### FORM 990-PF

#### Return of Private Foundation

**Name of Foundation**

**THE HEISING-SIMONS FOUNDATION**

- **Number and street (or P.O. box number if mail is not delivered to street address)**
  
  400 MAIN STREET

- **City or town, state or province, country, and ZIP or foreign postal code**
  
  LOS ALTOS, CA 94022

**Employer identification number**

**State, city, and ZIP**

**Phone number**

**Initial return of a former public charity**

**Amended return**

**Address change**

**Foreign organizations meeting the 85% test, check here and attach computation**

**Foreign organizations, check here**

**If private foundation status was terminated under section 507(b)(1)(A), check here**

**If the foundation is in a 60-month termination under section 507(b)(1)(B), check here**

**If exemption application is pending, check here and attach computation**

**Check here and attach computation**

**Check this box if the foundation is not required to attach Sch. B**

- **Initial return**
- **Initial return of a former public charity**
- **Amended return**
- **Name change**
- **Address change**

**Fair market value of all assets at end of year**

- **STMT 1**
- **STMT 2**
- **STMT 3**
- **STMT 4**
- **STMT 5**
- **STMT 6**
- **STMT 7**
- **STMT 8**
- **STMT 9**

**Contributions, gifts, grants, etc., received**

**Net investment income**

**Adjusted net income**

**Disbursements for charitable purposes (cash basis only)**

**Operating and Administrative Expenses**

**Compensation of officers, directors, trustees, etc.**

**Other employee salaries and wages**

**Pension plans, employee benefits**

**Legal fees**

**Accounting fees**

**Other professional fees**

**Taxes**

**Depreciation and depletion**

**Occupancy**

**Travel, conferences, and meetings**

**Printing and publications**

**Other expenses**

**Total operating and administrative expenses. Add lines 13 through 23**

**Contributions, gifts, grants paid**

**Total expenses and disbursements. Add lines 24 and 25**

**Subtract line 26 from line 12:**

- **a) Excess of revenue over expenses and disbursements**
- **b) Net investment income (if negative, enter -0-)**
- **c) Adjusted net income (if negative, enter -0-)**

**Net short-term capital gain**

**Capital gain net income**

**Gross sales price for all assets on line 6a**

**Net gain or (loss) from sale of assets not on line 10**

**Gross sales less returns and allowances**

**Less: Cost of goods sold**

**Other expenses**

**Total expenses and disbursements.**

**Add lines 13 through 23**

**Other expenses**

**Add lines 24 and 25**

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**Open to Public Inspection**

**Go to www.irs.gov/Form990PF for instructions and the latest information.**

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**For Paperwork Reduction Act Notice, see instructions.**

**OMB No. 1545-0052**

**Department of the Treasury**

**Internal Revenue Service**

**Return of Private Foundation or Section 4947(a)(1) Trust Treated as Private Foundation**

**Do not enter social security numbers on this form as it may be made public.**

**Go to www.irs.gov/Form990PF for instructions and the latest information.**

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**Form 990-PF (2018)**

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**15401111 758661 37860 2018.05000 THE HEISING-SIMONS FOUNDATION 37860_1**
### Part II Balance Sheets

<table>
<thead>
<tr>
<th>Description</th>
<th>Beginning of year (a) Book Value</th>
<th>End of year (b) Book Value</th>
<th>(c) Fair Market Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 Cash - non-interest-bearing</td>
<td>1,578,250</td>
<td>2,986,576</td>
<td>2,986,576</td>
</tr>
<tr>
<td>2 Savings and temporary cash investments</td>
<td>13,933,525</td>
<td>110,674,874</td>
<td>110,674,874</td>
</tr>
<tr>
<td>3 Accounts receivable</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Less: allowance for doubtful accounts</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4 Pledges receivable</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Less: allowance for doubtful accounts</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>5 Receivables due from officers, directors, trustees, and other disqualified persons</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>6 Other notes and loans receivable</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Less: allowance for doubtful accounts</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>7 Inventories for sale or use</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>8 Prepaid expenses and deferred charges</td>
<td>399,610.</td>
<td>886,816.</td>
<td>886,816.</td>
</tr>
<tr>
<td>9 Investments - U.S. and state government obligations</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>10a Investments - corporate stock</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>10b Investments - other</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>10c Investments - corporate bonds</td>
<td>STMT 10</td>
<td></td>
<td></td>
</tr>
<tr>
<td>11 Investments - land, buildings, and equipment basis</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Less: accumulated depreciation</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>12 Investments - mortgage loans</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>13 Investments - other</td>
<td>STMT 11</td>
<td></td>
<td></td>
</tr>
<tr>
<td>14 Land, buildings, and equipment: basis</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Less: accumulated depreciation</td>
<td>STMT 12</td>
<td></td>
<td></td>
</tr>
<tr>
<td>15 Other assets (describe)</td>
<td>STMT 13</td>
<td></td>
<td></td>
</tr>
<tr>
<td>16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)</td>
<td>505,089,441.</td>
<td>554,158,328.</td>
<td>554,158,328.</td>
</tr>
<tr>
<td>17 Accounts payable and accrued expenses</td>
<td>1,163,984.</td>
<td>2,208,815.</td>
<td></td>
</tr>
<tr>
<td>18 Deferred revenue</td>
<td>41,358,717.</td>
<td>48,170,420.</td>
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<tr>
<td>19 Loans from officers, directors, trustees, and other disqualified persons</td>
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<td></td>
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</tr>
<tr>
<td>20 Mortgages and other notes payable</td>
<td>4,296,935.</td>
<td>5,124,857.</td>
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</tr>
<tr>
<td>21 Other liabilities (describe)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>22 Other liabilities (describe)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>23 Total liabilities (add lines 17 through 22)</td>
<td>46,819,636.</td>
<td>55,504,092.</td>
<td></td>
</tr>
<tr>
<td>24 Foundations that follow SFAS 117, check here and complete lines 24 through 26, and lines 30 and 31.</td>
<td>458,269,805.</td>
<td>498,654,236.</td>
<td></td>
</tr>
<tr>
<td>25 Unrestricted</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>26 Temporarily restricted</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>27 Permanently restricted</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>28 Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>29 Capital stock, trust principal, or current funds</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>30 Total net assets or fund balances</td>
<td>458,269,805.</td>
<td>498,654,236.</td>
<td></td>
</tr>
<tr>
<td>31 Total liabilities and net assets/fund balances</td>
<td>505,089,441.</td>
<td>554,158,328.</td>
<td></td>
</tr>
</tbody>
</table>

### Part III Analysis of Changes in Net Assets or Fund Balances

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30</td>
<td>458,269,805.</td>
</tr>
<tr>
<td>(must agree with end-of-year figure reported on prior year’s return)</td>
<td>66,030,599.</td>
</tr>
<tr>
<td>2 Enter amount from Part I, line 27a</td>
<td>1,103,532.</td>
</tr>
<tr>
<td>3 Other increases not included in line 2 (itemize) ➔ GRANTS RESCIND/FUNDS RETURNED</td>
<td>525,403,936.</td>
</tr>
<tr>
<td>4 Add lines 1, 2, and 3</td>
<td>26,749,700.</td>
</tr>
<tr>
<td>5 Decreases not included in line 2 (itemize) ➔ UNREALIZED LOSSES ON INVESTMENTS</td>
<td>498,654,236.</td>
</tr>
<tr>
<td>6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30</td>
<td>498,654,236.</td>
</tr>
</tbody>
</table>

Form 990-PF (2018)
**Form 990-PF (2018)**

**THE HEISING-SIMONS FOUNDATION**

<table>
<thead>
<tr>
<th>Part IV</th>
<th>Capital Gains and Losses for Tax on Investment Income</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>(a)</strong> List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)</td>
<td><strong>(b)</strong> How acquired (P - Purchase; D - Donation)</td>
</tr>
<tr>
<td><strong>(c)</strong> Date acquired (mo., day, yr.)</td>
<td><strong>(d)</strong> Date sold (mo., day, yr.)</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>(e) Gross sales price</th>
<th>(f) Depreciation allowed (or allowable)</th>
<th>(g) Cost or other basis plus expense of sale</th>
<th>(h) Gain or (loss) ((e) plus (f) minus (g))</th>
</tr>
</thead>
<tbody>
<tr>
<td>a</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>b</td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>c</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>d</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>e 272,486,659.</td>
<td>124,764,374.</td>
<td>147,722,285.</td>
<td></td>
</tr>
</tbody>
</table>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

<table>
<thead>
<tr>
<th>(i) FMV as of 12/31/69</th>
<th>(j) Adjusted basis as of 12/31/69</th>
<th>(k) Excess of col. (i) over col. (j), if any</th>
<th>(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))</th>
</tr>
</thead>
<tbody>
<tr>
<td>a</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>b</td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>c</td>
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<td></td>
<td></td>
</tr>
<tr>
<td>d</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>e 147,722,285.</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

2 Capital gain net income or (net capital loss)  
If gain, also enter in Part I, line 7  
If (loss), enter -0- in Part I, line 7  

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  
If gain, also enter in Part I, line 8, column (c).  
If (loss), enter -0- in Part I, line 8  

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  
No

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

<table>
<thead>
<tr>
<th>Base period years</th>
<th>(a) Adjusted qualifying distributions</th>
<th>(b) Net value of noncharitable-use assets</th>
<th>(c) Distribution ratio (col. (b) divided by col. (c))</th>
</tr>
</thead>
<tbody>
<tr>
<td>2017</td>
<td>72,663,858.</td>
<td>517,429,874.</td>
<td>.140432</td>
</tr>
<tr>
<td>2016</td>
<td>58,493,327.</td>
<td>458,412,014.</td>
<td>.127600</td>
</tr>
<tr>
<td>2015</td>
<td>46,144,878.</td>
<td>390,439,779.</td>
<td>.118187</td>
</tr>
<tr>
<td>2014</td>
<td>32,092,248.</td>
<td>298,971,466.</td>
<td>.107342</td>
</tr>
<tr>
<td>2013</td>
<td>27,931,556.</td>
<td>250,179,042.</td>
<td>.111646</td>
</tr>
</tbody>
</table>

2 Total of line 1, column (d)  

3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years  

4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5  

5 Multiply line 4 by line 3  

6 Enter 1% of net investment income (1% of Part I, line 27b)  

7 Add lines 5 and 6  

8 Enter qualifying distributions from Part XII, line 4  

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.
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Part VI  Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ and enter "N/A" on line 1.
   Date of ruling or determination letter: ____________________________ (attach copy of letter if necessary-see instructions)
   Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b.
   All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b).
   Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)
   Add lines 1 and 2
   Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)
   Tax based on investment income. Subtract line 3 from line 3. If zero or less, enter -0-
   Credits/Payments:
   a 2018 estimated tax payments and 2017 overpayment credited to 2018
   b Exempt foreign organizations - tax withheld at source
   c Tax paid with application for extension of time to file (Form 8868)
   d Backup withholding erroneously withheld

2 Total credits and payments. Add lines 6a through 6d
3 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed
4 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid
5 Enter the amount of line 10 to be: Credited to 2019 estimated tax ▶ 424,674. Refunded ▶ 0.

Part VII-A  Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?
   Did it spend more than $100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition
   of each state as required by General Instruction G?
   Did the foundation file Form 1120-POL for this year?
   Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:
   (1) On the foundation. ▶ $ 0.
   (2) On foundation managers. ▶ $ 0.
   Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.
   Has the foundation engaged in any activities that have not previously been reported to the IRS?
   Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes
   Did the foundation have unrelated business gross income of $1,000 or more during the year?
   If "Yes," has it filed a tax return on Form 990-T for this year?
   Was there a liquidation, termination, dissolution, or substantial contraction during the year?
   Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:
   By language in the governing instrument, or
   By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?
   Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV
   Enter the states to which the foundation reports or with which it is registered. See instructions. ▶ CA
   If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate)
   of each state as required by General Instruction G? If "No," attach explanation
   Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar
   year 2018 or the tax year beginning in 2018? See the instructions for Part XIV. If "Yes," complete Part XIV
   Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses
Part VII-B | Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year, did the foundation (either directly or indirectly):
   (1) Engage in the sale or exchange, or leasing of property with a disqualified person? □ Yes □ No
   (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? □ Yes □ No
   (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? □ Yes □ No
   (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? □ Yes □ No
   (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? □ Yes □ No
   (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) □ Yes □ No

b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance, check here □

c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018? □ Yes □ No

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation before the first day of the tax year beginning in 2018):
   a At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? □ Yes □ No
   b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions.) N/A
   c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. □

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? □ Yes □ No
   b If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018.) N/A

4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? □ Yes □ No
   b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018? □ Yes □ No

Part VII-A | Statements Regarding Activities (continued)
**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to:

1. Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? [ ] Yes [ ] No
2. Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? [ ] Yes [ ] No
3. Provide a grant to an individual for travel, study, or other similar purposes? [ ] Yes [ ] No
4. Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions [ ] Yes [ ] No
5. Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? [ ] Yes [ ] No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance, check here. [ ] Yes [ ] No

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? [ ] Yes [ ] No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? [ ] Yes [ ] No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? [ ] Yes [ ] No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? [ ] Yes [ ] No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? [ ] Yes [ ] No

8 Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment(s) during the year? [ ] Yes [ ] No

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

<table>
<thead>
<tr>
<th>(a) Name and address</th>
<th>(b) Title, and average hours per week devoted to position</th>
<th>(c) Compensation (If not paid, enter &quot;-0-&quot;)</th>
<th>(d) Contributions to employee benefit plans and deferred compensation</th>
<th>(e) Expense account, other allowances</th>
</tr>
</thead>
<tbody>
<tr>
<td>SEE STATEMENT 17</td>
<td>1,165,152 239,780.</td>
<td>0.</td>
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</tr>
</tbody>
</table>

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

<table>
<thead>
<tr>
<th>(a) Name and address of each employee paid more than $50,000</th>
<th>(b) Title, and average hours per week devoted to position</th>
<th>(c) Compensation</th>
<th>(d) Contributions to employee benefit plans and deferred compensation</th>
<th>(e) Expense account, other allowances</th>
</tr>
</thead>
<tbody>
<tr>
<td>CYNTHIA ATHERTON - 400 MAIN STREET, SUITE 200, LOS ALTOS, CA 94022</td>
<td>PROGRAM DIRECTOR 37.50</td>
<td>348,823. 93,498.</td>
<td>0.</td>
<td></td>
</tr>
<tr>
<td>BARBARA CHOW - 400 MAIN STREET, SUITE 200, LOS ALTOS, CA 94022</td>
<td>PROGRAM DIRECTOR 37.50</td>
<td>314,863. 90,281.</td>
<td>0.</td>
<td></td>
</tr>
<tr>
<td>JUDY BLUMENSTEIN - 400 MAIN STREET, SUITE 200, LOS ALTOS, CA 94022</td>
<td>DIRECTOR OF FINANCE 37.50</td>
<td>215,498. 86,856.</td>
<td>0.</td>
<td></td>
</tr>
<tr>
<td>JOCHEN MARSHALL - 400 MAIN STREET, SUITE 200, LOS ALTOS, CA 94022</td>
<td>PROGRAM OFFICER 37.50</td>
<td>187,594. 73,433.</td>
<td>0.</td>
<td></td>
</tr>
<tr>
<td>DEVRA WANG - 400 MAIN STREET, SUITE 200, LOS ALTOS, CA 94022</td>
<td>PROGRAM DIRECTOR 30.00</td>
<td>173,987. 54,805.</td>
<td>0.</td>
<td></td>
</tr>
</tbody>
</table>

Total number of other employees paid over $50,000: 25

Form 990-PF (2018)
**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

<table>
<thead>
<tr>
<th>(a) Name and address of each person paid more than $50,000</th>
<th>(b) Type of service</th>
<th>(c) Compensation</th>
</tr>
</thead>
<tbody>
<tr>
<td>THE BRIDGESPAN GROUP</td>
<td>STRATEGIC PLANNING</td>
<td>235,000.</td>
</tr>
<tr>
<td>2 COPLEY PLAZA, STE 3700B, BOSTON, MA 02116</td>
<td></td>
<td></td>
</tr>
<tr>
<td>VIVA STRATEGY + COMMUNICATIONS</td>
<td>STRATEGIC PLANNING</td>
<td>145,000.</td>
</tr>
<tr>
<td>155 BOVET RD., STE. 750, SAN MATEO, CA 94402</td>
<td></td>
<td></td>
</tr>
<tr>
<td>PUBLIC EQUITY GROUP</td>
<td>LANDSCAPE ANALYSIS</td>
<td>100,000.</td>
</tr>
<tr>
<td>400 JAY ST., STE. 103, BROOKLYN, NY 11201</td>
<td></td>
<td></td>
</tr>
<tr>
<td>ISAACSON MILLER, INC.</td>
<td>EXECUTIVE SEARCH</td>
<td>93,600.</td>
</tr>
<tr>
<td>263 SUMMER ST., BOSTON, MA 02210</td>
<td></td>
<td></td>
</tr>
<tr>
<td>WILLIAMS GROUP - 70 IONIA AVE., SW, STE. 200,</td>
<td>COMMUNICATIONS</td>
<td>92,650.</td>
</tr>
<tr>
<td>GRAND RAPIDS, MI 49503</td>
<td>ASSISTANCE</td>
<td></td>
</tr>
</tbody>
</table>

Total number of others receiving over $50,000 for professional services ▶ 3

**Part IX-A Summary of Direct Charitable Activities**

List the foundation’s four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

<table>
<thead>
<tr>
<th>Date</th>
<th>Description</th>
<th>Expenses</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>TO DEVELOP AN IMPLEMENTATION PLAN FOR A NONPROFIT LEADER</td>
<td>357,780.</td>
</tr>
<tr>
<td>2</td>
<td>SABBATICAL PROGRAM IN SILICON VALLEY</td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>TO CAPTURE THE EXPERIENCES OF FAMILIES NAVIGATING PUBLIC SYSTEMS AND EXAMINE</td>
<td>290,700.</td>
</tr>
<tr>
<td></td>
<td>JOINT-ELIGIBILITY SYSTEMS AS A STRATEGY FOR IMPROVING FAMILY EXPERIENCE AND</td>
<td></td>
</tr>
<tr>
<td></td>
<td>SERVICE UTILIZATION</td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>TO FACILITATE AND HELP SUSTAIN ACTIVITIES OF THE DATA FUNDER COLLABORATIVE</td>
<td>239,250.</td>
</tr>
<tr>
<td>5</td>
<td>FOR THE NATIONAL HOME VISITING NETWORK STEERING COMMITTEE'S PLAN TO STRENGTHEN AND COORDINATE THE FIELD OF HOME VISITING</td>
<td>168,054.</td>
</tr>
</tbody>
</table>

**Part IX-B Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

<table>
<thead>
<tr>
<th>Date</th>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>N/A</td>
<td>0.</td>
</tr>
<tr>
<td>2</td>
<td></td>
<td>0.</td>
</tr>
</tbody>
</table>

All other program-related investments. See instructions.

<table>
<thead>
<tr>
<th>Date</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>3</td>
<td></td>
</tr>
<tr>
<td>4</td>
<td></td>
</tr>
</tbody>
</table>

Total. Add lines 1 through 3 ▶ 0.
**Part X** Minimum Investment Return

(All domestic foundations must complete this part. Foreign foundations, see instructions.)

1. Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:
   a. Average monthly fair market value of securities
   b. Average of monthly cash balances
   c. Fair market value of all other assets
   **d. Total** (add lines 1a, b, and c)
   e. Reduction claimed for blockage or other factors reported on lines 1a and 1b (attach detailed explanation)

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>1a</td>
<td>44,444,734.</td>
</tr>
<tr>
<td>1b</td>
<td>10,263,373.</td>
</tr>
<tr>
<td>1c</td>
<td>423,137,313.</td>
</tr>
<tr>
<td>1d</td>
<td>477,845,420.</td>
</tr>
<tr>
<td>1e</td>
<td>0.</td>
</tr>
</tbody>
</table>

2. Acquisition indebtedness applicable to line 1 assets

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>2</td>
<td>0.</td>
</tr>
</tbody>
</table>

3. Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>3</td>
<td>7,167,681.</td>
</tr>
</tbody>
</table>

4. Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>4</td>
<td>470,677,739.</td>
</tr>
</tbody>
</table>

5. Minimum investment return. Enter 5% of line 5

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>5</td>
<td>23,533,887.</td>
</tr>
</tbody>
</table>

**Part XI** Distributable Amount

(see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ▶ and do not complete this part.)

1. Minimum investment return from Part X, line 6

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>23,533,887.</td>
</tr>
</tbody>
</table>

2a. Tax on investment income for 2018 from Part VI, line 5

<p>| |</p>
<table>
<thead>
<tr>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>2a</td>
</tr>
</tbody>
</table>

2b. Income tax for 2018. (This does not include the tax from Part VI.)

<p>| |</p>
<table>
<thead>
<tr>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>2b</td>
</tr>
</tbody>
</table>

3. Distributable amount before adjustments. Subtract line 2c from line 1

<p>| |</p>
<table>
<thead>
<tr>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>3</td>
</tr>
</tbody>
</table>

4. Recoveries of amounts treated as qualifying distributions

<p>| |</p>
<table>
<thead>
<tr>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>4</td>
</tr>
</tbody>
</table>

5. Add lines 3 and 4

<p>| |</p>
<table>
<thead>
<tr>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>5</td>
</tr>
</tbody>
</table>

6. Deduction from distributable amount (see instructions)

<p>| |</p>
<table>
<thead>
<tr>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>6</td>
</tr>
</tbody>
</table>

7. **Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1

<p>| |</p>
<table>
<thead>
<tr>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>7</td>
</tr>
</tbody>
</table>

**Part XII** Qualifying Distributions

(see instructions)

1. Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:
   a. Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26
   b. Program-related investments - total from Part IX-B

<p>| |</p>
<table>
<thead>
<tr>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>1a</td>
</tr>
<tr>
<td>1b</td>
</tr>
</tbody>
</table>

2. Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes

<p>| |</p>
<table>
<thead>
<tr>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>2</td>
</tr>
</tbody>
</table>

3. Amounts set aside for specific charitable projects that satisfy the:
   a. Suitability test (prior IRS approval required)
   b. Cash distribution test (attach the required schedule)

<p>| |</p>
<table>
<thead>
<tr>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>3a</td>
</tr>
<tr>
<td>3b</td>
</tr>
</tbody>
</table>

4. **Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4

<p>| |</p>
<table>
<thead>
<tr>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>4</td>
</tr>
</tbody>
</table>

5. Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b

<p>| |</p>
<table>
<thead>
<tr>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>5</td>
</tr>
</tbody>
</table>

6. **Adjusted qualifying distributions.** Subtract line 5 from line 4

<p>| |</p>
<table>
<thead>
<tr>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>6</td>
</tr>
</tbody>
</table>

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.
### Part XIII Undistributed Income (see instructions)

<table>
<thead>
<tr>
<th>Line</th>
<th>Description</th>
<th>(a) Corpus</th>
<th>(b) Years prior to 2017</th>
<th>(c) 2017</th>
<th>(d) 2018</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Distributable amount for 2018 from Part XI, line 7</td>
<td></td>
<td></td>
<td></td>
<td>22,022,626.</td>
</tr>
<tr>
<td>2</td>
<td>Undistributed Income, if any, as of the end of 2018:</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>a Enter amount for 2017 only</td>
<td></td>
<td></td>
<td></td>
<td>0.</td>
</tr>
<tr>
<td></td>
<td>b Total for prior years:</td>
<td></td>
<td></td>
<td></td>
<td>0.</td>
</tr>
<tr>
<td></td>
<td>c Excess distributions carryover, if any, to 2018:</td>
<td>168,232,733.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>d From 2013</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>e From 2014</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>f From 2015</td>
<td>35,392,238.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>g From 2016</td>
<td>59,567,004.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>h From 2017</td>
<td>73,273,491.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>Excess from 2017</td>
<td>22,022,626.</td>
<td></td>
<td>22,022,626.</td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>Qualifying distributions for 2018 from Part XII, line 4; $102,940,827.</td>
<td></td>
<td>102,940,827.</td>
<td></td>
<td>0.</td>
</tr>
<tr>
<td></td>
<td>a Applied to 2017, but not more than line 2a</td>
<td></td>
<td></td>
<td></td>
<td>0.</td>
</tr>
<tr>
<td></td>
<td>b Applied to undistributed income of prior years (Election required - see instructions)</td>
<td></td>
<td></td>
<td></td>
<td>0.</td>
</tr>
<tr>
<td></td>
<td>c Treated as distributions out of corpus (Election required - see instructions)</td>
<td>** 102,940,827.</td>
<td></td>
<td></td>
<td>0.</td>
</tr>
<tr>
<td></td>
<td>d Applied to 2018 distributable amount</td>
<td>0.</td>
<td>0.</td>
<td>0.</td>
<td>0.</td>
</tr>
<tr>
<td></td>
<td>e Remaining amount distributed out of corpus</td>
<td>0.</td>
<td>0.</td>
<td>0.</td>
<td>0.</td>
</tr>
<tr>
<td>5</td>
<td>Excess distributions carryover applied to 2018 (if an amount appears in column (d), the same amount must be shown in column (a).)</td>
<td>22,022,626.</td>
<td></td>
<td>22,022,626.</td>
<td></td>
</tr>
<tr>
<td>6</td>
<td>Enter the net total of each column as indicated below:</td>
<td>249,150,934.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>a Corpus, Add lines 3f, 4c, and 4e, Subtract line 5</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>b Prior years' undistributed income. Subtract line 4b from line 2b</td>
<td>0.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed</td>
<td>0.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>d Subtract line 6c from line 6b, Taxable amount - see instructions</td>
<td>0.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>e Undistributed income for 2017, Subtract line 4a from line 2a, Taxable amount - see instructions</td>
<td>0.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>f Undistributed income for 2018, Subtract lines 4d and 5 from line 1. This amount must be distributed in 2019</td>
<td>0.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>7</td>
<td>Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)</td>
<td>0.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>8</td>
<td>Excess distributions carryover from 2013 not applied on line 5 or line 7</td>
<td>0.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>9</td>
<td>Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a</td>
<td>249,150,934.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>10</td>
<td>Analysis of line 9:</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>a Excess from 2014</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>b Excess from 2015</td>
<td>13,369,612.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>c Excess from 2016</td>
<td>59,567,004.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>d Excess from 2017</td>
<td>73,273,491.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>e Excess from 2018</td>
<td>102,940,827.</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

** SEE STATEMENT 19

15401111 758661 37860 2018.05000 THE HEISING-SIMONS FOUNDATION 37860__1
### Part XIV Private Operating Foundations

(a) If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling:_________  

(b) Check box to indicate whether the foundation is a private operating foundation described in section (a) 4942(j)(3) or (b) 4942(j)(5):_________  

2. Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed:

<table>
<thead>
<tr>
<th>Tax year</th>
<th>Prior 3 years</th>
</tr>
</thead>
<tbody>
<tr>
<td>(a) 2018</td>
<td>(b) 2017</td>
</tr>
</tbody>
</table>

- 85% of line 2a._________  
- Qualifying distributions from Part XII, line 4 for each year listed:_________  
- Amounts included in line 2c not used directly for active conduct of exempt activities:_________  
- Qualifying distributions made directly for active conduct of exempt activities:_________  

3. Complete 3a, b, c for the alternative test relied upon:  
(a) "Assets" alternative test - enter:  
   (1) Value of all assets:_________  
   (2) Value of assets qualifying under section 4942(j)(3)(B)(i) for each year listed:_________  
   (3) "Endowment" alternative test - enter: 2/3 of minimum investment return shown in Part X, line 6 for each year listed:_________  
(b) "Support" alternative test - enter:  
   (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties):_________  
   (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii):_________  
   (3) Largest amount of support from an exempt organization:_________  
   (4) Gross investment income:_________  

### Part XV Supplementary Information

1. Information Regarding Foundation Managers:  
   (a) List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)  

   NONE  

   (b) List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.  

   NONE  

2. Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:  
   (a) The name, address, and telephone number or email address of the person to whom applications should be addressed:_________  

   (b) The form in which applications should be submitted and information and materials they should include:_________  

   (c) Any submission deadlines:_________  

   (d) Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:_________
### Grants and Contributions Paid During the Year or Approved for Future Payment

<table>
<thead>
<tr>
<th>Recipient</th>
<th>Foundation status of recipient</th>
<th>Purpose of grant or contribution</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>a Paid during the year</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>CSUSB PHILANTHROPIC FOUNDATION</td>
<td>N/A</td>
<td>TEACHING ENGLISH</td>
<td>78,540.</td>
</tr>
<tr>
<td>5500 UNIVERSITY PARKWAY, SAN BERNARDINO, CA 92407-2393</td>
<td></td>
<td>LEARNERS EARLY</td>
<td></td>
</tr>
<tr>
<td>REGENTS OF THE UNIVERSITY OF CALIFORNIA AT RIVERSIDE</td>
<td>N/A</td>
<td>INSTIGATING A MECHANISTIC UNDERSTANDING OF THE</td>
<td>149,552.</td>
</tr>
<tr>
<td>200 UNIVERSITY OFFICE BUILDING, RIVERSIDE, CA 92521-0217</td>
<td></td>
<td>DYNAMICS OF THE SEDIMENTARY RECORD</td>
<td></td>
</tr>
<tr>
<td>UNIVERSITY OF FLORIDA BOARD OF TRUSTEES</td>
<td>N/A</td>
<td>DETECTING AXIONS, HIDDEN-SECTOR PHOTONS, BIREFRINGENCE</td>
<td>111,627.</td>
</tr>
<tr>
<td>219 GRINTER HALL, GAINESVILLE, FL 32611</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>RECTOR &amp; VISITORS OF THE UNIVERSITY OF VIRGINIA</td>
<td>N/A</td>
<td>SUPPORTING TEACHERS TO ENHANCE THEIR PRACTICE IN</td>
<td>50,760.</td>
</tr>
<tr>
<td>914 EMMET ST. N, CHARLOTTESVILLE, VA 22903-4829</td>
<td></td>
<td>EXECUTIVE FUNCTION, MATH, AND SCIENCE</td>
<td></td>
</tr>
<tr>
<td>UNIVERSITY OF HAWAII</td>
<td>N/A</td>
<td>EXPLORING PALOEocene-EOcene ThermAL MAXIMUM Earth</td>
<td>117,919.</td>
</tr>
<tr>
<td>2440 CAMPUS ROAD, HONOLULU, HI 96822-2234</td>
<td>GOV</td>
<td>SYSTEM FEEDBACKS</td>
<td></td>
</tr>
<tr>
<td>Total</td>
<td>SEE CONTINUATION SHEET(S)</td>
<td></td>
<td>90,148,551.</td>
</tr>
<tr>
<td>b Approved for future payment</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>CLIMATE CENTRAL INC.</td>
<td>N/A</td>
<td>CLIMATE MATTERS</td>
<td>125,000.</td>
</tr>
<tr>
<td>ONE PALMER SQUARE, SUITE 330, PRINCEton, NJ 08542</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>ENVIRONMENTAL DEFENSE FUND, INC.</td>
<td>N/A</td>
<td>EDF CLIMATE AND ENERGY</td>
<td>60,000.</td>
</tr>
<tr>
<td>123 MISSION STREET, SAN FRANCISCO, CA 94105</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>THE JUSTICE AND DIVERSITY CENTER OF THE BAR ASSOCIATION OF SAN FRANCISCO</td>
<td>N/A</td>
<td>NORCAL COLLABORATIVE FOR IMMIGRANT JUSTICE &amp; RAPID</td>
<td>400,000.</td>
</tr>
<tr>
<td>301 BATTERY STREET, 3RD FLOOR, SAN FRANCISCO, CA 94111-3237</td>
<td></td>
<td>RESPONSE &amp; IMMIGRANT DEFENSE NETWORK</td>
<td></td>
</tr>
<tr>
<td>Total</td>
<td>SEE CONTINUATION SHEET(S)</td>
<td></td>
<td>37,055,558.</td>
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</tbody>
</table>

** SEE PURPOSE OF GRANT CONTINUATIONS
### Part XVI-A  Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

<table>
<thead>
<tr>
<th>Line</th>
<th>Activity</th>
<th>Business code</th>
<th>Amount (b)</th>
<th>Exclusion code (c/d)</th>
<th>Related or exempt function income (e)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Program service revenue:</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>a</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>b</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>c</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>d</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>e</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>f</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>g</td>
<td>Fees and contracts from government agencies</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Membership dues and assessments</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>Interest on savings and temporary cash investments</td>
<td></td>
<td>14</td>
<td>378,913.</td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>Dividends and interest from securities</td>
<td></td>
<td>14</td>
<td>55,567.</td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>Net rental income or (loss) from real estate:</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>a</td>
<td>Debt-financed property</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>b</td>
<td>Not debt-financed property</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>6</td>
<td>Net rental income or (loss) from personal property</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>7</td>
<td>Other investment income</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>8</td>
<td>Gain or (loss) from sales of assets other than inventory</td>
<td></td>
<td>18</td>
<td>149,802,337.</td>
<td></td>
</tr>
<tr>
<td>9</td>
<td>Net income or (loss) from special events</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>10</td>
<td>Gross profit or (loss) from sales of inventory</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>11</td>
<td>Other revenue:</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>a</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>b</td>
<td></td>
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<td>c</td>
<td></td>
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<tr>
<td>d</td>
<td></td>
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<td></td>
</tr>
<tr>
<td>e</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>12</td>
<td>Subtotal. Add columns (b), (d), and (e)</td>
<td></td>
<td>0.</td>
<td>150,236,817.</td>
<td>0.</td>
</tr>
<tr>
<td>13</td>
<td>Total. Add line 12, columns (b), (d), and (e)</td>
<td></td>
<td></td>
<td></td>
<td>150,236,817.</td>
</tr>
</tbody>
</table>

(See worksheet in line 13 instructions to verify calculations.)

### Part XVI-B  Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼ Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

---

823621 12-11-18

12

15401111 758661 37860

2018.05000 THE HEISING-SIMONS FOUNDATION 37860_1
Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

<table>
<thead>
<tr>
<th>Line no.</th>
<th>(a) Amount involved</th>
<th>(b) Name of noncharitable exempt organization</th>
<th>(c) Description of transfers, transactions, and sharing arrangements</th>
</tr>
</thead>
<tbody>
<tr>
<td>C</td>
<td>15,875</td>
<td>HSAF</td>
<td>SEE STATEMENT 20</td>
</tr>
</tbody>
</table>

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? (Yes) [X]

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

[ ] Yes [ ] No

TREASURER

Paid Preparer Use Only

Print/Type preparer's name

Preparer's signature

Check [ ] if self-employed

PTIN

Firm's name

Firm's EIN

Firm's address

Phone no.

Firm's address

Date

Title

Check [ ] if self-employed

PTIN

Firm's EIN

Phone no.
Form 8868
(Rev. January 2019)

Department of the Treasury
Internal Revenue Service

File a separate application for each return.

Go to www.irs.gov/Form8868 for the latest information.

Electronic filing (e-file). You can electronically file Form 8868 to request a 6-month automatic extension of time to file any of the forms listed below with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, for which an extension request must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/e-file-providers/e-file-for-charities-and-non-profits.

Automatic 6-Month Extension of Time. Only submit original (no copies needed).

All corporations required to file an income tax return other than Form 990-T (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Enter filer's identifying number

| Type or print | Name of exempt organization or other filer, see instructions. | Employer identification number (EIN) or
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>File by the due date for filing your return. See instructions.</td>
<td>THE HEISING-SIMONS FOUNDATION</td>
<td>26-0799587</td>
</tr>
<tr>
<td>Number, street, and room or suite no. If a P.O. box, see instructions.</td>
<td>400 MAIN STREET, NO. 200</td>
<td>Social security number (SSN)</td>
</tr>
<tr>
<td>City, town or post office, state, and ZIP code. For a foreign address, see instructions.</td>
<td>LOS ALTOS, CA 94022</td>
<td></td>
</tr>
</tbody>
</table>

Enter the Return Code for the return that this application is for (file a separate application for each return)

<table>
<thead>
<tr>
<th>Application Is For</th>
<th>Return Code</th>
<th>Application Is For</th>
</tr>
</thead>
<tbody>
<tr>
<td>Form 990 or Form 990-EZ</td>
<td>01</td>
<td>Form 990-T (corporation)</td>
</tr>
<tr>
<td>Form 990-BL</td>
<td>02</td>
<td>Form 1041-A</td>
</tr>
<tr>
<td>Form 4720 (individual)</td>
<td>03</td>
<td>Form 4720 (other than individual)</td>
</tr>
<tr>
<td>Form 990-PF</td>
<td>04</td>
<td>Form 5227</td>
</tr>
<tr>
<td>Form 990-T (sec. 401(a) or 408(a) trust)</td>
<td>05</td>
<td>Form 6069</td>
</tr>
<tr>
<td>Form 990-T (trust other than above)</td>
<td>06</td>
<td>Form 8878</td>
</tr>
</tbody>
</table>

JUDY BLUMENSTEIN

Telephone No. (650) 887-0277

If the organization does not have an office or place of business in the United States, check this box

If this is for a Group Return, enter the organization’s four digit Group Exemption Number (GEN) . If this is for the whole group, check this box and attach a list with the names and EINs of all members the extension is for.

I request an automatic 6-month extension of time until NOVEMBER 15, 2019 , to file the exempt organization return for the organization named above. The extension is for the organization’s return for:

- X calendar year 2018 or
- tax year beginning , and ending .

If the tax year entered in line 1 is for less than 12 months, check reason:

- Initial return
- Final return

- Change in accounting period

If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.

3a $ 1,995,892.

If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.

3b $ 1,690,892.

Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.

3c $ 305,000.

