMBRIDGE, MA 02139-3428	N/A	PC	MATH TALK	203,979.
THE ASPEN INSTITUTE INC. ONE DUPONT CIRCLE, NW WASHINGTON, DC 21658-0222	N/A	PC	THE ASPEN INSTITUTE CONGRESSIONAL PROGRAM	100,000.
THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY 450 SERRA MALL STANFORD, CA 94305	N/A	PC	ACQUISITION OF AN OXYGEN ISOTOPE RATIO MASS SPECTROMETER, CALIFORNIA POLICIES RELATED TO THE	3,662,778.
THE CLIMATE GROUP INC 145 W. 58TH STREET, SUITE 2A NEW YORK, NY 10019-1529	N/A	PC	EV100	100,000.
THE ENERGY FOUNDATION 301 BATTERY STREET 5TH FLOOR SAN FRANCISCO, CA 94111-3237	N/A	PC	ELECTRIC VEHICLE ADVOCATES CONFERENCE, IMPROVING RESIDENTIAL AND COMMERCIAL AIR CONDITIONING	975,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
THE EXPLORATORIUM PIER 17, SUITE 100 SAN FRANCISCO, CA 94111-0000	N/A	PC	GENERAL SUPPORT	30,000.
THE FRED ROGERS COMPANY 2100 WHARTON STREET, SUITE 700 PITTSBURG, PA 15203	N/A	PC	ODD SQUAD SEASON 2	250,000.
THE INSTITUTE FOR EDUCATIONAL LEADERSHIP INC 4301 CONNECTICUT AVENUE NW, SUITE 100 WASHINGTON, DC 20008-2304	N/A	PC	SYSTEMIC FAMILY & COMMUNITY ENGAGEMENT: IMPROVING OUTCOMES	104,500.
THE JOAN GANZ COONEY CENTER FOR EDUCATIONAL MEDIA AND RESEARCH, INC. ONE LINCOLN PLAZA NEW YORK, NY 10023-7129	N/A	PC	FAMILIES LEARNING ACROSS BOUNDARIES	273,089.
THE JUSTICE AND DIVERSITY CENTER OF THE BAR ASSOCIATION OF SAN FRANCISCO 301 BATTERY STREET, 3RD FLOOR SAN FRANCISCO, CA 94111-3237	N/A	PC	NORTHERN CALIFORNIA COLLABORATIVE FOR IMMIGRANT JUSTICE	175,000.
THE OPPORTUNITY INSTITUTE 2001 CENTER STREET, SUITE 500 BERKELEY, CA 94704-1242	N/A	PC	RENEWING COMMUNITIES INITIATIVE, TOO SMALL TO FAIL	1,150,000.
THE PENNSYLVANIA STATE UNIVERSITY 110 TECHNOLOGY CENTER BUILDING UNIVERSITY PARK, PA 16802	N/A	PC	DATA ASSIMILATION AND PALEOCLIMATE PROXIES, INSTIGATING A MECHANISTIC UNDERSTANDING OF THE	137,620.
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA LOS ANGELES 10920 WILSHIRE BLVD STE 620 LOS ANGELES, CA 90024-6505	N/A	PC	GALACTIC CENTER ORBITS INITIATIVE: TRANSFORMING THE STUDY OF SUPERMASSIVE BLACK HOLES, IMMIGRANT YOUTH	609,385.
THE TEACHING DOCTORS 22 PEARCE MITCHEL PL STANFORD, CA 94305	N/A	NC	OPERATION NUMBER SENSE! TECHNOLOGY-INFUSED EARLY MATHEMATICS EDUCATION	14,271.
THE TECH MUSEUM OF INNOVATION 201 SOUTH MARKET STREET SAN JOSE, CA 95113-2008	N/A	PC	GENERAL SUPPORT	30,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
THE TRUST FOR HIDDEN VILLA 26870 MOODY ROAD LOS ALTOS HILLS, CA 94022-4209	N/A	PC	GENERAL SUPPORT	15,000.
THE UCLA FOUNDATION 10920 WILSHIRE BOULEVARD LOS ANGELES, CA 90024-6502	N/A	PC	GENERAL RELATIVITY AND BLACK HOLES	56,771.
THEATREWORKS SILICON VALLEY P.O. BOX 50458 PALO ALTO, CA 94303	N/A	PC	GENERAL SUPPORT	30,000.
THIRD SECTOR NEW ENGLAND INC. 89 SOUTH STREET, SUITE 700 BOSTON, MA 02111-2679	N/A	PC	ECFC MEMBERSHIP DUES	30,000.
THRIVE ALLIANCE 330 TWIN DOLPHIN DRIVE, SUITE 155 REDWOOD CITY, CA 94065-1455	N/A	PC	MEMBERSHIP DUES	1,000.
TIDES CENTER P.O. BOX 29907 SAN FRANCISCO, CA 94129	N/A	PC	ABRIENDO PUERTAS, CALIFORNIANS FOR SAFETY AND JUSTICE, JUSTICE STRATEGIES, MEMBERSHIP DUES FOR	532,500.
TIDES FOUNDATION P.O. BOX 29903 SAN FRANCISCO, CA 94129-0903	N/A	PC	ACCOUNTABLE JUSTICE COLLABORATIVE, CALIFORNIA BAIL REFORM FUND, CAMPAIGN FOR GRADE-LEVEL READING,	1,068,800.
TRUST FOR PUBLIC LAND 101 MONTGOMERY STREET, SUITE 900 SAN FRANCISCO, CA 94104-4148	N/A	PC	PARKS FOR PEOPLE BAY AREA PROGRAM	30,000.
TRUSTEES OF BOSTON UNIVERSITY 25 BUICK ST BOSTON, MA 02215-1301	N/A	PC	CASPER - THE COSMIC AXION PRECESSION EXPERIMENT	98,420.
TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK 615 WEST 131ST STREET, SIXTH FLOOR NEW YORK, NY 10027	N/A	PC	GETTING READY FOR SCHOOL, THE SHOCKING REALITY OF DUSTY CATAclysms: ANALYZING GATTINI-IR DATA	81,566.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
TRUSTEES OF PRINCETON UNIVERSITY 701 CARNEGIE CENTER SUITE 445 PRINCETON, NJ 08540-6242	N/A	PC	DISCOVERING THE SHORTEST-PERIOD PLANETS WITH TESS	252,187.
TRUSTEES OF PURDUE UNIVERSITY 403 WEST WOOD ST W LAFAYETTE, IN 47907	N/A	PC	ENGAGING PRESCHOOL CHILDREN IN MATH LANGUAGE AT HOME: THE DEVELOPMENT AND EVALUATION OF A	190,000.
TRUSTEES OF TUFTS COLLEGE 62R TALBOT AVENUE MEDFORD, MA 02155-5813	N/A	PC	INNOVATIONS IN HOME-SCHOOL COLLABORATION IN THE RISE PROJECT	131,417.
UNION OF CONCERNED SCIENTISTS TWO BRATTLE SQUARE CAMBRIDGE, MA 02138-3742	N/A	PC	CALIFORNIA'S CLEAN ENERGY MODEL	109,000.
UNIVERSITY OF CALIFORNIA BERKELEY FOUNDATION 2080 ADDISON STREET #4200 BERKELEY, CA 94720	N/A	PC	BERKELEY UNDERGROUND SCHOLARS, FRESHMAN AND SOPHOMORE SEMINARS, HEISING-SIMONS FOUNDATION PHYSICS	271,906.
UNIVERSITY OF CALIFORNIA SANTA BARBARA 3227 CHEADLE HALL SANTA BARBARA, CA 93106-2050	N/A	PC	QUANTUM PROCESSING IN THE BRAIN PLANNING GRANT	98,235.
UNIVERSITY OF CHICAGO 6054 S DREXEL AVENUE CHICAGO, IL 60637-2612	N/A	PC	GETTING ON TRACK EARLY FOR SCHOOL SUCCESS: IMPROVING SCALABILITY AND SUSTAINING LEARNING GAINS BEYOND	1,477,980.
UNIVERSITY OF FLORIDA BOARD OF TRUSTEES 219 GRINTER HALL GAINESVILLE, FL 32611	N/A	PC	DETECTING AXIONS, HIDDEN-SECTOR PHOTONS, AND VACUUM MAGNETIC BIREFRINGENCE, STUDIES OF AXION DARK MATTER	389,244.
UNIVERSITY OF HAWAII 2440 CAMPUS ROAD, BOX 368 HONOLULU, HI 96822-2234	N/A	PC	EXPLORING PALEOCENE-EOCENE THERMAL MAXIMUM EARTH SYSTEM FEEDBACKS	117,296.
UNIVERSITY OF KANSAS CENTER FOR RESEARCH, INC. 2385 IRVING HILL ROAD LAWRENCE, KS 66045-7568	N/A	PC	ATLAS PHASE II: USING CONTINUOUS LIDAR DATA OF HELHEIM GLACIER TO UNDERSTAND TERMINUS DYNAMICS	415,567.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
UNIVERSITY OF MARYLAND FOUNDATION INC 3300 MEZTEROTT ADELPHI, MD 20783-1600	N/A	PC	KARABELLE PIZZIGATI INITIATIVE FOR CHILD, YOUTH, AND FAMILY ADVOCACY, PROMOTING FAMILY MATH FLUENCY IN	90,840.
UNIVERSITY OF RHODE ISLAND FOUNDATION 79 UPPER COLLEGE ROAD KINGSTON, RI 02881-2023	N/A	PC	THE NORTHWEST PASSAGE PROJECT	490,371.
UNIVERSITY OF TEXAS AT ARLINGTON 701 SOUTH NEDDERMAN DRIVE, BOX 19145 ARLINGTON, TX 76019-0145	N/A	GOV	EOCENE CLIMATE CHANGE AMPLIFICATION BY MARINE PRODUCTIVITY DECLINE	120,240.
UNIVERSITY OF WASHINGTON 4333 BROOKLYN AVENUE NE, BOX 359472 SEATTLE, WA 98195-9472	N/A	GOV	DATA ASSIMILATION FOR DEEP TIME, MICROWAVE CAVITY WORKSHOP	60,882.
URBAN INSTITUTE 2100 M STREET NW WASHINGTON, DC 20037-1207	N/A	GOV	UNDERSTANDING PRESCHOOL PARTICIPATION PATTERNS OF CHILDREN OF IMMIGRANTS	100,000.
VANDERBILT UNIVERSITY 2301 VANDERBILT PLACE NASHVILLE, TN 37235-6310	N/A	PC	MIDDLE SCHOOL MATHEMATICS COMPETENCIES IN AT-RISK STUDENTS, A CONTINUATION OF A	211,703.
WESTERN RESOURCE ADVOCATES 2260 BASELINE ROAD, SUITE 200 BOULDER, CO 80302-7740	N/A	PC	CREATING A CLEAN ENERGY FUTURE FOR THE WEST	350,000.
WGBH EDUCATIONAL FOUNDATION 1 GUEST STREET BOSTON, MA 02135-2016	N/A	PC	FRONTLINE SEASON 36, MONKEYING AROUND, NOVA'S POLAR EXTREMES	664,605.
WITNESS INC. 80 HANSON PLACE, 5TH FLOOR BROOKLYN, NY 11217-2998	N/A	PC	GENERAL SUPPORT	50,000.
WNET 825 EIGHTH AVE 14TH FLOOR NEW YORK, NY 10019-7416	N/A	PC	CYBERCHASE	125,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Approved for Future Payment (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
BOARD OF REGENTS NEVADA SYSTEM OF HIGHER EDUCATION 2601 ENTERPRISE ROAD RENO, NV 89512-1666	N/A	PC	FORCE SENSING AT THE QUANTUM LIMIT WITH LEVITATED MICROSPHERES: SENSING AND INTERFEROMETRY	490,984.
CALIFORNIA CHILD CARE RESOURCE AND REFERRAL NETWORK 1182 MARKET ST, SUITE 300 SAN FRANCISCO, CA 94102	N/A	PC	GENERAL SUPPORT	100,000.
CALIFORNIA INSTITUTE OF TECHNOLOGY 1200 EAST CALIFORNIA BOULEVARD PASADENA, CA 91125	N/A	PC	51 PEGASI B - JASON WANG, HIGH CONTRAST CORONAGRAPH MODULE FOR THE KECK PLANET IMAGER AND CHARACTERIZER	1,023,908.
CENTER FOR ENERGY EFFICIENCY AND RENEWABLE TECHNOLOGIES 1100 11TH STREET, SUITE 311 SACRAMENTO, CA 95814-0000	N/A	PC	WESTERN GRID GROUP	87,500.
CHILD CARE ALLIANCE OF LOS ANGELES 815 COLORADO BLVD SUITE C LOS ANGELES, CA 90041-1757	N/A	PC	CALIFORNIA EARLY CARE AND EDUCATION WORKFORCE REGISTRY	125,500.
CHILD CARE LAW CENTER 445 CHURCH STREET, 4TH FLOOR SAN FRANCISCO, CA 94114	N/A	PC	GENERAL SUPPORT	75,000.
CHILD TRENDS INCORPORATED 7315 WISCONSIN AVE STE 1200W BETHESDA, MD 20814-3666	N/A	PC	INCORPORATING HOME VISITING DATA INTO STATE EARLY CHILDHOOD INTEGRATED DATA SYSTEMS	250,000.
CITIZENS UTILITY BOARD 309 W WASHINGTON STREET STE 800 CHICAGO, IL 60606	N/A	PC	MAXIMIZING THE CONSUMER VALUE FROM ELECTRIC VEHICLE DEPLOYMENT	75,000.
CIVIL RIGHTS CORPS 916 G ST. NW APT 701 WASHINGTON, DC 20001-4574	N/A	PC	GENERAL SUPPORT	200,000.
CLEAN AIR TASK FORCE INC. 114 STATE STREET, 6TH FLOOR BOSTON, MA 02109	N/A	PC	METHANE EMISSIONS REDUCTION IN THE U.S. AND CANADA	50,000.
Total from continuation sheets				32,329,792.

