

**Return of Private Foundation**  
or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.  
▶ Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.

For calendar year 2020 or tax year beginning , and ending

|  |   |  |
|--|---|--|
| Name of foundation<br><b>THE HEISING-SIMONS FOUNDATION</b>   |   | <b>A Employer identification number</b><br>26-0799587  |
| Number and street (or P.O. box number if mail is not delivered to street address)<br><b>400 MAIN STREET</b>  | Room/suite<br>200   | <b>B Telephone number</b><br>(650) 887-0277  |
| City or town, state or province, country, and ZIP or foreign postal code<br><b>LOS ALTOS, CA 94022</b>   |   | <b>C</b> If exemption application is pending, check here ... <input type="checkbox"/>  |
| <b>G</b> Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity<br><input type="checkbox"/> Final return <input type="checkbox"/> Amended return<br><input type="checkbox"/> Address change <input type="checkbox"/> Name change |   | <b>D 1.</b> Foreign organizations, check here ..... <input type="checkbox"/><br><b>2.</b> Foreign organizations meeting the 85% test, check here and attach computation ..... <input type="checkbox"/> |
| <b>H</b> Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation<br><input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation   |   | <b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here ... <input type="checkbox"/>   |
| <b>I</b> Fair market value of all assets at end of year (from Part II, col. (c), line 16)<br>\$ <b>752,996,597.</b>  | <b>J</b> Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual<br><input type="checkbox"/> Other (specify) _____<br>(Part I, column (d), must be on cash basis.) |  |
| <b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ... <input type="checkbox"/>  |   |  |

| <b>Part I Analysis of Revenue and Expenses</b><br><small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)</small> |  | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|--|------------------------------------|---------------------------|-------------------------|---|
| <b>Revenue</b>  | <b>1</b> Contributions, gifts, grants, etc., received .....                                | 50,000,000.                        |                           | N/A                     |   |
|   | <b>2</b> Check <input type="checkbox"/> if the foundation is not required to attach Sch. B |                                    |                           |                         |   |
|   | <b>3</b> Interest on savings and temporary cash investments .....                          | 1,574,560.                         | 1,574,560.                |                         | STATEMENT 2   |
|   | <b>4</b> Dividends and interest from securities .....                                      | 21,943.                            | 11,398,797.               |                         | STATEMENT 3   |
|   | <b>5a</b> Gross rents .....  |                                    |                           |                         |   |
|   | <b>b</b> Net rental income or (loss) .....   |                                    |                           |                         |   |
|   | <b>6a</b> Net gain or (loss) from sale of assets not on line 10 .....                      | 629,577.                           |                           |                         | STATEMENT 1   |
|   | <b>b</b> Gross sales price for all assets on line 6a .....                                 | 148,998,247.                       |                           |                         |   |
|   | <b>7</b> Capital gain net income (from Part IV, line 2) .....                              |                                    | 0.                        |                         |   |
|   | <b>8</b> Net short-term capital gain .....   |                                    |                           |                         |   |
|   | <b>9</b> Income modifications .....  |                                    |                           |                         |   |
|   | <b>10a</b> Gross sales less returns and allowances .....                                   |                                    |                           |                         |   |
| <b>b</b> Less: Cost of goods sold .....   |  |                                    |                           |                         |   |
| <b>c</b> Gross profit or (loss) .....   |  |                                    |                           |                         |   |
| <b>11</b> Other income .....  | 138,500,375.   | 216,483,382.                       |                           | STATEMENT 4             |   |
| <b>12 Total.</b> Add lines 1 through 11 .....   | 190,726,455.   | 229,456,739.                       |                           |                         |   |
| <b>Operating and Administrative Expenses</b>  | <b>13</b> Compensation of officers, directors, trustees, etc. ....                         | 1,346,025.                         | 0.                        |                         | 1,346,025.  |
|   | <b>14</b> Other employee salaries and wages .....  | 6,140,985.                         | 0.                        |                         | 6,100,291.  |
|   | <b>15</b> Pension plans, employee benefits .....   | 2,876,125.                         | 0.                        |                         | 2,544,921.  |
|   | <b>16a</b> Legal fees ..... STMT 5   | 339,408.                           | 0.                        |                         | 392,385.  |
|   | <b>b</b> Accounting fees ..... STMT 6  | 162,987.                           | 0.                        |                         | 108,908.  |
|   | <b>c</b> Other professional fees ..... STMT 7  | 2,361,897.                         | 1,342,018.                |                         | 1,034,054.  |
|   | <b>17</b> Interest .....   |                                    |                           |                         |   |
|   | <b>18</b> Taxes ..... STMT 8   | 3,546,300.                         | 0.                        |                         | 300.  |
|   | <b>19</b> Depreciation and depletion .....   | 1,159,550.                         | 0.                        |                         |   |
|   | <b>20</b> Occupancy .....  | 2,053,623.                         | 0.                        |                         | 1,529,393.  |
|   | <b>21</b> Travel, conferences, and meetings .....  | 188,921.                           | 0.                        |                         | 188,959.  |
|   | <b>22</b> Printing and publications .....  | 20,757.                            | 0.                        |                         | 20,696.   |
|   | <b>23</b> Other expenses ..... STMT 9  | 3,123,480.                         | 2,078,872.                |                         | 3,297,933.  |
|   | <b>24 Total operating and administrative expenses.</b> Add lines 13 through 23 .....       | 23,320,058.                        | 3,420,890.                |                         | 16,563,865.   |
|   | <b>25</b> Contributions, gifts, grants paid .....  | 125,580,150.                       |                           |                         | 132,558,968.  |
| <b>26 Total expenses and disbursements.</b> Add lines 24 and 25 .....   | 148,900,208.   | 3,420,890.                         |                           | 149,122,833.            |   |
| <b>27</b> Subtract line 26 from line 12:  |  |                                    |                           |                         |   |
| <b>a</b> Excess of revenue over expenses and disbursements .....  | 41,826,247.  |                                    |                           |                         |   |
| <b>b Net investment income</b> (if negative, enter -0-) .....   |  | 226,035,849.                       |                           |                         |   |
| <b>c Adjusted net income</b> (if negative, enter -0-) .....   |  |                                    | N/A                       |                         |   |

| Part II Balance Sheets      |   | Attached schedules and amounts in the description column should be for end-of-year amounts only. |                | Beginning of year     | End of year  |              |             |
|-----------------------------|---|--|----------------|-----------------------|--------------|--------------|-------------|
|                             |   | (a) Book Value   | (b) Book Value | (c) Fair Market Value |              |              |             |
| Assets                      | 1   | Cash - non-interest-bearing  |                | 9,185,526.            | 1,655,719.   | 1,655,719.   |             |
|                             | 2   | Savings and temporary cash investments   |                | 54,149,639.           | 163,325,583. | 163,325,583. |             |
|                             | 3   | Accounts receivable  | 52,662.        |                       |              |              |             |
|                             |   | Less: allowance for doubtful accounts  |                | 274,634.              | 52,662.      | 52,662.      |             |
|                             | 4   | Pledges receivable   |                |                       |              |              |             |
|                             |   | Less: allowance for doubtful accounts  |                |                       |              |              |             |
|                             | 5   | Grants receivable  |                |                       |              |              |             |
|                             | 6   | Receivables due from officers, directors, trustees, and other disqualified persons               |                |                       |              |              |             |
|                             | 7   | Other notes and loans receivable   |                |                       |              |              |             |
|                             |   | Less: allowance for doubtful accounts  |                |                       |              |              |             |
|                             | 8   | Inventories for sale or use  |                |                       |              |              |             |
|                             | 9   | Prepaid expenses and deferred charges  |                |                       | 1,077,646.   | 1,519,836.   | 1,519,836.  |
|                             | 10a   | Investments - U.S. and state government obligations  |                |                       |              |              |             |
|                             | b   | Investments - corporate stock  |                |                       |              |              |             |
|                             | c   | Investments - corporate bonds  | STMT 11        |                       | 38,729,145.  | 46,129,300.  | 46,129,300. |
|                             | 11  | Investments - land, buildings, and equipment: basis  |                |                       |              |              |             |
|                             | Less: accumulated depreciation  |  |                |                       |              |              |             |
| 12                          | Investments - mortgage loans  |  |                |                       |              |              |             |
| 13                          | Investments - other   | STMT 12  |                | 441,897,196.          | 491,998,030. | 491,998,030. |             |
| 14                          | Land, buildings, and equipment: basis   | 9,591,783.   |                |                       |              |              |             |
|                             | Less: accumulated depreciation  | STMT 13  | 3,741,451.     | 6,998,605.            | 5,850,332.   | 5,850,332.   |             |
| 15                          | Other assets (describe)   | STATEMENT 14)  |                | 40,052,029.           | 42,465,135.  | 42,465,135.  |             |
| 16                          | <b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item I)                        |  |                | 592,364,420.          | 752,996,597. | 752,996,597. |             |
| Liabilities                 | 17  | Accounts payable and accrued expenses  |                | 2,263,726.            | 2,425,773.   |              |             |
|                             | 18  | Grants payable   |                | 55,610,055.           | 50,427,330.  |              |             |
|                             | 19  | Deferred revenue   |                |                       |              |              |             |
|                             | 20  | Loans from officers, directors, trustees, and other disqualified persons                         |                |                       |              |              |             |
|                             | 21  | Mortgages and other notes payable  |                |                       |              |              |             |
|                             | 22  | Other liabilities (describe)   |                |                       | 5,167,067.   | 6,718,152.   |             |
| 23                          | <b>Total liabilities</b> (add lines 17 through 22)  |  |                | 63,040,848.           | 59,571,255.  |              |             |
| Net Assets or Fund Balances | Foundations that follow FASB ASC 958, check here <input checked="" type="checkbox"/> and complete lines 24, 25, 29, and 30. |  |                |                       |              |              |             |
|                             | 24  | Net assets without donor restrictions  |                | 529,323,572.          | 693,425,342. |              |             |
|                             | 25  | Net assets with donor restrictions   |                |                       |              |              |             |
|                             | Foundations that do not follow FASB ASC 958, check here <input type="checkbox"/> and complete lines 26 through 30.          |  |                |                       |              |              |             |
|                             | 26  | Capital stock, trust principal, or current funds   |                |                       |              |              |             |
|                             | 27  | Paid-in or capital surplus, or land, bldg., and equipment fund                                   |                |                       |              |              |             |
|                             | 28  | Retained earnings, accumulated income, endowment, or other funds                                 |                |                       |              |              |             |
| 29                          | <b>Total net assets or fund balances</b>  |  |                | 529,323,572.          | 693,425,342. |              |             |
| 30                          | <b>Total liabilities and net assets/fund balances</b>   |  |                | 592,364,420.          | 752,996,597. |              |             |

Part III Analysis of Changes in Net Assets or Fund Balances

|   |  |   |              |
|---|--|---|--------------|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) | 1 | 529,323,572. |
| 2 | Enter amount from Part I, line 27a   | 2 | 41,826,247.  |
| 3 | Other increases not included in line 2 (itemize)   | 3 | 122,275,523. |
| 4 | Add lines 1, 2, and 3  | 4 | 693,425,342. |
| 5 | Decreases not included in line 2 (itemize)   | 5 | 0.           |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29  | 6 | 693,425,342. |

**Part IV Capital Gains and Losses for Tax on Investment Income** SEE ATTACHED STATEMENT

| (a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|---|--|--------------------------------------|----------------------------------|
| 1a  |  |                                      |                                  |
| b   |  |                                      |                                  |
| c   |  |                                      |                                  |
| d   |  |                                      |                                  |
| e   |  |                                      |                                  |

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>((e) plus (f) minus (g)) |
|-----------------------|--|---|--|
| a                     |  |   |  |
| b                     |  |   |  |
| c                     |  |   |  |
| d                     |  |   |  |
| e 148,998,247.        |  | 155,878,656.                                    | -6,880,409.                                    |

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69. |                                      |   | (l) Gains (Col. (h) gain minus<br>col. (k), but not less than -0-) or<br>Losses (from col. (h)) |
|--|--------------------------------------|---|---|
| (i) FMV as of 12/31/69   | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col. (i)<br>over col. (j), if any |   |
| a  |                                      |   |   |
| b  |                                      |   |   |
| c  |                                      |   |   |
| d  |                                      |   |   |
| e  |                                      |   | -6,880,409.   |

|   |   |   |             |
|---|---|---|-------------|
| 2 Capital gain net income or (net capital loss)   | { If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7 ..... } | 2 | -6,880,409. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):<br>If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in<br>Part I, line 8 ..... |   | 3 | N/A         |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**  
SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE.

| 1 Reserved       | (a)<br>Reserved | (b)<br>Reserved | (c)<br>Reserved | (d)<br>Reserved |
|------------------|-----------------|-----------------|-----------------|-----------------|
|                  | Reserved        |                 |                 |                 |
|                  | Reserved        |                 |                 |                 |
|                  | Reserved        |                 |                 |                 |
|                  | Reserved        |                 |                 |                 |
|                  | Reserved        |                 |                 |                 |
| 2 Reserved ..... |                 |                 |                 | 2               |
| 3 Reserved ..... |                 |                 |                 | 3               |
| 4 Reserved ..... |                 |                 |                 | 4               |
| 5 Reserved ..... |                 |                 |                 | 5               |
| 6 Reserved ..... |                 |                 |                 | 6               |
| 7 Reserved ..... |                 |                 |                 | 7               |
| 8 Reserved ..... |                 |                 |                 | 8               |

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), or 4948 - see instructions)

Table with 11 rows for excise tax calculations. Includes fields for exempt foundations, tax under section 511, total credits and payments, and tax due. Total tax due is 1,838,926.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes questions about political campaigns, tax on political expenditures, and substantial contributors. Includes state CA and STMT 16.

Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question, Yes, No. Rows 11-16 containing questions about controlled entities, distributions, public inspection requirements, website address, books in care, and foreign country interests.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Table with 3 columns: Question, Yes, No. Rows 1a-4b containing questions about disqualifying acts, taxes on failure to distribute income, and business enterprise interests.

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

|  |   |           |            |           |
|--|---|-----------|------------|-----------|
| <b>5a</b> During the year, did the foundation pay or incur any amount to:  |   |           | <b>Yes</b> | <b>No</b> |
| (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |           |            |           |
| (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?  | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |           |            |           |
| (3) Provide a grant to an individual for travel, study, or other similar purposes?   | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No |           |            |           |
| (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions  | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No |           |            |           |
| (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |           |            |           |
| <b>b</b> If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions |   | <b>5b</b> |            | <b>X</b>  |
| Organizations relying on a current notice regarding disaster assistance, check here  | <input type="checkbox"/>  |           |            |           |
| <b>c</b> If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <b>SEE STATEMENT 18</b>                                    | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No |           |            |           |
| If "Yes," attach the statement required by Regulations section 53.4945-5(d).   |   |           |            |           |
| <b>6a</b> Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |           |            |           |
| <b>b</b> Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.  |   | <b>6b</b> |            | <b>X</b>  |
| <b>7a</b> At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?   | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |           |            |           |
| <b>b</b> If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?   | N/A   | <b>7b</b> |            |           |
| <b>8</b> Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?  | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |           |            |           |

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, and foundation managers and their compensation.**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------|---|---|---|---------------------------------------|
| SEE STATEMENT 17     |   | 1,196,042                                 | 235,293.  | 0.                                    |
|                      |   |   |   |                                       |
|                      |   |   |   |                                       |
|                      |   |   |   |                                       |
|                      |   |   |   |                                       |
|                      |   |   |   |                                       |
|                      |   |   |   |                                       |

**2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."**

| (a) Name and address of each employee paid more than \$50,000      | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|--|---|------------------|---|---------------------------------------|
| CYNTHIA ATHERTON - 400 MAIN STREET, SUITE 200, LOS ALTOS, CA 94022 | PROGRAM DIRECTOR<br>37.50                                 | 390,478.         | 87,488.   | 0.                                    |
| BARBARA CHOW - 400 MAIN STREET, SUITE 200, LOS ALTOS, CA 94022     | PROGRAM DIRECTOR<br>35.00                                 | 361,777.         | 92,814.   | 0.                                    |
| JUDY BLUMENSTEIN - 400 MAIN STREET, SUITE 200, LOS ALTOS, CA 94022 | DIRECTOR OF FINANCE<br>36.50                              | 248,892.         | 86,278.   | 0.                                    |
| JENNIFER SHIPP - 400 MAIN STREET, SUITE 200, LOS ALTOS, CA 94022   | GENERAL COUNSEL<br>32.50                                  | 284,154.         | 46,352.   | 0.                                    |
| DEVRA WANG - 400 MAIN STREET, SUITE 200, LOS ALTOS, CA 94022       | PROGRAM DIRECTOR<br>28.75                                 | 216,328.         | 69,017.   | 0.                                    |
| <b>Total</b> number of other employees paid over \$50,000          |   |                  |   | <b>40</b>                             |

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

| (a) Name and address of each person paid more than \$50,000                     | (b) Type of service                          | (c) Compensation |
|---|--|------------------|
| AMANDA PHINGBODHIPAKKIYA<br>257 GOLD ST. 9N, BROOKLYN, NY 11201                 | INTERACTIVE MURALS<br>AND 'BEYOND CURIE' A   | 198,600.         |
| EARLY WINS<br>1319 SOUTH FILMORE ST., DENVER, CO 80210                          | EARLY CHILDHOOD<br>WORKFORCE PLATFORM D      | 182,333.         |
| ANGELA BONARRIGO & ASSOCIATES, LLC<br>86 MORNING STREET #3, PORTLAND, ME 04101  | EV STATE<br>PRIORITIZATION                   | 147,000.         |
| MELISSA L. KELLEY<br>22124 CRESTMOOR RD., GOLDEN, CO 80401                      | NETWORK FACILITATION<br>FOR NATIONAL HOME VI | 139,150.         |
| BELLWETHER EDUCATION PARTNERS<br>517 BOSTON POST RD., #171, SUDBURY, MA 01776   | MATH-EMERGING<br>BILINGUALS-EL LANDSC        | 103,736.         |
| <b>Total</b> number of others receiving over \$50,000 for professional services |  | <b>3</b>         |

**Part IX-A Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|--|----------|
| 1 TO ADMINISTER THE RESPONSIVE FUND FOR GRANTEE COMMUNICATIONS FOR HEISING-SIMONS FOUNDATION GRANTEES  | 400,000. |
| 2 FOR CALIFORNIA DEPARTMENT OF EDUCATION SENIOR POLICY ADVISOR   | 231,100. |
| 3 FOR THE NATIONAL HOME VISITING NETWORK STEERING COMMITTEE'S PLAN TO STRENGTHEN AND COORDINATE THE FIELD OF HOME VISITING   | 216,829. |
| 4 TO ADMINISTER THE EDUCATION PROGRAM LANGUAGE ACCESS FUND FOR HEISING-SIMONS FOUNDATION GRANTEES  | 200,000. |

**Part IX-B Summary of Program-Related Investments**

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | Amount    |
|---|-----------|
| 1 N/A   |           |
| 2   |           |
| All other program-related investments. See instructions.  |           |
| 3   |           |
| <b>Total.</b> Add lines 1 through 3   | <b>0.</b> |

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

|   |   |    |              |
|---|---|----|--------------|
| 1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: |   |    |              |
| a   | Average monthly fair market value of securities .....   | 1a | 106,101,887. |
| b   | Average of monthly cash balances .....  | 1b | 20,405,088.  |
| c   | Fair market value of all other assets .....   | 1c | 533,603,127. |
| d   | <b>Total</b> (add lines 1a, b, and c) .....   | 1d | 660,110,102. |
| e   | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) .....   | 1e | 0.           |
| 2   | Acquisition indebtedness applicable to line 1 assets .....  | 2  | 0.           |
| 3   | Subtract line 2 from line 1d .....  | 3  | 660,110,102. |
| 4   | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions) .....   | 4  | 9,901,652.   |
| 5   | <b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4 ..... | 5  | 650,208,450. |
| 6   | <b>Minimum investment return.</b> Enter 5% of line 5 .....  | 6  | 32,510,423.  |

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here  and do not complete this part.)

|    |   |    |             |
|----|---|----|-------------|
| 1  | Minimum investment return from Part X, line 6 .....   | 1  | 32,510,423. |
| 2a | Tax on investment income for 2020 from Part VI, line 5 .....  | 2a | 3,141,898.  |
| b  | Income tax for 2020. (This does not include the tax from Part VI.) .....  | 2b | 68,460.     |
| c  | Add lines 2a and 2b .....   | 2c | 3,210,358.  |
| 3  | Distributable amount before adjustments. Subtract line 2c from line 1 .....                                     | 3  | 29,300,065. |
| 4  | Recoveries of amounts treated as qualifying distributions .....   | 4  | 251,659.    |
| 5  | Add lines 3 and 4 .....   | 5  | 29,551,724. |
| 6  | Deduction from distributable amount (see instructions) .....  | 6  | 0.          |
| 7  | <b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 ..... | 7  | 29,551,724. |

**Part XII Qualifying Distributions** (see instructions)

|  |   |    |              |
|--|---|----|--------------|
| 1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: |   |    |              |
| a  | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 .....   | 1a | 149,122,833. |
| b  | Program-related investments - total from Part IX-B .....  | 1b | 0.           |
| 2  | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes .....                         | 2  |              |
| 3  | Amounts set aside for specific charitable projects that satisfy the:  |    |              |
| a  | Suitability test (prior IRS approval required) .....  | 3a |              |
| b  | Cash distribution test (attach the required schedule) .....   | 3b |              |
| 4  | <b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4 .....                 | 4  | 149,122,833. |
| 5  | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b ..... | 5  | 0.           |
| 6  | <b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4 .....   | 6  | 149,122,833. |

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII Undistributed Income** (see instructions)

|  | (a)<br>Corpus | (b)<br>Years prior to 2019 | (c)<br>2019 | (d)<br>2020 |
|--|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2020 from Part XI, line 7   |               |                            |             | 29,551,724. |
| 2 Undistributed income, if any, as of the end of 2020:   |               |                            |             |             |
| a Enter amount for 2019 only   |               |                            | 0.          |             |
| b Total for prior years:   |               | 0.                         |             |             |
| 3 Excess distributions carryover, if any, to 2020:   |               |                            |             |             |
| a From 2015  |               |                            |             |             |
| b From 2016  | 40,680,429.   |                            |             |             |
| c From 2017  | 73,273,491.   |                            |             |             |
| d From 2018  | 102940827.    |                            |             |             |
| e From 2019  | 121110757.    |                            |             |             |
| f Total of lines 3a through e  | 338,005,504.  |                            |             |             |
| 4 Qualifying distributions for 2020 from Part XII, line 4: ▶ \$149,122,833.  |               |                            |             |             |
| a Applied to 2019, but not more than line 2a   |               |                            | 0.          |             |
| b Applied to undistributed income of prior years (Election required - see instructions)  |               | 0.                         |             |             |
| c Treated as distributions out of corpus (Election required - see instructions) **   | 149,122,833.  |                            |             |             |
| d Applied to 2020 distributable amount   |               |                            |             | 0.          |
| e Remaining amount distributed out of corpus   | 0.            |                            |             |             |
| 5 Excess distributions carryover applied to 2020 (If an amount appears in column (d), the same amount must be shown in column (a).)  | 29,551,724.   |                            |             | 29,551,724. |
| 6 Enter the net total of each column as indicated below:   |               |                            |             |             |
| a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5  | 457,576,613.  |                            |             |             |
| b Prior years' undistributed income. Subtract line 4b from line 2b   |               | 0.                         |             |             |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed |               | 0.                         |             |             |
| d Subtract line 6c from line 6b. Taxable amount - see instructions   |               | 0.                         |             |             |
| e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount - see instr.  |               |                            | 0.          |             |
| f Undistributed income for 2020. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2021  |               |                            |             | 0.          |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)       | 0.            |                            |             |             |
| 8 Excess distributions carryover from 2015 not applied on line 5 or line 7   | 0.            |                            |             |             |
| 9 Excess distributions carryover to 2021. Subtract lines 7 and 8 from line 6a  | 457,576,613.  |                            |             |             |
| 10 Analysis of line 9:   |               |                            |             |             |
| a Excess from 2016   | 11,128,705.   |                            |             |             |
| b Excess from 2017   | 73,273,491.   |                            |             |             |
| c Excess from 2018   | 102940827.    |                            |             |             |
| d Excess from 2019   | 121110757.    |                            |             |             |
| e Excess from 2020   | 149122833.    |                            |             |             |

\*\* SEE STATEMENT 19

Form 990-PF (2020)

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

Table with 5 columns: (a) 2020, (b) 2019, (c) 2018, (d) 2017, (e) Total. Rows include 2a-e (Qualifying distributions) and 3a-d (Alternative tests).