Caution: If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA For Privacy Act and Paperwork Reduction Act Notice, see instructions.
### Part IV: Capital Gains and Losses for Tax on Investment Income

**PUBLICLY TRADED SECURITIES**

<table>
<thead>
<tr>
<th>a</th>
<th>b</th>
<th>c</th>
<th>d</th>
<th>e</th>
<th>f</th>
<th>g</th>
<th>h</th>
<th>i</th>
<th>j</th>
<th>k</th>
<th>l</th>
<th>m</th>
<th>n</th>
<th>p</th>
<th>q</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>a</strong></td>
<td><strong>MEDALLION CAPITAL INVESTMENTS, LTD</strong></td>
<td><strong>P</strong></td>
<td><strong>04/01/14</strong></td>
<td><strong>12/31/18</strong></td>
<td><strong>b</strong></td>
<td><strong>RENAISSANCE INSTITUTIONAL DIVERSIFIED ALPHA FUND</strong></td>
<td><strong>P</strong></td>
<td><strong>01/01/18</strong></td>
<td><strong>12/31/18</strong></td>
<td><strong>c</strong></td>
<td><strong>SENTINEL HS FOUNDATION FUND, LP</strong></td>
<td><strong>P</strong></td>
<td><strong>01/01/18</strong></td>
<td><strong>12/31/18</strong></td>
<td><strong>d</strong></td>
</tr>
</tbody>
</table>

#### (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.

**b) How acquired**
P - Purchase
D - Donation

**c) Date acquired**

**mo., day, yr.**

**d) Date sold**

**mo., day, yr.**

#### (e) Gross sales price

| a | 72,062,188. |
| b | 200,375,960. |
| c | 50,623,387. |
| d | 1,758,612. |
| e | 369,935. |
| f | 48,511. |

#### (f) Depreciation allowed

| a | 72,012,424. |
| b | 50,623,387. |
| c | 1,758,612. |
| d | 369,935. |
| e | 16. |

#### (g) Cost or other basis

<table>
<thead>
<tr>
<th>(or allowable)</th>
<th>plus expense of sale</th>
</tr>
</thead>
<tbody>
<tr>
<td>72,062,188.</td>
<td>49,764.</td>
</tr>
<tr>
<td>200,375,960.</td>
<td>149,752,573.</td>
</tr>
<tr>
<td>50,623,387.</td>
<td>-1,758,612.</td>
</tr>
<tr>
<td>1,758,612.</td>
<td>-369,935.</td>
</tr>
<tr>
<td>48,511.</td>
<td>48,511.</td>
</tr>
</tbody>
</table>

#### (h) Gain or (loss)

<table>
<thead>
<tr>
<th>plus (f) minus (g)</th>
</tr>
</thead>
<tbody>
<tr>
<td>49,764.</td>
</tr>
<tr>
<td>149,752,573.</td>
</tr>
<tr>
<td>-1,758,612.</td>
</tr>
<tr>
<td>-369,935.</td>
</tr>
<tr>
<td>48,511.</td>
</tr>
</tbody>
</table>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

#### (i) F.M.V. as of 12/31/69

<table>
<thead>
<tr>
<th>a</th>
<th>b</th>
<th>c</th>
<th>d</th>
<th>e</th>
<th>f</th>
<th>g</th>
<th>h</th>
<th>i</th>
<th>j</th>
<th>k</th>
<th>l</th>
<th>m</th>
<th>n</th>
<th>p</th>
<th>q</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>a</strong></td>
<td><strong>MEDALLION CAPITAL INVESTMENTS, LTD</strong></td>
<td>72,062,188.</td>
<td>49,764.</td>
<td><strong>b</strong></td>
<td><strong>RENAISSANCE INSTITUTIONAL DIVERSIFIED ALPHA FUND</strong></td>
<td>149,752,573.</td>
<td><strong>c</strong></td>
<td><strong>SENTINEL HS FOUNDATION FUND, LP</strong></td>
<td>-1,758,612.</td>
<td><strong>d</strong></td>
<td><strong>PRIME FINANCE CMBS B-PIECE FUND I, LP</strong></td>
<td>-369,935.</td>
<td><strong>e</strong></td>
<td><strong>EIGHTFOLD REAL ESTATE CAPITAL FUND V, LP</strong></td>
<td>48,511.</td>
</tr>
</tbody>
</table>

#### (j) Adjusted basis

<table>
<thead>
<tr>
<th>as of 12/31/69</th>
<th>over col. (i), if any</th>
</tr>
</thead>
<tbody>
<tr>
<td>72,062,188.</td>
<td>49,764.</td>
</tr>
<tr>
<td>200,375,960.</td>
<td>149,752,573.</td>
</tr>
<tr>
<td>50,623,387.</td>
<td>-1,758,612.</td>
</tr>
<tr>
<td>1,758,612.</td>
<td>-369,935.</td>
</tr>
<tr>
<td>48,511.</td>
<td>48,511.</td>
</tr>
</tbody>
</table>

#### (k) Excess of col. (i) over col. (j), if any

| **a** | **MEDALLION CAPITAL INVESTMENTS, LTD** | **b** | **RENAISSANCE INSTITUTIONAL DIVERSIFIED ALPHA FUND** | **c** | **SENTINEL HS FOUNDATION FUND, LP** | **d** | **PRIME FINANCE CMBS B-PIECE FUND I, LP** | **e** | **EIGHTFOLD REAL ESTATE CAPITAL FUND V, LP** |

#### (l) Losses (from col. (h))

<table>
<thead>
<tr>
<th><strong>GAINS (EXCESS OF COL. (H) OVER COL. (K), BUT NOT LESS THAN &quot;0-&quot;)</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td>49,764.</td>
</tr>
<tr>
<td>149,752,573.</td>
</tr>
<tr>
<td>-1,758,612.</td>
</tr>
<tr>
<td>-369,935.</td>
</tr>
<tr>
<td>48,511.</td>
</tr>
</tbody>
</table>

#### Part IV

2 **Capital gain net income or (net capital loss)**

   - If gain, also enter in Part I, line 7
   - If (loss), enter "-0-" in Part I, line 7

3 **Net short-term capital gain or (loss)**

   - If gain, also enter in Part I, line 8, column (c)
   - If (loss), enter "-0-" in Part I, line 8

---

2 **Capital gain net income or (net capital loss)**

   - If gain, also enter in Part I, line 7
   - If (loss), enter "-0-" in Part I, line 7

3 **Net short-term capital gain or (loss)**

   - If gain, also enter in Part I, line 8, column (c)
   - If (loss), enter "-0-" in Part I, line 8

---

**2** 147,722,285.

**3** N/A
<table>
<thead>
<tr>
<th>Recipient</th>
<th>Foundation status</th>
<th>Purpose of grant or contribution</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>THE UCLA FOUNDATION</td>
<td>PC</td>
<td>GENERAL RELATIVITY AND BLACK HOLES</td>
<td>59,029.</td>
</tr>
<tr>
<td>10920 WILSHIRE BOULEVARD</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>LOS ANGELES, CA 90024-6502</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>UNIVERSITY OF TEXAS AT ARLINGTON</td>
<td>GOV</td>
<td>EOCENE CLIMATE CHANGE AMPLIFICATION BY MARINE PRODUCTIVITY DECLINE</td>
<td>97,315.</td>
</tr>
<tr>
<td>701 SOUTH NEDDERMAN DRIVE</td>
<td></td>
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</tr>
<tr>
<td>ARLINGTON, TX 76019-0145</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>ARIZONA BOARD OF REGENTS, UNIVERSITY OF ARIZONA</td>
<td>GOV</td>
<td>DATA ASSIMILATION FOR DEEP TIME, LET'S TALK ABOUT MATH</td>
<td>214,750.</td>
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<tr>
<td>2020 N CENTRAL AVE</td>
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<tr>
<td>PHOENIX, AZ 85004</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>TRUSTEES OF PURDUE UNIVERSITY</td>
<td>PC</td>
<td>ENGAGING PRESCHOOL CHILDREN IN MATH LANGUAGE AT HOME- THE DEVELOPMENT AND EVALUATION OF A</td>
<td>300,000.</td>
</tr>
<tr>
<td>403 WEST WOOD ST</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>W LAFAYETTE, IN 47907</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>MIGRATION POLICY INSTITUTE</td>
<td>PC</td>
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<td>BERKELEY LAB FOUNDATION</td>
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<td>PROMOTING EFFICIENCY AND ALTERNATE REFRIGERANT LEAPFROGGING IN MEXICO</td>
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Total from continuation sheets 89,640,153.
### Grants and Contributions Paid During the Year (Continuation)

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<td>FIREARM VIOLENCE PREVENTION - NEW OPPORTUNITIES</td>
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<td>JUSTLEADERSHIPUSA INC. 1900 LEXINGTON AVENUE NEW YORK, NY 10035</td>
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<td>CLEAN AIR TASK FORCE INC. 114 STATE STREET, 6TH FLOOR BOSTON, MA 02109</td>
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<td>OUNCE OF PREVENTION FUND 33 WEST MONROE STREET, SUITE 2400 CHICAGO, IL 60603-5400</td>
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<td>FIRST FIVE YEARS FUND, NATIONAL HOME VISITING SUMMIT</td>
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<td>PARENTS AS TEACHERS NATIONAL CENTER, INC. 2228 BALL DRIVE ST. LOUIS, MO 63146</td>
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<td>PC</td>
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<td>GROUNDSWELL FUND 436 14TH ST. SUITE 1312 OAKLAND, CA 94612-2709</td>
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Total from continuation sheets
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<td>Name and address (home or business)</td>
<td>If recipient is an individual, show any relationship to any foundation manager or substantial contributor</td>
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<td>Purpose of grant or contribution</td>
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<td>VALERIA FERNANDEZ, 130 E. CORONADO ROAD #16, PHOENIX, AZ 85004</td>
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<td>GRANTMAKERS CONCERNED WITH IMMIGRANTS AND REFUGEES, PO BOX 1100, SEBASTOPOL, CA 95472</td>
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<td>CANDID, 32 OLD SLIP, 24TH FLOOR, NEW YORK, NY 10005</td>
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<td>HISPANIC FOUNDATION OF SILICON VALLEY, 1922 THE ALAMEDA, SUITE 217, SAN JOSE, CA 95126</td>
<td>N/A</td>
<td>PC</td>
<td>LATINO BOARD</td>
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<td>SAFE PASSAGES, 440 CIVIC CENTER PLAZA SUITE 360, RICHMOND, CA 94804</td>
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<td>PC</td>
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<td>UNIVERSITY OF TEXAS AT AUSTIN, 110 INNER CAMPUS DRIVE, AUSTIN, TX 78705</td>
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<td>UNIVERSITY OF RHODE ISLAND FOUNDATION, 79 UPPER COLLEGE ROAD, KINGSTON, RI 02881-2023</td>
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<td>THE NORTHWEST PASSAGE PROJECT</td>
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<td>FRAMEWORKS INSTITUTE, 1333 H STREET NW SUITE 700 WEST, WASHINGTON, DC 20005-4754</td>
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Total from continuation sheets: $375,000.
### Part XV Supplementary Information

#### 3 Grants and Contributions Paid During the Year (Continuation)

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<td>CHILD TRENDS INCORPORATED</td>
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<td>UNIVERSITY OF KANSAS CENTER FOR RESEARCH, INC.</td>
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Total from continuation sheets
## Part XV Supplementary Information

### 3 Grants and Contributions Paid During the Year (Continuation)

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<th>Recipient</th>
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<td>ENGAGING FAMILIES IN EARLY MATH IMPLEMENTATION STUDY DESIGN, ENGAGING FAMILIES IN EARLY MATH</td>
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Total from continuation sheets
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<td>YALE UNIVERSITY</td>
<td>N/A</td>
<td>PC</td>
<td>51 PEGASI B, A TABLETOP EXPERIMENT TO DETECT TIME-REVERSAL VIOLATING PHYSICS IN A NUCLEUS, CLIMATE</td>
<td>1,090,623.</td>
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<td>NEW HAVEN, CT 06520-8327</td>
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<td>ACADIA CENTER</td>
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<td>ROCKPORT, ME 04856-0583</td>
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<td>THE ASPEN INSTITUTE INC.</td>
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<td>ONE DUPONT CIRCLE, NW</td>
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<td>THE HEISING-SIMONS FOUNDATION</td>
<td>N/A</td>
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<td>1300 GODWARD STREET NORTHEAST SUITE</td>
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<td>2625 MINNEAPOLIS, MN 55413</td>
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<td>CLIMATE CENTRAL INC.</td>
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<td>PC</td>
<td>CLIMATE MATTERS</td>
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<td>ONE PALMER SQUARE, SUITE 330</td>
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<td>145 W. 58TH STREET, SUITE 2A</td>
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<td>NEW YORK, NY 10019-1529</td>
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<td>ENVIRONMENTAL DEFENSE FUND, INC.</td>
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<td>PC</td>
<td>COLORADO CLEAN CAR STANDARDS, EDP CLIMATE AND ENERGY, OCEANS, HABITAT EXCHANGE AND ESA DEFENSE, WESTERN</td>
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<td>123 MISSION STREET</td>
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<td>SAN FRANCISCO, CA 94105</td>
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<td>GREAT PLAINS INSTITUTE</td>
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<td>2801 21ST AVENUE SOUTH</td>
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<td>360 14TH STREET, 2ND FLOOR</td>
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Total from continuation sheets
## Part XV Supplementary Information

### 3 Grants and Contributions Paid During the Year (Continuation)

<table>
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<tr>
<th>Recipient</th>
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<th>Foundation status of recipient</th>
<th>Purpose of grant or contribution</th>
<th>Amount</th>
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<tr>
<td>THE JUSTICE AND DIVERSITY CENTER OF THE BAR ASSOCIATION OF SAN FRANCISCO</td>
<td>301 BATTERY STREET, 3RD FLOOR SAN FRANCISCO, CA 94111-3237</td>
<td>N/A</td>
<td>PC</td>
<td>NORCAL COLLABORATIVE FOR IMMIGRANT JUSTICE &amp; RAPID RESPONSE &amp; IMMIGRANT DEFENSE NETWORK</td>
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<td>IMMIGRANT LEGAL RESOURCE CENTER</td>
<td>1458 HOWARD STREET SAN FRANCISCO, CA 94103</td>
<td>N/A</td>
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<td>GENERAL SUPPORT, READY BAY AREA, SUPPORTING IMMIGRANT &amp; REFUGEE STUDENTS PROJECT</td>
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<td>DEFINE AMERICAN</td>
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<td>PC</td>
<td>GENERAL SUPPORT</td>
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<td>LEGAL AID SOCIETY OF SAN MATEO COUNTY</td>
<td>330 TWIN DOLPHIN DRIVE, SUITE 123 REDWOOD CITY, CA 94065-1455</td>
<td>N/A</td>
<td>PC</td>
<td>LIBRE</td>
<td>70,000.</td>
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<td>ASIAN AMERICANS ADVANCING</td>
<td>55 COLUMBUS AVE SAN FRANCISCO, CA 94111-2101</td>
<td>N/A</td>
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<td>DEFENDING AGAINST MASS DEPORTATIONS, GENERAL SUPPORT</td>
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<td>COLLEGE TRACK</td>
<td>112 LINDEN STREET OAKLAND, CA 94607</td>
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<td>SCHOLARSHIPS AND SUPPORT FOR UNDOCUMENTED STUDENTS</td>
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<tr>
<td>NATIONAL DOMESTIC WORKERS ALLIANCE INC.</td>
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<td>FAMILIES BELONG TOGETHER CAMPAIGN</td>
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<tr>
<td>CITIZENS UTILITY BOARD</td>
<td>309 W WASHINGTON STREET STE 800 CHICAGO, IL 60606</td>
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<td>PC</td>
<td>MAXIMIZING CONSUMER BENEFITS FROM TRANSPORTATION ELECTRIFICATION, MAXIMIZING THE</td>
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<td>NATIONAL CONSUMER LAW CENTER INC</td>
<td>7 WINTHROP SQ 4TH FLOOR BOSTON, MA 02110-1245</td>
<td>N/A</td>
<td>PC</td>
<td>TRANSPORTATION ELECTRIFICATION EQUITY PROJECT</td>
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<tr>
<td>PUBLIC POLICY INSTITUTE OF CALIFORNIA</td>
<td>500 WASHINGTON STREET, SUITE 600 SAN FRANCISCO, CA 94111-2932</td>
<td>N/A</td>
<td>PC</td>
<td>STATEWIDE SURVEY ON THE ENVIRONMENT</td>
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**Total from continuation sheets**
### Grants and Contributions Paid During the Year (Continuation)

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<tr>
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<td>RESOURCES FOR THE FUTURE, INC. 1616 P. ST. NW WASHINGTON, DC 20036</td>
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<td>ENERGY AND CLIMATE</td>
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<td>UNION OF CONCERNED SCIENTISTS TWO BRATTLE SQUARE CAMBRIDGE, MA 02138-3742</td>
<td>N/A</td>
<td>PC</td>
<td>CLEAN CARS AND CALIFORNIA POWER SKY FUNDAMENTAL PHYSICS</td>
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<td>CONSUMER REPORTS, INC. 101 TRUMAN AVENUE YONKERS, NY 10703</td>
<td>N/A</td>
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<td>VEHICLE STANDARDS AND EVS</td>
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<td>ARIZONA STATE UNIVERSITY FOUNDATION P.O. BOX 2260 TEMPE, AZ 85280-2260</td>
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<td>CHARACTERIZATION OF EPHEMERAL MOLECULES RELEVANT TO FUNDAMENTAL PHYSICS</td>
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<td>REACH OUT AND READ INC 89 SOUTH STREET BOSTON, MA 02111</td>
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<td>THIRD SECTOR NEW ENGLAND INC. 89 SOUTH STREET, SUITE 700 BOSTON, MA 02111-2679</td>
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<td>2018 ECFC MEMBERSHIP DUES, EXPANDING THE CAPACITY AND MEMBER SERVICES OF ECFC, POOLED FUND FOR EARLY</td>
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<td>NELSON MULLINS RILEY &amp; SCARBOROUGH LLP 101 CONSTITUTION AVE NW SUITE 900 NW WASHINGTON, DC 20001</td>
<td>N/A</td>
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<td>EDUCATION COUNSEL</td>
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<td>RESEARCH FOUNDATION OF THE CITY UNIVERSITY OF NEW YORK OFFICE OF SPONSORED PROGRAMS, STONY BROOK UNIVERSITY NEW YORK, NY 11794-3362</td>
<td>N/A</td>
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<td>EARLY CHILDHOOD RESEARCH NETWORK</td>
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| Total from continuation sheets | | | | |
### Part XV Supplementary Information

#### 3 Grants and Contributions Paid During the Year (Continuation)

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<td>EDUCATION DEVELOPMENT CENTER INC.</td>
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<td>BELLEVUE, WA 98005-2471</td>
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<td>EDSOURCE, INC.</td>
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Total from continuation sheets
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<th>Foundation status of recipient</th>
<th>Purpose of grant or contribution</th>
<th>Amount</th>
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<tr>
<td>TEACHERS COLLEGE COLUMBIA UNIVERSITY</td>
<td>N/A</td>
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<td>ENHANCING EARLY CHILDHOOD POLICY IN INSTITUTIONS OF HIGHER EDUCATION, HECHINGER REPORT</td>
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<td>THE INSTITUTE FOR EDUCATIONAL LEADERSHIP INC</td>
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<td>ASSOCIATION OF STATE AND TRIBAL HOME VISITING INITIATIVES</td>
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<td>UNIVISION COMMUNICATIONS INC.</td>
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<td>GENERAL SUPPORT TO IMPROVE THE WELL-BEING OF UNDERREPRESENTED COMMUNITIES</td>
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<td>COUNCIL ON FOUNDATIONS INC.</td>
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Total from continuation sheets