Part XV Supplementary Information

3 Grants and Contributions Approved for Future Payment (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
COMMON SENSE MEDIA 650 TOWNSEND STREET, SUITE 435 SAN FRANCISCO, CA 94103-6222	N/A	PC	PHASE III PARTNERSHIP: COMMON SENSE EARLY LEARNING PROGRAM	300,000.
COMMUNITY INITIATIVES 354 PINE STREET, SUITE 700 SAN FRANCISCO, CA 94104	N/A	PC	EDUCATORS FOR FAIR CONSIDERATION (E4FC), RESTORATIVE JUSTICE FOR OAKLAND YOUTH	325,000.
COMMUNITY LEGAL SERVICES IN EAST PALO ALTO INC 1861 BAY ROAD EAST PALO ALTO, CA 94303	N/A	PC	LEGAL SUPPORT FOR IMMIGRANT YOUTH AND THEIR FAMILIES	155,000.
CORNELL UNIVERSITY 373 PINE TREE ROAD ITHACA, NY 14850	N/A	PC	BUILDING NEXT GENERATION ARXIV	321,998.
COUNCIL OF CHIEF STATE SCHOOL OFFICERS 1 MASSACHUSETTS AVE STE 700 WASHINGTON, DC 20001-1406	N/A	PC	EARLY LEARNING STATE NETWORK IMPROVEMENT COMMUNITIES	375,000.
E C S V 1399 SANTEE DRIVE SAN JOSE, CA 95122-1839	N/A	PC	PROFESSIONAL DEVELOPMENT INSTITUTE: DUAL LANGUAGE LEARNERS PLAN DEVELOPMENT AND PILOT IMPLEMENTATION	100,000.
EDUCATIONAL COMMISSION OF THE STATES 700 N BROADWAY STE 810 DENVER, CO 80203-3442	N/A	PC	K-3 QUALITY: PHASE II, STRENGTHENING THE EARLY LEARNING CONTINUUM	200,000.
ENVIRONMENTAL DEFENSE FUND, INC. 123 MISSION STREET SAN FRANCISCO, CA 94105	N/A	PC	COLORADO CLEAN CAR STANDARDS, OCEANS, HABITAT EXCHANGE AND ESA DEFENSE, WESTERN WATER, CLIMATE	2,731,000.
FOOTHILL - DE ANZA COMMUNITY COLLEGES FOUNDATION 12345 EL MONTE ROAD LOS ALTOS, CA 94022	N/A	PC	FAMILY ENGAGEMENT INSTITUTE	1,375,000.
FOUNDATION CENTER 32 OLD SLIP, 24TH FLOOR NEW YORK, NY 10005	N/A	PC	GRANTCRAFT	10,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Approved for Future Payment (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
FRAMEWORKS INSTITUTE 1333 H STREET NW SUITE 700 WEST WASHINGTON, DC 20005-4754	N/A	PC	REFRAMING EARLY MATH	107,000.
GRANTMAKERS CONCERNED WITH IMMIGRANTS AND REFUGEES PO BOX 1100 SEBASTOPOL, CA 95472	N/A	PC	UNDOCUFUND	60,000.
GREAT PLAINS INSTITUTE 2801 21ST AVENUE SOUTH MINNEAPOLIS, MN 55407	N/A	PC	ENABLING GREENHOUSE GAS REDUCTIONS IN THE MIDCONTINENT GRID	225,000.
GROUNDSWELL FUND 436 14TH ST. SUITE 1312 OAKLAND, CA 94612-2709	N/A	PC	GENERAL SUPPORT	2,000,000.
HISPANIC FOUNDATION OF SILICON VALLEY 1922 THE ALAMEDA, SUITE 217 SAN JOSE, CA 95126	N/A	PC	LATINO BOARD LEADERSHIP ACADEMY	25,000.
IMMIGRANT LEGAL RESOURCE CENTER 1663 MISSION STREET, SUITE 602 SAN FRANCISCO, CA 94103	N/A	PC	READY BAY AREA	75,000.
INSTITUTE FOR LOCAL GOVERNMENT 1400 K STREET SUITE 205 SACRAMENTO, CA 95814-3916	N/A	PC	IMMIGRANT INTEGRATION & LOCAL GOVERNMENTS IN SAN MATEO AND SANTA CLARA COUNTIES	80,000.
JUSTLEADERSHIPUSA INC. 1900 LEXINGTON AVENUE NEW YORK, NY 10035	N/A	PC	GENERAL SUPPORT	300,000.
MASSACHUSETTS INSTITUTE OF TECHNOLOGY 77 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02139	N/A	PC	51 PEGASI B - IAN WONG, PALC - LINDLEY WINSLOW, RISING STARS IN PHYSICS WORKSHOPS, STUDIES OF THE NOVEL	1,139,998.
MATHEMATICA POLICY RESEARCH, INC. P.O. BOX 2393 PRINCETON, NJ 08543	N/A	NC	ENGAGING FAMILIES IN EARLY MATH: IMPLEMENTATION STUDY DESIGN	244,730.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Approved for Future Payment (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MOMSRISING EDUCATION FUND 12011 BEL-RED ROAD SUITE 100B BELLEVUE, WA 98005-2471	N/A	PC	GENERAL SUPPORT	100,000.
NATIONAL ASSOCIATION FOR FAMILY SCHOOL AND COMMUNITY ENGAGEMENT 601 KING STREET, SUITE 401 ALEXANDRIA, VA 22314	N/A	PC	GENERAL SUPPORT	250,000.
NATIONAL ASSOCIATION FOR THE EDUCATION OF YOUNG CHILDREN 1313 L STREET NW, SUITE 500 WASHINGTON, DC 20005-4110	N/A	PC	LEVERAGE NAEYC ACCREDITATION DATA TO ADVANCE SYSTEMS DEVELOPMENT	200,000.
NATIONAL CONFERENCE OF STATE LEGISLATURES 7700 E. FIRST PLACE DENVER, CO 80230	N/A	GOV	EDUCATING AND EQUIPPING STATE POLICYMAKERS	599,984.
NATIONAL GOVERNORS ASSOCIATION CENTER FOR BEST PRACTICES 444 NORTH CAPITOL STREET, SUITE 267 WASHINGTON, DC 20001	N/A	PC	SUPPORTING GOVERNORS AND STATES ON IMPROVING EARLY CARE AND EDUCATION POLICIES	125,000.
NATIONAL WOMEN'S LAW CENTER 11 DUPONT CIRCLE NW, SUITE 800 WASHINGTON, DC 20036-1209	N/A	PC	CHILD CARE AND EARLY EDUCATION PROGRAM	325,000.
NEW AMERICA FOUNDATION 740 15TH STREET, NW, SUITE 900 WASHINGTON, DC 20005	N/A	PC	ALIGNMENT POLICIES AND WORKFORCE, DUAL LANGUAGE LEARNERS NATIONAL WORK GROUP	425,000.
NEW VENTURE FUND 1201 CONNECTICUT AVENUE NW, SUITE 300 WASHINGTON, DC 20036-2605	N/A	PC	FUND FOR A SAFER FUTURE	100,000.
NORTHERN CALIFORNIA COMMUNITY LOAN FUND 870 MARKET STREET SUITE 677 SAN FRANCISCO, CA 94102-3024	N/A	PC	SPACES FOR GOOD - SOUTH BAY AND PENINSULA EXPANSION	50,000.
OREGON ENVIRONMENTAL COUNCIL 222 NW DAVIS STREET #309 PORTLAND, OR 97209	N/A	PC	RENEW OREGON	250,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Approved for Future Payment (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
OUNCE OF PREVENTION FUND 33 WEST MONROE STREET, SUITE 2400 CHICAGO, IL 60603-5400	N/A	PC	FIRST FIVE YEARS FUND	450,000.
PARENTS AS TEACHERS NATIONAL CENTER, INC. 2228 BALL DRIVE SAINT LOUIS, MO 96346	N/A	PC	NATIONAL HOME VISITING MODELS ALLIANCE	76,500.
PHILANTHROPIC VENTURES FOUNDATION 1222 PRESERVATION PARK WAY OAKLAND, CA 94612	N/A	PC	MATHEMATICS RESOURCE GRANTS PROGRAM	57,500.
PRESIDENT AND FELLOWS OF HARVARD COLLEGE 1033 MASSACHUSETTS AVENUE, 5TH FLOOR CAMBRIDGE, MA 02138	N/A	PC	PALC - JENNY HOFFMAN	75,000.
PUENTE HUMAN RIGHTS MOVEMENT PO BOX 21837 PHOENIX, AZ 85036-1837	N/A	PC	MIJENTE SUPPORT COMMITTEE	100,000.