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers: a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs: Check here [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** Supplementary Information (continued)

| <b>3 Grants and Contributions Paid During the Year or Approved for Future Payment</b>      |  |                                      |   |                     |
|--|--|--------------------------------------|---|---------------------|
| Recipient<br>Name and address (home or business)   | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution<br>**   | Amount              |
| <b>a Paid during the year</b>  |  |                                      |   |                     |
| 21ST CENTURY SCHOOL FUND<br>816 12TH STREET NORTHEAST<br>WASHINGTON, DC 20002              | N/A  | PC                                   | TO INCREASE STATE<br>CAPACITY FOR<br>FACILITIES GUIDANCE,<br>SUPPORT, AND OVERSIGHT<br>IN RESPONSE TO       | 75,000.             |
| A NEW WAY OF LIFE REENTRY PROJECT<br>P.O. BOX 875288<br>LOS ANGELES, CA 90087              | N/A  | PC                                   | FOR GENERAL SUPPORT   | 100,000.            |
| A NEW WAY OF LIFE REENTRY PROJECT<br>400 W. MAIN STREET, SUITE 203<br>DURHAM, NC 27701     | N/A  | PC                                   | FOR THE FICPFM/QUEST<br>FOR DEMOCRACY FUND  | 200,000.            |
| ABLE WORKS<br>1836 BAY ROAD, SUITE B<br>EAST PALO ALTO, CA 94303                           | N/A  | PC                                   | FOR GENERAL SUPPORT   | 25,000.             |
| ABLE WORKS<br>1836 BAY ROAD, SUITE B<br>EAST PALO ALTO, CA 94303                           | N/A  | PC                                   | FOR GENERAL SUPPORT<br>FROM COVID-19 RESPONSE<br>FUND   | 25,000.             |
| <b>Total SEE CONTINUATION SHEET(S)</b>   |  |                                      |   | <b>132,558,968.</b> |
| <b>b Approved for future payment</b>   |  |                                      |   |                     |
| A NEW WAY OF LIFE REENTRY PROJECT<br>PO BOX 875288<br>LOS ANGELES, CA 90087                | N/A  | PC                                   | FOR THE FICPFM/QUEST<br>FOR DEMOCRACY FUND  | 200,000.            |
| AMERICAN INDIAN COLLEGE FUND<br>8333 GREENWOOD BOULEVARD<br>DENVER, CO 80221               | N/A  | PC                                   | TO EXPAND EARLY<br>CHILDHOOD DEPARTMENTS<br>IN TRIBAL COLLEGES AND<br>UNIVERSITIES                          | 350,000.            |
| ARIZONA BOARD OF REGENTS, UNIVERSITY<br>OF ARIZONA<br>933 N CHERRY AVE<br>TUCSON, AZ 85722 | N/A  | GOV                                  | TO DEVELOP TECHNOLOGY<br>AND TECHNIQUES THAT<br>WILL ADVANCE THE<br>STATE-OF-THE-ART IN<br>DIRECT EXOPLANET | 223,010.            |
| <b>Total SEE CONTINUATION SHEET(S)</b>   |  |                                      |   | <b>33,118,945.</b>  |





# Application for Automatic Extension of Time To File an Exempt Organization Return

Department of the Treasury  
Internal Revenue Service

▶ **File a separate application for each return.**  
▶ **Go to [www.irs.gov/Form8868](http://www.irs.gov/Form8868) for the latest information.**

**Electronic filing (e-file).** You can electronically file Form 8868 to request a 6-month automatic extension of time to file any of the forms listed below with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, for which an extension request must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/e-file-providers/e-file-for-charities-and-non-profits](http://www.irs.gov/e-file-providers/e-file-for-charities-and-non-profits).

**Automatic 6-Month Extension of Time.** Only submit original (no copies needed).

All corporations required to file an income tax return other than Form 990-T (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

|  |  |   |
|--|--|---|
| <b>Type or print</b>   | Name of exempt organization or other filer, see instructions.<br><b>THE HEISING-SIMONS FOUNDATION</b>                  | Taxpayer identification number (TIN)<br><b>26-0799587</b> |
| File by the due date for filing your return. See instructions. | Number, street, and room or suite no. If a P.O. box, see instructions.<br><b>400 MAIN STREET, NO. 200</b>              |   |
|  | City, town or post office, state, and ZIP code. For a foreign address, see instructions.<br><b>LOS ALTOS, CA 94022</b> |   |

Enter the Return Code for the return that this application is for (file a separate application for each return) 0 | 4

| Application Is For                       | Return Code | Application Is For                | Return Code |
|--|-------------|-----------------------------------|-------------|
| Form 990 or Form 990-EZ                  | 01          | Form 990-T (corporation)          | 07          |
| Form 990-BL                              | 02          | Form 1041-A                       | 08          |
| Form 4720 (individual)                   | 03          | Form 4720 (other than individual) | 09          |
| Form 990-PF                              | 04          | Form 5227                         | 10          |
| Form 990-T (sec. 401(a) or 408(a) trust) | 05          | Form 6069                         | 11          |
| Form 990-T (trust other than above)      | 06          | Form 8870                         | 12          |

**JUDY BLUMENSTEIN**

- The books are in the care of ▶ **400 MAIN STREET, SUITE 200 - LOS ALTOS, CA 94022**  
Telephone No. ▶ **(650) 887-0277** Fax No. ▶ \_\_\_\_\_
- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_. If this is for the whole group, check this box . If it is for part of the group, check this box  and attach a list with the names and TINs of all members the extension is for.

**1** I request an automatic 6-month extension of time until **NOVEMBER 15, 2021**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:  
▶  calendar year **2020** or  
▶  tax year beginning \_\_\_\_\_, and ending \_\_\_\_\_.

**2** If the tax year entered in line 1 is for less than 12 months, check reason:  Initial return  Final return  
 Change in accounting period

|   |           |                      |
|---|-----------|----------------------|
| <b>3a</b> If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.                                   | <b>3a</b> | \$ <b>4,980,824.</b> |
| <b>b</b> If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit. | <b>3b</b> | \$ <b>2,078,824.</b> |
| <b>c Balance due.</b> Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.              | <b>3c</b> | \$ <b>2,902,000.</b> |

**Caution:** If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

THE HEISING-SIMONS FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold, e.g., real estate,<br>2-story brick warehouse; or common stock, 200 shs. MLC Co. | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|--|--|--------------------------------------|----------------------------------|
| 1a SENTINEL HS FOUNDATION FUND, LP   | P  | 01/01/20                             | 12/31/20                         |
| b PRIME FINANCE CMBS B-PIECE FUND I, LP  | P  | 01/01/20                             | 12/31/20                         |
| c EIGHTFOLD REAL ESTATE CAPITAL FUND V, LP   | P  | 01/01/20                             | 12/31/20                         |
| d RENAISSANCE INSTITUTIONAL DIVERSIFIED ALPHA FUND   | P  | 01/01/20                             | 12/31/20                         |
| e THE RISE FUND (A), LP  | P  | 01/01/20                             | 12/31/20                         |
| f THE RISE FUND AIV II, LP   | P  | 01/01/20                             | 12/31/20                         |
| g OCTAGON INVESTMENT GRADE CLO OPPORTUNITY FUND, LT  | P  | 01/01/20                             | 10/31/20                         |
| h PUBLICLY TRADED SECURITIES   |  |                                      |                                  |
| i  |  |                                      |                                  |
| j  |  |                                      |                                  |
| k  |  |                                      |                                  |
| l  |  |                                      |                                  |
| m  |  |                                      |                                  |
| n  |  |                                      |                                  |
| o  |  |                                      |                                  |

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a 332,273.            |  |   | 332,273.                                     |
| b 75,322.             |  |   | 75,322.                                      |
| c 28,719.             |  |   | 28,719.                                      |
| d                     |  | 7,997,069.                                      | -7,997,069.                                  |
| e 52,668.             |  |   | 52,668.                                      |
| f                     |  | 1,899.  | -1,899.                                      |
| g 55,632,265.         |  | 55,000,000.                                     | 632,265.                                     |
| h 92,877,000.         |  | 92,879,688.                                     | -2,688.                                      |
| i                     |  |   |  |
| j                     |  |   |  |
| k                     |  |   |  |
| l                     |  |   |  |
| m                     |  |   |  |
| n                     |  |   |  |
| o                     |  |   |  |

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |                                      |   | (l) Losses (from col. (h))<br>Gains (excess of col. (h) gain over col. (k),<br>but not less than "-0-") |
|---|--------------------------------------|---|---|
| (i) F.M.V. as of 12/31/69   | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col. (i)<br>over col. (j), if any |   |
| a   |                                      |   | 332,273.  |
| b   |                                      |   | 75,322.   |
| c   |                                      |   | 28,719.   |
| d   |                                      |   | -7,997,069.   |
| e   |                                      |   | 52,668.   |
| f   |                                      |   | -1,899.   |
| g   |                                      |   | 632,265.  |
| h   |                                      |   | -2,688.   |
| i   |                                      |   |   |
| j   |                                      |   |   |
| k   |                                      |   |   |
| l   |                                      |   |   |
| m   |                                      |   |   |
| n   |                                      |   |   |
| o   |                                      |   |   |

|   |   |             |
|---|---|-------------|
| 2 Capital gain net income or (net capital loss) ..... { If gain, also enter in Part I, line 7<br>If (loss), enter "-0-" in Part I, line 7 } .....                                       | 2 | -6,880,409. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):<br>If gain, also enter in Part I, line 8, column (c).<br>If (loss), enter "-0-" in Part I, line 8 ..... | 3 | N/A         |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)  | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution   | Amount       |
|---|--|--------------------------------------|---|--------------|
| ACADIA CENTER<br>P.O. BOX 583<br>ROCKPORT, ME 04856-0583                                    | N/A  | PC                                   | FOR GENERAL SUPPORT   | 100,000.     |
| ADVANCED ENERGY ECONOMY INSTITUTE<br>1000 VERMONT AVE NW, 3RD FLOOR<br>WASHINGTON, DC 20005 | N/A  | PC                                   | TO ADVANCE U.S. POWER SECTOR TRANSFORMATION   | 400,000.     |
| ADVANCEMENT PROJECT<br>1910 WEST SUNSET BLVD., SUITE 500<br>LOS ANGELES, CA 90026-3291      | N/A  | PC                                   | FOR A REPORT PROMOTING INCREASED EQUITY IN EARLY<br>CHILDHOOD IN CALIFORNIA             | 10,000.      |
| ADVANCEMENT PROJECT<br>1910 WEST SUNSET BLVD., SUITE 500<br>LOS ANGELES, CA 90026-3291      | N/A  | PC                                   | FOR THE EDUCATIONAL EQUITY PROGRAMS EARLY EDUCATION<br>ADVOCACY WORK                    | 300,000.     |
| ADVANCEMENT PROJECT<br>1910 WEST SUNSET BLVD., SUITE 500<br>LOS ANGELES, CA 90026-3291      | N/A  | PC                                   | FOR GENERAL SUPPORT   | 200,000.     |
| AFRICAN AMERICAN COMMUNITY SERVICE AGENCY<br>304 N. 6TH STREET<br>SAN JOSE, CA 95112        | N/A  | PC                                   | FOR THE LEADERSHIP ACADEMY (\$25,000) AND POLICY AND<br>ADVOCACY INITIATIVES (\$25,000) | 50,000.      |
| ALL GOOD WORK SPACE FOUNDATION<br>2831 SAINT ROSE PKWY, SUITE 200<br>HENDERSON, NV 89052    | N/A  | PC                                   | FOR THE SILICON VALLEY PROGRAM  | 50,000.      |
| <b>Total from continuation sheets</b>   |  |                                      |   | 132,133,968. |