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823631
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2018.05000 THE HEISING-SIMONS FOUNDATION 37860__1
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<th>Recipient</th>
<th>Name and address (home or business)</th>
<th>If recipient is an individual, show any relationship to any foundation manager or substantial contributor</th>
<th>Foundation status of recipient</th>
<th>Purpose of grant or contribution</th>
<th>Amount</th>
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</table>
| MEDIA IMPACT FUNDERS INC  
200 WEST WASHINGTON SQUARE, SUITE 220  
PHILADELPHIA, PA 19106-3513 | N/A | PC | 2018 MEMBERSHIP DUES | 10,000. |
| NATIONAL CENTER FOR FAMILY  
PHILANTHROPY INC  
1667 K STREET NW, SUITE 550  
WASHINGTON, DC 20006-1652 | N/A | PC | 2018 & 2019 MEMBERSHIP DUES | 14,800. |
| NATIONAL COMMITTEE FOR RESPONSIVE  
PHILANTHROPY | N/A | PC | 2018 MEMBERSHIP DUES | 20,000. |
| PEAK GRANTMAKING  
1666 K STREET NW, SUITE 440  
WASHINGTON, DC 20006-1242 | N/A | PC | 2018 & 2019 MEMBERSHIP DUES | 11,800. |
| CALIFORNIA BUDGET & POLICY CENTER  
1107 9TH STREET, SUITE 310  
SACRAMENTO, CA 95814 | N/A | PC | POLICY INSIGHTS 2018 SPONSORSHIP | 10,000. |
| SECOND HARVEST OF SILICON VALLEY  
750 CURTNER AVE,  
SAN JOSE, CA 95125-2113 | N/A | PC | GENERAL SUPPORT | 75,000. |
| GEORGETOWN UNIVERSITY  
37TH AND O STREETS NW  
WASHINGTON, DC 20057-0001 | N/A | PC | TULSA PRE-K THROUGH HIGH SCHOOL | 150,000. |
| EQUAL JUSTICE INITIATIVE  
122 COMMERCE ST,  
MONTGOMERY, AL 36104-2538 | N/A | PC | CAPITAL CAMPAIGN | 250,000. |
| CALIFORNIA IMMIGRANT POLICY CENTER  
634 SOUTH SPRING STREET, SUITE 600A  
LOS ANGELES, CA 90014 | N/A | PC | GENERAL SUPPORT | 100,000. |
| UC SANTA CRUZ FOUNDATION  
1156 HIGH STREET  
SANTA CRUZ, CA 95064 | N/A | PC | VERA RUBIN PRESIDENTIAL CHAIR FOR DIVERSITY IN ASTRONOMY | 328,947. |

Total from continuation sheets | | | | |
### Grants and Contributions Paid During the Year (Continuation)

<table>
<thead>
<tr>
<th>Recipient</th>
<th>If recipient is an individual, show any relationship to any foundation manager or substantial contributor</th>
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<td>ANALYSIS OF CA DEPARTMENT OF EDUCATION AND ADMINISTRATIVE DATA</td>
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<td>1200 O'BRIEN DRIVE</td>
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<td>MENLO PARK, CA 94025-1413</td>
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<td>111 WEST ST. JOHN STREET, SUITE 800</td>
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<td>CHILDREN'S HEALTH COUNCIL, INC.</td>
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<td>650 CLARK WAY</td>
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<td>PALO ALTO, CA 94304</td>
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<td>122 E 42ND STREET</td>
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<td>NEW YORK, NY 10168</td>
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<td>PEER HEALTH EXCHANGE INC</td>
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<td>SAN FRANCISCO, CA 94133-5103</td>
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<td>CORA (COMMUNITY OVERCOMING RELATIONSHIP ABUSE)</td>
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<td>2211 PALM AVE.</td>
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<td>SAN MATEO, CA 94403</td>
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<td>HEALTHIER KIDS FOUNDATION SANTA CLARA COUNTY</td>
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<td>4040 MOORPARK AVE., SUITE 100</td>
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<td>HUMAN INVESTMENT PROJECT INC</td>
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### Part XV

#### Supplementary Information

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<tr>
<th>Recipient</th>
<th>If recipient is an individual, show any relationship to any foundation manager or substantial contributor</th>
<th>Foundation status of recipient</th>
<th>Purpose of grant or contribution</th>
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<td>SACRED HEART COMMUNITY SERVICE</td>
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<td>STARVISTA</td>
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<td>SAN CARLOS, CA 94070</td>
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<td>YOUNG WOMENS CHRISTIAN ASSOCIATION OF SILICON</td>
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<td>VALLEY</td>
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<td>SOCIAL GOOD FUND</td>
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<td>SAFE RETURNS, SILICON VALLEY SOLUTIONS</td>
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<td>1011 MACDONALD AVENUE</td>
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<td>RICHMOND, CA 94801</td>
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<td>BIPARTISAN POLICY CENTER, INC.</td>
<td>N/A</td>
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<td>EARLY CHILDHOOD INITIATIVE</td>
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<td>1225 I STREET, NW, STE 1000</td>
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<td>WASHINGTON, DC 20005</td>
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<tr>
<td>THE EDUCATION TRUST</td>
<td>N/A</td>
<td>PC</td>
<td>EQUITY IN EARLY CHILDHOOD EDUCATION</td>
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<td>FUENTE DE LA COSTA SUR</td>
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<td>GENERAL SUPPORT</td>
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<td>P.O. BOX 554</td>
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<td>PESCADERO, CA 94060-0554</td>
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**Total from continuation sheets**
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<tr>
<td>WIKI EDUCATION FOUNDATION</td>
<td>11A FUNSTON AVE SAN FRANCISCO, CA 94129</td>
<td>PC</td>
<td>N/A</td>
<td>WIKI EDUCATION FOUNDA</td>
<td>100,000.</td>
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<td>BRAZELTON TOUCHPOINTS CENTER</td>
<td>1295-1299 BOYLSTON STREET BOSTON, MA 02215</td>
<td>PC</td>
<td>N/A</td>
<td>SPONSORSHIP HONORING DR. BRAZELTON</td>
<td>5,000.</td>
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<td>AMERICAN ASSOCIATION FOR THE ADVANCEMENT OF SCIENCE</td>
<td>1200 NEW YORK AVENUE NW WASHINGTON, DC 20005-3928</td>
<td>PC</td>
<td>N/A</td>
<td>2018 MASS MEDIA SCIENCE AND ENGINEERING FELLOWS PROGRAM, STEM EQUITY ACHIEVEMENT (SEA)</td>
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<td>NATIONAL ASSOCIATION OF BLACK JOURNALISTS</td>
<td>1100 KNIGHT HALL, SUITE 3100 COLLEGE PARK, MD 20742</td>
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<td>N/A</td>
<td>2018 CONVENTION AND CAREER FAIR SPONSORSHIP</td>
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<td>NEW VENTURE FUND</td>
<td>480 CALIFORNIA AVENUE #304 PALO ALTO, CA 94301</td>
<td>PC</td>
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<td>CENSUS 2020 EQUITY FUND AND COUNTING FOR DEMOCRACY CONFERENCE SPONSORSHIP, CLIMATE AND CLEAN ENERGY</td>
<td>885,000.</td>
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<td>ASPEN GLOBAL CHANGE INSTITUTE INC.</td>
<td>104 MIDLAND AVENUE, SUITE 205 BASALT, CO 81621</td>
<td>PC</td>
<td>N/A</td>
<td>AGCI WORKSHOP SUPPORT, THE ENERGY PROJECT</td>
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<td>THE PENNSYLVANIA STATE UNIVERSITY</td>
<td>110 TECHNOLOGY CENTER BUILDING UNIVERSITY PARK, PA 16802</td>
<td>GOV</td>
<td>N/A</td>
<td>DATA ASSIMILATION AND PALEOCLIMATE PROXIES, EMERGING RESEARCHERS IN EXOPLANET SCIENCE (ERES-IV) SYMPOSIUM</td>
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<td>NATIONAL IMMIGRATION LAW CENTER</td>
<td>3435 WILSHIRE BLVD. STE. 1600 LOS ANGELES, CA 90010-1938</td>
<td>PC</td>
<td>N/A</td>
<td>GENERAL SUPPORT, NO MUSLIM BAN EVER &amp; PROTECTING IMMIGRANT FAMILIES CAMPAIGNS</td>
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<td>CENTER FOR LAW AND SOCIAL POLICY</td>
<td>1200 18TH STREET NW, SUITE 200 WASHINGTON, DC 20036</td>
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<td>N/A</td>
<td>ADVANCING EARLY CARE AND EDUCATION POLICY FOR CHILDREN IN LOW-INCOME FAMILIES, PROTECTING IMMIGRANT</td>
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<td>CALIFORNIANS TOGETHER</td>
<td>525 EAST 7TH STREET 2ND FLOOR LONG BEACH, CA 90813-4559</td>
<td>PC</td>
<td>N/A</td>
<td>SUPPORTING IMMIGRANT &amp; REFUGEE STUDENTS PROJECT</td>
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Total from continuation sheets

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823631 04-01-18

29

15401111 758661 37860 2018.05000 THE HEISING-SIMONS FOUNDATION 37860_1
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<td>SILICON VALLEY DE-BUG</td>
<td>701 LENZEN AVENUE, SAN JOSE, CA 95126-2734</td>
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<td>PC</td>
<td>POST-HUMPHREY BAIL IMPLEMENTATION IN CALIFORNIA</td>
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<td>SOUTHERN CENTER FOR HUMAN RIGHTS</td>
<td>83 POPLAR STREET NW, ATLANTA, GA 30303</td>
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<td>GEORGIA CAMPAIGN TO END WEALTH-BASED DETENTION</td>
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<td>GRANTMAKERS FOR EDUCATION</td>
<td>851 SW 6TH AVENUE, SUITE 350, PORTLAND, OR 97204</td>
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<td>2018 &amp; 2019 MEMBERSHIP DUES, GRANTMAKERS FOR EDUCATION ANNUAL CONFERENCE</td>
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<td>GREATER WASHINGTON EDUCATIONAL TELECOMMUNICATIONS ASSOCIATION, INC.</td>
<td>3939 CAMPBELL AVENUE, ARLINGTON, VA 22206</td>
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<td>BRIEF BUT SPECTACULAR</td>
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<td>TIDES FOUNDATION</td>
<td>201 W 5TH STREET, SUITE 1100, AUSTIN, TX 78701</td>
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<td>JUSTICE COLLABORATIVE, SMART JUSTICE CALIFORNIA</td>
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<td>AMERICAN PHYSICAL SOCIETY</td>
<td>1 PHYSICS ELLIPSE, COLLEGE PARK, MD 20740-3842</td>
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<td>CECILIA PAYNE Gaposchkin Doctoral Dissertation Award in Astrophysics</td>
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<td>ELLA BAKER CENTER FOR HUMAN RIGHTS IN CALIFORNIA</td>
<td>1970 BROADWAY, SUITE 1125, OAKLAND, CA 94612-2234</td>
<td>N/A</td>
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<td>RESTORE OAKLAND CAPITAL CAMPAIGN</td>
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<td>EDUCATION FIRST CONSULTING LLC</td>
<td>P.O. BOX 22871, SEATTLE, WA 98122</td>
<td>N/A</td>
<td>NC</td>
<td>FAMILY MATH FLUENCY</td>
<td>134,508.</td>
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<td>1050 CONNECTICUT AVE., NW 5TH FLOOR, WASHINGTON, DC 20036</td>
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<td>5 THIRD STREET, SUITE 1108, SAN FRANCISCO, CA 94103</td>
<td>N/A</td>
<td>PC</td>
<td>2018 NATIONAL CONVENTION SPONSORSHIP</td>
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Total from continuation sheets
### Part XV: Supplementary Information

#### 3 Grants and Contributions Paid During the Year (Continuation)

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<th>Foundation status of recipient</th>
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<tr>
<td>URBAN INSTITUTE</td>
<td>PC</td>
<td>FROM SAFETY NET TO SOLID GROUND</td>
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<td>UPRUST, INC.</td>
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<td>CALIFORNIA PUBLIC DEFENDER COURT REMINDER PILOT</td>
<td>3981 25TH STREET SAN FRANCISCO, CA 94114</td>
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<td>LATINO COMMUNITY FOUNDATION</td>
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<td>GENERAL SUPPORT</td>
<td>235 MONTGOMERY STREET, SUITE 1160 SAN FRANCISCO, CA 94104</td>
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<td>CENTER FOR ENERGY EFFICIENCY AND RENEWABLE TECHNOLOGIES</td>
<td>PC</td>
<td>BUILDING DECARBONIZATION COALITION, WESTERN GRID GROUP</td>
<td>346 WILSON STREET PETALUMA, CA 94952</td>
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<td>HUMAN RIGHTS WATCH INC.</td>
<td>PC</td>
<td>UNITED STATES PROGRAM CRIMINAL JUSTICE AND IMMIGRATION</td>
<td>350 FIFTH AVENUE, 34TH FLOOR NEW YORK, NY 10118-3299</td>
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<td>MARSHALL PROJECT INC.</td>
<td>PC</td>
<td>GENERAL SUPPORT, WE ARE WITNESSES - BECOMING AN AMERICAN</td>
<td>156 W 56TH ST. SUITE 701 NEW YORK, NY 10019-3877</td>
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<td>STEWARDS OF CHANGE INSTITUTE</td>
<td>PC</td>
<td>SCAN OF DATA INTEGRATION EFFORTS IN CA</td>
<td>100 CENTERSHORE ROAD CENTERPORT, NY 11721</td>
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<td>PARTNERSHIP PROJECT</td>
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<td>CLEAN CARS CAMPAIGN</td>
<td>1101 CONNECTICUT AVENUE NORTHWEST, 10TH FLOOR WASHINGTON, DC 20036</td>
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<td>GENERATION CITIZEN INC</td>
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<td>110 WALL STREET, 5TH FLOOR NEW YORK, NY 10005</td>
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<td>75 BROAD STREET, 31ST FLOOR NEW YORK, NY 10004-2471</td>
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**Total from continuation sheets**

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04-01-18
3
Total from continuation sheets
Grants and Contributions Paid During the Year (Continuation)
If recipient is an individual, show any relationship to any foundation manager or substantial contributor
Recipient
Foundation status of recipient
Purpose of grant or contribution
Amount

**URBAN INSTITUTE**
2100 M STREET NW
WASHINGTON, DC 20037-1207

**UPRUST, INC.**
3981 25TH STREET
SAN FRANCISCO, CA 94114

**LATINO COMMUNITY FOUNDATION**
235 MONTGOMERY STREET, SUITE 1160
SAN FRANCISCO, CA 94104

**CENTER FOR ENERGY EFFICIENCY AND RENEWABLE TECHNOLOGIES**
346 WILSON STREET
PETALUMA, CA 94952

**HUMAN RIGHTS WATCH INC.**
350 FIFTH AVENUE, 34TH FLOOR
NEW YORK, NY 10118-3299

**MARSHALL PROJECT INC.**
156 W 56TH ST. SUITE 701
NEW YORK, NY 10019-3877

**STEWARDS OF CHANGE INSTITUTE**
100 CENTERSHORE ROAD
CENTERPORT, NY 11721

**PARTNERSHIP PROJECT**
1101 CONNECTICUT AVENUE NORTHWEST, 10TH FLOOR
WASHINGTON, DC 20036

**GENERATION CITIZEN INC**
110 WALL STREET, 5TH FLOOR
NEW YORK, NY 10005

**HUMAN RIGHTS FIRST**
75 BROAD STREET, 31ST FLOOR
NEW YORK, NY 10004-2471

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THE HEISING-SIMONS FOUNDATION
26-0799587

2018.05000 THE HEISING-SIMONS FOUNDATION 37860__1
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<th>Recipient</th>
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<td>IMPACT JUSTICE</td>
<td>2633 TELEGRAPH AVENUE STE 104 OAKLAND, CA 94612-1744</td>
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<td>CIVIL RIGHTS CORPS</td>
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<td>BOREALIS PHILANTHROPY</td>
<td>P.O. BOX 3295 MINNEAPOLIS, MN 55403</td>
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<td>65-1120 MAMALAHOA HIGHWAY KAMUELA, HI 96743-8431</td>
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<td>PC</td>
<td>KECK PLANET FINDER</td>
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<td>MASSACHUSETTS INSTITUTE OF TECHNOLOGY</td>
<td>77 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02139</td>
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<td>51 PEGASI B, ASTERIA, CONSTRUCTION, FLIGHT, AND FIRST DARK MATTER RESULTS OF THE GAPS SI DETECTOR SYSTEM, MIT</td>
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<td>ASSOCIATION OF UNIVERSITIES FOR RESEARCH ASTRONOMY INC</td>
<td>1331 PENNSYLVANIA AVENUE NORTHWEST, SUITE 1475 WASHINGTON, DC 20004</td>
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<td>LAS CUMBRES OBSERVATORY GLOBAL TELESCOPE NETWORK INC.</td>
<td>6740 CORTONA DRIVE, SUITE 102 GOLETA, CA 93117-5575</td>
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<td>TARGET AND OBSERVATION MANAGEMENT TOOLKIT</td>
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<td>1801 MAPLE AVENUE, 2ND FLOOR, SUITE 2410 EVANSTON, IL 60201</td>
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<td>UNIVERSITY OF WASHINGTON</td>
<td>4333 BROOKLYN AVENUE NE SEATTLE, WA 98195-9472</td>
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<td>444 NORTH CAPITOL STREET, SUITE 267 WASHINGTON, DC 20001</td>
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<td>2831 SAINT ROSE PKWY, SUITE 200 HENDERSON, NV 89052</td>
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<td>PLANNED PARENTHOOD MAR MONTE, INC.</td>
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<td>1605 THE ALAMEDA SAN JOSE, CA 95126</td>
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<td>SILICON VALLEY COUNCIL OF NONPROFITS</td>
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<td>1400 PARKMOOR AVE., SUITE 130 SAN JOSE, CA 95126-3797</td>
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<td>P.O. BOX 870245 MILTON VILLAGE, MA 02187</td>
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Total from continuation sheets
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<th>Purpose of grant or contribution</th>
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<td>870 MARKET STREET SUITE 677, SAN FRANCISCO, CA 94102-3024</td>
<td>N/A</td>
<td>PC</td>
<td>REAL ESTATE READINESS AND TECHNICAL ASSISTANCE SERVICES, SPACES FOR GOOD - SOUTH BAY AND</td>
<td>150,000.</td>
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<td>SV@HOME</td>
<td>350 W JULIAN ST, #5, SAN JOSE, CA 95110</td>
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<td>JUSTICE LA CAMPAIGN</td>
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<td>51 PEGASI B, A SEARCH FOR COSMOLOGICAL SIGNATURES OF THE AXION, AN INTEGRAL FIELD SPECTROSCOPIC</td>
<td>3,742,345.</td>
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<td>TRUSTEES OF PRINCETON UNIVERSITY</td>
<td>701 CARNegie CENTER SUITE 445, PRINCETON, NJ 08540-6242</td>
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<td>615 WEST 131ST STREET, SIXTH FLOOR, NEW YORK, NY 10027</td>
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<td>463 14TH STREET, SUITE 700</td>
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<td>PO BOX 29542 THE PRESIDIO OF SAN FRANCISCO</td>
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<td>1602 L STREET NW SUITE 300</td>
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<td>38 W SANTA CLARA STREET</td>
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<td>SAN JOSE, CA 95113</td>
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Total from continuation sheets
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<th>Recipient</th>
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<td>JAMES BELL ASSOCIATES 3033 WILSON BLVD., SUITE 650 ARLINGTON, VA 22201</td>
<td>N/A</td>
<td>NC</td>
<td>HOMEVISITING SHARE PROJECT</td>
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<td>CARNEGIE MELLON UNIVERSITY 5000 FORBES AVENUE PITTSBURGH, PA 15213</td>
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<td>DATA AT YOUR FINGER TIPS REALTIME DISCOVERY ENGINE FOR GAIA</td>
<td>55,000.</td>
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<td>UNIVERSITY OF CALIFORNIA SANTA BARBARA 3227 CHEADLE HALL SANTA BARBARA, CA 93106-2050</td>
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<td>ACCELERATION TODAY, KAVLI INSTITUTE OF THEORETICAL PHYSICS, QUANTUM BRAIN</td>
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<td>AMERICAN MUSEUM OF NATURAL HISTORY CENTRAL PARK WEST &amp; 79TH ST NEW YORK, NY 10024</td>
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<td>UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL 104 AIRPORT DRIVE, SUITE 2200, CB #1350 CHAPEL HILL, NC 27516</td>
<td>N/A</td>
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<td>QUICKENING HEARTBEATS ORBITAL DECAY OF YOUNG BINARIES</td>
<td>36,144.</td>
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<td>CALIFORNIA INSTITUTE OF TECHNOLOGY 1200 EAST CALIFORNIA BOULEVARD PASADENA, CA 91125</td>
<td>N/A</td>
<td>PC</td>
<td>51 PEGASI B, COMBINING HIGH-RESOLUTION SPECTROSCOPY AND HIGH-CONTRAST IMAGING FOR EXOPLANET</td>
<td>1,617,577.</td>
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<td>N/A</td>
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<td>DISCOVERY OF BINARY SUPER MASSIVE BLACK HOLES USING ASTROMETRIC JITTER, PALC</td>
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<td>JOHNS HOPKINS UNIVERSITY 1101 EAST 33RD STREET, SUITE CO20 BALTIMORE, MD 21218-3637</td>
<td>N/A</td>
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<td>UNIVERSITY OF UTAH 201 PRESIDENTS CIRCLE SALT LAKE CITY, UT 84112</td>
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<td>MAPPING EXPLOSIVE ENRICHMENT</td>
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<td>UNIVERSITY OF PITTSBURGH 4200 FIFTH AVE PITTSBURGH, PA 15260</td>
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Total from continuation sheets
### Grants and Contributions Paid During the Year (Continuation)