REGENTS OF THE UNIVERSITY OF CALIFORNIA AT BERKELEY 2150 SHATTUCK AVENUE, SUITE 300 BERKELEY, CA 94704	N/A	PC	51 PEGASI B - MARTA BRYAN, 51 PEGASI B - SIVAN GINZBURG, NETWORK FOR NEUTRINOS, NUCLEAR ASTROPHYSICS,	1,014,256.
REGENTS OF THE UNIVERSITY OF CALIFORNIA, DAVIS ONE SHIELD AVENUE DAVIS, CA 95616	N/A	PC	FIREARM VIOLENCE PREVENTION: NEW OPPORTUNITIES	349,882.
REGENTS OF THE UNIVERSITY OF MICHIGAN 3003 SOUTH STATE STREET, ROOM 1062 ANN ARBOR, MI 48109-1274	N/A	PC	VARIATION IN EARLY MATHEMATICS INSTRUCTION	955,211.
REPORTERS COMMITTEE FOR FREEDOM OF THE PRESS 1156 15TH ST NW, SUITE 1250 WASHINGTON, DC 20005-1779	N/A	PC	GENERAL SUPPORT	100,000.
RESEARCH FOUNDATION FOR THE STATE UNIVERSITY OF NEW YORK STONY BROOK UNIVERSITY STONY BROOK, NY 11794	N/A	PC	SENSEI: A NOVEL SEARCH FOR HIDDEN-SECTOR AND ULTRALIGHT DARK MATTER	488,820.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Approved for Future Payment (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ROBIN HOOD FOUNDATION 826 BROADWAY, 9TH FLOOR NEW YORK, NY 10003	N/A	PC	MAKING PRE-K COUNT & HIGH FIVE CLUBS	300,000.
ROCKEFELLER PHILANTHROPY ADVISORS INC 6 WEST 48TH STREET, 10TH FLOOR NEW YORK, NY 10036-1802	N/A	PC	CLIMATE NEXUS ENERGY TRANSITION DESK	125,000.
RUTGERS, THE STATE UNIVERSITY OF NEW JERSEY 3 RUTGERS PLAZA, ASB III NEW BRUNSWICK, NJ 08901	N/A	PC	EARLY CHILDHOOD LEADERSHIP LEGACY 2030	200,091.
SAFE PASSAGES 250 FRANK H OGAWA PLAZA, STE. 6306 OAKLAND, CA 94612	N/A	PC	ADVANCE PEACE STOCKTON	100,000.
SILICON VALLEY COMMUNITY FOUNDATION 2440 WEST EL CAMINO REAL, SUITE 300 MOUNTAIN VIEW, CA 94040	N/A	PC	HEISING-SIMONS FUND, THE BIG LIFT	376,000.
SOLUTIONS JOURNALISM NETWORK 79 MADISON AVENUE #224 NEW YORK, NY 10016	N/A	PC	GENERAL SUPPORT	80,000.
SOMOS MAYFAIR INC. 370B S. KING ROAD SAN JOSE, CA 95116	N/A	PC	SOMOS MAYFAIR: IN OUR HANDS	150,000.
SOUTH CENTRAL PARTNERSHIP FOR ENERGY EFFICIENCY AS A RESOURCE 3103 BEE CAVES ROAD, SUITE 135 AUSTIN, TX 78746	N/A	PC	ADVANCING REGIONAL ENERGY EFFICIENCY POLICY INITIATIVES	67,500.
TECHNICAL EDUCATION RESEARCH CENTERS INC 2067 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02140-1340	N/A	PC	STORYTELLING MATH PHASE 2	876,360.
THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY 450 SERRA MALL STANFORD, CA 94305	N/A	PC	FORCE SENSING AT THE QUANTUM LIMIT WITH LEVITATED MICROSPHERES: GRAVITATIONAL	762,511.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Approved for Future Payment (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
THE BOARD OF TRUSTEES OF THE UNIVERSITY OF ILLINOIS C/O SPONSORED PROGRAMS ADMINISTRATION, 1901 S FIRST, STE A CHAMPAIGN, IL 61820-7406	N/A	PC	PALC - NADYA MASON	75,000.
THE CLIMATE GROUP INC 145 W. 58TH STREET, SUITE 2A NEW YORK, NY 10019-1529	N/A	PC	EV100	100,000.
THE FRED ROGERS COMPANY 2100 WHARTON STREET, SUITE 700 PITTSBURGH, PA 15203	N/A	PC	ODD SQUAD ON THE ROAD	750,000.
THE NATION INSTITUTE 116 EAST 16TH STREET, 8TH FLOOR NEW YORK, NY 10003	N/A	PC	IMMIGRATION REPORTING, WRITING, AND ANALYSIS	100,000.
THE PENNSYLVANIA STATE UNIVERSITY 110 TECHNOLOGY CENTER BUILDING UNIVERSITY PARK, PA 16802	N/A	PC	NEXT GENERATION COMPUTATIONAL TOOLS FOR NEAR-INFRARED RADIAL VELOCITY MEASUREMENTS	350,550.
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA LOS ANGELES 10920 WILSHIRE BLVD STE 620 LOS ANGELES, CA 90024-6505	N/A	PC	GALACTIC CENTER ORBITS INITIATIVE: TRANSFORMING THE STUDY OF SUPERMASSIVE BLACK HOLES, PALC - ANDREA	995,615.
THIRD SECTOR NEW ENGLAND INC. 89 SOUTH STREET, SUITE 700 BOSTON, MA 02111-2679	N/A	PC	POOLED FUND FOR EARLY CHILDHOOD WORKFORCE	1,000,000.
TIDES CENTER P.O. BOX 29907 SAN FRANCISCO, CA 94129	N/A	PC	ABRIENDO PUERTAS, CALIFORNIANS FOR SAFETY AND JUSTICE	475,000.
TIDES FOUNDATION P.O. BOX 29903 SAN FRANCISCO, CA 94129-0903	N/A	PC	CAMPAIGN FOR GRADE-LEVEL READING	500,000.
TRUSTEES OF BOSTON COLLEGE 140 COMMONWEALTH AVENUE CHESTNUT HILL, MA 02467-0000	N/A	PC	PARENT- CHILD CARD GAMES AS EARLY MATH LEARNING OPPORTUNITIES	224,628.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Approved for Future Payment (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
TRUSTEES OF PRINCETON UNIVERSITY 701 CARNEGIE CENTER SUITE 445 PRINCETON, NJ 08540-6242	N/A	PC	DISCOVERING THE SHORTEST-PERIOD PLANETS WITH TESS	132,745.
UNIVERSITY OF CALIFORNIA SANTA BARBARA OFFICE OF RESEARCH, 3227 CHEADLE HALL SANTA BARBARA, CA 93106-2050	N/A	PC	QUANTUM BRAIN	1,207,079.
UNIVERSITY OF CHICAGO 6054 S DREXEL AVENUE CHICAGO, IL 60637-2612	N/A	PC	51 PEGASI B - THADDEUS KOMACEK, GETTING ON TRACK EARLY FOR SCHOOL SUCCESS: IMPROVING SCALABILITY AND	1,392,648.
UNIVERSITY OF KANSAS CENTER FOR RESEARCH, INC. 2385 IRVING HILL ROAD LAWRENCE, KS 66045-7568	N/A	PC	ATLAS PHASE II: USING CONTINUOUS LIDAR DATA OF HELHEIM GLACIER TO UNDERSTAND TERMINUS DYNAMICS	142,494.
UNIVERSITY OF TEXAS AT AUSTIN 110 INNER CAMPUS DRIVE AUSTIN, TX 78705	N/A	GOV	51 PEGASI B - AARON RIZZUTO, 51 PEGASI B - YA-LIN WU, PALC - SHARDA JOGEE	822,609.
UNIVERSITY OF WASHINGTON 4333 BROOKLYN AVENUE NE, BOX 359472 SEATTLE, WA 98195-9472	N/A	GOV	NATIONAL P-3 CENTER	227,490.
VALERIA FERNANDEZ 130 E. CORONADO ROAD #16 PHOENIX, AZ 85004	NONE	I	2018 AMERICAN MOSAIC JOURNALISM PRIZE WINNER 2	100,000.
WNET 825 EIGHTH AVE 14TH FLOOR NEW YORK, NY 10019-7416	N/A	PC	CYBERCHASE	125,000.
YALE UNIVERSITY P.O. BOX 208327 NEW HAVEN, CT 06520-8327	N/A	PC	51 PEGASI B - CHRISTOPHER SPALDING, SEARCH FOR 100 EARTHS, TESTS OF LONG-RANGE FORCES WITH LEVITATED	1,339,000.
YMCA OF SILICON VALLEY 80 SARATOGA AVE SANTA CLARA, CA 95051-7303	N/A	PC	NANA Y YO Y LAS MATEMATICAS- MAKE CONNECTIONS	512,701.
Total from continuation sheets				