**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)   | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution   | Amount     |
|--|--|--------------------------------------|---|------------|
| ALLIANCE FOR CLIMATE EDUCATION INC.<br>4696 BROADWAY, SUITE 2<br>BOULDER, CO 80304                             | N/A  | PC                                   | FOR SAN FRANCISCO BAY AREA PROGRAM IN RESPONSE TO<br>COVID-19                       | 10,000.    |
| ALLIANCE FOR EARLY SUCCESS<br>P.O. BOX 6756<br>LEAWOOD, KS 66206   | N/A  | PC                                   | FOR GENERAL SUPPORT   | 25,000.    |
| ALLIANCE FOR EARLY SUCCESS<br>P.O. BOX 6756<br>LEAWOOD, KS 66206   | N/A  | PC                                   | FOR RACIAL EQUITY TRAINING SUPPORT  | 45,000.    |
| ALLIANCE FOR EARLY SUCCESS<br>P.O. BOX 6756<br>LEAWOOD, KS 66206   | N/A  | PC                                   | FOR GENERAL SUPPORT   | 2,600,000. |
| AMERICAN ASSOCIATION FOR THE ADVANCEMENT<br>OF SCIENCE<br>1200 NEW YORK AVENUE NW<br>WASHINGTON, DC 20005-3928 | N/A  | PC                                   | TO SUPPORT THE DEVELOPMENT OF THE TECHNOLOGY<br>INFRASTRUCTURE FOR SEA CHANGE       | 162,500.   |
| AMERICAN ASSOCIATION FOR THE ADVANCEMENT<br>OF SCIENCE<br>1200 NEW YORK AVENUE NW<br>WASHINGTON, DC 20005-3928 | N/A  | PC                                   | FOR THE AAAS MASS MEDIA SCIENCE AND ENGINEERING<br>FELLOWS PROGRAM IN 2020 AND 2021 | 199,755.   |
| AMERICAN ASSOCIATION FOR THE ADVANCEMENT<br>OF SCIENCE<br>1200 NEW YORK AVENUE NW<br>WASHINGTON, DC 20005-3928 | N/A  | PC                                   | FOR SCIENCE COVERAGE IN RESPONSE TO COVID-19  | 230,000.   |
| <b>Total from continuation sheets</b> .....  |  |                                      |   |            |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)   | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution  | Amount   |
|--|--|--------------------------------------|--|----------|
| AMERICAN CIVIL LIBERTIES UNION FOUNDATION<br>OF NORTHERN CALIFORNIA<br>39 DRUMM ST.<br>SAN FRANCISCO, CA 94111-4805          | N/A  | PC                                   | TO SUPPORT PROJECTS FOCUSED ON IMMIGRANTS' RIGHTS AND<br>CRIMINAL JUSTICE REFORMS                          | 100,000. |
| AMERICAN COUNCIL FOR AN ENERGY-EFFICIENT<br>ECONOMY<br>529 14TH STREET N.W., SUITE 600<br>WASHINGTON, DC 20045-1000          | N/A  | PC                                   | FOR GENERAL SUPPORT  | 100,000. |
| AMERICAN INSTITUTE OF PHYSICS<br>ONE PHYSICS ELLIPSE<br>COLLEGE PARK, MD 20740   | N/A  | PC                                   | FOR TWO AMERICAN INSTITUTE OF PHYSICS (AIP)-LED<br>WORKSHOPS   | 200,000. |
| AMERICAN INSTITUTES FOR RESEARCH IN THE<br>BEHAVIORAL SCIENCES<br>1000 THOMAS JEFFERSON STREET, N.W.<br>WASHINGTON, DC 20007 | N/A  | PC                                   | FOR A FORMATIVE STUDY OF DLL PROFESSIONAL DEVELOPMENT<br>AND TEACHER PRACTICE IN CALIFORNIA                | 181,390. |
| AMERICAN INSTITUTES FOR RESEARCH IN THE<br>BEHAVIORAL SCIENCES<br>1000 THOMAS JEFFERSON STREET, N.W.<br>WASHINGTON, DC 20007 | N/A  | PC                                   | TO SURVEY EARLY LEARNING AND CARE PROGRAMS THAT SERVE<br>DLLS ABOUT PANDEMIC RELATED EXPERIENCES AND NEEDS | 75,025.  |
| AMERICAN LEADERSHIP FORUM - SILICON VALLEY<br>1400 PARKMOOR AVENUE, SUITE 280<br>SAN JOSE, CA 95126                          | N/A  | PC                                   | FOR GENERAL SUPPORT  | 100,000. |
| AMERICAN PHYSICAL SOCIETY<br>1 PHYSICS ELLIPSE<br>COLLEGE PARK, MD 20740-3842  | N/A  | PC                                   | FOR THE CONFERENCE FOR UNDERGRADUATE WOMEN IN PHYSICS<br>AT IRVINE   | 46,000.  |
| <b>Total from continuation sheets</b>  |  |                                      |  |          |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)  | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution   | Amount   |
|---|--|--------------------------------------|---|----------|
| AMERICAN PHYSICAL SOCIETY<br>1 PHYSICS ELLIPSE<br>COLLEGE PARK, MD 20740-3842           | N/A  | PC                                   | FOR THE VIRTUAL CONFERENCE FOR UNDERGRADUATE WOMEN IN PHYSICS   | 200,000. |
| ANTI-RECIDIVISM COALITION<br>448 S HILL STREET, SUITE 908<br>LOS ANGELES, CA 90013-1136 | N/A  | PC                                   | FOR GENERAL SUPPORT   | 100,000. |
| ARABELLA ADVISORS<br>1201 CONNECTICUT AVE NW, SUITE 300<br>WASHINGTON, DC 20036         | N/A  | NC                                   | TO DEVELOP A PLAN FOR A PROFESSIONAL DEVELOPMENT PLATFORM FOR ECE PROFESSIONALS IN CALIFORNIA           | 150,000. |
| ARIZONA BOARD OF REGENTS, UNIVERSITY OF ARIZONA<br>933 N CHERRY AVE<br>TUCSON, AZ 85719 | N/A  | GOV                                  | TO SUPPORT THE DEVELOPMENT AND APPLICATION OF DATA ASSIMILATION TECHNIQUES IN PALEOCLIMATE DEEP TIME    | 72,553.  |
| ARIZONA BOARD OF REGENTS, UNIVERSITY OF ARIZONA<br>933 N CHERRY AVE<br>TUCSON, AZ 85722 | N/A  | GOV                                  | TO DEVELOP TECHNOLOGY AND TECHNIQUES THAT WILL ADVANCE THE STATE-OF-THE-ART IN DIRECT EXOPLANET IMAGING | 112,287. |
| ARIZONA BOARD OF REGENTS, UNIVERSITY OF ARIZONA<br>933 N CHERRY AVE<br>TUCSON, AZ 85719 | N/A  | GOV                                  | FOR THE UPGRADE AND REFURBISHMENT OF THE 90PRIME OPTICAL IMAGER ON THE BOK 90-INCH TELESCOPE            | 364,228. |
| ARIZONA BOARD OF REGENTS, UNIVERSITY OF ARIZONA<br>933 N CHERRY AVE<br>TUCSON, AZ 85719 | N/A  | GOV                                  | FOR THE SECOND PRINCIPAL INVESTIGATOR 101 WORKSHOP  | 99,935.  |
| <b>Total from continuation sheets</b>   |  |                                      |   |          |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)  | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution  | Amount   |
|---|--|--------------------------------------|--|----------|
| ARIZONA BOARD OF REGENTS, UNIVERSITY OF<br>ARIZONA<br>933 N CHERRY AVE<br>TUCSON, AZ 85719                            | N/A  | GOV                                  | FOR THE PALEOCAMP SUMMER SCHOOL FOR GRADUATE STUDENTS<br>IN PALEOCLIMATOLOGY   | 175,449. |
| ARIZONA STATE UNIVERSITY FOUNDATION FOR A<br>NEW AMERICAN UNIVERSITY<br>P.O. BOX 2260<br>TEMPE, AZ 85280              | N/A  | GOV                                  | FOR THE SPECTROSCOPIC CHARACTERIZATION OF YTTERBIUM<br>(YB) CONTAINING POLYATOMIC MOLECULES FOR FUNDAMENTAL<br>PHYSICS EXPERIMENTS   | 153,352. |
| ARIZONA STATE UNIVERSITY FOUNDATION FOR A<br>NEW AMERICAN UNIVERSITY<br>P.O. BOX 2260<br>TEMPE, AZ 85280              | N/A  | GOV                                  | FOR THE CHILDREN'S EQUITY PROJECT  | 397,664. |
| ASIAN AMERICANS ADVANCING JUSTICE-ASIAN<br>LAW CAUCUS<br>55 COLUMBUS AVE<br>SAN FRANCISCO, CA 94111-2101              | N/A  | PC                                   | FOR GENERAL SUPPORT  | 225,000. |
| ASPEN GLOBAL CHANGE INSTITUTE INC.<br>104 MIDLAND AVENUE, SUITE 205<br>BASALT, CO 81621                               | N/A  | PC                                   | FOR RESEARCH, ANALYSIS, AND TECHNICAL SUPPORT TO<br>ADVANCE A LOW-CARBON FUTURE  | 650,000. |
| ASSOCIATION FOR ENERGY AFFORDABILITY, INC.<br>15 BRUCKNER BOULEVARD<br>THE BRONX, NY 10454                            | N/A  | PC                                   | TO ADVANCE BUILDING ELECTRIFICATION IN NEW YORK  | 150,000. |
| ASSOCIATION OF STATE AND TRIBAL HOME<br>VISITING INITIATIVES<br>639 MASSACHUSETTS AVE NE<br>WASHINGTON, DC 20002-6005 | N/A  | PC                                   | FOR A DISSEMINATION AND SUSTAINABILITY PLAN FOR THE<br>INSTITUTE FOR THE ADVANCEMENT OF FAMILY SUPPORT<br>PROFESSIONALS ONLINE TRAINING SYSTEM FOR HOME<br>VISITORS AND OTHER FAMILY SUPPORT PROFESSIONALS | 164,500. |
| <b>Total from continuation sheets</b> .....   |  |                                      |  |          |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)   | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution           | Amount   |
|--|--|--------------------------------------|---|----------|
| ATLANTA FULTON COUNTY PREARREST DIVERSION INITIATIVE INC<br>236 FORSYTH STREET SOUTHWEST, SUITE 200<br>ATLANTA, GA 30303 | N/A  | PC                                   | FOR GENERAL SUPPORT                           | 125,000. |
| ATLANTA FULTON COUNTY PREARREST DIVERSION INITIATIVE INC<br>236 FORSYTH STREET SOUTHWEST, SUITE 200<br>ATLANTA, GA 30303 | N/A  | PC                                   | FOR CAPACITY/ORGANIZATIONAL SUPPORT           | 25,000.  |
| BILL, HILLARY & CHELSEA CLINTON FOUNDATION<br>1633 BROADWAY 5TH FLOOR<br>NEW YORK, NY 10019                              | N/A  | PC                                   | FOR TOO SMALL TO FAIL                         | 500,000. |
| BIPARTISAN POLICY CENTER, INC.<br>1225 I STREET, NW, STE 1000<br>WASHINGTON, DC 20005                                    | N/A  | PC                                   | FOR THE EARLY EDUCATION INITIATIVE            | 25,000.  |
| BIPARTISAN POLICY CENTER, INC.<br>1225 I STREET, NW, STE 1000<br>WASHINGTON, DC 20005                                    | N/A  | PC                                   | FOR THE EARLY EDUCATION INITIATIVE            | 200,000. |
| BIPARTISAN POLICY CENTER, INC.<br>1225 I STREET, NW, STE 1000<br>WASHINGTON, DC 20005                                    | N/A  | PC                                   | TO LAUNCH A NEW TRIBAL COMMUNITIES INITIATIVE | 300,000. |
| BLACK COOPERATIVE INVESTMENT FUND<br>6080 CENTER DRIVE, 6TH FLOOR<br>LOS ANGELES, CA 90045                               | N/A  | PC                                   | FOR GENERAL SUPPORT                           | 15,000.  |
| <b>Total from continuation sheets</b>  |  |                                      |   |          |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)   | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution  | Amount   |
|--|--|--------------------------------------|--|----------|
| BLUEGREEN ALLIANCE FOUNDATION<br>1300 GODWARD STREET NORTHEAST SUITE 2625<br>MINNEAPOLIS, MN 55413     | N/A  | PC                                   | FOR GENERAL SUPPORT  | 275,000. |
| BOARD OF REGENTS OF THE UNIVERSITY OF<br>WISCONSIN SYSTEM<br>21 NORTH PARK STREET<br>MADISON, WI 53715 | N/A  | PC                                   | TO LAUNCH AND CONDUCT THE FIRST TWO ROUNDS OF DATA<br>COLLECTION FOR QUALITATIVE STUDY OF 80 BABY'S FIRST<br>YEAR STUDY PARTICIPANTS | 220,000. |
| BOREALIS PHILANTHROPY<br>P.O. BOX 3295<br>MINNEAPOLIS, MN 55403  | N/A  | PC                                   | FOR THE COMMUNITY TRANSFORMING POLICING (\$300,000)<br>AND BLACK-LED MOVEMENT (\$300,000) FUNDS                                      | 300,000. |
| BOREALIS PHILANTHROPY<br>P.O. BOX 3295<br>MINNEAPOLIS, MN 55403  | N/A  | PC                                   | FOR THE RACIAL EQUITY IN JOURNALISM FUND   | 250,000. |
| BRAZELTON TOUCHPOINTS FOUNDATION<br>1295-1299 BOYLSTON STREET<br>BOSTON, MA 02215                      | N/A  | PC                                   | FOR GENERAL SUPPORT  | 30,000.  |
| BROOKLYN ARTS COUNCIL<br>315 GATES AVENUE, 5R<br>BROOKLYN, NY 11216                                    | N/A  | PC                                   | FOR TRANSLASH MEDIA  | 50,000.  |
| BYP100 EDUCATION FUND<br>PO BOX 15254<br>CHICAGO, IL 60615   | N/A  | PC                                   | FOR GENERAL SUPPORT  | 150,000. |
| <b>Total from continuation sheets</b>  |  |                                      |  |          |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)  | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution  | Amount     |
|---|--|--------------------------------------|--|------------|
| CALIFORNIA ASSOCIATION FOR RESEARCH IN ASTRONOMY<br>65-1120 MAMALAHOA HIGHWAY<br>KAMUELA, HI 96743-8431 | N/A  | PC                                   | FOR THE FARMS2FAMILIES PROJECT   | 50,000.    |
| CALIFORNIA ASSOCIATION FOR RESEARCH IN ASTRONOMY<br>65-1120 MAMALAHOA HIGHWAY<br>KAMUELA, HI 96743-8431 | N/A  | PC                                   | FOR THE DEVELOPMENT AND FABRICATION OF A LASER FREQUENCY COMB FOR THE W. M. KECK OBSERVATORY | 1,000,973. |
| CALIFORNIA BUDGET & POLICY CENTER<br>1107 9TH STREET, SUITE 310<br>SACRAMENTO, CA 95814                 | N/A  | PC                                   | FOR GENERAL SUPPORT  | 210,000.   |
| CALIFORNIA BUDGET & POLICY CENTER<br>1107 9TH STREET, SUITE 310<br>SACRAMENTO, CA 95814                 | N/A  | PC                                   | FOR SPONSORSHIP OF THE 2020 POLICY INSIGHTS CONFERENCE                                       | 10,000.    |
| CALIFORNIA BUDGET & POLICY CENTER<br>1107 9TH STREET, SUITE 310<br>SACRAMENTO, CA 95814                 | N/A  | PC                                   | FOR GENERAL SUPPORT  | 200,000.   |
| CALIFORNIA CALLS EDUCATION FUND<br>4801 WEST EXPOSITION BOULEVARD<br>LOS ANGELES, CA 90016              | N/A  | PC                                   | FOR GENERAL SUPPORT  | 200,000.   |
| CALIFORNIA CHANGLAWYERS<br>180 HOWARD STREET SUITE 1220<br>SAN FRANCISCO, CA 94105-1614                 | N/A  | PC                                   | FOR GENERAL SUPPORT  | 125,000.   |
| <b>Total from continuation sheets</b>   |  |                                      |  |            |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)  | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution  | Amount   |
|---|--|--------------------------------------|--|----------|
| CALIFORNIA CHILD CARE RESOURCE AND REFERRAL NETWORK<br>1182 MARKET ST, SUITE 300<br>SAN FRANCISCO, CA 94102 | N/A  | PC                                   | TO SUPPORT PARENT ORGANIZING FOR QUALITY EARLY CARE AND EDUCATION  | 100,000. |
| CALIFORNIA CHILD CARE RESOURCE AND REFERRAL NETWORK<br>1182 MARKET ST, SUITE 300<br>SAN FRANCISCO, CA 94102 | N/A  | PC                                   | FOR GENERAL SUPPORT  | 285,000. |
| CALIFORNIA COMMUNITY FOUNDATION<br>221 SOUTH FIGUEROA STREET<br>LOS ANGELES, CA 90012                       | N/A  | PC                                   | FOR AN LA COUNTY SUMMER LEARNING INITIATIVE IN RESPONSE TO COVID-19  | 250,000. |
| CALIFORNIA COMMUNITY FOUNDATION<br>221 SOUTH FIGUEROA STREET<br>LOS ANGELES, CA 90012                       | N/A  | PC                                   | FOR MEDIA SUPPORT FOR PHILANTHROPY PUBLIC RECOVERY LETTER  | 15,000.  |
| CCF COMMUNITY INITIATIVES FUND<br>221 SOUTH FIGUEROA STREET<br>LOS ANGELES, CA 90012                        | N/A  | PC                                   | FOR THE BABY FUTURES FUND  | 75,000.  |
| CCF COMMUNITY INITIATIVES FUND<br>221 SOUTH FIGUEROA STREET<br>LOS ANGELES, CA 90012                        | N/A  | PC                                   | FOR THE LA PARTNERSHIP FOR EARLY CHILDHOOD INVESTMENTS TO ENABLE CANDIDATE EDUCATION ABOUT EARLY CHILDHOOD EDUCATION | 25,000.  |
| CALIFORNIA DEPARTMENT OF SOCIAL SERVICES<br>744 P STREET<br>SACRAMENTO, CA 95814                            | N/A  | GOV                                  | FOR A CALIFORNIA CHILD CARE COMMUNICATIONS HUB   | 300,000. |
| <b>Total from continuation sheets</b>   |  |                                      |  |          |



**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

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|--|--|--------------------------------------|--|----------|
| CALIFORNIA EDUCATION PARTNERS<br>1 LOMBARD STREET SUITE 305<br>SAN FRANCISCO, CA 94111             | N/A  | PC                                   | FOR A MULTI-DISTRICT, EARLY GRADES CONTINUOUS<br>IMPROVEMENT COLLABORATION   | 850,000. |
| CALIFORNIA HEALTH AND HUMAN SERVICES<br>AGENCY<br>2495 NATOMAS PARK DRIVE<br>SACRAMENTO, CA 95833  | N/A  | PC                                   | FOR THE DEPARTMENT OF SOCIAL SERVICES TO DEVELOP A<br>PROOF OF CONCEPT FOR A CLOUD-HOSTED DATA HUB FOR<br>ADMINISTRATIVE DATA                            | 187,500. |
| CALIFORNIA IMMIGRANT POLICY CENTER<br>634 SOUTH SPRING STREET, SUITE 600A<br>LOS ANGELES, CA 90014 | N/A  | PC                                   | FOR GENERAL SUPPORT  | 25,000.  |
| CALIFORNIA IMMIGRANT POLICY CENTER<br>634 SOUTH SPRING STREET, SUITE 600A<br>LOS ANGELES, CA 90014 | N/A  | PC                                   | FOR GENERAL SUPPORT  | 100,000. |
| CALIFORNIA INSTITUTE OF TECHNOLOGY<br>1200 E CALIFORNIA BLVD<br>PASADENA, CA 91125                 | N/A  | PC                                   | FOR THE 51 PEGASI B FELLOWSHIP   | 119,961. |
| CALIFORNIA INSTITUTE OF TECHNOLOGY<br>1200 E CALIFORNIA BLVD<br>PASADENA, CA 91125                 | N/A  | PC                                   | FOR THE DEVELOPMENT AND IMPLEMENTATION OF THE CORE<br>INFRASTRUCTURE FOR THE ZTF DATA SPACE  | 203,497. |
| CALIFORNIA INSTITUTE OF TECHNOLOGY<br>1200 E CALIFORNIA BLVD<br>PASADENA, CA 91125                 | N/A  | PC                                   | FOR THE DESIGN, CONSTRUCTION, AND DEMONSTRATION OF<br>THE FIRST EXPERIMENTAL SEARCH FOR CP-VIOLATING<br>PHYSICS VIA A NUCLEAR MAGNETIC QUADRUPOLE MOMENT | 542,678. |
| <b>Total from continuation sheets</b> .....  |  |                                      |  |          |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

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|--|--|--------------------------------------|--|----------|
| CALIFORNIA INSTITUTE OF TECHNOLOGY<br>1200 E CALIFORNIA BLVD<br>PASADENA, CA 91125 | N/A  | PC                                   | FOR THE 51 PEGASI B FELLOWSHIP   | 117,406. |
| CALIFORNIA INSTITUTE OF TECHNOLOGY<br>1200 E CALIFORNIA BLVD<br>PASADENA, CA 91125 | N/A  | PC                                   | FOR THE 51 PEGASI B FELLOWSHIP   | 117,406. |
| CALIFORNIA INSTITUTE OF TECHNOLOGY<br>1200 E CALIFORNIA BLVD<br>PASADENA, CA 91125 | N/A  | PC                                   | FOR THE SCIENCE VERIFICATION AND EXPLOITATION OF THE<br>KECK PLANET IMAGER AND CHARACTERIZER SYSTEM (KPIC)                     | 198,369. |
| CALIFORNIA INSTITUTE OF TECHNOLOGY<br>1200 E CALIFORNIA BLVD<br>PASADENA, CA 91125 | N/A  | PC                                   | FOR A FOUR-YEAR PILOT PROGRAM FOR FUTURE OF PHYSICS<br>AT CALTECH  | 111,505. |
| CALIFORNIA INSTITUTE OF TECHNOLOGY<br>1200 E CALIFORNIA BLVD<br>PASADENA, CA 91125 | N/A  | PC                                   | FOR THE DEVELOPMENT OF ORBITIZE!, A COMPREHENSIVE<br>ORBIT-FITTING SOFTWARE PACKAGE FOR THE HIGH-CONTRAST<br>IMAGING COMMUNITY | 45,857.  |
| CALIFORNIA INSTITUTE OF TECHNOLOGY<br>1200 E CALIFORNIA BLVD<br>PASADENA, CA 91125 | N/A  | PC                                   | FOR THE 51 PEGASI B FELLOWSHIP   | 126,040. |
| CALIFORNIA INSTITUTE OF TECHNOLOGY<br>1200 E CALIFORNIA BLVD<br>PASADENA, CA 91125 | N/A  | PC                                   | FOR THE 51 PEGASI B FELLOWSHIP   | 126,040. |
| <b>Total from continuation sheets</b>  |  |                                      |  |          |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

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|---|--|--------------------------------------|--|----------|
| CALIFORNIA INSTITUTE OF TECHNOLOGY<br>1200 E CALIFORNIA BLVD<br>PASADENA, CA 91125                        | N/A  | PC                                   | FOR THE DEVELOPMENT AND FABRICATION OF THE NEXT<br>GENERATION PALOMAR SPECTROGRAPH   | 446,840. |
| CALIFORNIANS DEDICATED TO EDUCATION<br>FOUNDATION<br>260 MAIN STREET, SUITE 200<br>REDWOOD CITY, CA 94063 | N/A  | PC                                   | TO IMPLEMENT DISTRICT INNOVATION AND LEADERSHIP FOR<br>EARLY EDUCATION (DIAL EE)   | 200,000. |
| CALIFORNIANS DEDICATED TO EDUCATION<br>FOUNDATION<br>260 MAIN STREET, SUITE 200<br>REDWOOD CITY, CA 94063 | N/A  | PC                                   | FOR THE 2020 CALIFORNIA STEAM SYMPOSIUM  | 10,000.  |
| CALIFORNIANS DEDICATED TO EDUCATION<br>FOUNDATION<br>260 MAIN STREET, SUITE 200<br>REDWOOD CITY, CA 94063 | N/A  | PC                                   | TO PROVIDE ESSENTIAL DEVICES, CONNECTIVITY, AND<br>RELATED DIGITAL LEARNING SUPPORTS FOR PK-12 STUDENTS,<br>TEACHERS, AND THEIR FAMILIES | 200,000. |
| CALIFORNIANS DEDICATED TO EDUCATION<br>FOUNDATION<br>260 MAIN STREET, SUITE 200<br>REDWOOD CITY, CA 94063 | N/A  | PC                                   | TO SUPPORT THE CALIFORNIA DEPARTMENT OF EDUCATION'S<br>TECHNOLOGY NEEDS DURING COVID-19  | 166,645. |
| CALIFORNIANS DEDICATED TO EDUCATION<br>FOUNDATION<br>260 MAIN STREET, SUITE 200<br>REDWOOD CITY, CA 94063 | N/A  | PC                                   | TO CREATE A FAMILY ENGAGEMENT UNIT WITHIN CDE THAT<br>WILL BUILD THE CAPACITY OF EDUCATORS AND PARENTS<br>DURING AND AFTER COVID-19      | 200,000. |
| CALIFORNIANS FOR JUSTICE<br>1961 LAS PLUMAS AVENUE<br>SAN JOSE, CA 95133                                  | N/A  | PC                                   | FOR BUILDING RESIDENT POWER IN SAN JOSE  | 300,000. |
| <b>Total from continuation sheets</b>   |  |                                      |  |          |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

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|---|--|--------------------------------------|--|------------|
| CALIFORNIANS FOR JUSTICE<br>1961 LAS PLUMAS AVENUE<br>SAN JOSE, CA 95133              | N/A  | PC                                   | FOR GENERAL SUPPORT  | 100,000.   |
| CALIFORNIANS TOGETHER<br>525 EAST 7TH STREET 2ND FLOOR<br>LONG BEACH, CA 90813-4559   | N/A  | PC                                   | TO IDENTIFY OPPORTUNITIES TO SUPPORT DUAL LANGUAGE<br>ACQUISITION IN FAMILY CHILD CARE | 59,950.    |
| CANGRESS<br>838 E. 6TH STREET<br>LOS ANGELES, CA 90021                                | N/A  | PC                                   | FOR GENERAL SUPPORT  | 75,000.    |
| CANGRESS<br>838 E. 6TH ST.<br>LOS ANGELES, CA 90021                                   | N/A  | PC                                   | FOR THE STOP LAPD SPYING COALITION   | 25,000.    |
| CENTER FOR AMERICAN PROGRESS<br>1333 H STREET, NW, 10TH FLOOR<br>WASHINGTON, DC 20005 | N/A  | PC                                   | FOR GENERAL SUPPORT  | 1,000,000. |
| CENTER FOR AMERICAN PROGRESS<br>1333 H STREET, NW, 10TH FLOOR<br>WASHINGTON, DC 20005 | N/A  | PC                                   | TO CONDUCT, ANALYZE, AND PUBLISH THE RESULTS OF A<br>NATIONAL CHILD CARE SURVEY        | 148,000.   |
| CENTER FOR AMERICAN PROGRESS<br>1333 H STREET, NW, 10TH FLOOR<br>WASHINGTON, DC 20005 | N/A  | PC                                   | FOR GENERAL SUPPORT  | 153,470.   |
| <b>Total from continuation sheets</b>   |  |                                      |  |            |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

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|--|--|--------------------------------------|-------------------------------------|----------|
| CENTER FOR APPLIED ENVIRONMENTAL LAW & POLICY<br>1503 SPRUCE STREET, STE 7<br>BOULDER, CO 80302    | N/A  | PC                                   | FOR GENERAL SUPPORT                 | 390,654. |
| CENTER FOR COMMON GROUND<br>18459 PATRIOT LN<br>RUTHER GLEN, VA 22546                              | N/A  | PC                                   | FOR GENERAL SUPPORT                 | 25,000.  |
| CENTER FOR EARTH, ENERGY, AND DEMOCRACY<br>4513 34TH AVE. S. SUITE B<br>MINNEAPOLIS, MN 55406      | N/A  | PC                                   | FOR A STRATEGIC GROWTH PROCESS      | 75,000.  |
| CENTER FOR ENERGY EFFICIENCY AND RENEWABLE TECHNOLOGIES<br>346 WILSON STREET<br>PETALUMA, CA 94952 | N/A  | PC                                   | TO SUPPORT BUILDING DECARBONIZATION | 200,000. |
| CENTER FOR EXCELLENCE IN NONPROFITS<br>330 TWIN DOLPHIN DRIVE, SUITE 151<br>REDWOOD CITY, CA 94065 | N/A  | PC                                   | FOR SILICON VALLEY NEXT             | 50,000.  |
| CENTER FOR INVESTIGATIVE REPORTING INC<br>1400 65TH STREET, SUITE 200<br>EMERYVILLE, CA 94608-1020 | N/A  | PC                                   | FOR GENERAL SUPPORT                 | 200,000. |
| CENTER FOR LAW AND SOCIAL POLICY<br>1200 18TH STREET NW, SUITE 200<br>WASHINGTON, DC 20036         | N/A  | PC                                   | FOR GENERAL SUPPORT                 | 25,000.  |
| <b>Total from continuation sheets</b>  |  |                                      |                                     |          |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