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<td>PEN AMERICAN CENTER INC</td>
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<td>THE GROUNDTRUTH PROJECT INC.</td>
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Total from continuation sheets
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<td>SOUTHERN POVERTY LAW CENTER INC</td>
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<td>FOUNDATION FOR MINORITY INTERESTS IN MEDIA, INC</td>
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<td>GENERAL SUPPORT</td>
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<td>C/O NBC UNIVERSAL 30 ROCKEFELLER PLAZA CAMPUS 1221, OFFICE 28A42 NEW YORK, NY 10112</td>
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<td>N/A</td>
<td>PC</td>
<td>KARABELLE PIZZIGATI INITIATIVE FOR CHILD, YOUTH, AND FAMILY ADVOCACY</td>
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<td>PC</td>
<td>RENEW OREGON</td>
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<td>222 NW DAVIS STREET #309</td>
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<td>VANDERBILT UNIVERSITY</td>
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<td>PC</td>
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<td>UNIVERSITY OF CHICAGO</td>
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<td>51 PEGASI B, GETTING ON TRACK EARLY FOR SCHOOL SUCCESS - IMPROVING SCALABILITY AND SUSTAINING</td>
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<td>CHICAGO, IL 60637-2612</td>
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Total from continuation sheets
### Part XV  Supplementary Information

#### 3 Grants and Contributions Paid During the Year (Continuation)

<table>
<thead>
<tr>
<th>Recipient</th>
<th>Name and address (home or business)</th>
<th>If recipient is an individual, show any relationship to any foundation manager or substantial contributor</th>
<th>Foundation status of recipient</th>
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<th>Amount</th>
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<td>WOMEN MAKE MOVIES</td>
<td>115 WEST 29TH STREET RM 1200 NEW YORK, NY 10001</td>
<td>N/A</td>
<td>PC</td>
<td>THE UPRISING DOCUMENTARY FILM, THE UPRISING FILM - PRODUCTION AND OUTREACH</td>
<td>400,000.</td>
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<td>COUNCIL FOR A STRONG AMERICA</td>
<td>1212 NEW YORK AVE. NW, SUITE 300 WASHINGTON, DC 20005-0000</td>
<td>N/A</td>
<td>PC</td>
<td>UNEXPECTED MESSENGERS BUILDING SUPPORT FOR CHILDREN AND FAMILIES</td>
<td>150,000.</td>
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<td>THE REGENTS OF THE UNIVERSITY OF CALIFORNIA LOS ANGELES</td>
<td>10920 WILSHIRE BLVD STE 620 LOS ANGELES, CA 90024-6505</td>
<td>N/A</td>
<td>PC</td>
<td>BUILDING STATE INFRASTRUCTURE IN EARLY CHILDHOOD MATHEMATICS, PALC, PLANNING FOR GALACTIC</td>
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<td>SIERA HEALTH FOUNDATION CENTER FOR HEALTH PROGRAM MANAGEMENT</td>
<td>1321 GARDEN HIGHWAY SUITE 201 SACRAMENTO, CA 95833</td>
<td>N/A</td>
<td>PC</td>
<td>SJVHF CENSUS CLUSTER PROJECT</td>
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<td>NATURAL RESOURCES DEFENSE COUNCIL</td>
<td>111 SUTTHER STREET SAN FRANCISCO, CA 94104</td>
<td>N/A</td>
<td>PC</td>
<td>CLIMATE AND CLEAN ENERGY, EV FULL TIME EMPLOYEE, FEDERAL CLIMATE AND CLEAN ENERGY POLICY</td>
<td>4,320,000.</td>
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<td>ADVANCED ENERGY ECONOMY INSTITUTE</td>
<td>1000 VERMONT AVE NW, 3RD FLOOR WASHINGTON, DC 20005</td>
<td>N/A</td>
<td>PC</td>
<td>21ST CENTURY ELECTRICITY SYSTEM AND WHOLESALE MARKETS, CLEAN CARS, GUBERNATORIAL</td>
<td>960,000.</td>
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<td>PRESIDENT AND FELLOWS OF HARVARD COLLEGE</td>
<td>1033 MASSACHUSETTS AVENUE, 5TH FLOOR CAMBRIDGE, MA 02138</td>
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<td>PC</td>
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<td>AMERICAN COUNCIL FOR AN ENERGY-EFFICIENT ECONOMY</td>
<td>529 14TH STREET N.W., SUITE 600 WASHINGTON, DC 20045-1000</td>
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<td>P.O. BOX 29907 SAN FRANCISCO, CA 94129</td>
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<td>NORTHERN CALIFORNIA GRANTMAKERS</td>
<td>160 SPEAR STREET, SUITE 360 SAN FRANCISCO, CA 94105-1543</td>
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Total from continuation sheets
## Part XV  Supplementary Information

### Grants and Contributions Paid During the Year (Continuation)

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Total from continuation sheets
### Grants and Contributions Approved for Future Payment (Continuation)

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<th>Recipient</th>
<th>If recipient is an individual, show any relationship to any foundation manager or substantial contributor</th>
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Total from continuation sheets 36,470,558.
### Grants and Contributions Approved for Future Payment (Continuation)

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<th>Recipient</th>
<th>If recipient is an individual, show any relationship to any foundation manager or substantial contributor</th>
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<td>ARLINGTON, VA 22201</td>
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### Part XV Supplementary Information

#### Grants and Contributions Approved for Future Payment (Continuation)

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<tr>
<th>Recipient</th>
<th>Name and address (home or business)</th>
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<td>450 SERRA MALL STANFORD, CA 94305</td>
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<td>THE PENNSYLVANIA STATE UNIVERSITY</td>
<td>110 TECHNOLOGY CENTER BUILDING UNIVERSITY PARK, PA 16802</td>
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<td>UNIVERSITY OF CALIFORNIA SANTA BARBARA</td>
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<td>KAVLI INSTITUTE OF THEORETICAL PHYSICS</td>
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<td>NATURAL RESOURCES DEFENSE COUNCIL</td>
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<td>UNIVERSITY PAYROLL SERVICES, UNIVERSITY GARDENS UGB 203 LOS ANGELES, CA 90089-8003</td>
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**Total from continuation sheets**
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<td>SEATTLE, WA 98195-9472</td>
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<td>ASSOCIATION OF UNIVERSITIES FOR RESEARCH ASTRONOMY INC</td>
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Total from continuation sheets
## Grants and Contributions Approved for Future Payment (Continuation)

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<th>Recipient</th>
<th>Name and address (home or business)</th>
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<td>1200 EAST CALIFORNIA BOULEVARD PASADENA, CA 91125</td>
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<td>INVESTIGATING THE DYNAMICAL SKY WITH THE ZWICKY TRANSIENT FACILITY, ZTF DATA SPACE - CORE</td>
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<td>ROSENBERG FOUNDATION</td>
<td>131 STEUART STREET SAN FRANCISCO, CA 94105</td>
<td>N/A</td>
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<td>CALIFORNIA CHILDREN AND FAMILIES FOUNDATION INC.</td>
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<td>N/A</td>
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<td>THE EDNA MCCONNELL CLARK FOUNDATION</td>
<td>415 MADISON AVE TENTH FLOOR NEW YORK, NY 10017</td>
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<td>CENTER FOR INVESTIGATIVE REPORTING INC.</td>
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<td>TIDES CENTER</td>
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<td>AMERICAN CIVIL LIBERTIES UNION FOUNDATION OF NORTHERN CALIFORNIA</td>
<td>39 DRUM ST. SAN FRANCISCO, CA 94111-4805</td>
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<td>THE UNITY CARE GROUP</td>
<td>1400 PARKMOOR AVENUE SUITE 115 SAN JOSE, CA 95126</td>
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<td>ABLE WORKS</td>
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3 Grants and Contributions Approved for Future Payment (Continuation)

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<td>YOUTH RADIO</td>
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Total from continuation sheets
## Grants and Contributions Approved for Future Payment (Continuation)

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<th>Recipient</th>
<th>If recipient is an individual, show any relationship to any foundation manager or substantial contributor</th>
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<td>ABRAHAM STREEP</td>
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<td>2019 AMERICAN MOSAIC JOURNALISM PRIZE WINNER 1</td>
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<td>RACHEL KAADZI GHANSAH</td>
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Total from continuation sheets
### Part XV: Supplementary Information

#### Grants and Contributions Approved for Future Payment (Continuation)

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<th>Recipient</th>
<th>If recipient is an individual, show any relationship to any foundation manager or substantial contributor</th>
<th>Foundation status of recipient</th>
<th>Purpose of grant or contribution</th>
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<td>PRESIDENT AND FELLOWS OF HARVARD COLLEGE</td>
<td>N/A</td>
<td>PC</td>
<td>SEARCHING FOR TIME REVERSAL VIOLATION WITH COLD MOLECULES, C-CHANGE</td>
<td>1,045,000.</td>
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<tr>
<td>1033 MASSACHUSETTS AVENUE, 5TH FLOOR</td>
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<tr>
<td>CAMBRIDGE, MA 02138</td>
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<tr>
<td>YALE UNIVERSITY</td>
<td>N/A</td>
<td>PC</td>
<td>CLIMATE CONNECTIONS, SUPPORTING EARLY CHILDHOOD EQUITY TO END PRESCHOOL EXPULSIONS</td>
<td>839,248.</td>
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<tr>
<td>P.O. BOX 208327</td>
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<tr>
<td>NEW HAVEN, CT 06520-8327</td>
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<tr>
<td>REGENTS OF THE UNIVERSITY OF CALIFORNIA AT BERKELEY</td>
<td>N/A</td>
<td>PC</td>
<td>CSCCE ADVANCING THE EARLY CHILDHOOD WORKFORCE, PREDICTIVE WAVEFRONT SENSOR CONTROL SYSTEM, AN</td>
<td>2,006,147.</td>
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<tr>
<td>2150 SHATTUCK AVENUE, SUITE 300</td>
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<tr>
<td>BERKELEY, CA 94704</td>
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Total from continuation sheets
### Part XV Supplementary Information

#### 3 Grants and Contributions Approved for Future Payment (Continuation)

<table>
<thead>
<tr>
<th>Recipient</th>
<th>Foundation status of recipient</th>
<th>Purpose of grant or contribution</th>
<th>Amount</th>
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<tr>
<td>ARIZONA STATE UNIVERSITY FOUNDATION</td>
<td>N/A</td>
<td>CHARACTERIZATION OF EPHEMERAL MOLECULES RELEVANT TO FUNDAMENTAL PHYSICS, THE STATE OF EQUITY IN</td>
<td>425,712.</td>
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<tr>
<td>P.O. BOX 2260</td>
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<td>TEMPE, AZ 85280-2260</td>
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<tr>
<td>REGENTS OF THE UNIVERSITY OF MICHIGIC</td>
<td>N/A</td>
<td>KNOWN-ENERGY NEUTRINOS FOR STUDYING THE NATURE OF MATTER</td>
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<td>3003 SOUTH STATE STREET, ROOM 1062</td>
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<td>ANN ARBOR, MI 48109-1274</td>
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<td>NATIONAL PUBLIC EDUCATION SUPPORT FUND</td>
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<td>2019 MEMBERSHIP DUES FOR EDUCATION FUNDER STRATEGY GROUP AND EXECUTIVE SEARCH SUPPORT</td>
<td>35,000.</td>
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<tr>
<td>1900 L ST. NW, SUITE 520</td>
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<tr>
<td>WASHINGTON, DC 20036</td>
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<tr>
<td>GROWTH PHILANTHROPY NETWORK INC</td>
<td>N/A</td>
<td>LEARNING COMMUNITY ON SCALED IMPACT</td>
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<tr>
<td>122 EAST 42ND STREET, 17TH FLOOR</td>
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<tr>
<td>NEW YORK, NY 10168</td>
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<td>REGENTS OF THE UNIVERSITY OF CALIFORNIA, DAVIS</td>
<td>N/A</td>
<td>3 REVOLUTIONS, CONFERENCE FOR UNDERGRADUATE WOMEN IN PHYSICS AT UC DAVIS</td>
<td>284,960.</td>
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<td>ONE SHIELD AVENUE</td>
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<td>DAVIS, CA 95616</td>
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<tr>
<td>NORTHERN CALIFORNIA GRANTMAKERS</td>
<td>N/A</td>
<td>NONPROFIT DISPLACEMENT PROJECT, CENSUS 2020, BETTER CALIFORNIA, AND PHILANTHROPY CALIFORNIA</td>
<td>162,510.</td>
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<td>160 SPEAR STREET, SUITE 360</td>
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<td>SAN FRANCISCO, CA 94105-1543</td>
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<td>GRANTMAKERS CONCERNED WITH IMMIGRANTS AND REFUGEES</td>
<td>N/A</td>
<td>CALIFORNIA STATEWIDE CENSUS 2020 FUNDERS INITIATIVE</td>
<td>50,000.</td>
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<td>PO BOX 1100</td>
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<td>SEBASTOPOL, CA 95472</td>
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<tr>
<td>GREATNONPROFITS</td>
<td>N/A</td>
<td>CENSUS 2020 ENUMERATOR PROJECT</td>
<td>100,000.</td>
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<tr>
<td>330 TWIN DOLPHIN DR., SUITE 131</td>
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<tr>
<td>REDWOOD CITY, CA 94065-1455</td>
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<tr>
<td>WITNESS INC.</td>
<td>N/A</td>
<td>STRENGTHENING CIVIC WITNESSING AND VIDEO AS EVIDENCE IN THE US</td>
<td>50,000.</td>
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<td>80 HANSON PLACE, 5TH FLOOR</td>
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<td>BROOKLYN, NY 11217-2998</td>
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<td>GEORGETOWN UNIVERSITY</td>
<td>N/A</td>
<td>TULSA PRE-K THROUGH HIGH SCHOOL, THE ROLE OF SELF-REGULATION AND CLASSROOM SELF-REGULATORY</td>
<td>888,029.</td>
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<td>37TH AND O STREETS NW</td>
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<tr>
<td>WASHINGTON, DC 20057-0001</td>
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3  Grants and Contributions Approved for Future Payment (Continuation)

<table>
<thead>
<tr>
<th>Recipient</th>
<th>If recipient is an individual, show any relationship to any foundation manager or substantial contributor</th>
<th>Foundation status of recipient</th>
<th>Purpose of grant or contribution</th>
<th>Amount</th>
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<tr>
<td>THE MIAMI FOUNDATION INC</td>
<td>N/A</td>
<td>PC</td>
<td>THE LEGAL CLINIC FUND</td>
<td>135,000.</td>
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<tr>
<td>40 NW 3RD STREET, SUITE 305</td>
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<tr>
<td>MIAMI, FL 33128</td>
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<tr>
<td>THIRD SECTOR NEW ENGLAND INC.</td>
<td>N/A</td>
<td>PC</td>
<td>WORKFORCE POOLED FUND,</td>
<td>1,970,000</td>
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<td>89 SOUTH STREET, SUITE 700</td>
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<td>CHILD CARE ORGANIZING</td>
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<td>BOSTON, MA 02111-2679</td>
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<td>POOLED FUND</td>
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<tr>
<td>SAN JOSE POLICE FOUNDATION</td>
<td>N/A</td>
<td>PC</td>
<td>2018 GUN BUY BACK</td>
<td>10,000.</td>
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<td>101 WEST SANTA CLARA STREET</td>
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<td>EVENT SPONSORSHIP</td>
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<td>SAN JOSE, CA 95113</td>
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Total from continuation sheets
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<tr>
<th>NAME OF RECIPIENT</th>
<th>PURPOSE OF GRANT OR CONTRIBUTION</th>
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<tbody>
<tr>
<td>REGENTS OF THE UNIVERSITY OF CALIFORNIA AT RIVERSIDE</td>
<td>INSTIGATING A MECHANISTIC UNDERSTANDING OF THE DYNAMICS OF THE SEDIMENTARY RECORD (IMUDS)</td>
</tr>
<tr>
<td>TRUSTEES OF PURDUE UNIVERSITY</td>
<td>ENGAGING PRESCHOOL CHILDREN IN MATH LANGUAGE AT HOME - THE DEVELOPMENT AND EVALUATION OF A FAMILY-IMPLEMENTED ST</td>
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<tr>
<td>MIGRATION POLICY INSTITUTE</td>
<td>FIELD-BUILDING TO SUPPORT DUAL LANGUAGE LEARNER SUCCESS IN LINGUISTICALLY DIVERSE EARLY CHILDHOOD SETTINGS</td>
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<tr>
<td>MATHEMATICA POLICY RESEARCH, INC.</td>
<td>ENGAGING FAMILIES IN EARLY MATH IMPLEMENTATION STUDY DESIGN, ENGAGING FAMILIES IN EARLY MATH SCALE-UP STUDY</td>
</tr>
<tr>
<td>YALE UNIVERSITY</td>
<td>51 PEGASII B, A TABLETOP EXPERIMENT TO DETECT TIME-REVERSAL VIOLATING PHYSICS IN A NUCLEUS, CLIMATE CONNECTIONS, SEARCH FOR 100 EARTHS, TESTS OF LONG-RANGE FORCES WITH LEVITATED MICROSPHERES AT THE QUANTUM LIMIT - LASER MODE CLEANING AND STABILIZA</td>
</tr>
<tr>
<td>ENVIRONMENTAL DEFENSE FUND, INC.</td>
<td>COLORADO CLEAN CAR STANDARDS, EDF CLIMATE AND ENERGY, OCEANS, HABITAT EXCHANGE AND ESA DEFENSE, WESTERN WATER, CLIMATE SCIENCE, AND NATURAL GAS WASTE WATER</td>
</tr>
<tr>
<td>GREAT PLAINS INSTITUTE</td>
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ENABLING GREENHOUSE GAS REDUCTIONS IN THE MIDCONTINENT GRID, REDUCING GHG IN THE MIDCONTINENT ELECTRICITY GRID

NAME OF RECIPIENT - CITIZENS UTILITY BOARD
MAXIMIZING CONSUMER BENEFITS FROM TRANSPORTATION ELECTRIFICATION,
MAXIMIZING THE CONSUMER VALUE FROM ELECTRIC VEHICLE DEPLOYMENT