Part XV Supplementary Information

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - BOARD OF REGENTS NEVADA SYSTEM OF HIGHER EDUCATION
 FORCE SENSING AT THE QUANTUM LIMIT WITH LEVITATED MICROSPHERES: SENSING
 AND INTERFEROMETRY WITH A STANDING-WAVE TRAP

NAME OF RECIPIENT - CALIFORNIA INSTITUTE OF TECHNOLOGY
 51 PEGASI B FELLOW KATHERINE DE KLEER, DOWN BUT NOT OUT: THE WHITE
 DWARF SURVIVORS OF LOW-LUMINOSITY THERMONUCLEAR SUPERNOVAE, FIBER
 INJECTION MODULE FOR THE KECK PLANET IMAGER CHARACTERIZER, HIGH
 CONTRAST CORONAGRAPH MODULE FOR THE KECK PLANET IMAGER AND
 CHARACTERIZER SYSTEM, OBSERVING THE ORIGIN OF THE UNIVERSE, THE
 SHOCKING REALITY OF DUSTY CATAclysms: BUILDING GATTINI-IR

NAME OF RECIPIENT - CLIMATE POLICY INITIATIVE, INC.
 ELECTRIC UTILITY CAPITAL RECYCLING AND SECURITIZATION, FINANCING
 COLORADO'S CLEAN ENERGY TRANSITION

NAME OF RECIPIENT - COMMUNITY INITIATIVES
 BAY AREA EARLY CHILDHOOD FUNDERS MEMBERSHIP DUES, EDUCATORS FOR FAIR
 CONSIDERATION, PROJECT SUPPORT FOR RJOY, RENAISSANCE JOURNALISM AND
 STORYTELLING CENTER, RESTORATIVE JUSTICE FOR OAKLAND YOUTH

NAME OF RECIPIENT - COUNCIL FOR A STRONG AMERICA
 BUSINESS AND RETIRED MILITARY CHAMPIONS FOR EARLY MATH EDUCATION,
 UNEXPECTED MESSENGERS FOR EARLY MATH AND FAMILY ENGAGEMENT

NAME OF RECIPIENT - EDUCATION DEVELOPMENT CENTER INC.
 ENGAGING FAMILIES TO PROMOTE CHILDREN'S MATH LEARNING AND MOTIVATION,
 PLACE-BASED COLLABORATION FOR EARLY EDUCATION: LINKING

Part XV Supplementary Information

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

CRADLE-TO-CAREER, WRAP-AROUND, AND P-3 APPROACHES, SUPPORTING SCHOOL
 READINESS: A STUDY OF THE INSTRUCTIONAL PRACTICES THAT PROMOTE MASTERY
 MOTIVATION AND MATHEMATICS LEARNING

NAME OF RECIPIENT - ENVIRONMENTAL DEFENSE FUND, INC.

DOMESTIC AND INTERNATIONAL OCEANS, HABITAT EXCHANGE, WATER MANAGEMENT,
 NATURAL GAS WASTE WATER, AND CLIMATE SCIENCE, PROTECTING OUR
 ENVIRONMENT FROM THE IMPACTS OF NATURAL GAS

NAME OF RECIPIENT - HUMAN RIGHTS WATCH INC.

ASSERTING A HUMAN RIGHTS APPROACH TO CRIMINAL JUSTICE AND IMMIGRATION
 POLICY, BAIL REFORM IN CALIFORNIA

NAME OF RECIPIENT - JOHNSON GROUP CONSULTING, INC.

MEDICAID AND HOME VISITING LEARNING NETWORK, MEDICAID AND HOME VISITING
 TECHNICAL ASSISTANCE TO STATES

NAME OF RECIPIENT - LAS CUMBRES OBSERVATORY GLOBAL TELESCOPE NETWORK INC.

ADDING A 1-METER TELESCOPE AT THE MCDONALD OBSERVATORY SITE TO THE LAS
 CUMBRES OBSERVATORY NETWORK

NAME OF RECIPIENT - MASSACHUSETTS INSTITUTE OF TECHNOLOGY

51 PEGASI B FELLOW JASON DITTMANN, AN OBSERVATORY TO FIND PLANETS FOR
 IMMINENT HABITABILITY ASSESSMENT, FINALIZING THE SI DETECTOR PROGRAM
 FOR THE GAPS DARK MATTER EXPERIMENT, NOVEL CRYOGENIC DETECTORS IN
 NEUTRINO PHYSICS, RISING STARS IN PHYSICS WORKSHOPS, STUDIES OF THE
 NOVEL RFQ INJECTION SYSTEM FOR ISODAR

Part XV Supplementary Information

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - MIGRATION POLICY INSTITUTE

FIELD-BUILDING TO SUPPORT DUAL LANGUAGE LEARNER SUCCESS IN
LINGUISTICALLY DIVERSE EARLY CHILDHOOD SETTINGS

NAME OF RECIPIENT - NATIONAL ASSOCIATION FOR FAMILY SCHOOL AND COMMUNITY
ENGAGEMENT

GENERAL SUPPORT, PHASE II IMPLEMENTATION OF THE NATIONAL ASSOCIATION
FOR FAMILY, SCHOOL, AND COMMUNITY ENGAGEMENT

NAME OF RECIPIENT - NATIONAL GOVERNORS ASSOCIATION CENTER FOR BEST
PRACTICES

STATE TECHNICAL ASSISTANCE ON ENERGY POLICY, SUPPORTING GOVERNORS AND
STATES ON IMPROVING EARLY CARE AND EDUCATION POLICIES

NAME OF RECIPIENT - NATIONAL MUSEUM OF MATHEMATICS

IMPROVING FAMILY MATH FLUENCY IN INFORMAL LEARNING ENVIRONMENTS,
IMPROVING FAMILY MATH FLUENCY IN SCIENCE AND TECHNOLOGY CENTERS

NAME OF RECIPIENT - NEW VENTURE FUND

2020 CENSUS PROJECT, EARLY EDGE CALIFORNIA, FUND FOR A SAFER FUTURE,
HOPE AND HEAL FUND, SCIENCE PHILANTHROPY ALLIANCE

NAME OF RECIPIENT - NEW YORK UNIVERSITY

DISSEMINATION AND CONSULTATION SUPPORT FOR CRADLE TO KINDERGARTEN: A
NEW PLAN TO COMBAT INEQUALITY

NAME OF RECIPIENT - NORTHERN CALIFORNIA GRANTMAKERS

ANNUAL CONFERENCE SPONSORSHIP, MEMBERSHIP DUES AND GENERAL SUPPORT,

Part XV Supplementary Information

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

PHILANTHROPY CALIFORNIA

NAME OF RECIPIENT - PARENTS AS TEACHERS NATIONAL CENTER, INC.
 MEASURING IMPACT OF PARENTS AS TEACHERS HOME VISITING PROGRAM ON
 AMERICAN INDIAN CHILDRENS KINDERGARTEN READINESS AND HOME LITERACY
 ENVIRONMENT, NATIONAL HOME VISITING MODELS ALLIANCE

NAME OF RECIPIENT - PUBLIC POLICY INSTITUTE OF CALIFORNIA
 IMPROVING OUTCOMES FOR PRIMARY GRADE ENGLISH LEARNERS: INSTRUCTION AND
 RECLASSIFICATION, PPIC STATEWIDE SURVEY ON CALIFORNIANS AND THE
 ENVIRONMENT

NAME OF RECIPIENT - REGENTS OF THE UNIVERSITY OF CALIFORNIA AT BERKELEY
 51 PEGASI B FELLOW PETER GAO, 51 PEGASI B FELLOW PETER GAO, BERKELEY
 CENTER FOR COSMOLOGICAL PHYSICS (BCCP), BY DESIGN: ORGANIZING A
 UNIFIED, ACCESSIBLE, HIGH-QUALITY PRESCHOOL INSTITUTION FOR CALIFORNIA,
 CASPER - THE COSMIC AXION SPIN PRECESSION EXPERIMENT, CENTER FOR THE
 STUDY OF CHILD CARE EMPLOYMENT, DETECTOR DEVELOPMENT FOR THE SIMONS
 OBSERVATORY, JOINT BIOENERGY INSTITUTE, JOURNALISM SCHOOL GRADUATE
 STUDENT FELLOWSHIPS, NETWORK FOR NEUTRINOS, NUCLEAR ASTROPHYSICS, AND
 SYMMETRIES

NAME OF RECIPIENT - REGENTS OF THE UNIVERSITY OF CALIFORNIA AT RIVERSIDE
 INSTIGATING A MECHANISTIC UNDERSTANDING OF THE DYNAMICS OF THE
 SEDIMENTARY RECORD (IMUDS)

NAME OF RECIPIENT - REGENTS OF THE UNIVERSITY OF CALIFORNIA SANTA CRUZ
 DOWN BUT NOT OUT: THE WHITE DWARF SURVIVORS OF LOW-LUMINOSITY

Part XV Supplementary Information

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

THERMONUCLEAR SUPERNOVAE, OTHER WORLDS LABORATORY EXOPLANET SUMMER PROGRAM, P-CESM: A MODEL FOR EXPLORING DEEP TIME CLIMATES TO FURTHER OUR UNDERSTANDING OF EARTHS FUTURE, RETAINING UNDERREPRESENTED STUDENTS, TRAINING SCIENTISTS FOR PUBLIC COMMUNICATION CAREERS IN SCIENCE

NAME OF RECIPIENT - REGULATORY ASSISTANCE PROJECT
CPUC TECHNICAL ASSISTANCE, REALIZING THE OPPORTUNITIES OF BENEFICIAL ELECTRIFICATION: AN INITIAL GUIDE FOR POLICYMAKERS

NAME OF RECIPIENT - ROBIN HOOD FOUNDATION
FUND FOR EARLY LEARNING, ROBIN HOOD EARLY CHILDHOOD RESEARCH INITIATIVE (MAKING PRE-K COUNT AND HIGH 5S)

NAME OF RECIPIENT - SOUTH CENTRAL PARTNERSHIP FOR ENERGY EFFICIENCY AS A RESOURCE
ADVANCING ENERGY EFFICIENCY POLICY INITIATIVES, ADVANCING REGIONAL ENERGY EFFICIENCY POLICY INITIATIVES