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|--|--|--------------------------------------|---|----------|
| CENTER FOR LAW AND SOCIAL POLICY<br>1200 18TH STREET NW, SUITE 200<br>WASHINGTON, DC 20036       | N/A  | PC                                   | FOR GENERAL SUPPORT                                 | 300,000. |
| CENTER FOR PUBLIC INTEGRITY<br>910 17TH STREET NW, 7TH FLOOR<br>WASHINGTON, DC 20006             | N/A  | PC                                   | FOR A SENIOR REPORTER FOR RACIAL EQUITY             | 40,000.  |
| CENTER FOR YOUNG WOMEN'S DEVELOPMENT<br>832 FOLSOM STREET, SUITE 700<br>SAN FRANCISCO, CA 94107  | N/A  | PC                                   | FOR GENERAL SUPPORT FROM THE COVID-19 RESPONSE FUND | 25,000.  |
| CENTER FOR YOUNG WOMEN'S DEVELOPMENT<br>832 FOLSOM STREET, SUITE 700<br>SAN FRANCISCO, CA 94107  | N/A  | PC                                   | FOR GENERAL SUPPORT                                 | 150,000. |
| CERES, INC.<br>99 CHAUNCY STREET 6TH FLOOR<br>BOSTON, MA 02111                                   | N/A  | PC                                   | FOR GENERAL SUPPORT                                 | 150,000. |
| CHARITABLE VENTURES OF ORANGE COUNTY INC.<br>1415 17TH STREET, SUITE 100B<br>SANTA ANA, CA 92705 | N/A  | PC                                   | FOR RESILIENCE ORANGE COUNTY                        | 25,000.  |
| CHARITABLE VENTURES OF ORANGE COUNTY INC.<br>1415 17TH STREET, SUITE 100B<br>SANTA ANA, CA 92705 | N/A  | PC                                   | FOR RESILIENCE ORANGE COUNTY                        | 100,000. |
| <b>Total from continuation sheets</b> .....  |  |                                      |   |          |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)                                 | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution   | Amount   |
|--|--|--------------------------------------|---|----------|
| CHILD CARE AWARE OF AMERICA<br>1515 NORTH COURTHOUSE ROAD<br>ARLINGTON, VA 22201 | N/A  | PC                                   | FOR GENERAL SUPPORT   | 150,000. |
| CHILD CARE LAW CENTER<br>445 CHURCH STREET, 4TH FLOOR<br>SAN FRANCISCO, CA 94114 | N/A  | PC                                   | FOR GENERAL SUPPORT   | 125,000. |
| CHILD CARE RESOURCE CENTER<br>20001 PRAIRIE STREET<br>CHATSWORTH, CA 91311       | N/A  | PC                                   | TO CONDUCT AN IMPLEMENTATION STUDY OF THE FOSTER CARE<br>BRIDGE PROGRAM   | 100,000. |
| CHILD CARE RESOURCE CENTER<br>20001 PRAIRIE STREET<br>CHATSWORTH, CA 91311       | N/A  | PC                                   | FOR SPONSORSHIP OF THE 2020 EMERGENCY CHILD CARE<br>BRIDGE PROGRAM ANNUAL CONVENING   | 2,500.   |
| CHILDREN NOW<br>1404 FRANKLIN STREET, SUITE 700<br>OAKLAND, CA 94612             | N/A  | PC                                   | FOR EARLY EDUCATION ADVOCACY WORK   | 300,000. |
| CHOICES FOR FREEDOM INC<br>4123 BROADWAY SUITE 622<br>OAKLAND, CA 94609          | N/A  | PC                                   | FOR GENERAL SUPPORT   | 45,000.  |
| CHOICES FOR FREEDOM INC<br>4123 BROADWAY SUITE 622<br>OAKLAND, CA 94609          | N/A  | PC                                   | FOR GENERAL SUPPORT (\$35,000) AND TO STRENGTHEN<br>FISCAL MANAGEMENT AND ORGANIZATIONAL SUSTAINABILITY<br>PROCESSES (\$10,000) | 45,000.  |
| <b>Total from continuation sheets</b> .....                                      |  |                                      |   |          |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)   | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution  | Amount   |
|--|--|--------------------------------------|--|----------|
| CITIZENS FOR RESPONSIBLE ENERGY SOLUTIONS FORUM<br>601 PENNSYLVANIA AVENUE NORTHWEST, SOUTH BLDG, SUITE 955 WASHINGTON, DC 20004 | N/A  | PC                                   | TO ADVANCE CLEAN ENERGY SOLUTIONS  | 150,000. |
| CITIZENS UTILITY BOARD CONSUMER EDUCATION AND RESEARCH FUND<br>309 W WASHINGTON STREET STE 800 CHICAGO, IL 60606                 | N/A  | PC                                   | FOR GENERAL SUPPORT  | 300,000. |
| CIVIL RIGHTS CORPS<br>916 G ST. NW APT 701 WASHINGTON, DC 20001-4574   | N/A  | PC                                   | FOR GENERAL SUPPORT  | 200,000. |
| CLIMATE CENTRAL INC.<br>ONE PALMER SQUARE, SUITE 330 PRINCETON, NJ 08542   | N/A  | PC                                   | TO COMMUNICATE THE SCIENCE AND IMPACTS OF CLIMATE CHANGE                             | 150,000. |
| CLIMATEWORKS FOUNDATION<br>235 MONTGOMERY ST., 13TH FLOOR SAN FRANCISCO, CA 94104  | N/A  | PC                                   | TO DECARBONIZE GLOBAL SHIPPING AND TO SUPPORT THE MISSION OF CLIMATEWORKS FOUNDATION | 250,000. |
| COLOR OF CHANGE. ORG EDUCATION FUND<br>1714 FRANKLIN STREET, SUITE 100-136 OAKLAND, CA 94612                                     | N/A  | PC                                   | FOR GENERAL SUPPORT  | 150,000. |
| COMMON COUNSEL FOUNDATION<br>1624 FRANKLIN STREET, #1022 OAKLAND, CA 94612   | N/A  | PC                                   | FOR THE VISIONARY FREEDOM FUND   | 150,000. |
| <b>Total from continuation sheets</b>  |  |                                      |  |          |



**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)                             | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution   | Amount   |
|--|--|--------------------------------------|---|----------|
| COMMON JUSTICE, INC.<br>540 ATLANTIC AVENUE, 4TH FLOOR<br>BROOKLYN, NY 11217 | N/A  | PC                                   | FOR GENERAL SUPPORT   | 200,000. |
| COMMUNITY CHANGE<br>1536 U ST NW<br>WASHINGTON, DC 20009                     | N/A  | PC                                   | TO EXPAND A NATIONAL ECE COALITION AND TO SUPPORT<br>WORK WITH GRASSROOTS PARTNERS ACROSS THE COUNTRY                                   | 25,000.  |
| COMMUNITY CHANGE<br>1536 U ST NW<br>WASHINGTON, DC 20009                     | N/A  | PC                                   | FOR ADVOCACY, NARRATIVE CHANGE WORK, AND GRASSROOTS<br>ORGANIZING TO MAKE EARLY CHILDHOOD EDUCATION AND CARE<br>A RESONANT POLICY ISSUE | 500,000. |
| COMMUNITY FOUNDATION SANTA CRUZ COUNTY<br>7807 SOQUEL DR.<br>APTOS, CA 95003 | N/A  | PC                                   | FOR THE FIRE RESPONSE FUND  | 75,000.  |
| COMMUNITY INITIATIVES<br>1000 BROADWAY, SUITE 480<br>OAKLAND, CA 94607       | N/A  | PC                                   | FOR THE CALIFORNIA CAMPUS CATALYST FUND   | 900,000. |
| COMMUNITY INITIATIVES<br>1000 BROADWAY, SUITE 480<br>OAKLAND, CA 94607       | N/A  | PC                                   | FOR ATTENDANCE WORKS  | 400,000. |
| COMMUNITY INITIATIVES<br>1000 BROADWAY, SUITE 480<br>OAKLAND, CA 94607       | N/A  | PC                                   | FOR IMMIGRANTS RISING   | 400,000. |
| <b>Total from continuation sheets</b>  |  |                                      |   |          |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)   | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution   | Amount   |
|--|--|--------------------------------------|---|----------|
| COMMUNITY INITIATIVES<br>1000 BROADWAY, SUITE 480<br>OAKLAND, CA 94607   | N/A  | PC                                   | FOR RENAISSANCE JOURNALISM AND STORYTELLING CENTER<br>COVID-19 RELIEF GRANTS FOR LOCAL NEWS ORGANIZATIONS | 20,000.  |
| COMMUNITY INITIATIVES<br>1000 BROADWAY, SUITE 480<br>OAKLAND, CA 94607   | N/A  | PC                                   | FOR THE 100% NETWORK  | 150,000. |
| COMMUNITY LEGAL SERVICES IN EAST PALO ALTO<br>INC<br>1861 BAY ROAD<br>EAST PALO ALTO, CA 94303                               | N/A  | PC                                   | FOR GENERAL SUPPORT   | 200,000. |
| COMMUNITY WORKS WEST INC.<br>110 BROADWAY<br>OAKLAND, CA 94607   | N/A  | PC                                   | FOR THE AHIMSA COLLECTIVE   | 100,000. |
| COMMUNITY WORKS WEST INC.<br>110 BROADWAY<br>OAKLAND, CA 94607   | N/A  | PC                                   | FOR THE AHIMSA COLLECTIVE IN RESPONSE TO COVID-19   | 25,000.  |
| CONSULTATIVE GROUP ON BIOLOGICAL DIVERSITY<br>DBA BIODIVERSITY FUNDERS GROUP<br>PO BOX 29361<br>SAN FRANCISCO, CA 94129-0361 | N/A  | PC                                   | FOR 2020 CLIMATE AND ENERGY FUNDERS GROUP MEMBERSHIP<br>DUES  | 5,000.   |
| CONSUMER REPORTS INC.<br>101 TRUMAN AVENUE<br>YONKERS, NY 10703  | N/A  | PC                                   | TO ADVANCE CLEAN VEHICLE STANDARDS AND SUSTAINABLE<br>CONSUMER PRODUCTS                                   | 175,000. |
| <b>Total from continuation sheets</b>  |  |                                      |   |          |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)   | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution  | Amount   |
|--|--|--------------------------------------|--|----------|
| CORA (COMMUNITY OVERCOMING RELATIONSHIP ABUSE)<br>2211 PALM AVE.<br>SAN MATEO, CA 94403            | N/A  | PC                                   | FOR GENERAL SUPPORT  | 25,000.  |
| CORNELL UNIVERSITY<br>373 PINE TREE RD.<br>ITHACA, NY 14850  | N/A  | PC                                   | FOR THE 51 PEGASI B FELLOWSHIP   | 128,138. |
| CORNELL UNIVERSITY<br>373 PINE TREE RD.<br>ITHACA, NY 14850  | N/A  | PC                                   | FOR THE 51 PEGASI B FELLOWSHIP   | 128,175. |
| COUNCIL FOR A STRONG AMERICA<br>1212 NEW YORK AVE. NW, SUITE 300<br>WASHINGTON, DC 20005-0000      | N/A  | PC                                   | FOR GENERAL SUPPORT  | 100,000. |
| COUNCIL OF CHIEF STATE SCHOOL OFFICERS<br>1 MASSACHUSETTS AVE STE 700<br>WASHINGTON, DC 20001-1406 | N/A  | PC                                   | TO SUPPORT A NETWORK OF A MINIMUM OF FOUR STATES PARTICIPATING IN THE EARLY LEARNING WORKGROUP | 500,000. |
| COUNCIL ON FOUNDATIONS INC.<br>1255 23RD STREET, NW, STE 200<br>WASHINGTON, DC 20037               | N/A  | PC                                   | FOR 2020 MEMBERSHIP DUES   | 20,000.  |
| CRITICAL RESISTANCE<br>1904 FRANKLIN STREET<br>OAKLAND, CA 94612                                   | N/A  | PC                                   | FOR GENERAL SUPPORT  | 75,000.  |
| <b>Total from continuation sheets</b> .....  |  |                                      |  |          |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)                                     | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution   | Amount   |
|--|--|--------------------------------------|---|----------|
| CRITICAL RESISTANCE<br>1904 FRANKLIN STREET<br>OAKLAND, CA 94612                     | N/A  | PC                                   | FOR GENERAL SUPPORT   | 25,000.  |
| CRITICAL RESISTANCE<br>1904 FRANKLIN STREET<br>OAKLAND, CA 94612                     | N/A  | PC                                   | FOR GENERAL SUPPORT   | 100,000. |
| CURIODYSSEY<br>1651 COYOTE POINT DRIVE<br>SAN MATEO, CA 94401-1002                   | N/A  | PC                                   | FOR GENERAL SUPPORT   | 15,000.  |
| DARCY COURTEAU<br>842 20TH ST. NE APT 4<br>WASHINGTON, DC 20002                      | NONE   | I                                    | FOR THE 2020 AMERICAN MOSAIC JOURNALISM PRIZE   | 100,000. |
| DETENTION WATCH NETWORK<br>1915 I ST NW SUITE 800<br>WASHINGTON, DC 20006            | N/A  | PC                                   | FOR GENERAL SUPPORT   | 175,000. |
| DETENTION WATCH NETWORK<br>1915 I ST NW SUITE 800<br>WASHINGTON, DC 20006            | N/A  | PC                                   | FOR GENERAL SUPPORT   | 150,000. |
| DIGNITY AND POWER NOW<br>3655 SOUTH GRANT AVENUE, SUITE 240<br>LOS ANGELES, CA 90007 | N/A  | PC                                   | FOR THE JUSTICELA CAMPAIGN AND REGRANTING TO ITS<br>ORGANIZATIONAL STEERING COMMITTEE MEMBERS | 25,000.  |
| <b>Total from continuation sheets</b> .....  |  |                                      |   |          |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)                                     | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution   | Amount   |
|--|--|--------------------------------------|---|----------|
| DIGNITY AND POWER NOW<br>3655 SOUTH GRANT AVENUE, SUITE 240<br>LOS ANGELES, CA 90007 | N/A  | PC                                   | FOR GENERAL SUPPORT   | 200,000. |
| DREAM CORPS UNLIMITED<br>436 14TH STREET, SUITE 920<br>OAKLAND, CA 94612             | N/A  | PC                                   | FOR GREEN FOR ALL   | 200,000. |
| DUKE UNIVERSITY<br>2117 CAMPUS DRIVE, NC 27704<br>DURHAM, NC 27708                   | N/A  | PC                                   | TO ADVANCE FEDERAL CLIMATE POLICY   | 200,000. |
| DUKE UNIVERSITY<br>2117 CAMPUS DRIVE, NC 27704<br>DURHAM, NC 27708                   | N/A  | PC                                   | TO RESEARCH WHOLESALE POWER MARKET GOVERNANCE<br>STRUCTURES                     | 160,000. |
| EDUCARE CALIFORNIA AT SILICON VALLEY<br>1399 SANTEE DRIVE<br>SAN JOSE, CA 95122-1839 | N/A  | PC                                   | FOR GENERAL SUPPORT   | 200,000. |
| EDUCARE CALIFORNIA AT SILICON VALLEY<br>1399 SANTEE DRIVE<br>SAN JOSE, CA 95122-1839 | N/A  | PC                                   | TO TEST, LEARN, INTEGRATE AND SCALE EFFECTIVE DLL<br>APPROACHES ACROSS CONTEXTS | 60,000.  |
| EARTH DAY NETWORK INC.<br>1616 P ST., NW, SUITE 340<br>WASHINGTON, DC 20036          | N/A  | PC                                   | FOR GENERAL SUPPORT   | 75,000.  |
| <b>Total from continuation sheets</b>  |  |                                      |   |          |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)                                      | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution                                     | Amount   |
|---|--|--------------------------------------|---|----------|
| EAST BAY COMMUNITY FOUNDATION<br>200 FRANK H OGAWA PLAZA<br>OAKLAND, CA 94612         | N/A  | PC                                   | FOR ECONOMIC RECOVERY SUPPORT IN RESPONSE TO COVID-19                   | 50,000.  |
| EDSOURCE, INC.<br>436 14TH STREET, SUITE 1005<br>OAKLAND, CA 94612                    | N/A  | PC                                   | TO REPORT ON KEY EARLY EDUCATION SUCCESSES AND CHALLENGES IN CALIFORNIA | 325,000. |
| EDUCATION COMMISSION OF THE STATES<br>700 N BROADWAY STE 810<br>DENVER, CO 80203-3442 | N/A  | PC                                   | TO SUPPORT DEVELOPMENT AND IMPLEMENTATION OF STATE EARLY STEM POLICIES  | 171,875. |
| EDUCATION COMMISSION OF THE STATES<br>700 N BROADWAY STE 810<br>DENVER, CO 80203-3442 | N/A  | PC                                   | FOR GENERAL SUPPORT   | 100,000. |
| EDUCATION DEVELOPMENT CENTER INC.<br>43 FOUNDRY AVE<br>WALTHAM, MA 02453-8313         | N/A  | PC                                   | FOR THE YOUNG MATHEMATICIANS IN WORCHESTER PROJECT                      | 377,545. |
| EDUCATION FIRST CONSULTING LLC<br>P.O. BOX 22871<br>SEATTLE, WA 98122                 | N/A  | NC                                   | TO SUPPORT THE FAMILY MATH ROADMAP YEAR 3                               | 176,515. |
| EDUCATION TRUST INC.<br>1250 H STREET, NW, SUITE 700<br>WASHINGTON, DC 20005          | N/A  | PC                                   | FOR GENERAL SUPPORT   | 350,000. |
| <b>Total from continuation sheets</b>   |  |                                      |   |          |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)   | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution                              | Amount     |
|--|--|--------------------------------------|--|------------|
| EDUCATION TRUST INC.<br>1250 H STREET, NW, SUITE 700<br>WASHINGTON, DC 20005                               | N/A  | PC                                   | FOR THE EDUCATION TRUST-WEST                                     | 150,000.   |
| ELLA BAKER CENTER FOR HUMAN RIGHTS IN<br>CALIFORNIA<br>1970 BROADWAY, SUITE 1125<br>OAKLAND, CA 94612-2234 | N/A  | PC                                   | FOR GENERAL SUPPORT  | 100,000.   |
| ELLA BAKER CENTER FOR HUMAN RIGHTS IN<br>CALIFORNIA<br>1970 BROADWAY, SUITE 1125<br>OAKLAND, CA 94612-2234 | N/A  | PC                                   | FOR GENERAL SUPPORT  | 100,000.   |
| ENERGY SYSTEMS INTEGRATION GROUP<br>PO BOX 2787<br>RESTON, VA 20195  | N/A  | PC                                   | FOR GENERAL SUPPORT  | 75,000.    |
| ENVIRONMENTAL DEFENSE FUND, INC.<br>123 MISSION STREET<br>SAN FRANCISCO, CA 94105                          | N/A  | PC                                   | FOR INNOVATIVE SCIENCE RESEARCH AND NATURAL CLIMATE<br>SOLUTIONS | 750,000.   |
| ENVIRONMENTAL DEFENSE FUND, INC.<br>123 MISSION STREET<br>SAN FRANCISCO, CA 94105                          | N/A  | PC                                   | FOR GENERAL SUPPORT  | 2,900,000. |
| ENVIRONMENTAL VOLUNTEERS INC.<br>2560 EMBARCADERO WAY<br>PALO ALTO, CA 94303-3319                          | N/A  | PC                                   | FOR GENERAL SUPPORT  | 10,000.    |
| <b>Total from continuation sheets</b>  |  |                                      |  |            |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)   | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution   | Amount   |
|--|--|--------------------------------------|---|----------|
| ERIKSON INSTITUTE<br>451 NORTH LASALLE STREET<br>CHICAGO, IL 60654                       | N/A  | PC                                   | FOR A NATIONAL CONFERENCE ON EARLY MATHEMATICS AND A<br>ROUNDTABLE CONVENING FOCUSED ON RACIAL EQUITY IN<br>EARLY MATHEMATICS TEACHING AND LEARNING | 35,000.  |
| ESSIE JUSTICE GROUP<br>300 FRANK H. OGAWA PLAZA SUITE 420<br>OAKLAND, CA 94612-0000      | N/A  | PC                                   | FOR GENERAL SUPPORT   | 150,000. |
| EVHYBRIDNOIRE<br>12816 INGLEWOOD AVENUE<br>HAWTHORNE, CA 90250                           | N/A  | PC                                   | FOR GENERAL SUPPORT   | 75,000.  |
| EVHYBRIDNOIRE<br>12816 INGLEWOOD AVENUE<br>HAWTHORNE, CA 90250                           | N/A  | PC                                   | FOR STRATEGIC PLANNING  | 20,000.  |
| FAITH IN ACTION NETWORK<br>999 NORTH CAPITOL STREET NE SUITE 200<br>WASHINGTON, DC 20002 | N/A  | PC                                   | FOR THE LA RED AND LIVE FREE CAMPAIGNS' COLLABORATION   | 200,000. |
| FAITH IN ACTION NETWORK<br>999 NORTH CAPITOL STREET NE SUITE 200<br>WASHINGTON, DC 20002 | N/A  | PC                                   | FOR PICO CALIFORNIA FOR UNITED FRONT COMMUNICATIONS   | 130,000. |
| FAMILIES FOR FREEDOM INC.<br>35 W. 31ST ST #702<br>NEW YORK, NY 10001                    | N/A  | PC                                   | FOR GENERAL SUPPORT   | 100,000. |
| <b>Total from continuation sheets</b>  |  |                                      |   |          |



**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

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|---|--|--------------------------------------|---|----------|
| FAMILY VALUES AT WORK A MULTI-STATE<br>CONSORTIUM<br>207 EAST BUFFALO STREET<br>MILWAUKEE, WI 53202 | N/A  | PC                                   | TO BRIDGE THE PAID LEAVE AND EARLY CHILDHOOD ADVOCACY<br>MOVEMENTS AND BUILD GRASSROOTS POWER           | 25,000.  |
| FAMILY VALUES AT WORK A MULTI-STATE<br>CONSORTIUM<br>207 EAST BUFFALO STREET<br>MILWAUKEE, WI 53202 | N/A  | PC                                   | FOR GENERAL SUPPORT   | 250,000. |
| FIRELIGHT MEDIA<br>1625 FOWLER AVENUE<br>BRONX, NY 10462  | N/A  | PC                                   | FOR THE 'THROUGH THE NIGHT' DOCUMENTARY FILM  | 25,000.  |
| FOOTHILL - DE ANZA COMMUNITY COLLEGES<br>FOUNDATION<br>12345 EL MONTE ROAD<br>LOS ALTOS, CA 94022   | N/A  | PC                                   | FOR THE FAMILY ENGAGEMENT INSTITUTE (FEI)   | 550,000. |
| FORTH MOBILITY FUND<br>2035 NORTHWEST FRONT AVENUE, SUITE 101<br>PORTLAND, OR 97209                 | N/A  | PC                                   | TO ADVANCE EQUITABLE TRANSPORTATION ELECTRIFICATION<br>POLICIES   | 615,000. |
| FORTH MOBILITY FUND<br>2035 NORTHWEST FRONT AVENUE, SUITE 101<br>PORTLAND, OR 97209                 | N/A  | PC                                   | FOR GENERAL SUPPORT   | 25,000.  |
| FORWARD CHANGE<br>139 PRAGUE STREET<br>SAN FRANCISCO, CA 94112                                      | N/A  | PC                                   | TO BUILD AN ONLINE POLICE AND CRIMINAL JUSTICE<br>SPENDING DASHBOARD TO SUPPORT DIVEST/INVEST CAMPAIGNS | 9,000.   |
| <b>Total from continuation sheets</b>   |  |                                      |   |          |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)   | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution   | Amount   |
|--|--|--------------------------------------|---|----------|
| FORWARD JUSTICE<br>400 WEST MAIN STREET, SUITE 203<br>DURHAM, NC 27701                               | N/A  | PC                                   | FOR GENERAL SUPPORT   | 100,000. |
| FOUNDATION FOR CALIFORNIA COMMUNITY<br>COLLEGES<br>1102 Q STREET, SUITE 4800<br>SACRAMENTO, CA 95811 | N/A  | PC                                   | TO PLAN FAMILY CHILD CARE PROVIDER APPRENTICESHIPS<br>THAT BUILD CAPACITY FOR DUAL-LANGUAGE DEVELOPMENT | 353,218. |
| FOUNDATIONS, INC.<br>701 EAST GATE DRIVE, SUITE 300<br>MT. LAUREL, NJ 08054                          | N/A  | PC                                   | FOR THE CAMPAIGN FOR GRADE-LEVEL READING  | 250,000. |
| FRAMEWORKS INSTITUTE<br>1333 H STREET NW SUITE 700 WEST<br>WASHINGTON, DC 20005-4754                 | N/A  | PC                                   | FOR DISSEMINATING NEW FRAMING FINDINGS  | 5,047.   |
| FRESH LIFELINES FOR YOUTH, INC.<br>568 VALLEY WAY<br>MILPITAS, CA 95035-4106                         | N/A  | PC                                   | FOR FLY'S YOUTH ADVISORY COUNCIL IN SAN JOSE  | 50,000.  |
| FUND FOR THE CITY OF NEW YORK INC<br>40 WEST 39TH STREET, 5TH FLOOR<br>NEW YORK, NY 10018            | N/A  | PC                                   | FOR THE IMMIGRANT DEFENSE PROJECT   | 25,000.  |
| FUND FOR THE CITY OF NEW YORK INC<br>40 WEST 39TH STREET, 5TH FLOOR<br>NEW YORK, NY 10018            | N/A  | PC                                   | FOR THE IMMIGRANT DEFENSE PROJECT   | 500,000. |
| <b>Total from continuation sheets</b>  |  |                                      |   |          |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