NAME OF RECIPIENT - THIRD SECTOR NEW ENGLAND INC.
2018 ECFC MEMBERSHIP DUES, EXPANDING THE CAPACITY AND MEMBER SERVICES OF ECFC, POOLED FUND FOR EARLY CHILDHOOD WORKFORCE, PROSECUTOR IMPACT,
WORKFORCE POOLED FUND

NAME OF RECIPIENT - AMERICAN ASSOCIATION FOR THE ADVANCEMENT OF SCIENCE
2018 MASS MEDIA SCIENCE AND ENGINEERING FELLOWS PROGRAM, STEM EQUITY ACHIEVEMENT (SEA) CHANGE

NAME OF RECIPIENT - NEW VENTURE FUND
CENSUS 2020 EQUITY FUND AND COUNTING FOR DEMOCRACY CONFERENCE SPONSORSHIP, CLIMATE AND CLEAN ENERGY EQUITY FUND, EARLY EDGE, FUND FOR A SAFER FUTURE, SCIENCE PHILANTHROPY ALLIANCE, SCIENCE PHILANTHROPY ALLIANCE MEMBERSHIP

NAME OF RECIPIENT - THE PENNSYLVANIA STATE UNIVERSITY
DATA ASSIMILATION AND PALEOCLIMATE PROXIES, EMERGING RESEARCHERS IN EXOPLANET SCIENCE (ERES-IV) SYMPOSIUM 2018, INSTIGATING A MECHANISTIC UNDERSTANDING OF THE DYNAMICS OF THE SEDIMENTARY RECORD (IMUDS), NEXT GENERATION COMPUTATIONAL TOOLS FOR NEAR-INFRARED RADIAL VELOCITY MEASUREMENTS, SENSOR NETWORKS FOR GREENLAND GLACIERS PHASE 1
<table>
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<th>NAME OF RECIPIENT</th>
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<tr>
<td>CENTER FOR LAW AND SOCIAL POLICY</td>
<td>ADVANCING EARLY CARE AND EDUCATION POLICY FOR CHILDREN IN LOW-INCOME FAMILIES, PROTECTING IMMIGRANT FAMILIES CAMPAIGN</td>
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<td>BOREALIS PHILANTHROPY</td>
<td>COMMUNITY ADVOCACY BAIL REFORM FUND, MIGRANT PROSECUTIONS, RACIAL EQUITY IN THE NONPROFIT SECTOR COLLABORATIVE</td>
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<tr>
<td>MASSACHUSETTS INSTITUTE OF TECHNOLOGY</td>
<td>51 PEGASI B, ASTERIA, CONSTRUCTION, FLIGHT, AND FIRST DARK MATTER RESULTS OF THE GAPS SI DETECTOR SYSTEM, MIT ENERGY INITIATIVE FUTURE OF STORAGE STUDY, PALC, RISING STARS IN PHYSICS WORKSHOPS, STUDIES OF THE NOVEL RFQ INJECTION SYSTEM FOR ISODAR</td>
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<tr>
<td>NORTHWESTERN UNIVERSITY</td>
<td>FORCE SENSING AT THE QUANTUM LIMIT LEVITATED MICROSPHERES, RAPID CLASSIFICATION OF TRANSIENTS</td>
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<tr>
<td>UNIVERSITY OF WASHINGTON</td>
<td>AXION MICROWAVE CAVITY DESIGN WORKSHOP, DATA ASSIMILATION FOR DEEP TIME, NATIONAL P-3 CENTER, TAMING AND TRAINING THE ZTF ALERT STREAM</td>
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<tr>
<td>NATIONAL GOVERNORS ASSOCIATION CENTER FOR BEST PRACTICES</td>
<td>ELECTRIC VEHICLE REGIONAL WORKSHOPS, SUPPORTING GOVERNORS AND STATES ON IMPROVING EARLY CARE AND EDUCATION POLICIES</td>
</tr>
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</table>
3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - REGENTS OF THE UNIVERSITY OF CALIFORNIA SANTA CRUZ

2018 OWL EXOPLANET SUMMER WORKSHOP, ASTROTECH SUMMER INTENSIVE

INSTRUMENTATION PROGRAM, P-CESM - A MODEL FOR EXPLORING DEEP TIME CLIMATES TO FURTHER OUR UNDERSTANDING OF EARTHS FUTURE

NAME OF RECIPIENT - REGENTS OF THE UNIVERSITY OF CALIFORNIA AT SAN DIEGO

GREENLAND ICE OCEAN OBSERVING SYSTEM (GRIIOS), SIMONS OBSERVATORY, VISITING PROFESSORSHIP OF PHYSICS AT UCSD

NAME OF RECIPIENT - THE ENERGY FOUNDATION

CANADA METHANE AND TRANSPORTATION OPPORTUNITY, ELECTRIFICATION STRATEGY DEVELOPMENT, WESTERN CLIMATE AND CLEAN ENERGY POLICY AND GRIDLAB

NAME OF RECIPIENT - COMMUNITY VISION CAPITAL AND CONSULTING

REAL ESTATE READINESS AND TECHNICAL ASSISTANCE SERVICES, SPACES FOR GOOD - SOUTH BAY AND PENINSULA EXPANSION

NAME OF RECIPIENT - REGENTS OF THE UNIVERSITY OF CALIFORNIA AT BERKELEY

51 PEGASI B, A SEARCH FOR COSMOLOGICAL SIGNATURES OF THE AXION, AN INTEGRAL FIELD SPECTROSCOPIC STUDY OF BLACK HOLES, BERKELEY CENTER FOR COSMOLOGICAL PHYSICS (BCCP), CASPER - THE COSMIC AXION SPIN PRECESSION EXPERIMENT, CENTER FOR THE STUDY OF CHILD CARE EMPLOYMENT, CREATING NOVEL FORMS OF MATTER WITH ULTRACOLD GASES OF TRANSITION-METAL ATOMS, CSCCE ADVANCING THE EARLY CHILDHOOD WORKFORCE, DETECTOR DEVELOPMENT FOR THE SIMONS OBSERVATORY, JBEI, PREDICTIVE WAVEFRONT SENSOR CONTROL SYSTEM

NAME OF RECIPIENT - CALIFORNIA INSTITUTE OF TECHNOLOGY
Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

51 PEGASI B, COMBINING HIGH-RESOLUTION SPECTROSCOPY AND HIGH-CONTRAST IMAGING FOR EXOPLANET CHARACTERIZATION, CP-VIOLATING PHYSICS VIA NUCLEAR MAGNETIC QUADRUPOLE MOMENTS, FUTURE OF PHYSICS @ CALTECH, HIGH CONTRAST CORONAGRAPH MODULE FOR THE KECK PLANET IMAGER AND CHARACTERIZER SYSTEM, INVESTIGATING THE DYNAMICAL SKY WITH THE ZWICKY TRANSIENT FACILITY, NUCLEATING A NEW GENERATION OF EARTH SYSTEM MODELS, OBSERVING THE ORIGIN OF THE UNIVERSE, QUICKENING HEARTBEATS ORBITAL DECAY OF YOUNG BINARIES, ZTF DATA SPACE – CORE INFRASTRUCTURE

NAME OF RECIPIENT – JOHNS HOPKINS UNIVERSITY
DATA AT YOUR FINGER TIPS REALTIME DISCOVERY ENGINE FOR GAIA, DISCOVERY OF BINARY SUPER MASSIVE BLACK HOLES USING ASTROMETRIC JITTER, HOME VISITOR TRAINING TO IMPROVE COMMUNICATION QUALITY

NAME OF RECIPIENT – THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY
ACQUISITION OF AN OXYGEN ISOTOPE RATIO MASS SPECTROMETER, DARK MATTER RADIO DEVELOPMENT OF THE PHASE 1 EXPERIMENT, DREME, ELECTRICITY MARKETS GAME-BASED TRAINING, FORCE SENSING AT THE QUANTUM LIMIT WITH LEVITATED MICROSPHERES – GRAVITATIONAL ATTRACTIONORS AND VIBRATION CONTROL, GLOBAL ENERGY FORUM, GRADUATE SCHOOL OF EDUCATION 2018 CUBBERLEY LECTURE, PALC, STANFORD PACS AND THE DIGITAL CIVIL SOCIETY LAB

NAME OF RECIPIENT – SILICON VALLEY COMMUNITY FOUNDATION
CENTER FOR EARLY LEARNING, HEISING-SIMONS FUND, LOCAL CENSUS, REGIONAL MEETING SPONSORSHIP, THE BIG LIFT

NAME OF RECIPIENT – UNIVERSITY OF CHICAGO
Grants and Contributions Paid During the Year

Continuation of Purpose of Grant or Contribution

Part XV
Supplementary Information

51 PEGASI B, GETTING ON TRACK EARLY FOR SCHOOL SUCCESS - IMPROVING SCALABILITY AND SUSTAINING LEARNING GAINS BEYOND PRESCHOOL, GREATER CHICAGO UNDERGRADUATE WOMEN IN PHYSICS WORKSHOP, PALC, QUANTUM SENSORS FOR DARK MATTER AXION DETECTION

NAME OF RECIPIENT - THE REGENTS OF THE UNIVERSITY OF CALIFORNIA LOS ANGELES
BUILDING STATE INFRASTRUCTURE IN EARLY CHILDHOOD MATHEMATICS, PALC, PLANNING FOR GALACTIC CENTER ORBITS INITIATIVE

NAME OF RECIPIENT - NATURAL RESOURCES DEFENSE COUNCIL
CLIMATE AND CLEAN ENERGY, EV FULL TIME EMPLOYEE, FEDERAL CLIMATE AND CLEAN ENERGY POLICY ANALYSIS, SUSTAINABLE FERC

NAME OF RECIPIENT - ADVANCED ENERGY ECONOMY INSTITUTE
21ST CENTURY ELECTRICITY SYSTEM AND WHOLESALE MARKETS, CLEAN CARS, GUBERNATORIAL EDUCATION INITIATIVE

NAME OF RECIPIENT - PRESIDENT AND FELLOWS OF HARVARD COLLEGE
C-CHANGE, ENVIRONMENTAL & ENERGY LAW PROGRAM, FROM UNDOCUMENTED TO DACA AND POTENTIALLY DAPA-MENTED, PALC, SEARCHING FOR TIME REVERSAL VIOLATION WITH COLD MOLECULES

NAME OF RECIPIENT - TIDES CENTER
2018 & 2019 MEMBERSHIP DUES FOR EMERGING PRACTITIONERS IN PHILANTHROPY, ABRIENDO PUERTAS, CALIFORNIANS FOR SAFETY AND JUSTICE, CRIM- IMM LEADERSHIP CONVENING, EXPANDING COMMUNICATION CAPACITY TRAINING, IMPROVING INTEGRATED DATA SYSTEM MESSAGING
Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - REGENTS OF THE UNIVERSITY OF MICHIGAN

DATA ASSIMILATION AND CLIMATE MODELING, KNOWN-ENERGY NEUTRINOS FOR STUDYING THE NATURE OF MATTER, PALEOCLIMATE SIMULATION OF WARM CLIMATES, VARIATION IN EARLY MATHEMATICS INSTRUCTION
3b Grants and Contributions Approved for Future Payment Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - NORTHWESTERN UNIVERSITY

FORCE SENSING AT THE QUANTUM LIMIT LEVITATED MICROSPPHERES, RAPID CLASSIFICATION OF TRANSIENTS

NAME OF RECIPIENT - CALIFORNIA INSTITUTE OF TECHNOLOGY

INVESTIGATING THE DYNAMICAL SKY WITH THE ZWICKY TRANSIENT FACILITY, ZTF

DATA SPACE - CORE INFRASTRUCTURE

NAME OF RECIPIENT - NEW VENTURE FUND

EARLY EDGE, SCIENCE PHILANTHROPY ALLIANCE MEMBERSHIP, CLIMATE AND CLEAN ENERGY EQUITY FUND, HOPE AND HEAL FUND, FUND FOR A SAFER FUTURE

NAME OF RECIPIENT - REGENTS OF THE UNIVERSITY OF CALIFORNIA AT SAN DIEGO

SIMONS OBSERVATORY, GREENLAND ICE OCEAN OBSERVING SYSTEM (GRIEOS), VISITING PROFESSORSHIP OF PHYSICS, PRELIMINARY AND FINAL DESIGN OF LIGER SPECTROGRAPH

NAME OF RECIPIENT - REGENTS OF THE UNIVERSITY OF CALIFORNIA SANTA CRUZ

LOW-RESOLUTION ROBOTIC SPECTROGRAPH FOR TRANSIENT ASTRONOMY, ASTROTECH SUMMER INTENSIVE INSTRUMENTATION PROGRAM, FELLOWS IN TRANSIENT ASTROPHYSICS

NAME OF RECIPIENT - MASSACHUSETTS INSTITUTE OF TECHNOLOGY

CONSTRUCTION, FLIGHT, AND FIRST DARK MATTER RESULTS OF THE GAPS SI DETECTOR SYSTEM, MIT ENERGY INITIATIVE FUTURE OF STORAGE STUDY, EXOPLANET ATMOSPHERE BIOSIGNATURE GASES

NAME OF RECIPIENT - REGENTS OF THE UNIVERSITY OF CALIFORNIA AT BERKELEY
Grants and Contributions Approved for Future Payment Continuation of Purpose of Grant or Contribution

CSCCE ADVANCING THE EARLY CHILDHOOD WORKFORCE, PREDICTIVE WAVEFRONT SENSOR CONTROL SYSTEM, AN INTEGRAL FIELD SPECTROSCOPIC STUDY OF BLACK HOLES, JBEI, A SEARCH FOR COSMOLOGICAL SIGNATURES OF THE AXION, GRADUATE SCHOOL OF JOURNALISM FELLOWSHIPS, UCO CHIEF DEVELOPMENT OFFICER, ASTROTECH SUMMER INTENSIVE INSTRUMENTATION PROGRAM

NAME OF RECIPIENT - ARIZONA STATE UNIVERSITY FOUNDATION

CHARACTERIZATION OF EPHEMERAL MOLECULES RELEVANT TO FUNDAMENTAL PHYSICS, THE STATE OF EQUITY IN AMERICA'S EARLY CHILDHOOD SYSTEM - A REPORT OF THE CHILDREN'S EQUITY PROJECT

NAME OF RECIPIENT - GEORGETOWN UNIVERSITY

TULSA PRE-K THROUGH HIGH SCHOOL, THE ROLE OF SELF-REGULATION AND CLASSROOM SELF-REGULATORY SUPPORTS IN EARLY EDUCATION
THE HEISING-SIMONS FOUNDATION

Employer identification number
26-0799587

Name of the organization
THE HEISING-SIMONS FOUNDATION

Organization type (check one):

☐ 501(c)( ) (enter number) organization
☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation
☐ 527 political organization

☐ 501(c)(3) exempt private foundation
☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the General Rule or a Special Rule.

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☐ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) $5,000; or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h; or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I (entering "N/A" in column (b) instead of the contributor name and address), II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions exclusively for religious, charitable, etc., purposes, but no such contributions totaled more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose. Don’t complete any of the parts unless the General Rule applies to this organization because it received nonexclusively religious, charitable, etc., contributions totaling $5,000 or more during the year ……………………………………………… ★ $ __________

Caution: An organization that isn’t covered by the General Rule and/or the Special Rules doesn’t file Schedule B (Form 990, 990-EZ, or 990-PF), but it must answer “No” on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn’t meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).
# Part I Contributors

<table>
<thead>
<tr>
<th>No.</th>
<th>Name, address, and ZIP + 4</th>
<th>Total contributions</th>
<th>Type of contribution</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>ELIZABETH SIMONS DELAWARE TRUST I&lt;br&gt;C/O JP MORGAN TRUST CO, 500 STANTON CHRISTIANA ROAD&lt;br&gt;NEWARK, DE 19713</td>
<td>$20,000,000</td>
<td>Person X Payroll Noncash</td>
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<tr>
<td>2</td>
<td>ELIZABETH SIMONS DELAWARE TRUST&lt;br&gt;C/O JP MORGAN TRUST CO, 500 STANTON CHRISTIANA ROAD&lt;br&gt;NEWARK, DE 19713</td>
<td>$9,700,000</td>
<td>Person X Payroll Noncash</td>
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<td>Person Payroll Noncash</td>
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</tbody>
</table>
### THE HEISING-SIMONS FOUNDATION

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate)  
(See instructions.) | (d) Date received |
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Employer identification number: 26-0799587

Name of organization: THE HEISING-SIMONS FOUNDATION

Schedule B (Form 990, 990-EZ, or 990-PF) (2018)

Page 3

Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.
<table>
<thead>
<tr>
<th>No. from Part I</th>
<th>Purpose of gift</th>
<th>Use of gift</th>
<th>Description of how gift is held</th>
<th>Transfer of gift</th>
<th>Transferee’s name, address, and ZIP + 4</th>
<th>Relationship of transferor to transferee</th>
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</tbody>
</table>

Use duplicate copies of Part III if additional space is needed.

Part III

THE HEISING-SIMONS FOUNDATION 26-0799587

Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of $1,000 or less for the year. (Enter info. once.) ▶ $
**Underpayment of Estimated Tax by Corporations**

**Part I**

**Required Annual Payment**

1. Total tax (see instructions) .................................................................................................................. 1,571,218.

2. a. Personal holding company tax (Schedule PH (Form 1120), line 26) included on line 1 ............... 2a

   b. Look-back interest included on line 1 under section 460(b)(2) for completed long-term contracts or section 167(g) for depreciation under the income forecast method ........................................ 2b

   c. Credit for federal tax paid on fuels (see instructions) ...................................................................... 2c

   d. Total. Add lines 2a through 2c ........................................................................................................ 2d

3. Subtract line 2d from line 1. If the result is less than $500, do not complete or file this form. The corporation does not owe the penalty ........................................................................................................ 1,571,218.

4. Enter the tax shown on the corporation’s 2017 income tax return. See instructions. Caution: If the tax is zero or the tax year was for less than 12 months, skip this line and enter the amount from line 3 on line 5 .................................................................................. 609,633.

5. Required annual payment. Enter the smaller of line 3 or line 4. If the corporation is required to skip line 4, enter the amount from line 3 ........................................................................................................ 609,633.

**Part II**

**Reasons for Filing** - Check the boxes below that apply. If any boxes are checked, the corporation must file Form 2220 even if it does not owe a penalty. See instructions.

6. The corporation is using the adjusted seasonal installment method.
7. The corporation is using the annualized income installment method.
8. X The corporation is a "large corporation" figuring its first required installment based on the prior year’s tax.

**Part III**

**Figuring the Underpayment**

9. Installment due dates. Enter in columns (a) through (d) the 15th day of the 4th (Form 990-PF filers: Use 5th month), 6th, 9th, and 12th months of the corporation’s tax year 

   a. 05/15/18  
   b. 06/15/18  
   c. 09/15/18  
   d. 12/15/18

10. Required installments. If the box on line 6 and/or line 7 above is checked, enter the amounts from Sch A, line 38. If the box on line 8 is checked, see instructions for the amounts to enter. If none of these boxes are checked, enter 25% (0.25) of line 5 above in each column

   a. 152,408.  
   b. 633,201.  
   c. 392,805.  
   d. 392,804.

11. Estimated tax paid or credited for each period. For column (a) only, enter the amount from line 11 on line 15. See instructions

   a. 1,690,892.

   Complete lines 12 through 18 of one column before going to the next column.

12. Enter amount, if any, from line 18 of the preceding column

   a. 1,538,484.  
   b. 905,283.  
   c. 512,478.