NAME OF RECIPIENT - THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY
ACQUISITION OF AN OXYGEN ISOTOPE RATIO MASS SPECTROMETER, CALIFORNIA POLICIES RELATED TO THE EDUCATION OF CHILDREN, CASPER - THE COSMIC AXION SPIN PRECESSION EXPERIMENT, DEVELOPMENT AND RESEARCH IN EARLY MATHEMATICS EDUCATION (DREME) NETWORK PHASE II, ELECTRICITY MARKETS GAME-BASED TRAINING, FORCE SENSING AT THE QUANTUM LIMIT WITH LEVITATED MICROSPHERES: GRAVITATIONAL ATTRACTORS AND VIBRATION CONTROL FOR A SINGLE-BEAM TRAP, GRADUATE SCHOOL OF EDUCATION 2017 CUBBERLEY LECTURE,

Part XV Supplementary Information

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

SAN FRANCISCO BAY AREA TEXT MESSAGING MATH STUDY, THE DARK MATTER
RADIO: DEVELOPMENT OF A RESONANT SEARCH FOR ULTRA-LIGHT DARK MATTER

NAME OF RECIPIENT - THE ENERGY FOUNDATION
ELECTRIC VEHICLE ADVOCATES CONFERENCE, IMPROVING RESIDENTIAL AND
COMMERCIAL AIR CONDITIONING EFFICIENCY IN CHINA

NAME OF RECIPIENT - THE PENNSYLVANIA STATE UNIVERSITY
DATA ASSIMILATION AND PALEOCLIMATE PROXIES, INSTIGATING A MECHANISTIC
UNDERSTANDING OF THE DYNAMICS OF THE SEDIMENTARY RECORD (IMUDS)

NAME OF RECIPIENT - THE REGENTS OF THE UNIVERSITY OF CALIFORNIA LOS
ANGELES
GALACTIC CENTER ORBITS INITIATIVE: TRANSFORMING THE STUDY OF
SUPERMASSIVE BLACK HOLES, IMMIGRANT YOUTH OF THE SILICON VALLEY

NAME OF RECIPIENT - TIDES CENTER
ABRIENDO PUERTAS, CALIFORNIANS FOR SAFETY AND JUSTICE, JUSTICE
STRATEGIES, MEMBERSHIP DUES FOR EMERGING PRACTITIONERS IN PHILANTHROPY

NAME OF RECIPIENT - TIDES FOUNDATION
ACCOUNTABLE JUSTICE COLLABORATIVE, CALIFORNIA BAIL REFORM FUND,
CAMPAIGN FOR GRADE-LEVEL READING, CENTER FOR WORKING FAMILIES FUND,
RESTORATIVE JUSTICE FUND, SMART JUSTICE CALIFORNIA

NAME OF RECIPIENT - TRUSTEES OF PURDUE UNIVERSITY
ENGAGING PRESCHOOL CHILDREN IN MATH LANGUAGE AT HOME: THE DEVELOPMENT
AND EVALUATION OF A FAMILY-IMPLEMENTED STORYBOOK INTERVENTION

Part XV Supplementary Information

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - UNIVERSITY OF CALIFORNIA BERKELEY FOUNDATION
 BERKELEY UNDERGROUND SCHOLARS, FRESHMAN AND SOPHOMORE SEMINARS,
 HEISING-SIMONS FOUNDATION PHYSICS FELLOWSHIP, JAMES SIMONS FELLOWSHIPS
 IN MATH

NAME OF RECIPIENT - UNIVERSITY OF CHICAGO
 GETTING ON TRACK EARLY FOR SCHOOL SUCCESS: IMPROVING SCALABILITY AND
 SUSTAINING LEARNING GAINS BEYOND PRESCHOOLS, MARIA GOEPPERT-MAYER
 LECTURE SERIES, MAROON-X: A RADIAL VELOCITY SPECTROGRAPH FOR THE GEMINI
 OBSERVATORY, QUANTUM SENSORS FOR DARK MATTER AXION DETECTION,
 SPANISH-LANGUAGE ADAPTATION AND EVALUATION OF THE THIRTY MILLION WORDS
 HOME VISITING INTERVENTION, THE MATH FOR PARENTS AND CHILDREN TOGETHER
 (M-PACT) INTERVENTION

NAME OF RECIPIENT - UNIVERSITY OF MARYLAND FOUNDATION INC
 KARABELLE PIZZIGATI INITIATIVE FOR CHILD, YOUTH, AND FAMILY ADVOCACY,
 PROMOTING FAMILY MATH FLUENCY IN FAMILIES FROM HEAD START: A PLAY-BASED
 MATHEMATICS INTERVENTION

NAME OF RECIPIENT - VANDERBILT UNIVERSITY
 MIDDLE SCHOOL MATHEMATICS COMPETENCIES IN AT-RISK STUDENTS, A
 CONTINUATION OF A LONGITUDINAL INVESTIGATION FROM EARLY CHILDHOOD,
 PUTTING IT ALL TOGETHER

NAME OF RECIPIENT - YALE UNIVERSITY
 51 PEGASI B FELLOW SONGHU WANG, A TABLETOP EXPERIMENT TO DETECT
 TIME-REVERSAL VIOLATING PHYSICS IN A NUCLEUS, ENGAGING HISPANIC

Part XV | **Supplementary Information**

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

COMMUNITIES IN CLIMATE CHANGE, ENHANCED MEASUREMENT OF REACTOR
ANTINEUTRINOS WITH PROSPECT, PALC, TESTS OF LONG-RANGE FORCES WITH
LEVITATED MICROSPHERES AT THE QUANTUM LIMIT: LASER MODE CLEANING AND
STABILIZATION

Part XV Supplementary Information

3b Grants and Contributions Approved for Future Payment Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - BOARD OF REGENTS NEVADA SYSTEM OF HIGHER EDUCATION
 FORCE SENSING AT THE QUANTUM LIMIT WITH LEVITATED MICROSPHERES: SENSING
 AND INTERFEROMETRY WITH A STANDING-WAVE TRAP

NAME OF RECIPIENT - CALIFORNIA INSTITUTE OF TECHNOLOGY
 51 PEGASI B - JASON WANG, HIGH CONTRAST CORONAGRAPH MODULE FOR THE KECK
 PLANET IMAGER AND CHARACTERIZER SYSTEM, NUCLEATING A NEW GENERATION OF
 EARTH SYSTEM MODELS

NAME OF RECIPIENT - ENVIRONMENTAL DEFENSE FUND, INC.
 COLORADO CLEAN CAR STANDARDS, OCEANS, HABITAT EXCHANGE AND ESA DEFENSE,
 WESTERN WATER, CLIMATE SCIENCE, AND NATURAL GAS WASTE WATER

NAME OF RECIPIENT - MASSACHUSETTS INSTITUTE OF TECHNOLOGY
 51 PEGASI B - IAN WONG, PALC - LINDLEY WINSLOW, RISING STARS IN PHYSICS
 WORKSHOPS, STUDIES OF THE NOVEL RFQ INJECTION SYSTEM FOR ISODAR

NAME OF RECIPIENT - REGENTS OF THE UNIVERSITY OF CALIFORNIA AT BERKELEY
 51 PEGASI B - MARTA BRYAN, 51 PEGASI B - SIVAN GINZBURG, NETWORK FOR
 NEUTRINOS, NUCLEAR ASTROPHYSICS, AND SYMMETRIES

NAME OF RECIPIENT - THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR
 UNIVERSITY
 FORCE SENSING AT THE QUANTUM LIMIT WITH LEVITATED MICROSPHERES:
 GRAVITATIONAL ATTRACTORS AND VIBRATION CONTROL FOR A SINGLE-BEAM TRAP,
 PALC - RISA WECHSLER

NAME OF RECIPIENT - THE REGENTS OF THE UNIVERSITY OF CALIFORNIA LOS

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Part XV | **Supplementary Information**

3b Grants and Contributions Approved for Future Payment Continuation of Purpose of Grant or Contribution

ANGELES

GALACTIC CENTER ORBITS INITIATIVE: TRANSFORMING THE STUDY OF
SUPERMASSIVE BLACK HOLES, PALC - ANDREA GHEZ

NAME OF RECIPIENT - UNIVERSITY OF CHICAGO

51 PEGASI B - THADDEUS KOMACEK, GETTING ON TRACK EARLY FOR SCHOOL
SUCCESS: IMPROVING SCALABILITY AND SUSTAINING LEARNING GAINS BEYOND
PRESCHOOLS, PALC - YOUNG-KEE KIM, QUANTUM SENSORS FOR DARK MATTER AXION
DETECTION

NAME OF RECIPIENT - YALE UNIVERSITY

51 PEGASI B - CHRISTOPHER SPALDING, SEARCH FOR 100 EARTHS, TESTS OF
LONG-RANGE FORCES WITH LEVITATED MICROSPHERES AT THE QUANTUM LIMIT:
LASER MODE CLEANING AND STABILIZATION

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047

2017

Name of the organization

THE HEISING-SIMONS FOUNDATION

Employer identification number

26-0799587

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

501(c)() (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000; or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h; or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2017)

Name of organization THE HEISING-SIMONS FOUNDATION	Employer identification number 26-0799587
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Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ELIZABETH SIMONS DELAWARE TRUST I C/O JP MORGAN TRUST CO, 500 STANTON CHRISTIANA ROAD NEWARK, DE 19713	\$ 19,970,757.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
	_____ _____ _____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
	_____ _____ _____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
	_____ _____ _____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
	_____ _____ _____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
	_____ _____ _____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
	_____ _____ _____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization THE HEISING-SIMONS FOUNDATION	Employer identification number 26-0799587
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Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____

Name of organization THE HEISING-SIMONS FOUNDATION	Employer identification number 26-0799587
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Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this info. once.) ▶ \$ _____
Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	

Underpayment of Estimated Tax by Corporations

Department of the Treasury
Internal Revenue Service

▶ Attach to the corporation's tax return. **FORM 990-PF**

2017

▶ Go to www.irs.gov/Form2220 for instructions and the latest information.

Name THE HEISING-SIMONS FOUNDATION	Employer identification number 26-0799587
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Note: Generally, the corporation isn't required to file Form 2220 (see Part II below for exceptions) because the IRS will figure any penalty owed and bill the corporation. However, the corporation may still use Form 2220 to figure the penalty. If so, enter the amount from page 2, line 38 on the estimated tax penalty line of the corporation's income tax return, but **do not** attach Form 2220.