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|---|--|--------------------------------------|---|----------|
| FUTURO MEDIA GROUP<br>361 WEST 125TH ST. 6TH FLOOR<br>NEW YORK, NY 10027-4853 | N/A  | PC                                   | FOR THE PODCAST SERIES BADLAND  | 50,000.  |
| FUTURO MEDIA GROUP<br>361 WEST 125TH ST. 6TH FLOOR<br>NEW YORK, NY 10027-4853 | N/A  | PC                                   | FOR GENERAL SUPPORT   | 200,000. |
| FUTURO MEDIA GROUP<br>361 WEST 125TH ST. 6TH FLOOR<br>NEW YORK, NY 10027-4853 | N/A  | PC                                   | FOR QUICKBOOKS RECONFIGURATION AND RAISER'S EDGE<br>SUPPORT   | 22,000.  |
| GATHERING FOR JUSTICE INC.<br>1904 FRANKLIN STREET #205<br>OAKLAND, CA 94612  | N/A  | PC                                   | FOR FOR THE PEOPLE AND THE SENTENCE REVIEW PROJECT  | 40,000.  |
| GEORGETOWN UNIVERSITY<br>37TH AND O STREETS NW<br>WASHINGTON, DC 20057-0001   | N/A  | PC                                   | TO ASSESS THE LONG-TERM EFFECTS OF PARTICIPATION IN<br>TULSA, OKLAHOMAS PUBLICLY-FUNDED PRE-KINDERGARTEN<br>PROGRAM THROUGH HIGH SCHOOL | 110,000. |
| GEORGETOWN UNIVERSITY<br>37TH AND O STREETS NW<br>WASHINGTON, DC 20057-0001   | N/A  | PC                                   | ONGOING SUPPORT OF RESEARCH IN TULSA-AREA EARLY<br>ELEMENTARY CLASSROOMS  | 378,579. |
| GEORGETOWN UNIVERSITY<br>37TH AND O STREETS NW<br>WASHINGTON, DC 20057        | N/A  | PC                                   | TO DEVELOP A CROSS-CUTTING ADMINISTRATIVE AGENDA FOR<br>HUMAN SERVICES THAT REDUCES POVERTY AND INEQUALITY<br>FOR CHILDREN AND FAMILIES | 50,000.  |
| <b>Total from continuation sheets</b> .....                                   |  |                                      |   |          |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)  | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution  | Amount   |
|---|--|--------------------------------------|--|----------|
| GEORGIA FOOD BANK ASSOCIATION INC<br>3400 NORTH DESERT DRIVE<br>ATLANTA, GA 30344                   | N/A  | SO I                                 | FOR GENERAL SUPPORT  | 100,000. |
| GEORGIA LATINO ALLIANCE FOR HUMAN RIGHTS<br>INC.<br>7 DUNWOODY PARK, SUITE 110<br>ATLANTA, GA 30338 | N/A  | PC                                   | FOR GENERAL SUPPORT  | 25,000.  |
| GEORGIA LATINO ALLIANCE FOR HUMAN RIGHTS<br>INC.<br>7 DUNWOODY PARK, SUITE 110<br>ATLANTA, GA 30338 | N/A  | PC                                   | FOR GENERAL SUPPORT  | 150,000. |
| GEORGIA TECH RESEARCH CORPORATION<br>926 DALNEY STREET NW<br>ATLANTA, GA 30332-0415                 | N/A  | SO III FI                            | FOR THE APPLICATION OF RADAR TECHNIQUES TO STUDY<br>BASAL AND ENGLACIAL HYDROLOGY AT HELHEIM GLACIER | 352,786. |
| GEORGIA TECH RESEARCH CORPORATION<br>926 DALNEY STREET NW<br>ATLANTA, GA 30332-0415                 | N/A  | SO III FI                            | FOR THE DEVELOPMENT OF THE STOCHASTIC ICE SHEET<br>SYSTEM MODEL (STISSM)                             | 203,499. |
| GRANTMAKERS CONCERNED WITH IMMIGRANTS AND<br>REFUGEES<br>P.O. BOX 1100<br>SEBASTOPOL, CA 95473-1100 | N/A  | PC                                   | FOR 2020 MEMBERSHIP DUES   | 30,000.  |
| GRANTMAKERS CONCERNED WITH IMMIGRANTS AND<br>REFUGEES<br>P.O. BOX 1100<br>SEBASTOPOL, CA 95473-1100 | N/A  | PC                                   | FOR THE EVALUATION OF BAY AREA FUNDERS CENSUS 2020<br>INVESTMENTS                                    | 25,000.  |
| <b>Total from continuation sheets</b>   |  |                                      |  |          |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)   | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution    | Amount   |
|--|--|--------------------------------------|--|----------|
| GRANTMAKERS CONCERNED WITH IMMIGRANTS AND REFUGEES<br>P.O. BOX 1100<br>SEBASTOPOL, CA 95473-1100                   | N/A  | PC                                   | FOR THE 2020 NATIONAL CONVENING        | 10,000.  |
| GRANTMAKERS FOR EDUCATION<br>700 SW 5TH AVENUE, #4000<br>PORTLAND, OR 97204  | N/A  | PC                                   | FOR 2020 MEMBERSHIP DUES               | 11,200.  |
| GRANTMAKERS FOR EFFECTIVE ORGANIZATIONS<br>1310 L STREET NW, SUITE 650<br>WASHINGTON, DC 20005                     | N/A  | PC                                   | FOR 2020 MEMBERSHIP DUES               | 18,000.  |
| GRASSROOTS LEADERSHIP INC.<br>PO BOX 6310<br>AUSTIN, TX 78762  | N/A  | PC                                   | FOR GENERAL SUPPORT                    | 175,000. |
| GREAT PLAINS INSTITUTE FOR SUSTAINABLE DEVELOPMENT INC.<br>2801 21ST AVENUE SOUTH<br>MINNEAPOLIS, MN 55407         | N/A  | PC                                   | TO ADVANCE POWER SECTOR TRANSFORMATION | 150,000. |
| GREATER WASHINGTON EDUCATIONAL TELECOMMUNICATIONS ASSOCIATION, INC.<br>3939 CAMPBELL AVENUE<br>ARLINGTON, VA 22206 | N/A  | PC                                   | FOR BRIEF BUT SPECTACULAR              | 20,000.  |
| GREATER WASHINGTON EDUCATIONAL TELECOMMUNICATIONS ASSOCIATION, INC.<br>3939 CAMPBELL AVENUE<br>ARLINGTON, VA 22206 | N/A  | PC                                   | FOR BRIEF BUT SPECTACULAR              | 200,000. |
| <b>Total from continuation sheets</b>  |  |                                      |  |          |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)  | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution                        | Amount   |
|---|--|--------------------------------------|--|----------|
| GREENLATINOS<br>1203 K ST. NW<br>WASHINGTON, DC 20005   | N/A  | PC                                   | FOR GENERAL SUPPORT  | 100,000. |
| GREENLINING INSTITUTE<br>360 14TH STREET, 2ND FLOOR<br>OAKLAND, CA 94612                        | N/A  | PC                                   | FOR GENERAL SUPPORT  | 150,000. |
| GRIDLAB INC.<br>1400 SHATTUCK AVENUE, SUITE 12 PMB 320<br>BERKELEY, CA 94709                    | N/A  | PC                                   | FOR GENERAL SUPPORT  | 600,000. |
| GRIDLAB INC.<br>1400 SHATTUCK AVENUE, SUITE 12 PMB 320<br>BERKELEY, CA 94709                    | N/A  | PC                                   | FOR STRATEGIC PLANNING                                     | 54,450.  |
| GROUNDWELL FUND<br>548 MARKET STREET #49734<br>SAN FRANCISCO, CA 94104                          | N/A  | PC                                   | FOR CAPACITY BUILDING SUPPORT                              | 250,000. |
| GROWTH PHILANTHROPY NETWORK INC<br>122 EAST 42ND STREET, 17TH FLOOR<br>NEW YORK, NY 10168       | N/A  | PC                                   | FOR 2020 MEMBERSHIP DUES                                   | 30,000.  |
| HEALTH FEDERATION OF PHILADELPHIA<br>2111 CHESTNUT STREET, SUITE 1801<br>PHILADELPHIA, PA 19103 | N/A  | PC                                   | FOR THE HOME BASED CHILD CARE COLLABORATIVE POOLED<br>FUND | 52,616.  |
| <b>Total from continuation sheets</b> .....   |  |                                      |  |          |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)   | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution  | Amount   |
|--|--|--------------------------------------|--|----------|
| HEALTH FEDERATION OF PHILADELPHIA<br>2111 CHESTNUT STREET, SUITE 1801<br>PHILADELPHIA, PA 19103        | N/A  | PC                                   | FOR HOME GROWNS COVID-19 EFFORT TO SEED HOME-BASED<br>CHILD CARE PROVIDER EMERGENCY FUNDS IN UP TO 10<br>COMMUNITIES | 500,000. |
| HEARTLAND ALLIANCE FOR HUMAN NEEDS & HUMAN<br>RIGHTS<br>208 S LASALLE ST STE 1300<br>CHICAGO, IL 60604 | N/A  | PC                                   | FOR THE NATIONAL IMMIGRANT JUSTICE CENTER'S<br>DETENTION, DEMOCRACY, AND DUE PROCESS PROJECT                         | 150,000. |
| HIGHLANDER RESEARCH & EDUCATION CENTER<br>INC.<br>1959 HIGHLANDER WAY<br>NEW MARKET, TN 37820          | N/A  | PC                                   | FOR 2020 MEMBERSHIP DUES   | 6,500.   |
| HIGHLANDER RESEARCH & EDUCATION CENTER<br>INC.<br>1959 HIGHLANDER WAY<br>NEW MARKET, TN 37820          | N/A  | PC                                   | FOR BLACK ORGANIZING FOR LEADERSHIP AND DIGNITY<br>(BOLD)  | 200,000. |
| HOMIES UNIDOS INC<br>2105 BEVERLY BLVD. SUITE 203<br>LOS ANGELES, CA 90057                             | N/A  | PC                                   | FOR THE HOMIES UNIDOS 20TH ANNIVERSARY DINNER<br>CELEBRATION   | 10,000.  |
| HOMIES UNIDOS INC<br>2105 BEVERLY BLVD. SUITE 203<br>LOS ANGELES, CA 90057                             | N/A  | PC                                   | FOR GENERAL SUPPORT  | 5,000.   |
| HUMAN RIGHTS WATCH INC.<br>350 FIFTH AVENUE, 34TH FLOOR<br>NEW YORK, NY 10118-3299                     | N/A  | PC                                   | FOR THE U.S. IMMIGRATION AND CRIMINAL JUSTICE<br>PROGRAMS  | 300,000. |
| <b>Total from continuation sheets</b> .....  |  |                                      |  |          |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)                                   | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution  | Amount     |
|--|--|--------------------------------------|--|------------|
| ILLUSTRATIVE MATHEMATICS<br>PO BOX 69822<br>ORO VALLEY, AZ 85737                   | N/A  | PC                                   | FOR EARLY GRADES SCHOOL MATH CURRICULUM AND<br>PROFESSIONAL LEARNING                       | 1,250,000. |
| ILLUSTRATIVE MATHEMATICS<br>PO BOX 69822<br>ORO VALLEY, AZ 85737                   | N/A  | PC                                   | TO SUPPORT REMOTE TEACHING AND LEARNING FOR<br>ILLUSTRATIVE MATHEMATICS USERS              | 918,000.   |
| IMMIGRANT LEGAL RESOURCE CENTER<br>1458 HOWARD STREET<br>SAN FRANCISCO, CA 94103   | N/A  | PC                                   | FOR GENERAL SUPPORT  | 225,000.   |
| IMMIGRANT LEGAL RESOURCE CENTER<br>1458 HOWARD STREET<br>SAN FRANCISCO, CA 94103   | N/A  | PC                                   | FOR THE DIGNITY NOT DETENTION COALITION'S COVID-19<br>RESPONSE                             | 100,000.   |
| IMPACT JUSTICE<br>2633 TELEGRAPH AVENUE STE 104<br>OAKLAND, CA 94612-1744          | N/A  | PC                                   | FOR GENERAL SUPPORT  | 150,000.   |
| IN CLASS TODAY INC.<br>303 TWIN DOLPHIN DRIVE, SUITE 600<br>REDWOOD CITY, CA 94065 | N/A  | NC                                   | TO PROVIDE SCHOOL DISTRICTS WITH ACCURATE CONTACT<br>INFORMATION FOR DISCONNECTED FAMILIES | 294,600.   |
| INADVANCE<br>900 ALICE STREET, SUITE 400<br>OAKLAND, CA 94607                      | N/A  | PC                                   | FOR THE ORGANIZING SCHOOL TO DISMANTLE SYSTEMIC<br>RACISM PILOT                            | 50,000.    |
| <b>Total from continuation sheets</b> .....  |  |                                      |  |            |



**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)  | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution                        | Amount     |
|---|--|--------------------------------------|--|------------|
| INITIATE JUSTICE<br>1035 S GRAND STREET, SUITE 300<br>LOS ANGELES, CA 90015                                   | N/A  | PC                                   | FOR GENERAL SUPPORT  | 25,000.    |
| INITIATE JUSTICE<br>1035 S GRAND STREET, SUITE 300<br>LOS ANGELES, CA 90015                                   | N/A  | PC                                   | FOR GENERAL SUPPORT  | 100,000.   |
| INSTITUTE FOR POLICY STUDIES<br>100 BROADWAY, TENTH FLOOR<br>NEW YORK, NY 10005                               | N/A  | PC                                   | FOR THE EHRP EMERGENCY RELIEF FUND                         | 100,000.   |
| INTERNATIONAL COUNCIL ON CLEAN<br>TRANSPORTATION<br>1225 I STREET NORTHWEST SUITE 900<br>WASHINGTON, DC 20005 | N/A  | PC                                   | FOR GENERAL SUPPORT  | 1,000,000. |
| INTERNATIONAL RESCUE COMMITTEE<br>122 E 42ND STREET<br>NEW YORK, NY 10168                                     | N/A  | PC                                   | FOR NORTHERN CALIFORNIA PROGRAM IN RESPONSE TO<br>COVID-19 | 25,000.    |
| INTERNATIONAL WOMEN'S MEDIA FOUNDATION<br>1625 K ST. NW, #1275<br>WASHINGTON, DC 20006                        | N/A  | PC                                   | FOR U.S. WORK  | 50,000.    |
| JUST FUTURES LAW INC<br>95 WASHINGTON ST, SUITE 104-149<br>CANTON, MA 02021                                   | N/A  | PC                                   | FOR GENERAL SUPPORT  | 250,000.   |
| <b>Total from continuation sheets</b>   |  |                                      |  |            |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)                       | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution                             | Amount   |
|--|--|--------------------------------------|---|----------|
| JUSTLEADERSHIPUSA INC.<br>1900 LEXINGTON AVENUE<br>NEW YORK, NY 10035  | N/A  | PC                                   | FOR GENERAL SUPPORT   | 225,000. |
| JUSTLEADERSHIPUSA INC.<br>1900 LEXINGTON AVENUE<br>NEW YORK, NY 10035  | N/A  | PC                                   | FOR GENERAL SUPPORT   | 150,000. |
| KIDANGO INC.<br>44000 OLD WARM SPRINGS BLVD.<br>FREMONT, CA 94538-6145 | N/A  | PC                                   | FOR SPONSORSHIP OF THE SUPERHERO OF EARLY CHILDHOOD<br>LUNCHEON | 5,000.   |
| KIDANGO INC.<br>44000 OLD WARM SPRINGS BLVD.<br>FREMONT, CA 94538-6145 | N/A  | PC                                   | FOR GENERAL SUPPORT FROM COVID-19 RESPONSE FUND                 | 25,000.  |
| KIDANGO INC.<br>44000 OLD WARM SPRINGS BLVD.<br>FREMONT, CA 94538-6145 | N/A  | PC                                   | FOR KIDANGO'S EMPLOYEE EMERGENCY ASSISTANCE FUND                | 25,000.  |
| KQED INC.<br>2601 MARIPOSA STREET<br>SAN FRANCISCO, CA 94110-1426      | N/A  | PC                                   | FOR GENERAL SUPPORT   | 5,000.   |
| KQED INC.<br>2601 MARIPOSA STREET<br>SAN FRANCISCO, CA 94110-1426      | N/A  | PC                                   | FOR FORUM PLANNING AND CONSULTING                               | 20,000.  |
| <b>Total from continuation sheets</b> .....                            |  |                                      |   |          |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)   | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution   | Amount   |
|--|--|--------------------------------------|---|----------|
| LA CLINICA DE LA RAZA, INC.<br>1450 FRUITVALE AVENUE, 3RD FLOOR<br>OAKLAND, CA 94601                               | N/A  | PC                                   | FOR GENERAL SUPPORT IN RESPONSE TO COVID-19   | 100,000. |
| LA PLAZITA<br>831 ISLETA BLVD. SW<br>ALBUQUERQUE, NM 87105   | N/A  | PC                                   | FOR GENERAL SUPPORT   | 10,000.  |
| LA PLAZITA<br>831 ISLETA BLVD. SW<br>ALBUQUERQUE, NM 87105   | N/A  | PC                                   | FOR GENERAL SUPPORT   | 15,000.  |
| LA PLAZITA<br>831 ISLETA BLVD. SW<br>ALBUQUERQUE, NM 87105   | N/A  | PC                                   | FOR GENERAL SUPPORT   | 125,000. |
| LAS CUMBRES OBSERVATORY GLOBAL TELESCOPE<br>NETWORK INC.<br>6740 CORTONA DRIVE, SUITE 102<br>GOLETA, CA 93117-5575 | N/A  | POF                                  | FOR THE DEVELOPMENT OF A TARGET AND OBSERVATION<br>MANAGEMENT SOFTWARE TOOLKIT FOR THE TIME DOMAIN<br>ASTRONOMY COMMUNITY | 50,000.  |
| LAS CUMBRES OBSERVATORY GLOBAL TELESCOPE<br>NETWORK INC.<br>6740 CORTONA DRIVE, SUITE 102<br>GOLETA, CA 93117-5575 | N/A  | POF                                  | FOR THE DEVELOPMENT OF AN OPEN SOURCE OBSERVATORY<br>CONTROL SYSTEM   | 499,696. |
| LATINO COMMUNITY FOUNDATION<br>235 MONTGOMERY STREET, SUITE 1160<br>SAN FRANCISCO, CA 94104                        | N/A  | PC                                   | FOR GENERAL SUPPORT   | 100,000. |
| <b>Total from continuation sheets</b>  |  |                                      |   |          |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)  | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution  | Amount   |
|---|--|--------------------------------------|--|----------|
| LATINO COMMUNITY FOUNDATION<br>235 MONTGOMERY STREET, SUITE 1160<br>SAN FRANCISCO, CA 94104               | N/A  | PC                                   | FOR THE NORCAL WILDFIRE RELIEF FUND  | 50,000.  |
| LATINOJUSTICE PRLDEF<br>475 RIVERSIDE DR, SUITE 1901<br>NEW YORK, NY 10115                                | N/A  | PC                                   | FOR THE REEVISION JUSTICIA PROGRAM NATIONALLY AND IN TEXAS                       | 225,000. |
| LATINOS UNITED FOR A NEW AMERICA<br>1692 STORY ROAD SUITE 225<br>SAN JOSE, CA 95122-2121                  | N/A  | PC                                   | FOR GENERAL SUPPORT  | 25,000.  |
| LEARNING POLICY INSTITUTE<br>1530 PAGE MILL RD STE 200<br>PALO ALTO, CA 94304-1140                        | N/A  | PC                                   | FOR GENERAL SUPPORT  | 300,000. |
| LEGAL AID SOCIETY OF SAN MATEO COUNTY<br>330 TWIN DOLPHIN DRIVE, SUITE 123<br>REDWOOD CITY, CA 94065-1455 | N/A  | PC                                   | FOR THE LINKING IMMIGRANTS TO BENEFITS, RESOURCES, AND EDUCATION (LIBRE) PROJECT | 70,000.  |
| LEGAL AID SOCIETY OF SAN MATEO COUNTY<br>330 TWIN DOLPHIN DRIVE, SUITE 123<br>REDWOOD CITY, CA 94065-1455 | N/A  | PC                                   | FOR THE LINKING IMMIGRANTS TO BENEFITS, RESOURCES, AND EDUCATION (LIBRE) PROJECT | 70,000.  |
| LIVING THROUGH GIVING FOUNDATION<br>248 3RD STREET #305<br>OAKLAND, CA 94607                              | N/A  | PC                                   | FOR REFORM LA JAILS  | 50,000.  |
| <b>Total from continuation sheets</b>   |  |                                      |  |          |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)  | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution  | Amount   |
|---|--|--------------------------------------|--|----------|
| LOS ALTOS COMMUNITY FOUNDATION<br>183 HILLVIEW AVENUE<br>LOS ALTOS, CA 94022-3742       | N/A  | PC                                   | FOR THE COMMUNITY GRANTS PROGRAM   | 50,000.  |
| LOS ALTOS COMMUNITY FOUNDATION<br>183 HILLVIEW AVENUE<br>LOS ALTOS, CA 94022-3742       | N/A  | PC                                   | FOR THE COMMUNITY IMPACT GRANTS PROGRAM (\$100,000)<br>AND NONPROFIT RELIEF FUND (\$50,000)                        | 150,000. |
| LOW INCOME INVESTMENT FUND<br>49 STEVENSON ST. SUITE 300<br>SAN FRANCISCO, CA 94105     | N/A  | PC                                   | TO CHAMPION AN EARLY CARE AND EDUCATION FACILITIES<br>STRATEGY FOR CALIFORNIA                                      | 233,889. |
| LSST, INC.<br>933 NORTH CHERRY AVENUE<br>TUCSON, AZ 85719                               | N/A  | PC                                   | FOR TWO DIVERSITY, EQUITY, AND INCLUSION DESIGN<br>WORKSHOPS FOR A NETWORK OF ASTROPHYSICS DATA-SCIENCE<br>CENTERS | 247,500. |
| MARINE SCIENCE INSTITUTE<br>500 DISCOVERY PARKWAY<br>REDWOOD CITY, CA 94063-4746        | N/A  | PC                                   | FOR GENERAL SUPPORT  | 15,000.  |
| MARSHALL PROJECT INC.<br>156 W 56TH ST. SUITE 701<br>NEW YORK, NY 10019-3877            | N/A  | PC                                   | FOR GENERAL SUPPORT  | 200,000. |
| MASSACHUSETTS INSTITUTE OF TECHNOLOGY<br>77 MASSACHUSETTS AVENUE<br>CAMBRIDGE, MA 02139 | N/A  | PC                                   | FOR THE 51 PEGASI B FELLOWSHIP   | 95,039.  |
|   |  |                                      |  |          |
| <b>Total from continuation sheets</b>   |  |                                      |  |          |