13. Add lines 11 and 12

   a. 1,538,484.  
   b. 905,283.  
   c. 512,478.

14. Add amounts on lines 16 and 17 of the preceding column

   a. 1,690,892.  
   b. 1,538,484.  
   c. 905,283.  
   d. 512,478.

15. Subtract line 14 from line 13. If zero or less, enter -0-

   a. 0.
   b. 0.

16. If the amount on line 15 is zero, subtract line 13 from line 14. Otherwise, enter -0-

   a. 0.
   b. 0.

17. Underpayment. If line 15 is less than or equal to line 10, subtract line 15 from line 10. Then go to line 12 of the next column. Otherwise, go to line 18

   a. 1,538,484.  
   b. 905,283.  
   c. 512,478.

18. Overpayment. If line 10 is less than line 15, subtract line 10 from line 15. Then go to line 12 of the next column

   a. 1,538,484.  
   b. 905,283.  
   c. 512,478.

Go to Part IV on page 2 to figure the penalty. Do not go to Part IV if there are no entries on line 17 - no penalty is owed.

**LHA** For Paperwork Reduction Act Notice, see separate instructions.  

Form 2220 (2018)
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</table>

**Part IV  Figuring the Penalty**

- **19** Enter the date of payment or the 15th day of the 4th month after the close of the tax year, whichever is earlier.
- **20** Number of days from due date of installment on line 9 to the date shown on line 19
- **21** Number of days on line 20 after 4/15/2018 and before 7/1/2018
- **22** Underpayment on line 17 x Number of days on line 21 x 5% (0.05)
- **23** Number of days on line 20 after 06/30/2018 and before 10/1/2018
- **24** Underpayment on line 17 x Number of days on line 23 x 5% (0.05)
- **25** Number of days on line 20 after 9/30/2018 and before 1/1/2019
- **26** Underpayment on line 17 x Number of days on line 25 x 5% (0.05)
- **27** Number of days on line 20 after 12/31/2018 and before 4/1/2019
- **28** Underpayment on line 17 x Number of days on line 27 x 6% (0.06)
- **29** Number of days on line 20 after 3/31/2019 and before 7/1/2019
- **30** Underpayment on line 17 x Number of days on line 29 x %
- **31** Number of days on line 20 after 6/30/2019 and before 10/1/2019
- **32** Underpayment on line 17 x Number of days on line 31 x %
- **33** Number of days on line 20 after 9/30/2019 and before 1/1/2020
- **34** Underpayment on line 17 x Number of days on line 33 x %
- **35** Number of days on line 20 after 12/31/2019 and before 3/16/2020
- **36** Underpayment on line 17 x Number of days on line 35 x %
- **37** Add lines 22, 24, 26, 30, 32, 34, and 36
- **38** Penalty. Add columns (a) through (d) of line 37. Enter the total here and on Form 1120, line 34; or the comparable line for other income tax returns

<table>
<thead>
<tr>
<th></th>
<th>(a)</th>
<th>(b)</th>
<th>(c)</th>
<th>(d)</th>
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</thead>
<tbody>
<tr>
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</table>

* Use the penalty interest rate for each calendar quarter, which the IRS will determine during the first month in the preceding quarter. These rates are published quarterly in an IRS News Release and in a revenue ruling in the Internal Revenue Bulletin. To obtain this information on the Internet, access the IRS website at [www.irs.gov](http://www.irs.gov). You can also call 1-800-829-4933 to get interest rate information.
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<th>Description of Property</th>
<th>Manner</th>
<th>Date Acquired</th>
<th>Date Sold</th>
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<td><strong>Publicly Traded Securities</strong></td>
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<tr>
<td>(A) Description of Property</td>
<td>Manner</td>
<td>Date Acquired</td>
<td>Date Sold</td>
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<tr>
<td>(B) GROSS SALES PRICE</td>
<td>(C) COST OR OTHER BASIS</td>
<td>(D) EXPENSE OF SALE</td>
<td>(E) DEPRECIATION</td>
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<td>(B) GROSS SALES PRICE</td>
<td>(C) VALUE AT TIME OF ACQ.</td>
<td>(D) EXPENSE OF SALE</td>
<td>(E) DEPRECIATION</td>
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<td>200,375,960</td>
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<td>(B) GROSS SALES PRICE</td>
<td>(C) COST OR OTHER BASIS</td>
<td>(D) EXPENSE OF SALE</td>
<td>(E) DEPRECIATION</td>
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<td>(C) COST OR OTHER BASIS</td>
<td>(D) EXPENSE OF SALE</td>
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<tr>
<td>(A)</td>
<td>PRIME FINANCE CMBS B-PIECE FUND I, LP</td>
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<td>GROSS SALES PRICE</td>
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CAPITAL GAINS DIVIDENDS FROM PART IV

0.

TOTAL TO FORM 990-PF, PART I, LINE 6A

149,802,337.

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FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS

<table>
<thead>
<tr>
<th>SOURCE</th>
<th>(A) REVENUE PER BOOKS</th>
<th>(B) NET INVESTMENT INCOME</th>
<th>(C) ADJUSTED NET INCOME</th>
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### FORM 990-PF
#### DIVIDENDS AND INTEREST FROM SECURITIES

- **STATEMENT 3**

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<th>CAPITAL GAINS DIVIDENDS</th>
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<th>(B) NET INVESTMENT INCOME</th>
<th>(C) ADJUSTED NET INCOME</th>
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<td>TO PART I, LINE 4</td>
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#### OTHER INCOME

- **STATEMENT 4**

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#### LEGAL FEES

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<th>DESCRIPTION</th>
<th>(A) EXPENSES PER BOOKS</th>
<th>(B) NET INVESTMENT INCOME</th>
<th>(C) ADJUSTED NET INCOME</th>
<th>(D) CHARITABLE PURPOSES</th>
</tr>
</thead>
<tbody>
<tr>
<td>LEGAL FEES</td>
<td>250,464.</td>
<td>0.</td>
<td>235,443.</td>
<td></td>
</tr>
<tr>
<td>TO FORM 990-PF, PG 1, LN 16A</td>
<td>250,464.</td>
<td>0.</td>
<td>235,443.</td>
<td></td>
</tr>
</tbody>
</table>

#### ACCOUNTING FEES

- **STATEMENT 6**

<table>
<thead>
<tr>
<th>DESCRIPTION</th>
<th>(A) EXPENSES PER BOOKS</th>
<th>(B) NET INVESTMENT INCOME</th>
<th>(C) ADJUSTED NET INCOME</th>
<th>(D) CHARITABLE PURPOSES</th>
</tr>
</thead>
<tbody>
<tr>
<td>ACCOUNTING FEES</td>
<td>69,768.</td>
<td>0.</td>
<td>69,699.</td>
<td></td>
</tr>
<tr>
<td>TO FORM 990-PF, PG 1, LN 16B</td>
<td>69,768.</td>
<td>0.</td>
<td>69,699.</td>
<td></td>
</tr>
</tbody>
</table>
### FORM 990-PF

#### STATEMENT 7

<table>
<thead>
<tr>
<th>DESCRIPTION</th>
<th>EXPENSES PER BOOKS</th>
<th>NET INVESTMENT INCOME</th>
<th>ADJUSTED NET INCOME</th>
<th>CHARITABLE PURPOSES</th>
</tr>
</thead>
<tbody>
<tr>
<td>HONORARIA</td>
<td>80,000.</td>
<td>0.</td>
<td>76,000.</td>
<td></td>
</tr>
<tr>
<td>COMMUNICATIONS</td>
<td>152,098.</td>
<td>0.</td>
<td>160,598.</td>
<td></td>
</tr>
<tr>
<td>PROGRAM CONSULTING</td>
<td>632,255.</td>
<td>0.</td>
<td>622,390.</td>
<td></td>
</tr>
<tr>
<td>HUMAN RESOURCE</td>
<td>236,528.</td>
<td>0.</td>
<td>220,478.</td>
<td></td>
</tr>
<tr>
<td>IT CONSULTANT</td>
<td>73,472.</td>
<td>0.</td>
<td>67,872.</td>
<td></td>
</tr>
<tr>
<td><strong>TOTAL</strong></td>
<td><strong>1,174,353.</strong></td>
<td><strong>0.</strong></td>
<td><strong>1,147,338.</strong></td>
<td></td>
</tr>
</tbody>
</table>

#### STATEMENT 8

<table>
<thead>
<tr>
<th>DESCRIPTION</th>
<th>EXPENSES PER BOOKS</th>
<th>NET INVESTMENT INCOME</th>
<th>ADJUSTED NET INCOME</th>
<th>CHARITABLE PURPOSES</th>
</tr>
</thead>
<tbody>
<tr>
<td>STATE TAXES &amp; FEES</td>
<td>862.</td>
<td>0.</td>
<td>862.</td>
<td></td>
</tr>
<tr>
<td>FEDERAL EXCISE TAXES</td>
<td>954,000.</td>
<td>0.</td>
<td>0.</td>
<td></td>
</tr>
<tr>
<td><strong>TOTAL</strong></td>
<td><strong>954,862.</strong></td>
<td><strong>0.</strong></td>
<td><strong>862.</strong></td>
<td></td>
</tr>
</tbody>
</table>

#### STATEMENT 9

<table>
<thead>
<tr>
<th>DESCRIPTION</th>
<th>EXPENSES PER BOOKS</th>
<th>NET INVESTMENT INCOME</th>
<th>ADJUSTED NET INCOME</th>
<th>CHARITABLE PURPOSES</th>
</tr>
</thead>
<tbody>
<tr>
<td>INSURANCE</td>
<td>25,016.</td>
<td>0.</td>
<td>33,858.</td>
<td></td>
</tr>
<tr>
<td>OFFICE SUPPLIES &amp; EXPENSES</td>
<td>82,082.</td>
<td>0.</td>
<td>80,926.</td>
<td></td>
</tr>
<tr>
<td>OFFICE EQUIPMENT</td>
<td>50,129.</td>
<td>0.</td>
<td>50,129.</td>
<td></td>
</tr>
<tr>
<td>SOFTWARE</td>
<td>191,284.</td>
<td>0.</td>
<td>193,984.</td>
<td></td>
</tr>
<tr>
<td>MEMBERSHIPS</td>
<td>12,632.</td>
<td>0.</td>
<td>12,732.</td>
<td></td>
</tr>
<tr>
<td>TEMPORARY PERSONNEL</td>
<td>6,222.</td>
<td>0.</td>
<td>6,222.</td>
<td></td>
</tr>
<tr>
<td>DIRECT CHARITABLE ACTIVITY EXPENDITURES</td>
<td>2,223,223.</td>
<td>0.</td>
<td>1,751,503.</td>
<td></td>
</tr>
<tr>
<td>PASSTHROUGH EXPENSES</td>
<td>2,590,588.</td>
<td>1,965,917.</td>
<td>2,129,354.</td>
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</tbody>
</table>
### STATEMENT 10

**FORM 990-PF**  
**CORPORATE BONDS**  

<table>
<thead>
<tr>
<th>DESCRIPTION</th>
<th>BOOK VALUE</th>
<th>FAIR MARKET VALUE</th>
</tr>
</thead>
<tbody>
<tr>
<td>JPMORGAN CHASE &amp; CO.</td>
<td>2,043,376.</td>
<td>2,043,376.</td>
</tr>
<tr>
<td>TOYOTA MOTOR CORP</td>
<td>1,004,214.</td>
<td>1,004,214.</td>
</tr>
<tr>
<td>XILINX, INC.</td>
<td>1,004,999.</td>
<td>1,004,999.</td>
</tr>
<tr>
<td>AMERICAN EXPRESS</td>
<td>2,010,334.</td>
<td>2,010,334.</td>
</tr>
<tr>
<td>WELLS FARGO &amp; COMPANY</td>
<td>1,132,334.</td>
<td>1,132,334.</td>
</tr>
<tr>
<td>ORACLE CORP</td>
<td>2,071,572.</td>
<td>2,071,572.</td>
</tr>
</tbody>
</table>

**TOTAL TO FORM 990-PF, PART II, LINE 10C**  
9,266,829.

### STATEMENT 11

**FORM 990-PF**  
**OTHER INVESTMENTS**

<table>
<thead>
<tr>
<th>DESCRIPTION</th>
<th>VALUATION METHOD</th>
<th>BOOK VALUE</th>
<th>FAIR MARKET VALUE</th>
</tr>
</thead>
<tbody>
<tr>
<td>MEDALLION CAPITAL INVESTMENTS, LTD.</td>
<td>FMV</td>
<td>233,576,858.</td>
<td>233,576,858.</td>
</tr>
<tr>
<td>SENTINEL HS FOUNDATION FUND, LP</td>
<td>FMV</td>
<td>119,788,516.</td>
<td>119,788,516.</td>
</tr>
<tr>
<td>RENAISSANCE INSTITUTIONAL</td>
<td>FMV</td>
<td>32,147,582.</td>
<td>32,147,582.</td>
</tr>
<tr>
<td>DIVERSIFIED ALPHA FUND LLC</td>
<td>FMV</td>
<td>17,654,469.</td>
<td>17,654,469.</td>
</tr>
</tbody>
</table>

**TOTAL TO FORM 990-PF, PART II, LINE 13**  
403,167,425.

### STATEMENT 12

**FORM 990-PF**  
**DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT**

<table>
<thead>
<tr>
<th>DESCRIPTION</th>
<th>COST OR OTHER BASIS</th>
<th>ACCUMULATED DEPRECIATION</th>
<th>BOOK VALUE</th>
</tr>
</thead>
<tbody>
<tr>
<td>COMPUTER EQUIPMENT</td>
<td>598,330.</td>
<td>222,771.</td>
<td>375,559.</td>
</tr>
<tr>
<td>ARTWORK</td>
<td>223,266.</td>
<td>69,698.</td>
<td>153,568.</td>
</tr>
<tr>
<td>CONSTRUCTION IN PROCESS</td>
<td>1,969,084.</td>
<td>0.</td>
<td>1,969,084.</td>
</tr>
<tr>
<td>TENANT IMPROVEMENTS</td>
<td>3,227,418.</td>
<td>1,012,804.</td>
<td>2,214,614.</td>
</tr>
</tbody>
</table>

**TOTAL TO FM 990-PF, PART II, LN 14**  
6,709,937.  
1,682,981.  
5,026,956.
STATEMENT 13

FORM 990-PF OTHER ASSETS STATEMENT 13

<table>
<thead>
<tr>
<th>DESCRIPTION</th>
<th>BEGINNING OF YR BOOK VALUE</th>
<th>END OF YEAR BOOK VALUE</th>
<th>FAIR MARKET VALUE</th>
</tr>
</thead>
<tbody>
<tr>
<td>SECURITY DEPOSIT</td>
<td>105,000.</td>
<td>143,511.</td>
<td>143,511.</td>
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</table>

TO FORM 990-PF, PART II, LINE 15

11,039,362. 22,148,852. 22,148,852.

STATEMENT 14

FORM 990-PF OTHER LIABILITIES STATEMENT 14

<table>
<thead>
<tr>
<th>DESCRIPTION</th>
<th>BOY AMOUNT</th>
<th>EOY AMOUNT</th>
</tr>
</thead>
<tbody>
<tr>
<td>DEFERRED TAX LIABILITY</td>
<td>3,577,000.</td>
<td>3,028,000.</td>
</tr>
<tr>
<td>DIRECT CHARITABLE ACTIVITIES PAYABLE</td>
<td>719,935.</td>
<td>2,096,857.</td>
</tr>
</tbody>
</table>

TOTAL TO FORM 990-PF, PART II, LINE 22

4,296,935. 5,124,857.

STATEMENT 15

FORM 990-PF EXPLANATION CONCERNING PART VII-A, LINE 12 QUALIFYING DISTRIBUTION STATEMENT

EXPLANATION

THE FOUNDATION MADE A DISTRIBUTION TO A DONOR ADVISED FUND IN WHICH THE CHAIR OF THE BOARD HAS ADVISORY PRIVILEGES. THE DISTRIBUTION WAS TREATED AS QUALIFYING DISTRIBUTION ON THE FOUNDATION'S FORM 990-PF.

STATEMENT 16

FORM 990-PF EXPLANATION CONCERNING PART VII-A, LINE 12 SECTION 170(C)(2)(B) STATEMENT

EXPLANATION

THE DISTRIBUTION MADE TO THE DONOR ADVISED FUND WILL BE USED TO SUPPORT 501(C)(3) PUBLIC CHARITIES TO FULFILL PURPOSES PURSUANT TO SECTION 170(C)(2)(B).
<table>
<thead>
<tr>
<th>NAME AND ADDRESS</th>
<th>TITLE AND AVRG HRS/WK</th>
<th>COMPENSATION</th>
<th>EMPLOYEE BEN PLAN CONTRIB</th>
<th>EXPENSE ACCOUNT</th>
</tr>
</thead>
<tbody>
<tr>
<td>DEANNA GOMBY</td>
<td>PRESIDENT AND CEO</td>
<td>37.50</td>
<td>527,697.</td>
<td>92,862.</td>
</tr>
<tr>
<td>LOS ALTOS, CA 94022</td>
<td>400 MAIN STREET, SUITE 200</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>JEFFREY P. MALLOY</td>
<td>COO AND TREASURER</td>
<td>37.50</td>
<td>380,519.</td>
<td>90,740.</td>
</tr>
<tr>
<td>LOS ALTOS, CA 94022</td>
<td>400 MAIN STREET, SUITE 200</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>BRIAN EULE</td>
<td>DIRECTOR OF COMMUNICATIONS</td>
<td>37.50</td>
<td>256,936.</td>
<td>56,178.</td>
</tr>
<tr>
<td>LOS ALTOS, CA 94022</td>
<td>400 MAIN STREET, SUITE 200</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>ELIZABETH D SIMONS</td>
<td>CHAIR OF THE BOARD</td>
<td>20.00</td>
<td>0.</td>
<td>0.</td>
</tr>
<tr>
<td>LOS ALTOS, CA 94022</td>
<td>400 MAIN STREET, SUITE 200</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>MARK W HEISING</td>
<td>MEMBER OF THE BOARD</td>
<td>5.00</td>
<td>0.</td>
<td>0.</td>
</tr>
<tr>
<td>LOS ALTOS, CA 94022</td>
<td>400 MAIN STREET, SUITE 200</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>CAITLIN HEISING</td>
<td>VICE CHAIR OF THE BOARD</td>
<td>5.00</td>
<td>0.</td>
<td>0.</td>
</tr>
<tr>
<td>LOS ALTOS, CA 94022</td>
<td>400 MAIN STREET, SUITE 200</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII

|                   |                   | 1,165,152. | 239,780. | 0.          |

1,165,152. 239,780. 0.
GRANTEE'S NAME
NATIONAL JOURNAL GROUP, INC.