Part I Required Annual Payment			
1 Total tax (see instructions)		1	609,633.
2 a Personal holding company tax (Schedule PH (Form 1120), line 26) included on line 1	2a		
b Look-back interest included on line 1 under section 460(b)(2) for completed long-term contracts or section 167(g) for depreciation under the income forecast method	2b		
c Credit for federal tax paid on fuels (see instructions)	2c		
d Total. Add lines 2a through 2c		2d	
3 Subtract line 2d from line 1. If the result is less than \$500, do not complete or file this form. The corporation doesn't owe the penalty		3	609,633.
4 Enter the tax shown on the corporation's 2016 income tax return. See instructions. Caution: If the tax is zero or the tax year was for less than 12 months, skip this line and enter the amount from line 3 on line 5		4	1,073,677.
5 Required annual payment. Enter the smaller of line 3 or line 4. If the corporation is required to skip line 4, enter the amount from line 3		5	609,633.

Part II Reasons for Filing - Check the boxes below that apply. If any boxes are checked, the corporation must file Form 2220 even if it doesn't owe a penalty. See instructions.	
6 <input type="checkbox"/> The corporation is using the adjusted seasonal installment method.	
7 <input checked="" type="checkbox"/> The corporation is using the annualized income installment method.	
8 <input checked="" type="checkbox"/> The corporation is a "large corporation" figuring its first required installment based on the prior year's tax.	

Part III Figuring the Underpayment					
		(a)	(b)	(c)	(d)
9 Installment due dates. Enter in columns (a) through (d) the 15th day of the 4th (Form 990-PF filers: Use 5th month), 6th, 9th, and 12th months of the corporation's tax year	9	05/15/17	06/15/17	09/15/17	12/15/17
10 Required installments. If the box on line 6 and/or line 7 above is checked, enter the amounts from Sch A, line 38. If the box on line 8 (but not 6 or 7) is checked, see instructions for the amounts to enter. If none of these boxes are checked, enter 25% (0.25) of line 5 above in each column	10	12,826.	291,991.	152,408.	152,408.
11 Estimated tax paid or credited for each period. For column (a) only, enter the amount from line 11 on line 15. See instructions	11	295,086.		176,000.	
Complete lines 12 through 18 of one column before going to the next column.					
12 Enter amount, if any, from line 18 of the preceding column	12		282,260.		13,861.
13 Add lines 11 and 12	13		282,260.	176,000.	13,861.
14 Add amounts on lines 16 and 17 of the preceding column	14			9,731.	
15 Subtract line 14 from line 13. If zero or less, enter -0-	15	295,086.	282,260.	166,269.	13,861.
16 If the amount on line 15 is zero, subtract line 13 from line 14. Otherwise, enter -0-	16		0.	0.	
17 Underpayment. If line 15 is less than or equal to line 10, subtract line 15 from line 10. Then go to line 12 of the next column. Otherwise, go to line 18	17		9,731.		138,547.
18 Overpayment. If line 10 is less than line 15, subtract line 10 from line 15. Then go to line 12 of the next column	18	282,260.		13,861.	

Go to Part IV on page 2 to figure the penalty. Do not go to Part IV if there are no entries on line 17 - no penalty is owed.

Part IV Figuring the Penalty

	(a)	(b)	(c)	(d)
19 Enter the date of payment or the 15th day of the 4th month after the close of the tax year, whichever is earlier. (C Corporations with tax years ending June 30 and S corporations: Use 3rd month instead of 4th month. Form 990-PF and Form 990-T filers: Use 5th month instead of 4th month.) See instructions	19			
20 Number of days from due date of installment on line 9 to the date shown on line 19	20			
21 Number of days on line 20 after 4/15/2017 and before 7/1/2017	21			
22 Underpayment on line 17 x $\frac{\text{Number of days on line 21} \times 4\% (0.04)}{365}$	22	\$	\$	\$
23 Number of days on line 20 after 06/30/2017 and before 10/1/2017	23			
24 Underpayment on line 17 x $\frac{\text{Number of days on line 23} \times 4\% (0.04)}{365}$	24	\$	\$	\$
25 Number of days on line 20 after 9/30/2017 and before 1/1/2018	25			
26 Underpayment on line 17 x $\frac{\text{Number of days on line 25} \times 4\% (0.04)}{365}$	26	\$	\$	\$
27 Number of days on line 20 after 12/31/2017 and before 4/1/2018	27	SEE ATTACHED WORKSHEET		
28 Underpayment on line 17 x $\frac{\text{Number of days on line 27} \times 4\% (0.04)}{365}$	28	\$	\$	\$
29 Number of days on line 20 after 3/31/2018 and before 7/1/2018	29			
30 Underpayment on line 17 x $\frac{\text{Number of days on line 29} \times \%}{365}$	30	\$	\$	\$
31 Number of days on line 20 after 6/30/2018 and before 10/1/2018	31			
32 Underpayment on line 17 x $\frac{\text{Number of days on line 31} \times \%}{365}$	32	\$	\$	\$
33 Number of days on line 20 after 9/30/2018 and before 1/1/2019	33			
34 Underpayment on line 17 x $\frac{\text{Number of days on line 33} \times \%}{365}$	34	\$	\$	\$
35 Number of days on line 20 after 12/31/2018 and before 3/16/2019	35			
36 Underpayment on line 17 x $\frac{\text{Number of days on line 35} \times \%}{365}$	36	\$	\$	\$
37 Add lines 22, 24, 26, 28, 30, 32, 34, and 36	37	\$	\$	\$
38 Penalty. Add columns (a) through (d) of line 37. Enter the total here and on Form 1120, line 33; or the comparable line for other income tax returns	38			\$ 2,561.

* Use the penalty interest rate for each calendar quarter, which the IRS will determine during the first month in the preceding quarter. These rates are published quarterly in an IRS News Release and in a revenue ruling in the Internal Revenue Bulletin. To obtain this information on the Internet, access the IRS website at www.irs.gov. You can also call 1-800-829-4933 to get interest rate information.

Schedule A Adjusted Seasonal Installment Method and Annualized Income Installment Method

See instructions.

Form 1120S filers: For lines 1, 2, 3, and 21, below, "taxable income" refers to excess net passive income or the amount on which tax is imposed under section 1374(a), whichever applies.

Part I Adjusted Seasonal Installment Method

Caution: Use this method only if the base period percentage for any 6 consecutive months is at least 70%. See instructions.

Table with 5 columns: (a) First 3 months, (b) First 5 months, (c) First 8 months, (d) First 11 months. Rows include taxable income for various periods (1-3), calculations (4-6), and final tax amounts (19).

**

Part II Annualized Income Installment Method

		(a)	(b)	(c)	(d)
		First <u>2</u> months	First <u>3</u> months	First <u>6</u> months	First <u>9</u> months
20	Annualization periods (see instructions)				
21	Enter taxable income for each annualization period. See instructions for the treatment of extraordinary items	855,059.	40,609,434.	58,388,881.	59,604,104.
22	Annualization amounts (see instructions)	6.000000	4.000000	2.000000	1.333330
23a	Annualized taxable income. Multiply line 21 by line 22	5,130,354.	162,437,736.	116,777,762.	79,471,940.
23b	Extraordinary items (see instructions)				
23c	Add lines 23a and 23b	5,130,354.	162,437,736.	116,777,762.	79,471,940.
24	Figure the tax on the amount on line 23c using the instructions for Form 1120, Schedule J, line 2, or comparable line of corporation's return	51,304.	1,624,377.	1,167,778.	794,719.
25	Enter any alternative minimum tax for each payment period (see instructions)				
26	Enter any other taxes for each payment period. See instr.				
27	Total tax. Add lines 24 through 26	51,304.	1,624,377.	1,167,778.	794,719.
28	For each period, enter the same type of credits as allowed on Form 2220, lines 1 and 2c. See instructions				
29	Total tax after credits. Subtract line 28 from line 27. If zero or less, enter -0-	51,304.	1,624,377.	1,167,778.	794,719.
30	Applicable percentage	25%	50%	75%	100%
31	Multiply line 29 by line 30	12,826.	812,189.	875,834.	794,719.

Part III Required Installments

		1st	2nd	3rd	4th
		installment	installment	installment	installment
Note: Complete lines 32 through 38 of one column before completing the next column.					
32	If only Part I or Part II is completed, enter the amount in each column from line 19 or line 31. If both parts are completed, enter the smaller of the amounts in each column from line 19 or line 31	12,826.	812,189.	875,834.	794,719.
33	Add the amounts in all preceding columns of line 32. See instructions		12,826.	304,817.	457,225.
34	Adjusted seasonal or annualized income installments. Subtract line 33 from line 32. If zero or less, enter -0-	12,826.	799,363.	571,017.	337,494.
35	Enter 25% (0.25) of line 5 on page 1 of Form 2220 in each column. Note: "Large corporations," see the instructions for line 10 for the amounts to enter	152,408.	152,409.	152,408.	152,408.
36	Subtract line 38 of the preceding column from line 37 of the preceding column		139,582.		
37	Add lines 35 and 36	152,408.	291,991.	152,408.	152,408.
38	Required installments. Enter the smaller of line 34 or line 37 here and on page 1 of Form 2220, line 10. See instructions	12,826.	291,991.	152,408.	152,408.

Form 2220 (2017)

** ANNUALIZED INCOME INSTALLMENT METHOD USING STANDARD OPTION

FORM 990-PF
UNDERPAYMENT OF ESTIMATED TAX WORKSHEET

Name(s) THE HEISING-SIMONS FOUNDATION					Identifying Number 26-0799587
(A) *Date	(B) Amount	(C) Adjusted Balance Due	(D) Number Days Balance Due	(E) Daily Penalty Rate	(F) Penalty
		-0-			
05/15/17	12,826.	12,826.			
05/15/17	-295,086.	-282,260.			
06/15/17	291,991.	9,731.	92	.000109589	98.
09/15/17	152,408.	162,139.			
09/15/17	-176,000.	-13,861.			
12/15/17	152,408.	138,547.	106	.000109589	1,609.
03/31/18	0.	138,547.	45	.000136986	854.
10/12/18	-1,425,000.	-1,286,453.			
Penalty Due (Sum of Column F).					2,561.

* Date of estimated tax payment, withholding credit date or installment due date.