**Part XV** Supplementary Information (continued)**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)   | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution   | Amount   |
|--|--|--------------------------------------|---|----------|
| MASSACHUSETTS INSTITUTE OF TECHNOLOGY<br>77 MASSACHUSETTS AVENUE<br>CAMBRIDGE, MA 02139          | N/A  | PC                                   | FOR THE 51 PEGASI B FELLOWSHIP  | 91,689.  |
| MASSACHUSETTS INSTITUTE OF TECHNOLOGY<br>77 MASSACHUSETTS AVENUE<br>CAMBRIDGE, MA 02139          | N/A  | PC                                   | TO COMPLETE DETECTOR CONSTRUCTION AND CALIBRATION,<br>AND PARTICIPATE IN THE INITIAL GENERAL ANTI-PARTICLE<br>SPECTROMETER (GAPS) FLIGHT CAMPAIGN AND SUBSEQUENT<br>DATA ANALYSIS | 186,390. |
| MASSACHUSETTS INSTITUTE OF TECHNOLOGY<br>77 MASSACHUSETTS AVENUE<br>CAMBRIDGE, MA 02139          | N/A  | PC                                   | FOR THE FUTURE OF STORAGE STUDY   | 160,000. |
| MASSACHUSETTS INSTITUTE OF TECHNOLOGY<br>77 MASSACHUSETTS AVENUE<br>CAMBRIDGE, MA 02139          | N/A  | PC                                   | FOR THE 51 PEGASI B FELLOWSHIP  | 93,013.  |
| MASSACHUSETTS INSTITUTE OF TECHNOLOGY<br>77 MASSACHUSETTS AVENUE<br>CAMBRIDGE, MA 02139          | N/A  | PC                                   | FOR DATA ACQUISITION ELECTRONICS AND TEST FACILITIES<br>FOR THE KAMLAND-ZEN NEUTRINO-LESS DOUBLE-BETA DECAY<br>EXPERIMENT   | 86,501.  |
| MASSACHUSETTS INSTITUTE OF TECHNOLOGY<br>77 MASSACHUSETTS AVENUE<br>CAMBRIDGE, MA 02139          | N/A  | PC                                   | FOR THE 51 PEGASI B FELLOWSHIP  | 109,309. |
| MEDIA IMPACT FUNDERS INC<br>200 WEST WASHINGTON SQUARE, SUITE 220<br>PHILADELPHIA, PA 19106-3513 | N/A  | PC                                   | FOR 2020 MEMBERSHIP DUES  | 10,000.  |
| <b>Total from continuation sheets</b>  |  |                                      |   |          |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)  | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution                 | Amount   |
|---|--|--------------------------------------|---|----------|
| MEXICAN AMERICAN LEGAL DEFENSE EDUCATIONAL FUND<br>634 S SPRING ST, SUITE 1100<br>LOS ANGELES, CA 90014 | N/A  | PC                                   | FOR THE CALIFORNIA IMMIGRANT YOUTH JUSTICE ALLIANCE | 25,000.  |
| MEXICAN AMERICAN LEGAL DEFENSE EDUCATIONAL FUND<br>634 S SPRING ST, SUITE 1100<br>LOS ANGELES, CA 90014 | N/A  | PC                                   | FOR THE CALIFORNIA IMMIGRANT YOUTH JUSTICE ALLIANCE | 100,000. |
| MEXICAN AMERICAN LEGAL DEFENSE EDUCATIONAL FUND<br>634 S SPRING ST, SUITE 1100<br>LOS ANGELES, CA 90014 | N/A  | PC                                   | FOR FAMILIA: TRANS QUEER LIBERATION MOVEMENT        | 100,000. |
| MIJENTE SUPPORT COMMITTEE<br>1229 E EDGEMONT RD<br>PHOENIX, AZ 85006                                    | N/A  | PC                                   | FOR GENERAL SUPPORT                                 | 200,000. |
| MIJENTE SUPPORT COMMITTEE<br>1229 E EDGEMONT RD<br>PHOENIX, AZ 85006                                    | N/A  | PC                                   | FOR GENERAL SUPPORT                                 | 200,000. |
| MOMSRISING EDUCATION FUND<br>12011 BEL-RED ROAD, SUITE 100B<br>BELLEVUE, WA 98005-2471                  | N/A  | PC                                   | FOR GENERAL SUPPORT                                 | 25,000.  |
| MOMSRISING EDUCATION FUND<br>12011 BEL-RED ROAD, SUITE 100B<br>BELLEVUE, WA 98005-2471                  | N/A  | PC                                   | FOR ORGANIZATIONAL DEVELOPMENT SUPPORT              | 30,000.  |
| <b>Total from continuation sheets</b>   |  |                                      |   |          |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

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|--|--|--------------------------------------|---|----------|
| MOMSRISING EDUCATION FUND<br>12011 BEL-RED ROAD, SUITE 100B<br>BELLEVUE, WA 98005-2471                                   | N/A  | PC                                   | FOR GENERAL SUPPORT                                       | 200,000. |
| MOVEMENT STRATEGY CENTER<br>463 14TH STREET, SUITE 700<br>OAKLAND, CA 94612  | N/A  | PC                                   | FOR JUSTICE FUNDERS 2020 MEMBERSHIP DUES                  | 15,000.  |
| MOVEMENT STRATEGY CENTER<br>463 14TH STREET, SUITE 700<br>OAKLAND, CA 94612  | N/A  | PC                                   | FOR THE URBAN PEACE MOVEMENT                              | 125,000. |
| MOVEMENT STRATEGY CENTER<br>436 14TH ST STE 500<br>OAKLAND, CA 94612   | N/A  | PC                                   | FOR THE NEXT GENERATION FUND PROJECT                      | 150,000. |
| MULTIPLIER<br>405 14TH STREET, SUITE 164<br>OAKLAND, CA 94612  | N/A  | PC                                   | FOR THE RURAL INVESTMENT TO PROTECT OUR ENVIRONMENT       | 200,000. |
| NATIONAL ACADEMY OF SCIENCES<br>500 FIFTH STREET, NW<br>WASHINGTON, DC 20001   | N/A  | PC                                   | TO CONDUCT AND DISSEMINATE A STUDY ON THE OPPORTUNITY GAP | 300,000. |
| NATIONAL ASSOCIATION FOR FAMILY SCHOOL AND<br>COMMUNITY ENGAGEMENT<br>601 KING STREET, SUITE 401<br>ALEXANDRIA, VA 22314 | N/A  | PC                                   | FOR GENERAL SUPPORT                                       | 250,000. |
| <b>Total from continuation sheets</b>  |  |                                      |   |          |



**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)   | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution  | Amount   |
|--|--|--------------------------------------|--|----------|
| NATIONAL ASSOCIATION FOR FAMILY SCHOOL AND<br>COMMUNITY ENGAGEMENT<br>601 KING STREET, SUITE 401<br>ALEXANDRIA, VA 22314 | N/A  | PC                                   | TO TRANSITION THE FAMILY MATH ROADMAP PROJECT TO A<br>NEW HOME ORGANIZATION  | 250,000. |
| NATIONAL ASSOCIATION FOR THE EDUCATION OF<br>YOUNG CHILDREN<br>1313 L STREET NW, SUITE 500<br>WASHINGTON, DC 20005-4110  | N/A  | PC                                   | TO FINALIZE DATA INFRASTRUCTURE, CONDUCT SECONDARY<br>DATA ANALYSIS OF ACCREDITATION DATA, AND FOR<br>LEADERSHIP DEVELOPMENT | 200,000. |
| NATIONAL ASSOCIATION FOR THE EDUCATION OF<br>YOUNG CHILDREN<br>1313 L STREET NW, SUITE 500<br>WASHINGTON, DC 20005-4110  | N/A  | PC                                   | FOR GENERAL SUPPORT  | 25,000.  |
| NATIONAL ASSOCIATION OF FREE AND<br>CHARITABLE CLINICS INC<br>1800 DIAGONAL ROAD, SUITE 600<br>ALEXANDRIA, VA 22314      | N/A  | PC                                   | FOR GENERAL SUPPORT  | 100,000. |
| NATIONAL CENTER FOR YOUTH LAW<br>1212 BROADWAY, SUITE 600<br>OAKLAND, CA 94612   | N/A  | PC                                   | TO PROTECT AND DEFEND IMMIGRANT CHILDRENS RIGHTS<br>WHILE THEY ARE IN U.S. FEDERAL CUSTODY AND BEYOND                        | 25,000.  |
| NATIONAL CENTER FOR YOUTH LAW<br>1212 BROADWAY, SUITE 600<br>OAKLAND, CA 94612   | N/A  | PC                                   | FOR GENERAL SUPPORT  | 100,000. |
| NATIONAL COMMITTEE FOR RESPONSIVE<br>PHILANTHROPY<br>1900 L STREET NW, SUITE 825<br>WASHINGTON, DC 20036-5002            | N/A  | PC                                   | FOR 2020 MEMBERSHIP DUES   | 9,000.   |
| <b>Total from continuation sheets</b>  |  |                                      |  |          |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)  | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution  | Amount   |
|---|--|--------------------------------------|--|----------|
| NATIONAL CONFERENCE OF STATE LEGISLATURES<br>7700 E. FIRST PLACE<br>DENVER, CO 80230                            | N/A  | GOV                                  | TO EDUCATE STATE POLICYMAKERS AND LEGISLATIVE STAFF<br>ON EARLY CHILDHOOD EDUCATION ISSUES           | 199,994. |
| NATIONAL CONSUMER LAW CENTER INC<br>7 WINTHROP SQ. 4TH FLOOR<br>BOSTON, MA 02110-1245                           | N/A  | PC                                   | FOR GENERAL SUPPORT  | 165,000. |
| NATIONAL CONSUMER LAW CENTER INC<br>7 WINTHROP SQ. 4TH FLOOR<br>BOSTON, MA 02110-1245                           | N/A  | PC                                   | TO HELP PROTECT LOW-INCOME CONSUMERS FROM UTILITIES<br>AND TELECOMMUNICATIONS SERVICE DISCONNECTIONS | 300,000. |
| NATIONAL COUNCIL FOR INCARCERATED AND<br>FORMERLY INCARCERATED WOMEN<br>100R WARREN STREET<br>ROXBURY, MA 02119 | N/A  | PC                                   | FOR GENERAL SUPPORT  | 250,000. |
| NATIONAL DAY LABORER ORGANIZING NETWORK<br>1030 S ARROYO PKWY, STE 106<br>PASADENA, CA 91105                    | N/A  | PC                                   | FOR THE TURNING THE TIDE PROJECT   | 25,000.  |
| NATIONAL DAY LABORER ORGANIZING NETWORK<br>1030 S ARROYO PKWY, STE 106<br>PASADENA, CA 91105                    | N/A  | PC                                   | FOR GENERAL SUPPORT  | 75,000.  |
| NATIONAL DOMESTIC WORKERS ALLIANCE INC.<br>45 BROADWAY, SUITE 320<br>NEW YORK, NY 10006                         | N/A  | PC                                   | FOR GENERAL SUPPORT  | 200,000. |
| <b>Total from continuation sheets</b>   |  |                                      |  |          |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

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|--|--|--------------------------------------|--|------------|
| NATIONAL FOUNDATION FOR THE CENTERS FOR<br>DISEASE CONTROL AND PREVENTION INC.<br>600 PEACHTREE STREET NE, SUITE 1000<br>ATLANTA, GA 30308 | N/A  | PC                                   | TO HELP FUND THE ACQUISITION OF PERSONAL PROTECTIVE<br>EQUIPMENT (PPE)   | 2,400,000. |
| NATIONAL GOVERNORS ASSOCIATION CENTER FOR<br>BEST PRACTICES<br>444 NORTH CAPITOL STREET, SUITE 267<br>WASHINGTON, DC 20001                 | N/A  | PC                                   | TO ASSIST GOVERNORS AND THEIR KEY POLICY STAFF IN<br>UNDERSTANDING BEST PRACTICES IN EARLY CARE AND<br>EDUCATION THAT IMPROVE OUTCOMES FOR CHILDREN<br>BIRTH-THROUGH-AGE-8 | 250,000.   |
| NATIONAL GOVERNORS ASSOCIATION CENTER FOR<br>BEST PRACTICES<br>444 NORTH CAPITOL STREET, SUITE 267<br>WASHINGTON, DC 20001                 | N/A  | PC                                   | TO IDENTIFY STRATEGIES TO PREVENT UTILITY SERVICE<br>DISCONNECTIONS  | 50,000.    |
| NATIONAL IMMIGRATION LAW CENTER<br>3435 WILSHIRE BLVD. STE. 1600<br>LOS ANGELES, CA 90010-1938   | N/A  | PC                                   | FOR GENERAL SUPPORT  | 225,000.   |
| NATIONAL PUBLIC EDUCATION SUPPORT FUND<br>1900 L ST. NW, SUITE 520<br>WASHINGTON, DC 20036   | N/A  | PC                                   | FOR 2020 AND END OF 2019 MEMBERSHIP DUES FOR<br>EDUCATION FUNDER STRATEGY GROUP  | 29,000.    |
| NATIONAL WOMEN'S LAW CENTER<br>11 DUPONT CIRCLE NW, SUITE 800<br>WASHINGTON, DC 20036-1209   | N/A  | PC                                   | FOR THE CHILD CARE AND EARLY EDUCATION PROGRAM   | 350,000.   |
| NATIVE AMERICAN JOURNALISTS ASSOCIATION<br>NAJA-OU GAYLORD COLLEGE, 395 W. LINDSEY ST.<br>NORMAN, OK 73019                                 | N/A  | PC                                   | FOR GENERAL SUPPORT  | 5,000.     |
| <b>Total from continuation sheets</b> .....  |  |                                      |  |            |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

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|--|--|--------------------------------------|--|------------|
| NATURAL RESOURCES DEFENSE COUNCIL<br>111 SUTTER STREET<br>SAN FRANCISCO, CA 94104                      | N/A  | PC                                   | TO ADVANCE CLIMATE AND CLEAN ENERGY POLICIES   | 3,450,000. |
| NATURAL RESOURCES DEFENSE COUNCIL<br>111 SUTTER STREET<br>SAN FRANCISCO, CA 94104                      | N/A  | PC                                   | FOR THE SUSTAINABLE FERC PROJECT   | 400,000.   |
| NATURAL RESOURCES DEFENSE COUNCIL<br>111 SUTTER STREET<br>SAN FRANCISCO, CA 94104                      | N/A  | PC                                   | TO ADVANCE ZERO-EMISSION TRANSPORTATION POLICY   | 200,000.   |
| NEIGHBORHOOD FUNDERS GROUP<br>436 14TH ST #425<br>OAKLAND, CA 94612                                    | N/A  | PC                                   | FOR 2020 FUNDERS FOR JUSTICE MEMBERSHIP DUES   | 5,000.     |
| NELSON MULLINS RILEY & SCARBOROUGH LLP<br>101 CONSTITUTION AVE NW SUITE 900 NW<br>WASHINGTON, DC 20001 | N/A  | NC                                   | TO ADVANCE BIRTH -TO-THIRD-GRADE EARLY LEARNING SYSTEMS  | 325,000.   |
| NELSON MULLINS RILEY & SCARBOROUGH LLP<br>101 CONSTITUTION AVE NW SUITE 900 NW<br>WASHINGTON, DC 20001 | N/A  | NC                                   | TO ADVANCE EDUCATION EQUITY AND CIVIL RIGHTS FOR YOUNG CHILDREN PRE-K TO GRADE 3 IN THE NEXT PRESIDENTIAL ADMINISTRATION | 200,000.   |
| NEO PHILANTHROPY INC.<br>45 W 36TH STREET, 6TH FLOOR<br>NEW YORK, NY 10018-7635                        | N/A  | PC                                   | FOR CJI'S GENERAL FUNDING CIRCLE   | 25,000.    |
| <b>Total from continuation sheets</b>  |  |                                      |  |            |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)                                | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution   | Amount     |
|---|--|--------------------------------------|---|------------|
| NEO PHILANTHROPY INC.<br>45 W 36TH STREET, 6TH FLOOR<br>NEW YORK, NY 10018-7635 | N/A  | PC                                   | FOR THE FUNDERS GROUP ON PARENT ORGANIZING, FAMILY<br>ENGAGEMENT, AND PARENT LEADERSHIP | 20,000.    |
| NEO PHILANTHROPY INC.<br>45 W 36TH STREET, 6TH FLOOR<br>NEW YORK, NY 10018-7635 | N/A  | PC                                   | FOR THE FOUR FREEDOMS FUND  | 1,000,000. |
| NEO PHILANTHROPY INC.<br>45 W 36TH STREET, 6TH FLOOR<br>NEW YORK, NY 10018-7635 | N/A  | PC                                   | FOR 2020 MEMBERSHIP DUES  | 15,000.    |
| NEO PHILANTHROPY INC.<br>45 W 36TH STREET, 6TH FLOOR<br>NEW YORK, NY 10018-7635 | N/A  | PC                                   | FOR CJI'S GENERAL FUNDING CIRCLE  | 100,000.   |
| NEO PHILANTHROPY INC.<br>45 W 36TH STREET, 6TH FLOOR<br>NEW YORK, NY 10018-7635 | N/A  | PC                                   | FOR RESILIENT STRATEGIES  | 75,000.    |
| NETWORK ON WOMEN IN PRISON<br>4400 MARKET STREET<br>OAKLAND, CA 94608           | N/A  | PC                                   | FOR GENERAL SUPPORT   | 25,000.    |
| NETWORK ON WOMEN IN PRISON<br>4400 MARKET STREET<br>OAKLAND, CA 94608           | N/A  | PC                                   | FOR THE CALIFORNIA COALITION FOR WOMEN PRISONERS  | 25,000.    |
| <b>Total from continuation sheets</b>   |  |                                      |   |            |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

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|--|--|--------------------------------------|--|------------|
| NETWORK ON WOMEN IN PRISON<br>4400 MARKET STREET<br>OAKLAND, CA 94608                    | N/A  | PC                                   | FOR GENERAL SUPPORT  | 125,000.   |
| NETWORK ON WOMEN IN PRISON<br>4400 MARKET STREET<br>OAKLAND, CA 94608                    | N/A  | PC                                   | FOR THE CALIFORNIA COALITION FOR WOMEN PRISONERS   | 80,000.    |
| NEW AMERICA FOUNDATION<br>740 15TH STREET, NW, SUITE 900<br>WASHINGTON, DC 20005         | N/A  | PC                                   | FOR FLEXIBLE SUPPORT OF THE EARLY EDUCATION AND ENGLISH LEARNER TEAMS                                | 400,000.   |
| NEW BREATH FOUNDATION<br>1999 HARRISON STREET, SUITE 1800<br>OAKLAND, CA 94612           | N/A  | PC                                   | FOR GENERAL SUPPORT  | 75,000.    |
| NEW VENTURE FUND<br>1201 CONNECTICUT AVENUE NW, SUITE 300<br>WASHINGTON, DC 20036-2605   | N/A  | PC                                   | FOR THREE YEARS OF FULL MEMBERSHIP IN THE SCIENCE PHILANTHROPY ALLIANCE                              | 100,000.   |
| NEW VENTURE FUND<br>1201 CONNECTICUT AVENUE NW, SUITE 300<br>WASHINGTON, DC 20036-2605   | N/A  | PC                                   | TO CREATE AN INTERMEDIARY ORGANIZATION FOCUSED ON EARLY CHILDHOOD EDUCATION GOVERNANCE AND FINANCING | 2,341,531. |
| NEW VENTURE FUND<br>1201 CONNECTICUT AVENUE NORTHWEST, SUITE 300<br>WASHINGTON, DC 20036 | N/A  | PC                                   | TO COMMUNICATE THE BENEFITS OF VEHICLE ELECTRIFICATION IN STATES                                     | 775,000.   |
| <b>Total from continuation sheets</b>  |  |                                      |  |            |

**Part XV** Supplementary Information (continued)**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)   | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution   | Amount     |
|--|--|--------------------------------------|---|------------|
| NEW VENTURE FUND<br>1201 CONNECTICUT AVENUE NW, SUITE 300<br>WASHINGTON, DC 20036-2605                               | N/A  | PC                                   | FOR AN EMERGING BILINGUAL LEARNERS COLLABORATIVE  | 2,100,000. |
| NEW VENTURE FUND<br>1201 CONNECTICUT AVENUE NW, SUITE 300<br>WASHINGTON, DC 20036                                    | N/A  | PC                                   | TO PROVIDE TECHNICAL ASSISTANCE TO THE CALIFORNIA<br>DEPARTMENT OF EDUCATION (CDE) ON DELIVERING AND<br>FINANCING EARLY CHILDHOOD MENTAL HEALTH | 375,000.   |
| NEW VENTURE FUND<br>1201 CONNECTICUT AVENUE NW, SUITE 300<br>WASHINGTON, DC 20036                                    | N/A  | PC                                   | FOR EARLY EDGE CALIFORNIA   | 300,000.   |
| NEW VENTURE FUND<br>1201 CONNECTICUT AVENUE NW, SUITE 300<br>WASHINGTON, DC 20036                                    | N/A  | PC                                   | FOR ENGLISH LEARNERS SUCCESS FORUM (ELSF) (\$180,000),<br>AND HIGH QUALITY INSTRUCTION FOR ENGLISH LEARNERS<br>(\$420,000) AT ELSF              | 300,000.   |
| NEW VENTURE FUND<br>1201 CONNECTICUT AVENUE NW, SUITE 300<br>WASHINGTON, DC 20036                                    | N/A  | PC                                   | FOR SAFE VOTING FUND  | 550,000.   |
| NEW VENTURE FUND<br>1201 CONNECTICUT AVENUE NW, SUITE 300<br>WASHINGTON, DC 20036                                    | N/A  | PC                                   | FOR TRUSTED ELECTIONS FUND  | 200,000.   |
| NEW YORK UNIVERSITY<br>NEW YORK UNIVERSITY SCHOOL OF LAW, WILF<br>HALL, 40 WASHINGTON SQUARE S NEW YORK, NY<br>10012 | N/A  | PC                                   | TO ADVANCE CLIMATE POLICY IN THE U.S.   | 300,000.   |
| <b>Total from continuation sheets</b>  |  |                                      |   |            |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