GRANTEE'S ADDRESS
600 NEW HAMPSHIRE AVE, NW
WASHINGTON, DC 20037

GRANT AMOUNT     DATE OF GRANT     AMOUNT EXPENDED     VERIFICATION DATE
214,488.          08/28/15          214,488.          05/30/18

PURPOSE OF GRANT
TO NATIONAL JOURNAL GROUP FOR TWO EARLY EDUCATION CONVENINGS AND TWO MONTHS
OF EARLY EDUCATION EDITORIAL COVERAGE AS PART OF THE NEXT AMERICA
DEMOGRAPHIC REPORTING SERIES

DATES OF REPORTS BY GRANTEE
2/29/2016, 12/22/2017, 5/30/2018

ANY DIVERSION BY GRANTEE
NONE

RESULTS OF VERIFICATION
ALL EXPENDITURES WERE MADE FOR PROPER PURPOSES.
GRANTEE'S NAME
JAMES BELL ASSOCIATES

GRANTEE'S ADDRESS
3033 WILSON BLVD., SUITE 650
ARLINGTON, VA 22201

GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED | VERIFICATION DATE
-------------|--------------|----------------|------------------
1,982,633.   | 11/20/15     | 1,982,633.     | 05/30/19         

PURPOSE OF GRANT
TO COLLECT, ANALYZE, AND DISSEMINATE INFORMATION AND RESEARCH FINDINGS ABOUT HOME VISITING PROGRAMS TO IMPROVE POLICY AND PRACTICE

DATES OF REPORTS BY GRANTEE

ANY DIVERSION BY GRANTEE
NONE

RESULTS OF VERIFICATION
ALL EXPENDITURES WERE MADE FOR PROPER PURPOSES.
GRANTEE'S NAME

MATHEMATICA POLICY RESEARCH, INC.

GRANTEE'S ADDRESS

P.O. BOX 2393
PRINCETON, NJ 08543

GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED | VERIFICATION DATE
--------------|--------------|-----------------|-------------------
244,730.      | 12/11/17     | 244,730.        | 01/28/19

PURPOSE OF GRANT

TO PLAN AN IMPLEMENTATION STUDY OF THE SCALE UP OF TWO FAMILY ENGAGEMENT PROGRAMS

DATES OF REPORTS BY GRANTEE

1/28/2019

ANY DIVERSION BY GRANTEE

NONE

RESULTS OF VERIFICATION

ALL EXPENDITURES WERE MADE FOR PROPER PURPOSES.
GRANTEE'S NAME
NELSON MULLINS RILEY & SCARBOROUGH LLP

GRANTEE'S ADDRESS
101 CONSTITUTION AVE NW SUITE 900 NW
WASHINGTON, DC 20001

GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED | VERIFICATION DATE
--------------|--------------|----------------|------------------
325,000.      | 01/30/17     | 325,000.       | 08/04/17

PURPOSE OF GRANT
FOR EDUCATION COUNSEL TO LEVERAGE THE OPPORTUNITIES WITHIN THE NEW EVERY STUDENT SUCCEEDS ACT (ESSA) TO BUILD BIRTH-TO-THIRD GRADE SYSTEMS AT THE STATE AND DISTRICT LEVEL

DATES OF REPORTS BY GRANTEE
8/4/2017, 4/25/2018

ANY DIVERSION BY GRANTEE
NONE

RESULTS OF VERIFICATION
ALL EXPENDITURES WERE MADE FOR PROPER PURPOSES.
THE HEISING-SIMONS FOUNDATION  

GRANTEE'S NAME  
JOHNSON GROUP CONSULTING, INC.  

GRANTEE'S ADDRESS  
175 RED PINE ROAD  
HINESBURG, VT 05461  

<table>
<thead>
<tr>
<th>GRANT AMOUNT</th>
<th>DATE OF GRANT</th>
<th>AMOUNT EXPENDED</th>
<th>VERIFICATION DATE</th>
</tr>
</thead>
<tbody>
<tr>
<td>75,000.</td>
<td>05/05/17</td>
<td>75,000.</td>
<td>11/30/18</td>
</tr>
</tbody>
</table>

PURPOSE OF GRANT  
TO DEVELOP THREE CASE STUDIES OF STATE APPROACHES TO FINANCING HOME VISITING SYSTEMS, OFFER STATE-SPECIFIC TECHNICAL ASSISTANCE ON MEDICAID FINANCING FOR HOME VISITING, AND DISSEMINATE INFORMATION BASED ON THE CASE STUDIES AND STATE TECHNICAL ASSISTANCE  

DATES OF REPORTS BY GRANTEE  
1/31/2018, 11/30/2018  

ANY DIVERSION BY GRANTEE  
NONE  

RESULTS OF VERIFICATION  
ALL EXPENDITURES WERE MADE FOR PROPER PURPOSES.
GRANTEE'S NAME
ASSOCIATION OF STATE AND TRIBAL HOME VISITING INITIATIVES

GRANTEE'S ADDRESS
639 MASSACHUSETTS AVE NE
WASHINGTON, DC 20002-6005

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED VERIFICATION DATE
96,896. 06/19/17 70,608. 03/01/19

PURPOSE OF GRANT
TO SUPPORT THE INSTITUTE FOR ADVANCEMENT OF FAMILY SUPPORT PROFESSIONALS IN CREATING THE CAREER COMPASS EXPERT PANEL

DATES OF REPORTS BY GRANTEE

ANY DIVERSION BY GRANTEE
NONE

RESULTS OF VERIFICATION
ALL EXPENDITURES WERE MADE FOR PROPER PURPOSES.
GRANTEE'S NAME
LAS CUMBRES OBSERVATORY GLOBAL TELESCOPE NETWORK INC.

GRANTEE'S ADDRESS
6740 CORTONA DRIVE, SUITE 102
GOLETA, CA 93117-5575

<table>
<thead>
<tr>
<th>GRANT AMOUNT</th>
<th>DATE OF GRANT</th>
<th>AMOUNT EXPENDED</th>
<th>VERIFICATION DATE</th>
</tr>
</thead>
<tbody>
<tr>
<td>1,000,000.</td>
<td>08/27/17</td>
<td>929,723.</td>
<td>04/02/19</td>
</tr>
</tbody>
</table>

PURPOSE OF GRANT
FOR THE CONSTRUCTION, INSTALLATION, AND COMMISSIONING OF A NEW 1-METER TELESCOPE AT THE MCDONALD OBSERVATORY, AND ITS INTEGRATION INTO THE LAS CUMBRES OBSERVATORY NETWORK OF TELESCOPES

DATES OF REPORTS BY GRANTEE

ANY DIVERSION BY GRANTEE
NONE

RESULTS OF VERIFICATION
ALL EXPENDITURES WERE MADE FOR PROPER PURPOSES.
GRANTEE'S NAME
EDUCATION FIRST CONSULTING LLC
GRANTEE'S ADDRESS
P.O. BOX 22871
SEATTLE, WA 98122

GRANT AMOUNT     DATE OF GRANT     AMOUNT EXPENDED     VERIFICATION DATE
50,000.          08/17/17          50,000.              05/07/18

PURPOSE OF GRANT
TO CONDUCT A FAMILY MATH FLUENCY LANDSCAPE SCAN AND TO DESIGN AND FACILITATE A FAMILY MATH FLUENCY CONVENING

DATES OF REPORTS BY GRANTEE
12/4/2017, 5/7/2018

ANY DIVERSION BY GRANTEE
NONE

RESULTS OF VERIFICATION
ALL EXPENDITURES WERE MADE FOR PROPER PURPOSES.
GRANTEE'S NAME
MATHEMATICA POLICY RESEARCH, INC.
GRANTEE'S ADDRESS
P.O. BOX 2393
PRINCETON, NJ 08543

GRANT AMOUNT DATE OF GRANT AMOUNT EXPENDED VERIFICATION DATE
497,932. 09/01/18 293,784. 09/25/19

PURPOSE OF GRANT
FOR AN IMPLEMENTATION STUDY OF THE SCALE UP OF TWO FAMILY ENGAGEMENT PROGRAMS

DATES OF REPORTS BY GRANTEE
2/8/2019, 8/2/2019

ANY DIVERSION BY GRANTEE
NONE

RESULTS OF VERIFICATION
ALL EXPENDITURES WERE MADE FOR PROPER PURPOSES.
**THE HEISING-SIMONS FOUNDATION**

**GRANTEE'S NAME**

NELSON MULLINS RILEY & SCARBOROUGH LLP

**GRANTEE'S ADDRESS**

101 CONSTITUTION AVE NW SUITE 900 NW
WASHINGTON, DC 20001

<table>
<thead>
<tr>
<th>GRANT AMOUNT</th>
<th>DATE OF GRANT</th>
<th>AMOUNT EXPENDED</th>
<th>VERIFICATION DATE</th>
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<tbody>
<tr>
<td>650,000.</td>
<td>02/07/18</td>
<td>0.</td>
<td>01/18/19</td>
</tr>
</tbody>
</table>

**PURPOSE OF GRANT**

TO LEVERAGE THE OPPORTUNITIES WITHIN ESSA TO BUILD BIRTH-TO-THIRD GRADE SYSTEMS AT THE STATE AND DISTRICT LEVEL

**DATES OF REPORTS BY GRANTEE**

1/16/2019

**ANY DIVERSION BY GRANTEE**

NONE

**RESULTS OF VERIFICATION**

ALL EXPENDITURES WERE MADE FOR PROPER PURPOSES.
ASSOCIATION OF STATE AND TRIBAL HOME VISITING INITIATIVES

639 MASSACHUSETTS AVE NE
WASHINGTON, DC 20002-6005

<table>
<thead>
<tr>
<th>GRANT AMOUNT</th>
<th>DATE OF GRANT</th>
<th>AMOUNT EXPENDED</th>
<th>VERIFICATION DATE</th>
</tr>
</thead>
<tbody>
<tr>
<td>70,000.</td>
<td>08/02/18</td>
<td>70,000.</td>
<td>09/06/19</td>
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</tbody>
</table>

TO FOCUS PUBLIC, POLICYMAKER, AND MEDIA ATTENTION ON THE POSITIVE OUTCOMES GENERATED BY HOME VISITING, AS DOCUMENTED BY RECENT RESEARCH, WITH A PARTICULAR FOCUS ON THE RESULTS OF THE MIHOPE EVALUATION

1/29/2019, 7/31/2019

NONE

ALL EXPENDITURES WERE MADE FOR PROPER PURPOSES.
GRANTEE'S NAME
UNIVISION COMMUNICATIONS INC.

GRANTEE'S ADDRESS
605 3RD AVENUE
NEW YORK, NY 10158

<table>
<thead>
<tr>
<th>GRANT AMOUNT</th>
<th>DATE OF GRANT</th>
<th>AMOUNT EXPENDED</th>
<th>VERIFICATION DATE</th>
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<tbody>
<tr>
<td>200,000.</td>
<td>01/31/18</td>
<td>200,000.</td>
<td>02/27/19</td>
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</table>

PURPOSE OF GRANT
TO EXPAND STRATEGIES THAT AN EXTERNAL EVALUATION HAS SHOWN TO BE EFFECTIVE FOR ENGAGING HISPANIC FAMILIES AND BRINGING ABOUT POSITIVE CHANGES IN KNOWLEDGE AND BEHAVIOR. SPECIFIC ACTIVITIES INCLUDE: 1. INTEGRATION OF EARLY LEARNING MESSAGES INTO ALL TEN PRIME TIME EPISODES OF PEQUENOS GIGANTES, A REALITY SHOW TALENT COMPETITION FEATURING CHILDREN. AT LEAST THREE MESSAGES WILL FOCUS ON EARLY MATH. 2. INTEGRATION OF EARLY LEARNING MESSAGES, INCLUDING MESSAGES ABOUT MATH, INTO A POPULAR Telenovela, LA FUERZA DE CREER, THAT IS SET IN A FAMILY HEALTH CLINIC. MESSAGES WILL BE WOVEN ORGANICALLY INTO THE MEDICAL GUIDANCE PROVIDED BY THE HEALTH PROFESSIONALS IN THE SHOW AND MODELING WILL BE SHOWN BY FAMILY CAREGIVER CHARACTERS. 3. CONTINUATION OF THE TEXT MESSAGING CAMPAIGN THAT PROVIDES TWICE-WEEKLY PROMPTS AND TIPS FOR FAMILIES TO ENGAGE IN BRAIN BUILDING BEHAVIORS WITH CHILDREN. THE TEXT MESSAGING RESOURCE WILL BE ADVERTISED DURING PEQUENOS GIGANTES AND LA FUERZA DE CREER.

DATES OF REPORTS BY GRANTEE
2/26/2019

ANY DIVERSION BY GRANTEE
NONE

RESULTS OF VERIFICATION
ALL EXPENDITURES WERE MADE FOR PROPER PURPOSES.
GRANTEE'S NAME
EDUCATION FIRST CONSULTING LLC
GRANTEE'S ADDRESS
P.O. BOX 22871
SEATTLE, WA 98122

GRANT AMOUNT      DATE OF GRANT      AMOUNT EXPENDED   VERIFICATION DATE
194,508.          05/04/18          194,508.           09/12/19

PURPOSE OF GRANT
TO REFINE, IMPLEMENT, AND MONITOR PROGRESS OF THE ACTIVITIES AND OUTCOMES
OUTLINED IN THE FAMILY MATH ROADMAP

DATES OF REPORTS BY GRANTEE
12/6/2018, 8/19/2019

ANY DIVERSION BY GRANTEE
NONE

RESULTS OF VERIFICATION
ALL EXPENDITURES WERE MADE FOR PROPER PURPOSES.
UPTRUST, INC.

3981 25TH STREET
SAN FRANCISCO, CA 94114

GRANT AMOUNT     DATE OF GRANT     AMOUNT EXPENDED     VERIFICATION DATE
100,000.         04/20/18           36,000.             07/18/19

PURPOSE OF GRANT
FOR A TWO-YEAR PILOT TO REDUCE THE NUMBER OF FAILURE TO APPEAR CASES IN SELECT CALIFORNIA COUNTIES

DATES OF REPORTS BY GRANTEE
9/1/2018, 1/31/2019

ANY DIVERSION BY GRANTEE
NONE

RESULTS OF VERIFICATION
ALL EXPENDITURES WERE MADE FOR PROPER PURPOSES.
GRANTEE'S NAME

LAS CUMBRES OBSERVATORY GLOBAL TELESCOPE NETWORK INC.

GRANTEE'S ADDRESS

6740 CORTONA DRIVE, SUITE 102
GOLETA, CA 93117-5575

GRANT AMOUNT       DATE OF GRANT       AMOUNT EXPENDED     VERIFICATION DATE

650,000.           08/09/18           104,663.             03/05/19

PURPOSE OF GRANT

FOR THE DEVELOPMENT OF A TARGET AND OBSERVATION MANAGEMENT SOFTWARE TOOLKIT
FOR THE TIME DOMAIN ASTRONOMY COMMUNITY

DATES OF REPORTS BY GRANTEE

2/28/2019

ANY DIVERSION BY GRANTEE

NONE

RESULTS OF VERIFICATION

ALL EXPENDITURES WERE MADE FOR PROPER PURPOSES.
GRANTEE'S NAME
ROSENBERG FOUNDATION
GRANTEE'S ADDRESS
131 STEUART STREET
SAN FRANCISCO, CA 94105

GRANT AMOUNT      DATE OF GRANT      AMOUNT EXPENDED
250,000.          07/04/18          0.

PURPOSE OF GRANT
FOR ONE, THREE-YEAR, LEADING EDGE FELLOW IN CALIFORNIA

DATES OF REPORTS BY GRANTEE
N/A

ANY DIVERSION BY GRANTEE
NONE
GRANTEE'S NAME
THE EDNA MCCONNELL CLARK FOUNDATION
GRANTEE'S ADDRESS
415 MADISON AVE, TENTH FLOOR
NEW YORK, NY 10017

GRANT AMOUNT     DATE OF GRANT     AMOUNT EXPENDED     VERIFICATION DATE
200,000.         07/20/18           0.                   05/16/19

PURPOSE OF GRANT
FOR CAPACITY BUILDING FOR TWO PROPELNEXT GRANTEES

DATES OF REPORTS BY GRANTEE
5/06/2019

ANY DIVERSION BY GRANTEE
NONE

RESULTS OF VERIFICATION
ALL EXPENDITURES WERE MADE FOR PROPER PURPOSES.
GRANTEE'S NAME
MERCK FAMILY FUND
GRANTEE'S ADDRESS
P.O. BOX 870245
MILTON VILLAGE, MA 02187

GRANT AMOUNT  DATE OF GRANT  AMOUNT EXPENDED  VERIFICATION DATE
80,000.        07/10/18      80,000.         11/04/19

PURPOSE OF GRANT
FOR THE REGIONAL GREENHOUSE GAS INITIATIVE PROJECT SERIES

DATES OF REPORTS BY GRANTEE
10/29/2019

ANY DIVERSION BY GRANTEE
NONE

RESULTS OF VERIFICATION
ALL EXPENDITURES WERE MADE FOR PROPER PURPOSES.
GRANTEE'S NAME
JAMES BELL ASSOCIATES

GRANTEE'S ADDRESS
3033 WILSON BLVD., SUITE 650
ARLINGTON, VA 22201

GRANT AMOUNT     DATE OF GRANT    AMOUNT EXPENDED
1,900,000.     11/27/18    0.

PURPOSE OF GRANT
TO SUPPORT THE NATIONAL HOME VISITING RESOURCE CENTER TO COLLECT, ANALYZE, AND DISSEMINATE INFORMATION AND RESEARCH ABOUT HOME VISITING PROGRAMS TO DESCRIBE THE CURRENT STATE OF THE FIELD AND ULTIMATELY INFORM POLICY AND PROGRAM IMPROVEMENTS

DATES OF REPORTS BY GRANTEE
N/A

ANY DIVERSION BY GRANTEE
NONE
GRANTEE'S NAME
PARENTPOWERED PBC

GRANTEE'S ADDRESS
MULBERRY COURT, #3
BELMONT, CA 94002

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PURPOSE OF GRANT
TO PROVIDE PARENTS OF YOUNG CHILDREN WITH EASY-TO-USE TOOLS, INCLUDING A TEXT-BASED INTERVENTION, TO INFORM PARENTING ACTIVITIES AND APPROACHES, WHILE RAPIDLY SCALING AND IMPROVING THESE TOOLS TO REACH MORE PARENTS AND YOUNG CHILDREN

DATES OF REPORTS BY GRANTEE
1/28/2019, 6/30/2019

ANY DIVERSION BY GRANTEE
NONE

RESULTS OF VERIFICATION
ALL EXPENDITURES WERE MADE FOR PROPER PURPOSES.
PURSUANT TO IRC SECTION 4942(H)(2) AND REGULATION 53.4942(A)-3(D)(2), THE FOUNDATION HEREBY ELECTS TO TREAT CURRENT YEAR QUALIFYING DISTRIBUTIONS IN EXCESS OF THE IMMEDIATELY PRECEDING TAX YEAR'S UNDISTRIBUTED INCOME AS BEING MADE OUT OF CORPUS.
990-PF  INVOLVEMENT WITH NONCHARITABLE ORGANIZATIONS  STATEMENT 20
PART XVII, LINE 1, COLUMN (D)

NAME OF NONCHARITABLE EXEMPT ORGANIZATION

HSAF

DESCRIPTION OF TRANSFERS, TRANSACTIONS, AND SHARING ARRANGEMENTS

SUBLEASE OF OFFICE SPACE TO WELFARE ORGANIZATION AT FAIR MARKET VALUE

990-PF  AFFILIATION WITH TAX-EXEMPT ORGANIZATIONS  STATEMENT 21
PART XVII, LINE 2, COLUMN (C)

NAME OF AFFILIATED OR RELATED ORGANIZATION

HSAF

DESCRIPTION OF RELATIONSHIP WITH AFFILIATED OR RELATED ORGANIZATION

COMMON DIRECTORS
FORM/LINE IDENTIFIER AND DESCRIPTION/RETURN REFERENCE

FORM 990-PF, PART VII-A, LINE 11  - SCHEDULE OF CONTROLLED ENTITIES

EXPLANATION:

MEDLEY HS F LLC
FEIN: 26-0799587
50 CALIFORNIA STREET, SUITE 3350, SAN FRANCISCO, CA 94111
EXCESS BUSINESS HOLDING: NO