FORM 990-PF GAIN OR (LOSS) FROM SALE OF ASSETS STATEMENT 1

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
MEDALLION CAPITAL INVESTMENTS, LTD	PURCHASED	04/01/14	06/30/17	
(B) GROSS SALES PRICE	(C) VALUE AT TIME OF ACQ.	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
72,130,717.	16,205,726.	0.	0.	55,924,991.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
CHARLES SCHWAB	PURCHASED	01/01/17	12/31/17	
(B) GROSS SALES PRICE	(C) VALUE AT TIME OF ACQ.	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
75,550,000.	75,522,167.	0.	0.	27,833.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
EIGHTFOLD REAL ESTATE CAPITAL FUND V, LP	PURCHASED	01/01/17	12/31/17	
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
1.	1.	0.	0.	0.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
RENAISSANCE INSTITUTIONAL DIVERSIFIED ALPHA FUND LLC	826,156.	826,156.	0.	0.	0.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
MADRIGAL FOUNDATION FUND, LP	2,470,937.	2,470,937.	0.	0.	0.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
PRIME FINANCE CMBS B-PIECE FUND I, LP	353.	353.	0.	0.	0.

CAPITAL GAINS DIVIDENDS FROM PART IV					0.
TOTAL TO FORM 990-PF, PART I, LINE 6A					<u>55,952,824.</u>

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 2

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
INTEREST INCOME	496,048.	496,048.	
TOTAL TO PART I, LINE 3	496,048.	496,048.	

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
PASSTHROUGH INCOME		0.	2,376,959.
TOTAL TO FORM 990-PF, PART I, LINE 11		0.	2,376,959.

FORM 990-PF LEGAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	133,161.	650.		129,764.
TO FM 990-PF, PG 1, LN 16A	133,161.	650.		129,764.

FORM 990-PF ACCOUNTING FEES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	61,373.	0.		61,321.
TO FORM 990-PF, PG 1, LN 16B	61,373.	0.		61,321.

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT 6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
HONORARIA	49,000.	0.		49,000.
COMMUNICATIONS	171,795.	0.		206,232.
PROGRAM CONSULTING	570,753.	0.		561,551.
HUMAN RESOURCE	102,186.	0.		102,186.
IT CONSULTANT	83,951.	0.		83,951.
TO FORM 990-PF, PG 1, LN 16C	977,685.	0.		1,002,920.

FORM 990-PF	TAXES			STATEMENT 7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
STATE TAXES & FEES	310.	0.		310.
FEDERAL EXCISE TAXES	1,555,900.	0.		0.
TO FORM 990-PF, PG 1, LN 18	1,556,210.	0.		310.

FORM 990-PF	OTHER EXPENSES			STATEMENT 8
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INSURANCE	47,220.	0.		47,220.
OFFICE SUPPLIES & EXPENSES	65,733.	0.		64,957.
OFFICE EQUIPMENT	9,323.	0.		9,323.
SOFTWARE	198,327.	0.		196,808.
MEMBERSHIPS	13,456.	0.		13,356.
TEMPORARY PERSONNEL	4,759.	0.		7,458.
DIRECT CHARITABLE ACTIVITY EXPENDITURES	1,626,124.	0.		914,008.
PASSTHROUGH EXPENSES	0.	1,159,339.		0.
TO FORM 990-PF, PG 1, LN 23	1,964,942.	1,159,339.		1,253,130.

FORM 990-PF	OTHER ASSETS		STATEMENT 12
DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
SECURITY DEPOSIT	80,000.	105,000.	105,000.
FUNDS IN TRANSIT	76,495,953.	8,057,445.	8,057,445.
LOAN RECEIVABLE (PROGRAM RELATED INVESTMENT)	2,495,668.	2,876,917.	2,876,917.
TOTAL TO FORM 990-PF, PART II, LINE 15	79,071,621.	11,039,362.	11,039,362.

FORM 990-PF	OTHER LIABILITIES		STATEMENT 13
DESCRIPTION	BOY AMOUNT	EOY AMOUNT	
DEFERRED TAX LIABILITY	2,496,000.	3,577,000.	
TOTAL TO FORM 990-PF, PART II, LINE 22	2,496,000.	3,577,000.	

FORM 990-PF	EXPLANATION CONCERNING PART VII-A, LINE 12 QUALIFYING DISTRIBUTION STATEMENT	STATEMENT 14
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EXPLANATION

THE FOUNDATION MADE A DISTRIBUTION TO A DONOR ADVISED FUND IN WHICH THE FOUNDATION PRESIDENT HAS ADVISORY PRIVILEGES. THE DISTRIBUTION WAS TREATED AS QUALIFYING DISTRIBUTION ON THE FOUNDATION'S FORM 990-PF.

FORM 990-PF SCHEDULE OF CONTROLLED ENTITIES STATEMENT 15
 PART VII-A, LINE 11

NAME OF CONTROLLED ENTITY EMPLOYER ID NO

HSAF 47-5223147

ADDRESS EXCESS BUSINESS HOLDING [] YES [X] NO

P.O. BOX 2029
 LOS ALTOS, CA 94023

NAME OF CONTROLLED ENTITY EMPLOYER ID NO

MEDLEY HS F LLC 26-0799587

ADDRESS EXCESS BUSINESS HOLDING [] YES [X] NO

50 CALIFORNIA STREET, SUITE 3350
 SAN FRANCISCO, CA 94111

FORM 990-PF EXPLANATION CONCERNING PART VII-A, LINE 12 STATEMENT 16
 SECTION 170(C)(2)(B) STATEMENT

EXPLANATION

THE DISTRIBUTION MADE TO THE DONOR ADVISED FUND WILL BE USED TO SUPPORT
 501(C)(3) PUBLIC CHARITIES TO FULFILL PURPOSES PURSUANT TO SECTION
 170(C)(2)(B).

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS STATEMENT 17
 TRUSTEES AND FOUNDATION MANAGERS

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
DEANNA GOMBY 400 MAIN STREET, SUITE 200 LOS ALTOS, CA 94022	PRESIDENT AND CEO 37.50	498,932.	91,005.	0.
JEFFREY P. MALLOY 400 MAIN STREET, SUITE 200 LOS ALTOS, CA 94022	COO AND TREASURER 37.50	356,770.	88,181.	0.
BRIAN EULE 400 MAIN STREET, SUITE 200 LOS ALTOS, CA 94022	DIRECTOR OF COMMUNICATIONS & SECRETARY 37.50	235,305.	50,844.	0.
ELIZABETH D SIMONS 400 MAIN STREET, SUITE 200 LOS ALTOS, CA 94022	CHAIR OF THE BOARD 20.00	0.	0.	0.
MARK W HEISING 400 MAIN STREET, SUITE 200 LOS ALTOS, CA 94022	MEMBER OF THE BOARD 2.00	0.	0.	0.
CAITLIN HEISING 400 MAIN STREET, SUITE 200 LOS ALTOS, CA 94022	VICE CHAIR OF THE BOARD 2.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		1,091,007.	230,030.	0.

FORM 990-PF

EXPENDITURE RESPONSIBILITY STATEMENT
PART VII-B, LINE 5C

STATEMENT 18

GRANTEE'S NAME

JAMES BELL ASSOCIATES

GRANTEE'S ADDRESS3033 WILSON BLVD., SUITE 650
ARLINGTON, VA 22201GRANT AMOUNT

99,999.

DATE OF GRANT

10/06/14

AMOUNT EXPENDED

99,999.

PURPOSE OF GRANTTO FACILITATE A LEARNING COMMUNITY AMONG FAMILY ENGAGEMENT IMPACT PROJECT
GRANTEES, WITH A FOCUS ON ORGANIZATIONAL CAPACITY, SYSTEMS INTEGRATION, AND
SUSTAINABILITYDATES OF REPORTS BY GRANTEE

5/27/15, 9/11/15, 3/31/16, 7/28/17

ANY DIVERSION BY GRANTEE

NONE

RESULTS OF VERIFICATION

ALL EXPENDITURES WERE MADE FOR PROPER PURPOSES.

GRANTEE'S NAME

MATHEMATICA POLICY RESEARCH, INC.

GRANTEE'S ADDRESS

P.O. BOX 2393
PRINCETON, NJ 08543

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
297,959.	02/05/15	297,959.

PURPOSE OF GRANT

TO CONDUCT A CROSS-GRANTEE PROCESS EVALUATION AND TO ASSESS THE FEASIBILITY OF LAUNCHING AN OUTCOME-FOCUSED EVALUATION OF FOUNDATION-SPONSORED PROJECTS THAT INFUSE AN EARLY MATH FOCUS INTO EXISTING FAMILY ENGAGEMENT PROGRAMS

DATES OF REPORTS BY GRANTEE

8/3/15, 1/25/26,2/1/17

ANY DIVERSION BY GRANTEE

NONE

RESULTS OF VERIFICATION

ALL EXPENDITURES WERE MADE FOR PROPER PURPOSES.

GRANTEE'S NAME

NATIONAL JOURNAL GROUP, INC.

GRANTEE'S ADDRESS

600 NEW HAMPSHIRE AVE, NW
WASHINGTON, DC 20037

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
220,000.	08/28/15	71,808.

PURPOSE OF GRANT

TO NATIONAL JOURNAL GROUP FOR TWO EARLY EDUCATION CONVENINGS AND TWO MONTHS OF EARLY EDUCATION EDITORIAL COVERAGE AS PART OF THE NEXT AMERICA DEMOGRAPHIC REPORTING SERIES

DATES OF REPORTS BY GRANTEE

2/29/16, 12/22/17

ANY DIVERSION BY GRANTEE

NONE

RESULTS OF VERIFICATION

ALL EXPENDITURES WERE MADE FOR PROPER PURPOSES.

GRANTEE'S NAME

JAMES BELL ASSOCIATES

GRANTEE'S ADDRESS

3033 WILSON BLVD., SUITE 650
ARLINGTON, VA 22201

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
1,982,633.	11/20/15	669,904.

PURPOSE OF GRANT

TO COLLECT, ANALYZE, AND DISSEMINATE INFORMATION AND RESEARCH FINDINGS ABOUT HOME VISITING PROGRAMS TO IMPROVE POLICY AND PRACTICE

DATES OF REPORTS BY GRANTEE

4/13/16, 10/31/16, 5/1/17

ANY DIVERSION BY GRANTEE

NONE

RESULTS OF VERIFICATION

ALL EXPENDITURES WERE MADE FOR PROPER PURPOSES.

GRANTEE'S NAME

JOHNSON GROUP CONSULTING, INC.

GRANTEE'S ADDRESS

175 RED PINE ROAD
HINESBURG, VT 05461

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
10,318.	04/25/16	10,312.