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|--|--|--------------------------------------|---|----------|
| NEXT DOOR SOLUTIONS TO DOMESTIC VIOLENCE<br>234 E. GISH RD., STE. 200<br>SAN JOSE, CA 95112    | N/A  | PC                                   | FOR GENERAL SUPPORT   | 25,000.  |
| NORTHEAST ENERGY EFFICIENCY PARTNERSHIPS<br>INC.<br>81 HARTWELL AVENUE<br>LEXINGTON, MA 02421  | N/A  | PC                                   | FOR GENERAL SUPPORT   | 250,000. |
| NORTHERN CALIFORNIA GRANTMAKERS<br>160 SPEAR STREET, SUITE 360<br>SAN FRANCISCO, CA 94105-1543 | N/A  | PC                                   | FOR NONPROFIT DISPLACEMENT PROJECT COORDINATION<br>(\$25,000) AND BETTER CALIFORNIA (\$25,000)  | 50,000.  |
| NORTHERN CALIFORNIA GRANTMAKERS<br>160 SPEAR STREET, SUITE 360<br>SAN FRANCISCO, CA 94105-1543 | N/A  | PC                                   | FOR 2020 MEMBERSHIP DUES (\$16,750), GENERAL SUPPORT<br>(\$10,000) & CCJF DUES (\$25,000)   | 51,750.  |
| NORTHERN CALIFORNIA GRANTMAKERS<br>160 SPEAR STREET, SUITE 360<br>SAN FRANCISCO, CA 94105-1543 | N/A  | PC                                   | FOR THE NORTHERN CALIFORNIA YOUTH ORGANIZING FUNDER<br>COLLABORATIVE  | 150,000. |
| NORTHERN CALIFORNIA GRANTMAKERS<br>160 SPEAR STREET, SUITE 360<br>SAN FRANCISCO, CA 94105-1543 | N/A  | PC                                   | FOR GENERAL SUPPORT   | 50,000.  |
| NORTHWESTERN UNIVERSITY<br>2145 SHERIDAN ROAD<br>EVANSTON, IL 60208-3112                       | N/A  | PC                                   | FOR THE OPTIMIZATION OF A COUNTER-PROPAGATING<br>DUAL-BEAM OPTICALLY-LEVITATED MICROSPHERE<br>FORCE-SENSOR FOR SHORT-DISTANCE GRAVITY EXPERIMENTS | 247,573. |
| <b>Total from continuation sheets</b>  |  |                                      |   |          |



**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

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|--|--|--------------------------------------|--|----------|
| NUMFOCUS INC<br>P.O. BOX 90596<br>AUSTIN, TX 78709   | N/A  | PC                                   | FOR GENERAL SUPPORT  | 50,000.  |
| OPPORTUNITY FUND COMMUNITY DEVELOPMENT<br>111 WEST ST. JOHN STREET, SUITE 800<br>SAN JOSE, CA 95113-1121 | N/A  | PC                                   | FOR TALENT AND ORGANIZATIONAL DEVELOPMENT SUPPORT  | 30,000.  |
| OPPORTUNITY FUND COMMUNITY DEVELOPMENT<br>111 WEST ST. JOHN STREET, SUITE 800<br>SAN JOSE, CA 95113-1121 | N/A  | PC                                   | FOR GENERAL SUPPORT FROM COVID-19 RESPONSE FUND  | 25,000.  |
| ORANGE COUNTY SUPERINTENDENT OF SCHOOLS<br>200 KALMUS DRIVE<br>COSTA MESA, CA 92626                      | N/A  | GOV                                  | FOR PRESCHOOL GLAD(R) MATERIALS AND RELEASE TIME   | 218,450. |
| OUNCE OF PREVENTION FUND<br>33 WEST MONROE STREET, SUITE 2400<br>CHICAGO, IL 60603-5400                  | N/A  | PC                                   | TO SUPPORT THE PLANNING AND EXECUTION OF AN ANNUAL<br>THREE-DAY NATIONAL HOME VISITING SUMMIT IN 2020-2022 | 250,000. |
| OUNCE OF PREVENTION FUND<br>33 WEST MONROE STREET, SUITE 2400<br>CHICAGO, IL 60603-5400                  | N/A  | PC                                   | FOR THE FIRST FIVE YEARS FUND  | 400,000. |
| PARENT INSTITUTE FOR QUALITY EDUCATION INC<br>1625 E. SHAW AVE., SUITE 160<br>FRESNO, CA 93710           | N/A  | PC                                   | FOR GENERAL SUPPORT  | 75,000.  |
| <b>Total from continuation sheets</b>  |  |                                      |  |          |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

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|---|--|--------------------------------------|---|------------|
| PARENT INSTITUTE FOR QUALITY EDUCATION INC<br>1625 E. SHAW AVE., SUITE 160<br>FRESNO, CA 93710    | N/A  | PC                                   | FOR GENERAL SUPPORT   | 25,000.    |
| PARENT INSTITUTE FOR QUALITY EDUCATION INC<br>1625 E. SHAW AVE., SUITE 160<br>FRESNO, CA 93710    | N/A  | PC                                   | FOR KEEP ON LEARNING  | 25,000.    |
| PARENTS AS TEACHERS NATIONAL CENTER, INC<br>2228 BALL DRIVE<br>SAINT LOUIS, MO 96346              | N/A  | PC                                   | FOR THE NATIONAL HOME VISITING MODELS ALLIANCE  | 40,000.    |
| PARENTS AS TEACHERS NATIONAL CENTER, INC<br>2228 BALL DRIVE<br>SAINT LOUIS, MO 96346              | N/A  | PC                                   | FOR GENERAL SUPPORT   | 1,130,000. |
| PARTNERSHIP PROJECT INC.<br>1101 CONNECTICUT AVENUE NORTHWEST, 10TH<br>FLOOR WASHINGTON, DC 20036 | N/A  | PC                                   | FOR THE EQUITABLE & JUST NATIONAL CLIMATE FORUM AND<br>TO ADVANCE CLEAN CAR STANDARDS | 625,000.   |
| PARTNERSHIP PROJECT INC.<br>1101 CONNECTICUT AVENUE NORTHWEST, 10TH<br>FLOOR WASHINGTON, DC 20036 | N/A  | PC                                   | TO ADVANCE TRANSPORTATION ELECTRIFICATION   | 200,000.   |
| PEAK GRANTMAKING<br>1666 K STREET NW, SUITE 440<br>WASHINGTON, DC 20006-1242                      | N/A  | PC                                   | FOR 2020 MEMBERSHIP DUES  | 5,152.     |
| <b>Total from continuation sheets</b>   |  |                                      |   |            |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

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|---|--|--------------------------------------|--|----------|
| PEAK GRANTMAKING<br>1666 K STREET NW, SUITE 440<br>WASHINGTON, DC 20006-1242                | N/A  | PC                                   | FOR GENERAL SUPPORT                        | 15,000.  |
| PEN AMERICAN CENTER INC<br>588 BROADWAY, SUITE 303<br>NEW YORK, NY 10012-5258               | N/A  | PC                                   | FOR GENERAL SUPPORT                        | 45,000.  |
| PEN AMERICAN CENTER INC<br>588 BROADWAY, SUITE 303<br>NEW YORK, NY 10012-5258               | N/A  | PC                                   | FOR GENERAL SUPPORT                        | 25,000.  |
| PEOPLE ACTING IN COMMUNITY TOGETHER INC<br>1100 SHASTA AVE, SUITE 210<br>SAN JOSE, CA 95126 | N/A  | PC                                   | FOR GENERAL SUPPORT                        | 50,000.  |
| PL+US FUND<br>PO BOX 411075<br>SAN FRANCISCO, CA 94941                                      | N/A  | PC                                   | FOR GENERAL SUPPORT                        | 150,000. |
| POLICYLINK<br>1438 WEBSTER STREET, SUITE 303<br>OAKLAND, CA 94612                           | N/A  | PC                                   | FOR THE BUILDING BEYOND POLICING CONVENING | 25,000.  |
| POLICYLINK<br>1438 WEBSTER STREET, SUITE 303<br>OAKLAND, CA 94612                           | N/A  | PC                                   | FOR BUILDING BEYOND POLICING WORK          | 150,000. |
| <b>Total from continuation sheets</b> .....   |  |                                      |  |          |

**Part XV** Supplementary Information (continued)**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)  | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution   | Amount   |
|---|--|--------------------------------------|---|----------|
| POWERMYLEARNING, INC.<br>228 PARK AVENUE SOUTH, PMB 16373<br>NEW YORK, NY 10003                         | N/A  | PC                                   | TO EXPLORE REQUIREMENTS FOR A PREK-2 VERSION OF<br>POWERMYLEARNING  | 390,000. |
| POWERMYLEARNING, INC.<br>228 PARK AVENUE SOUTH, PMB 16373<br>NEW YORK, NY 10003                         | N/A  | PC                                   | TO CONTINUE RESEARCH AND DEVELOPMENT FOR EARLY-GRADES<br>FAMILY PLAYLISTS   | 650,000. |
| PRESIDENT AND FELLOWS OF HARVARD COLLEGE<br>1033 MASSACHUSETTS AVENUE, 5TH FLOOR<br>CAMBRIDGE, MA 02138 | N/A  | PC                                   | FOR THE DEVELOPMENT OF EXPERIMENTAL TECHNIQUES<br>ENABLING ELECTRON ELECTRIC DIPOLE MOMENT SEARCHES<br>USING POLYATOMIC MOLECULES | 333,000. |
| PRESIDENT AND FELLOWS OF HARVARD COLLEGE<br>1033 MASSACHUSETTS AVENUE, 5TH FLOOR<br>CAMBRIDGE, MA 02138 | N/A  | PC                                   | TO ADVANCE CLIMATE POLICY IN THE U.S.   | 325,000. |
| PRESIDENT AND FELLOWS OF HARVARD COLLEGE<br>1033 MASSACHUSETTS AVENUE, 5TH FLOOR<br>CAMBRIDGE, MA 02138 | N/A  | PC                                   | FOR THE DEVELOPMENT OF N95 MASK DECONTAMINATION AND<br>REUSE PROTOCOLS IN RESPONSE TO THE COVID 19 PANDEMIC                       | 75,000.  |
| PRESIDENT AND FELLOWS OF HARVARD COLLEGE<br>1033 MASSACHUSETTS AVENUE, 5TH FLOOR<br>CAMBRIDGE, MA 02138 | N/A  | PC                                   | FOR THE 51 PEGASI B FELLOWSHIP  | 117,547. |
| PRO PUBLICA INC.<br>155 AVENUE OF THE AMERICAS, 13TH FLOOR<br>NEW YORK, NY 10013-0000                   | N/A  | PC                                   | FOR GENERAL SUPPORT   | 100,000. |
| <b>Total from continuation sheets</b> .....   |  |                                      |   |          |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)   | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution   | Amount   |
|--|--|--------------------------------------|---|----------|
| PROJECT OPEN HAND<br>730 POLK ST.<br>SAN FRANCISCO, CA 94109   | N/A  | PC                                   | FOR GENERAL SUPPORT   | 50,000.  |
| PROJECT SOUTH THE INSTITUTION FOR THE<br>ELIMINATION POVERTY & GENOCIDE<br>9 GAMMON AVE, SE<br>ATLANTA, GA 30315 | N/A  | PC                                   | FOR GENERAL SUPPORT   | 25,000.  |
| PROJECT SOUTH THE INSTITUTION FOR THE<br>ELIMINATION POVERTY & GENOCIDE<br>9 GAMMON AVE, SE<br>ATLANTA, GA 30315 | N/A  | PC                                   | FOR GENERAL SUPPORT   | 150,000. |
| PROTEUS FUND INC.<br>15 RESEARCH DRIVE, SUITE B<br>AMHERST, MA 01002-2776  | N/A  | PC                                   | FOR THE RISE TOGETHER FUND  | 25,000.  |
| PROTEUS FUND INC.<br>15 RESEARCH DRIVE, SUITE B<br>AMHERST, MA 01002-2776  | N/A  | PC                                   | FOR EMBRACERACE   | 100,000. |
| PROTEX A NETWORK FOR PROGRESSIVE TEXAS<br>1714 FORTVIEW ROAD, SUITE 104<br>AUSTIN, TX 78704                      | N/A  | PC                                   | FOR GENERAL SUPPORT   | 175,000. |
| PUBLIC HEALTH INSTITUTE<br>555 12TH STREET, 10TH FLOOR<br>OAKLAND, CA 94607-4046                                 | N/A  | PC                                   | FOR THE CALIFORNIA COVID-19 PUBLIC HEALTH CAPACITY<br>BUILDING COLLABORATIVE FUND | 300,000. |
| <b>Total from continuation sheets</b>  |  |                                      |   |          |

**Part XV** Supplementary Information (continued)**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)  | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution                                 | Amount   |
|---|--|--------------------------------------|---|----------|
| PUBLIC MEDIA GROUP OF SOUTHERN CALIFORNIA<br>2900 WEST ALAMEDA AVENUE, SUITE 600<br>BURBANK, CA 91505   | N/A  | PC                                   | FOR THE COMPTON FAMILY MATH INITIATIVE                              | 319,569. |
| PUBLIC MEDIA GROUP OF SOUTHERN CALIFORNIA<br>2900 WEST ALAMEDA AVENUE, SUITE 600<br>BURBANK, CA 91505   | N/A  | PC                                   | FOR EDUCATOR PROFESSIONAL LEARNING AND AT-HOME<br>LEARNING PROGRAMS | 800,415. |
| PUENTE DE LA COSTA SUR<br>P.O. BOX 554<br>PESCADERO, CA 94060-0554                                      | N/A  | PC                                   | FOR STRATEGIC PLANNING  | 27,600.  |
| R STREET INSTITUTE<br>1212 NEW YORK AVENUE NORTHWEST #900<br>WASHINGTON, DC 20002                       | N/A  | PC                                   | TO ENHANCE COMPETITION IN WHOLESALE ELECTRICITY<br>MARKETS          | 200,000. |
| R STREET INSTITUTE<br>1212 NEW YORK AVENUE NORTHWEST #900<br>WASHINGTON, DC 20002                       | N/A  | PC                                   | FOR GENERAL SUPPORT   | 25,000.  |
| REACH INSTITUTE FOR SCHOOL LEADERSHIP<br>1221 PRESERVATION PARK WAY, SUITE 10<br>OAKLAND, CA 94612-1277 | N/A  | PC                                   | FOR GENERAL SUPPORT   | 75,000.  |
| REACH OUT AND READ INC<br>89 SOUTH STREET<br>BOSTON, MA 02111   | N/A  | PC                                   | TO SUPPORT SUSTAINABILITY AND SCALE OF REACH OUT AND<br>READ COUNTS | 287,973. |
| <b>Total from continuation sheets</b>   |  |                                      |   |          |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)   | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution   | Amount   |
|--|--|--------------------------------------|---|----------|
| REBECCA NAGLE<br>848 W DELAWARE<br>TAHLEQUAH, OK 74464   | NONE   | I                                    | FOR THE 2020 AMERICAN MOSAIC JOURNALISM PRIZE   | 100,000. |
| REDF IMPACT INVESTING FUND<br>101A CLAY STREET #103<br>SAN FRANCISCO, CA 94111                               | N/A  | PC                                   | FOR LOAN CAPITAL (\$200,000) AND GENERAL SUPPORT<br>(\$100,000)   | 300,000. |
| REGENTS OF THE UNIVERSITY OF CALIFORNIA AT<br>BERKELEY<br>2150 SHATTUCK AVE, SUITE 300<br>BERKELEY, CA 94720 | N/A  | PC                                   | FOR THE 51 PEGASI B FELLOWSHIP  | 110,567. |
| REGENTS OF THE UNIVERSITY OF CALIFORNIA AT<br>BERKELEY<br>2150 SHATTUCK AVE, SUITE 300<br>BERKELEY, CA 94720 | N/A  | PC                                   | FOR THE 51 PEGASI B FELLOWSHIP  | 110,567. |
| REGENTS OF THE UNIVERSITY OF CALIFORNIA AT<br>BERKELEY<br>2150 SHATTUCK AVE, SUITE 300<br>BERKELEY, CA 94720 | N/A  | PC                                   | FOR INSTRUMENTATION AND NUMERICAL MODELING SOFTWARE<br>DEVELOPMENT NECESSARY FOR CONDUCTING A SURVEY OF<br>SUPERMASSIVE BLACK HOLES IN THE SOUTHERN SKY | 120,166. |
| REGENTS OF THE UNIVERSITY OF CALIFORNIA AT<br>BERKELEY<br>2150 SHATTUCK AVE, SUITE 300<br>BERKELEY, CA 94720 | N/A  | PC                                   | FOR THE DEVELOPMENT OF STIMULATED AXION DECAY THEORY<br>AND SEARCH STRATEGIES FOR ITS COSMOLOGICAL SIGNATURE  | 140,083. |
| REGENTS OF THE UNIVERSITY OF CALIFORNIA AT<br>BERKELEY<br>2150 SHATTUCK AVE, SUITE 300<br>BERKELEY, CA 94720 | N/A  | PC                                   | FOR GRADUATE SCHOOL OF JOURNALISM FELLOWSHIPS   | 57,500.  |
| <b>Total from continuation sheets</b>  |  |                                      |   |          |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)   | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution  | Amount   |
|--|--|--------------------------------------|--|----------|
| REGENTS OF THE UNIVERSITY OF CALIFORNIA AT<br>BERKELEY<br>2150 SHATTUCK AVE, SUITE 300<br>BERKELEY, CA 94720 | N/A  | PC                                   | FOR THE 51 PEGASI B FELLOWSHIP   | 108,511. |
| REGENTS OF THE UNIVERSITY OF CALIFORNIA AT<br>BERKELEY<br>2150 SHATTUCK AVE, SUITE 300<br>BERKELEY, CA 94720 | N/A  | PC                                   | FOR A SENIOR RESEARCH AND POLICY ANALYST TO DRIVE<br>CALIFORNIA EARLY CARE AND EDUCATION WORKFORCE REFORMS                       | 215,000. |
| REGENTS OF THE UNIVERSITY OF CALIFORNIA AT<br>BERKELEY<br>2150 SHATTUCK AVE, SUITE 300<br>BERKELEY, CA 94720 | N/A  | PC                                   | TO PROMOTE EQUITABLE FINANCING OF SCHOOL FACILITIES<br>IN CALIFORNIA   | 133,334. |
| REGENTS OF THE UNIVERSITY OF CALIFORNIA AT<br>BERKELEY<br>2150 SHATTUCK AVE, SUITE 300<br>BERKELEY, CA 94720 | N/A  | PC                                   | FOR THE 2020 LOGAN SYMPOSIUM SPONSORSHIP   | 5,000.   |
| REGENTS OF THE UNIVERSITY OF CALIFORNIA AT<br>BERKELEY<br>2150 SHATTUCK AVE, SUITE 300<br>BERKELEY, CA 94720 | N/A  | PC                                   | FOR THE CENTER FOR THE STUDY OF CHILD CARE EMPLOYMENT  | 925,000. |
| REGENTS OF THE UNIVERSITY OF CALIFORNIA AT<br>IRVINE<br>141 INNOVATION DRIVE, SUITE 250<br>IRVINE, CA 92697  | N/A  | PC                                   | TO DEVELOP A STATE-OF-THE-ART, COUPLED, NUMERICAL<br>MODEL OF THE HELHEIM GLACIER SYSTEM   | 13,023.  |
| REGENTS OF THE UNIVERSITY OF CALIFORNIA AT<br>IRVINE<br>141 INNOVATION DRIVE, SUITE 250<br>IRVINE, CA 92697  | N/A  | PC                                   | TO SUPPORT THE CONSTRUCTION, INSTALLATION,<br>CALIBRATION, AND OPERATION OF THE FASER EXPERIMENT AT<br>THE LARGE HADRON COLLIDER | 71,557.  |
| <b>Total from continuation sheets</b>  |  |                                      |  |          |