PURPOSE OF GRANT

TO PARTIALLY SUPPORT THE MEDICAID AND HOME VISITING LEARNING NETWORK OF 11 STATES AND TO CONDUCT AN ANALYSIS OF CURRENT STATE POLICY

DATES OF REPORTS BY GRANTEE

1/2/2017

ANY DIVERSION BY GRANTEE

NONE

RESULTS OF VERIFICATION

ALL EXPENDITURES WERE MADE FOR PROPER PURPOSES.

GRANTEE'S NAME

THE TEACHING DOCTORS

GRANTEE'S ADDRESS

22 PEARCE MITCHEL PL
STANFORD, CA 94305

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
157,180.	06/27/16	49,437.

PURPOSE OF GRANT

TO IMPLEMENT MATH SHELF, A TABLET-BASED PRESCHOOL NUMERACY PROGRAM, AND TO PILOT TEST METHODS FOR SUPPORTING TEACHERS TO IMPLEMENT HANDS-ON LEARNING EXPERIENCES THAT ARE LINKED TO CHILDREN'S TABLET-BASED EXPERIENCES

DATES OF REPORTS BY GRANTEE

11/30/16, 4/20/17

ANY DIVERSION BY GRANTEE

FUNDS TRANSFERRED TO A TAX EXEMPT PUBLIC CHARITY. SEE STATEMENT.

RESULTS OF VERIFICATION

ALL EXPENDITURES WERE MADE FOR PROPER PURPOSES.

GRANTEE'S NAME

CLIMATE POLICY INITIATIVE, INC.

GRANTEE'S ADDRESS

235 MONTGOMERY STREET, 13TH FLOOR
SAN FRANCISCO, CA 94104

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
145,000.	12/01/16	145,000.

PURPOSE OF GRANT

TO CREATE A CASE STUDY OF HOW TO USE FINANCIAL STRATEGIES TO MOTIVATE A UTILITY AND ITS REGULATOR TO ACCELERATE THE REPLACEMENT OF AGING FOSSIL GENERATION WITH CLEAN ENERGY

DATES OF REPORTS BY GRANTEE

4/3/17, 10/2/17

ANY DIVERSION BY GRANTEE

NONE

RESULTS OF VERIFICATION

ALL EXPENDITURES WERE MADE FOR PROPER PURPOSES.

GRANTEE'S NAME

NELSON MULLINS RILEY & SCARBOROUGH LLP

GRANTEE'S ADDRESS

740 15TH STREET, NW, SUITE 900
WASHINGTON, DC 20005

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
325,000.	01/30/17	325,000.

PURPOSE OF GRANT

FOR EDUCATIONCOUNSEL TO LEVERAGE THE OPPORTUNITIES WITHIN THE NEW EVERY STUDENT SUCCEEDS ACT (ESSA) TO BUILD BIRTH-TO-THIRD GRADE SYSTEMS AT THE STATE AND DISTRICT LEVEL

DATES OF REPORTS BY GRANTEE

8/4/2017

ANY DIVERSION BY GRANTEE

NONE

RESULTS OF VERIFICATION

ALL EXPENDITURES WERE MADE FOR PROPER PURPOSES.

GRANTEE'S NAME

JOHNSON GROUP CONSULTING, INC.

GRANTEE'S ADDRESS

175 RED PINE ROAD
HINESBURG, VT 05461

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
75,000.	05/05/17	25,001.

PURPOSE OF GRANT

TO DEVELOP THREE CASE STUDIES OF STATE APPROACHES TO FINANCING HOME VISITING SYSTEMS, OFFER STATE-SPECIFIC TECHNICAL ASSISTANCE ON MEDICAID FINANCING FOR HOME VISITING, AND DISSEMINATE INFORMATION BASED ON THE CASE STUDIES AND STATE TECHNICAL ASSISTANCE

DATES OF REPORTS BY GRANTEE

N/A

ANY DIVERSION BY GRANTEE

NONE

RESULTS OF VERIFICATION

ALL EXPENDITURES WILL BE MADE FOR PROPER PURPOSES.

GRANTEE'S NAME

ASSOCIATION OF STATE AND TRIBAL HOME VISITING INITIATIVES

GRANTEE'S ADDRESS

639 MASSACHUSETTS AVE NE
WASHINGTON, DC 20002

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
96,896.	06/19/17	17,350.

PURPOSE OF GRANT

TO SUPPORT THE INSTITUTE FOR ADVANCEMENT OF FAMILY SUPPORT PROFESSIONALS IN CREATING THE CAREER COMPASS EXPERT PANEL

DATES OF REPORTS BY GRANTEE

12/8/17

ANY DIVERSION BY GRANTEE

NONE

RESULTS OF VERIFICATION

ALL EXPENDITURES WERE MADE FOR PROPER PURPOSES.

GRANTEE'S NAME

EDUCATION FIRST CONSULTING LLC

GRANTEE'S ADDRESS

P.O. BOX 22871
SEATTLE, WA 98122

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
50,000.	08/17/17	50,000.

PURPOSE OF GRANT

TO CONDUCT A FAMILY MATH FLUENCY LANDSCAPE SCAN AND TO DESIGN AND FACILITATE A FAMILY MATH FLUENCY CONVENING

DATES OF REPORTS BY GRANTEE

12/4/17

ANY DIVERSION BY GRANTEE

NONE

RESULTS OF VERIFICATION

ALL EXPENDITURES WERE MADE FOR PROPER PURPOSES.

FORM 990-PF SUMMARY OF DIRECT CHARITABLE ACTIVITIES STATEMENT 19

ACTIVITY ONE

MEETING FOR HOME VISITING FIELD COORDINATION AND LEADERSHIP WITH 10-12 HOME VISITING LEADERS, REPRESENTING FEDERAL ADVOCATES, STATE ADMINISTRATORS, PHILANTHROPY, NATIONAL HOME VISITING MODELS, RESEARCHERS, AND TECHNICAL ASSISTANCE PROVIDERS. THE FOUNDATION HAD ONE THREE-DAY EVENT WITH 35 PARTICIPANTS.

EXPENSES

TO FORM 990-PF, PART IX-A, LINE 1

88,299.

FORM 990-PF SUMMARY OF DIRECT CHARITABLE ACTIVITIES STATEMENT 20

ACTIVITY TWO

TO COMPLETE THE DRAFTING AND EDITING OF THE ROADMAP, SUPPORT THE CREATION OF A SUITE OF PRODUCTS THAT WILL MAKE THE ROADMAP USEABLE TO ADVOCATES, AND TO CREATE A HOME FOR THE ROADMAP TO BE REFERENCED BY OTHER TYPES OF STAKEHOLDERS

EXPENSES

TO FORM 990-PF, PART IX-A, LINE 2

152,332.

FORM 990-PF SUMMARY OF DIRECT CHARITABLE ACTIVITIES STATEMENT 21

ACTIVITY THREE

SPITFIRE STRATEGIES EXECUTIVE TRAINING PROGRAM DESIGNED TO GIVE A COHORT OF NONPROFIT EXECUTIVE DIRECTORS THE OPPORTUNITY TO HONE THEIR COMMUNICATIONS SKILLS AND STRATEGIES TO EFFECTIVELY SHARE THEIR VISION, INSPIRE ACTION, AND PROPEL THEIR WORK FORWARD - THUS BETTERING THEIR FIELDS WITH THEIR INCREASED EFFECTIVENESS. THERE WERE TWO WORKSHOPS WITH 6 PARTICIPANTS.

EXPENSES

TO FORM 990-PF, PART IX-A, LINE 3

154,200.

FORM 990-PF SUMMARY OF DIRECT CHARITABLE ACTIVITIES STATEMENT 22

ACTIVITY FOUR

COLLECT, ORGANIZE, AND SYNTHESIZE RELEVANT EARLY CHILDHOOD EDUCATION EVIDENCE AND GRANTS DATA TO INFORM STRATEGY DEVELOPMENT AND LOCATE THE FOUNDATION'S FUNDING WITHIN THE ECOLOGY OF PHILANTHROPIC EARLY CHILDHOOD EDUCATION SPENDING. THERE WAS ONE LEARNING SESSION DURING THE YEAR.

EXPENSES

TO FORM 990-PF, PART IX-A, LINE 4

170,140.

FORM 990-PF SUMMARY OF PROGRAM-RELATED INVESTMENTS STATEMENT 23

DESCRIPTION

EQUITY PRI - A PROGRAM-RELATED INVESTMENT TO PROVIDE PARENTS OF YOUNG CHILDREN WITH EASY-TO-USE TOOLS, INCLUDING A TEXT-BASED INTERVENTION, TO INFORM PARENTING ACTIVITIES AND APPROACHES, WHILE RAPIDLY SCALING AND IMPROVING THESE TOOLS TO REACH MORE PARENTS AND YOUNG CHILDREN

AMOUNT

TO FORM 990-PF, PART IX-B, LINE 1

299,999.

FORM 990-PF

ELECTION UNDER REGULATIONS SECTION
53.4942(A)-3(D)(2) TO TREAT
EXCESS QUALIFYING DISTRIBUTIONS
AS DISTRIBUTIONS OUT OF CORPUS

STATEMENT 24

PURSUANT TO IRC SECTION 4942(H)(2) AND REGULATION 53.4942(A)-3(D)(2),
THE FOUNDATION HEREBY ELECTS TO TREAT CURRENT YEAR QUALIFYING
DISTRIBUTIONS IN EXCESS OF THE IMMEDIATELY PRECEDING TAX YEAR'S
UNDISTRIBUTED INCOME AS BEING MADE OUT OF CORPUS.

GENERAL EXPLANATION

STATEMENT 25

FORM/LINE IDENTIFIER AND DESCRIPTION/RETURN REFERENCE

FORM 990-PF, PART VII-B, LINE 5C - EXPENDITURE RESPONSIBILITY STATEMENT

EXPLANATION:

GRANTEE'S NAME:
THE TEACHING DOCTORS

ANY DIVERSION BY GRANTEE:
FUNDS TRANSFERRED TO A TAX EXEMPT PUBLIC CHARITY CREATED TO FULFILL THE SAME PURPOSE AS THE GRANT. PER FOUNDATION REQUEST, THE GRANTEE ACKNOWLEDGED THIS AS BREACH OF AGREEMENT, AGREED TO TERMINATE THE GRANT, TRANSFERRED THE REMAINING BALANCE TO THE PUBLIC CHARITY, AND AGREED NOT TO TRANSFER FOUNDATION FUNDS WITHOUT PERMISSION AGAIN.