**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)  | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution   | Amount     |
|---|--|--------------------------------------|---|------------|
| REGENTS OF THE UNIVERSITY OF CALIFORNIA AT IRVINE<br>141 INNOVATION DRIVE, SUITE 250<br>IRVINE, CA 92697      | N/A  | PC                                   | TO DEVELOP TECHNOLOGY AND TECHNIQUES THAT WILL ADVANCE THE STATE-OF-THE-ART IN EXOPLANET IMAGING  | 120,757.   |
| REGENTS OF THE UNIVERSITY OF CALIFORNIA AT IRVINE<br>141 INNOVATION DRIVE, SUITE 250<br>IRVINE, CA 92697      | N/A  | PC                                   | TO SUPPORT THE CONSTRUCTION, INSTALLATION, AND OPERATION OF THE FASER EXPERIMENT AT THE LARGE HADRON COLLIDER   | 1,103,400. |
| REGENTS OF THE UNIVERSITY OF CALIFORNIA AT RIVERSIDE<br>200 UNIVERSITY OFFICE BUILDING<br>RIVERSIDE, CA 92521 | N/A  | PC                                   | TO SUPPORT THE DEVELOPMENT OF A COMPREHENSIVE PROXY-FOCUSED MODEL OF HOW MARINE SEDIMENT CORES ACCUMULATE AND CHANGE OVER MILLENNIAL TIME SCALES TO FORM THE OBSERVED GEOLOGICAL RECORD | 30,000.    |
| REGENTS OF THE UNIVERSITY OF CALIFORNIA AT SAN DIEGO<br>9500 GILMAN DRIVE<br>LA JOLLA, CA 92093               | N/A  | PC                                   | FOR THE SIMONS OBSERVATORY LOGISTICS BUDGET   | 277,596.   |
| REGENTS OF THE UNIVERSITY OF CALIFORNIA AT SAN DIEGO<br>9500 GILMAN DRIVE<br>LA JOLLA, CA 92093               | N/A  | PC                                   | TO ESTABLISH THE FIRST GREENLAND ICE SHEET/OCEAN OBSERVING SYSTEM (GRIOS) SITE AT THE HELHEIM/SERMILIK GLACIER-FJORD SYSTEM   | 179,653.   |
| REGENTS OF THE UNIVERSITY OF CALIFORNIA AT SAN DIEGO<br>9500 GILMAN DRIVE<br>LA JOLLA, CA 92093               | N/A  | PC                                   | FOR THE PRELIMINARY AND FINAL DESIGN OF A NEAR-INFRARED INTEGRAL FIELD SPECTROGRAPH AND IMAGER FOR THE KECK OBSERVATORY, ALSO KNOWN AS LIGER  | 55,000.    |
| REGENTS OF THE UNIVERSITY OF CALIFORNIA AT SAN DIEGO<br>9500 GILMAN DRIVE<br>LA JOLLA, CA 92093               | N/A  | PC                                   | TO DESIGN, BUILD, AND DEPLOY FLOATING SENSORS TO MONITOR ENVIRONMENTAL CONDITIONS IN THE MLANGE REGION OF SERMILIK FJORD  | 397,497.   |
| <b>Total from continuation sheets</b>   |  |                                      |   |            |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)   | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution   | Amount   |
|--|--|--------------------------------------|---|----------|
| REGENTS OF THE UNIVERSITY OF CALIFORNIA AT<br>SAN DIEGO<br>9500 GILMAN DRIVE<br>LA JOLLA, CA 92093     | N/A  | PC                                   | TO CHARACTERIZE EXTREME STARBURST GALAXIES AND THEIR<br>GALACTIC OUTFLOWS                               | 112,583. |
| REGENTS OF THE UNIVERSITY OF CALIFORNIA<br>SANTA CRUZ<br>1156 HIGH STREET<br>SANTA CRUZ, CA 95064-1077 | N/A  | PC                                   | FOR DEVELOPING AND IMPLEMENTING THE SUMMER INTENSIVE<br>ASTROTECH PROGRAM                               | 128,364. |
| REGENTS OF THE UNIVERSITY OF CALIFORNIA<br>SANTA CRUZ<br>1156 HIGH STREET<br>SANTA CRUZ, CA 95064-1077 | N/A  | PC                                   | FOR THE 51 PEGASI B FELLOWSHIP  | 112,801. |
| REGENTS OF THE UNIVERSITY OF CALIFORNIA<br>SANTA CRUZ<br>1156 HIGH STREET<br>SANTA CRUZ, CA 95064-1077 | N/A  | PC                                   | TO SUPPORT THE OTHER WORLD LABORATORY EXOPLANET<br>SUMMER PROGRAM IN 2019 AND 2020                      | 175,000. |
| REGENTS OF THE UNIVERSITY OF CALIFORNIA<br>SANTA CRUZ<br>1156 HIGH STREET<br>SANTA CRUZ, CA 95064-1077 | N/A  | PC                                   | TO BUILD THE PLANET AS EXOPLANET ANALOG SPECTROGRAPH<br>(PEAS)  | 140,832. |
| REGENTS OF THE UNIVERSITY OF CALIFORNIA<br>SANTA CRUZ<br>1156 HIGH STREET<br>SANTA CRUZ, CA 95064-1077 | N/A  | PC                                   | FOR THE DESIGN AND EARLY CONSTRUCTION OF THE SANTA<br>CRUZ ARRAY OF LENSLETS FOR EXOPLANET SPECTROSCOPY | 334,231. |
| REGENTS OF THE UNIVERSITY OF CALIFORNIA<br>SANTA CRUZ<br>1156 HIGH STREET<br>SANTA CRUZ, CA 95064-1077 | N/A  | PC                                   | TO DEVELOP TECHNOLOGY AND TECHNIQUES THAT WILL<br>ADVANCE THE STATE-OF-THE-ART IN EXOPLANET IMAGING     | 346,200. |
| <b>Total from continuation sheets</b> .....  |  |                                      |   |          |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)  | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution   | Amount   |
|---|--|--------------------------------------|---|----------|
| REGENTS OF THE UNIVERSITY OF CALIFORNIA<br>SANTA CRUZ<br>1156 HIGH STREET<br>SANTA CRUZ, CA 95064-1077  | N/A  | PC                                   | FOR THE 51 PEGASI B FELLOWSHIP  | 122,554. |
| REGENTS OF THE UNIVERSITY OF CALIFORNIA<br>SANTA CRUZ<br>1156 HIGH STREET<br>SANTA CRUZ, CA 95064-1077  | N/A  | PC                                   | FOR THE LICK OBSERVATORY  | 250,000. |
| REGENTS OF THE UNIVERSITY OF CALIFORNIA,<br>DAVIS<br>ONE SHIELD AVENUE<br>DAVIS, CA 95616               | N/A  | PC                                   | FOR THE CENTER FOR VIOLENCE PREVENTION RESEARCH   | 700,000. |
| REGENTS OF THE UNIVERSITY OF CALIFORNIA,<br>DAVIS<br>ONE SHIELD AVENUE<br>DAVIS, CA 95616               | N/A  | PC                                   | FOR RESEARCH ON STATE TRANSPORTATION SYSTEM FUNDING   | 75,000.  |
| REGENTS OF THE UNIVERSITY OF COLORADO<br>3100 MARINE STREET, ROOM 479<br>BOULDER, CO 80303              | N/A  | PC                                   | TO DEVELOP BEST PRACTICES FOR WORKSHOPS AND<br>CONFERENCES  | 153,700. |
| REGENTS OF THE UNIVERSITY OF COLORADO<br>3100 MARINE STREET, ROOM 479<br>BOULDER, CO 80303              | N/A  | PC                                   | TO BUILD INFRASTRUCTURE AND IMPLEMENT CORE STRATEGIES<br>THAT ENABLE THE APPLICATION OF P-3 APPROACHES IN<br>STATES, DISTRICTS, AND COMMUNITIES | 500,000. |
| REGENTS OF THE UNIVERSITY OF MICHIGAN<br>3003 SOUTH STATE STREET, ROOM 1062<br>ANN ARBOR, MI 48109-1274 | N/A  | PC                                   | TO SUPPORT THE DEVELOPMENT AND APPLICATION OF DATA<br>ASSIMILATION TECHNIQUES IN PALEOCLIMATE DEEP TIME   | 104,806. |
| <b>Total from continuation sheets</b> .....   |  |                                      |   |          |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)   | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution  | Amount   |
|--|--|--------------------------------------|--|----------|
| REGENTS OF THE UNIVERSITY OF MICHIGAN<br>3003 SOUTH STATE STREET, ROOM 1062<br>ANN ARBOR, MI 48109-1274        | N/A  | PC                                   | FOR HIGH RESOLUTION SPECTROSCOPY AND<br>MULTI-DIMENSIONAL ATMOSPHERIC CHARACTERIZATION OF HOT<br>JUPITER EXOPLANETS.   | 185,605. |
| REGENTS OF THE UNIVERSITY OF MICHIGAN<br>3003 SOUTH STATE STREET, ROOM 1062<br>ANN ARBOR, MI 48109-1274        | N/A  | PC                                   | TO UPGRADE THE MIRAC-5 (MID-INFRARED ARRAY CAMERA),<br>UTILIZING A NEW GEOSNAP MID-IR DETECTOR   | 400,000. |
| REGENTS OF THE UNIVERSITY OF MICHIGAN<br>3003 SOUTH STATE STREET, ROOM 1062<br>ANN ARBOR, MI 48109-1274        | N/A  | PC                                   | TO DEVELOP TECHNOLOGY AND TECHNIQUES THAT WILL<br>ADVANCE THE STATE-OF-THE-ART IN DIRECT EXOPLANET<br>IMAGING  | 104,967. |
| REPAIRERS OF THE BREACH INC<br>PO BOX 1638<br>GOLDSBORO, NC 27533-1638   | N/A  | PC                                   | FOR GENERAL SUPPORT  | 25,000.  |
| REPAIRERS OF THE BREACH INC<br>PO BOX 1638<br>GOLDSBORO, NC 27533-1638   | N/A  | PC                                   | FOR GENERAL SUPPORT  | 200,000. |
| RESEARCH FOUNDATION FOR THE STATE<br>UNIVERSITY OF NEW YORK<br>12 CAPEN HALL<br>BUFFALO, NY 14260-1660         | N/A  | PC                                   | FOR A STUDY OF CREVASSE-MEDIATED WATER TRANSPORT<br>BETWEEN THE ENGLACIAL AND BASAL ENVIRONMENTS OF<br>HELHEIM GLACIER TO BE PERFORMED BY THE UNIVERSITY AT<br>BUFFALO | 206,677. |
| RESEARCH FOUNDATION OF THE CITY UNIVERSITY<br>OF NEW YORK<br>16 COURT STREET, 31ST FLOOR<br>BROOKLYN, NY 11241 | N/A  | PC                                   | FOR THE NEW YORK EARLY CHILDHOOD PROFESSIONAL<br>DEVELOPMENT INSTITUTE   | 150,000. |
| <b>Total from continuation sheets</b>  |  |                                      |  |          |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)                                | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution          | Amount   |
|---|--|--------------------------------------|--|----------|
| RESOURCE MEDIA<br>155 SANSOME STREET, STE 580<br>SAN FRANCISCO, CA 94104        | N/A  | PC                                   | TO ADVANCE POWER SECTOR TRANSFORMATION       | 150,000. |
| RESOURCES FOR THE FUTURE, INC.<br>1616 P. ST. NW<br>WASHINGTON, DC 20036        | N/A  | PC                                   | FOR GENERAL SUPPORT                          | 320,000. |
| RESOURCES FOR THE FUTURE, INC.<br>1616 P. ST. NW<br>WASHINGTON, DC 20036        | N/A  | PC                                   | FOR GENERAL SUPPORT                          | 400,000. |
| RESTORE JUSTICE<br>777 SOUTH ALAMEDA STREET, 2ND FLOOR<br>LOS ANGELES, CA 90021 | N/A  | PC                                   | FOR GENERAL SUPPORT                          | 75,000.  |
| RHODIUM GROUP, LLC<br>5 COLUMBUS CIRCLE, SUITE 1801<br>NEW YORK, NY 10019       | N/A  | NC                                   | TO COMMUNICATE THE IMPACTS OF CLIMATE CHANGE | 175,000. |
| RHODIUM GROUP, LLC<br>5 COLUMBUS CIRCLE, SUITE 1801<br>NEW YORK, NY 10019       | N/A  | NC                                   | TO COMMUNICATE THE IMPACTS OF CLIMATE CHANGE | 500,000. |
| ROCKEFELLER FAMILY FUND<br>475 RIVERSIDE DRIVE, SUITE 900<br>NEW YORK, NY 10115 | N/A  | PC                                   | FOR THE DEMOCRACY AND POWER INNOVATION FUND  | 75,000.  |
| <b>Total from continuation sheets</b>   |  |                                      |  |          |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)   | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution   | Amount   |
|--|--|--------------------------------------|---|----------|
| ROCKEFELLER FAMILY FUND<br>475 RIVERSIDE DRIVE, SUITE 900<br>NEW YORK, NY 10115                    | N/A  | PC                                   | FOR THE JUST TRANSITION FUND  | 100,000. |
| ROCKEFELLER PHILANTHROPY ADVISORS INC<br>6 WEST 48TH STREET, 10TH FLOOR<br>NEW YORK, NY 10036-1802 | N/A  | PC                                   | FOR THE FAMILY MATH ROADMAP IMPLEMENTATION PROJECT  | 191,284. |
| ROCKEFELLER PHILANTHROPY ADVISORS INC<br>6 WEST 48TH STREET, 10TH FLOOR<br>NEW YORK, NY 10036-1802 | N/A  | PC                                   | FOR FUND FOR SHARED INSIGHTS LISTEN4GOOD<br>INITIATIVE  | 15,000.  |
| ROCKWOOD LEADERSHIP INSTITUTE<br>1212 BROADWAY, SUITE 700<br>OAKLAND, CA 94612                     | N/A  | PC                                   | FOR THE COACHING FOR HEALING, JUSTICE AND LIBERATION<br>PROJECT                                     | 100,000. |
| ROCKY MOUNTAIN INSTITUTE<br>22830 TWO RIVERS ROAD<br>BASALT, CO 81621                              | N/A  | PC                                   | TO ADVANCE U.S. POWER SECTOR TRANSFORMATION AND<br>BUILDING ELECTRIFICATION                         | 400,000. |
| ROCKY MOUNTAIN INSTITUTE<br>22830 TWO RIVERS ROAD<br>BASALT, CO 81621                              | N/A  | PC                                   | TO ADVANCE U.S. POWER SECTOR TRANSFORMATION<br>(\$250,000) AND BUILDING ELECTRIFICATION (\$150,000) | 400,000. |
| ROOT & REBOUND<br>1730 FRANKLIN ST., SUITE 300<br>OAKLAND, CA 94612                                | N/A  | PC                                   | FOR GENERAL SUPPORT   | 50,000.  |
| <b>Total from continuation sheets</b>  |  |                                      |   |          |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)  | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution                         | Amount   |
|---|--|--------------------------------------|---|----------|
| ROOTS COMMUNITY HEALTH CENTER<br>7272 MACARTHUR BLVD.<br>OAKLAND, CA 94603                      | N/A  | PC                                   | FOR GENERAL SUPPORT   | 50,000.  |
| ROSENBERG FOUNDATION<br>131 STEUART STREET<br>SAN FRANCISCO, CA 94105                           | N/A  | PF                                   | FOR ONE, THREE-YEAR, LEADING EDGE FELLOW IN CALIFORNIA      | 83,333.  |
| RUTGERS, THE STATE UNIVERSITY OF NEW JERSEY<br>73 EASTON AVENUE<br>NEW BRUNSWICK, NJ 08901-1879 | N/A  | PC                                   | FOR THE CENTER ON ENHANCING EARLY LEARNING OUTCOMES (CEELO) | 275,384. |
| RUTGERS, THE STATE UNIVERSITY OF NEW JERSEY<br>73 EASTON AVENUE<br>NEW BRUNSWICK, NJ 08901-1879 | N/A  | PC                                   | FOR THE 2020 STATE OF PRESCHOOL YEARBOOK                    | 600,000. |
| S.T.R.O.N.G. YOUTH, INC.<br>599 JERUSALEM AVE<br>UNIONDALE, NY 11553                            | N/A  | PC                                   | FOR GENERAL SUPPORT   | 125,000. |
| S.T.R.O.N.G. YOUTH, INC.<br>599 JERUSALEM AVE<br>UNIONDALE, NY 11553                            | N/A  | PC                                   | FOR GENERAL SUPPORT   | 100,000. |
| SACRED HEART COMMUNITY SERVICE<br>1381 SOUTH FIRST STREET<br>SAN JOSE, CA 95110                 | N/A  | PC                                   | FOR BUILDING RESIDENT POWER IN SAN JOSE                     | 300,000. |
| <b>Total from continuation sheets</b>   |  |                                      |   |          |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)  | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution             | Amount   |
|---|--|--------------------------------------|---|----------|
| SACRED HEART COMMUNITY SERVICE<br>1381 SOUTH FIRST STREET<br>SAN JOSE, CA 95110                   | N/A  | PC                                   | FOR GENERAL SUPPORT                             | 175,000. |
| SACRED HEART COMMUNITY SERVICE<br>1381 SOUTH FIRST STREET<br>SAN JOSE, CA 95110                   | N/A  | PC                                   | FOR GENERAL SUPPORT IN RESPONSE TO COVID-19     | 50,000.  |
| SAFE & SOUND<br>1757 WALLER STREET<br>SAN FRANCISCO, CA 94117                                     | N/A  | PC                                   | FOR GENERAL SUPPORT                             | 25,000.  |
| SAFE PASSAGES<br>440 CIVIC CENTER PLAZA, SUITE 360<br>RICHMOND, CA 94804                          | N/A  | PC                                   | FOR ADVANCE PEACE IN STOCKTON                   | 25,000.  |
| SAN FRANCISCO FOUNDATION<br>ONE EMBARCADERO CENTER, SUITE 1400<br>SAN FRANCISCO, CA 94111         | N/A  | PC                                   | FOR REWORK THE BAY                              | 100,000. |
| SAN JOSE CHILDREN'S DISCOVERY MUSEUM<br>180 WOZ WAY<br>SAN JOSE, CA 95110-2722                    | N/A  | PC                                   | FOR GENERAL SUPPORT                             | 15,000.  |
| SAN JOSE CHILDREN'S MUSICAL THEATER<br>1401 PARKMOOR AVENUE, SUITE 100<br>SAN JOSE, CA 95126-3450 | N/A  | PC                                   | FOR GENERAL SUPPORT FROM COVID-19 RESPONSE FUND | 10,000.  |
| <b>Total from continuation sheets</b> .....   |  |                                      |   |          |



**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

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|--|--|--------------------------------------|---|----------|
| SAN JOSE GRAIL FAMILY SERVICES<br>2003 E. SAN ANTONIO STREET<br>SAN JOSE, CA 95116-3048                        | N/A  | PC                                   | FOR THE GRAIL FAMILY MATH PROJECT   | 320,000. |
| SAN JOSE JAZZ<br>310 1ST STREET<br>SAN JOSE, CA 95113  | N/A  | PC                                   | FOR GENERAL SUPPORT FROM THE CORONAVIRUS GRANTEE<br>RAPID RESPONSE FUND   | 15,000.  |
| SANTA CLARA COUNTY ASIAN LAW ALLIANCE INC<br>991 W. HEDDING ST., SUITE 202<br>SAN JOSE, CA 95126               | N/A  | PC                                   | FOR POLICY AND ADVOCACY INITIATIVES AND TO FACILITATE<br>THE CIVIC ENGAGEMENT TABLE (\$50,000), AND REGRANTS TO<br>CIVIC ENGAGEMENT TABLE MEMBER ORGANIZATIONS<br>(\$100,000) | 150,000. |
| SANTA CRUZ BARRIOS UNIDOS INC<br>1817 SOQUEL AVE<br>SANTA CRUZ, CA 95062                                       | N/A  | PC                                   | FOR GENERAL SUPPORT   | 100,000. |
| SANTA CRUZ BARRIOS UNIDOS INC<br>1817 SOQUEL AVE<br>SANTA CRUZ, CA 95062                                       | N/A  | PC                                   | FOR GENERAL SUPPORT (\$75,000) AND TO STRENGTHEN<br>FISCAL MANAGEMENT AND ORGANIZATIONAL SUSTAINABILITY<br>PROCESSES (\$25,000)   | 100,000. |
| SCIENCE FRIDAY INITIATIVE, INC.<br>19 WEST 44TH STREET, SUITE 412<br>NEW YORK, NY 10036-5900                   | N/A  | PC                                   | FOR GENERAL SUPPORT   | 225,000. |
| SECOND HARVEST FOOD BANK OF GREATER NEW<br>ORLEANS AND ACADIANA<br>700 EDWARDS AVENUE<br>NEW ORLEANS, LA 70123 | N/A  | PC                                   | FOR GENERAL SUPPORT   | 100,000. |
| <b>Total from continuation sheets</b>  |  |                                      |   |          |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)  | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution                               | Amount   |
|---|--|--------------------------------------|---|----------|
| SECOND HARVEST OF SILICON VALLEY<br>750 CURTNER AVE.<br>SAN JOSE, CA 95125-2113   | N/A  | PC                                   | FOR GENERAL SUPPORT   | 25,000.  |
| SEPA MUJER INC.<br>45 OAK STREET<br>PATCHOGUE, NY 11772   | N/A  | PC                                   | FOR GENERAL SUPPORT   | 25,000.  |
| SEPA MUJER INC.<br>45 OAK STREET<br>PATCHOGUE, NY 11772   | N/A  | PC                                   | FOR GENERAL SUPPORT   | 50,000.  |
| SERVICES & IMMIGRANT RIGHTS & EDUCATION<br>NETWORK<br>1415 KOLL CIRCLE, SUITE 108<br>SAN JOSE, CA 95112                   | N/A  | PC                                   | FOR THE SAN JOSE ORGANIZING HUB                                   | 50,000.  |
| SIERRA HEALTH FOUNDATION CENTER FOR HEALTH<br>PROGRAM MANAGEMENT<br>1321 GARDEN HIGHWAY SUITE 201<br>SACRAMENTO, CA 95833 | N/A  | PC                                   | FOR SAN JOAQUIN VALLEY HEALTH FUNDS CENSUS CLUSTER<br>PROJECT     | 75,000.  |
| SIERRA HEALTH FOUNDATION CENTER FOR HEALTH<br>PROGRAM MANAGEMENT<br>1321 GARDEN HIGHWAY SUITE 201<br>SACRAMENTO, CA 95833 | N/A  | PC                                   | FOR THE CALIFORNIA ALLIANCE FOR YOUTH AND COMMUNITY<br>JUSTICE    | 225,000. |
| SIERRA HEALTH FOUNDATION CENTER FOR HEALTH<br>PROGRAM MANAGEMENT<br>1321 GARDEN HIGHWAY SUITE 201<br>SACRAMENTO, CA 95833 | N/A  | PC                                   | FOR MEMBERSHIP TO CALIFORNIA FUNDERS FOR BOYS AND MEN<br>OF COLOR | 56,000.  |
| <b>Total from continuation sheets</b>   |  |                                      |   |          |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)  | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution   | Amount   |
|---|--|--------------------------------------|---|----------|
| SILICON VALLEY COMMUNITY FOUNDATION<br>2440 WEST EL CAMINO REAL, SUITE 300<br>MOUNTAIN VIEW, CA 94040 | N/A  | PC                                   | FOR COVID-19 EARLY CARE AND EDUCATION REGIONAL<br>RESPONSE INCLUDING SEEDING THREE HOME-BASED CHILD<br>CARE EMERGENCY FUNDS | 400,000. |
| SILICON VALLEY COMMUNITY FOUNDATION<br>2440 WEST EL CAMINO REAL, SUITE 300<br>MOUNTAIN VIEW, CA 94040 | N/A  | PC                                   | FOR COVID-19 REGIONAL RESPONSE FUND IN SANTA CLARA<br>COUNTY  | 100,000. |
| SILICON VALLEY COMMUNITY FOUNDATION<br>2440 WEST EL CAMINO REAL, SUITE 300<br>MOUNTAIN VIEW, CA 94040 | N/A  | PC                                   | FOR THE SAN MATEO AND SANTA CLARA COUNTIES WILDFIRE<br>RELIEF FUND  | 150,000. |
| SILICON VALLEY COUNCIL OF NONPROFITS<br>1400 PARKMOOR AVE., SUITE 130<br>SAN JOSE, CA 95126-3797      | N/A  | PC                                   | FOR MAPPING RACIAL EQUITY PARTNERSHIP OPPORTUNITIES   | 25,000.  |
| SILICON VALLEY COUNCIL OF NONPROFITS<br>1400 PARKMOOR AVE., SUITE 130<br>SAN JOSE, CA 95126-3797      | N/A  | PC                                   | FOR 2020 MEMBERSHIP DUES  | 1,550.   |
| SILICON VALLEY COUNCIL OF NONPROFITS<br>1400 PARKMOOR AVE., SUITE 130<br>SAN JOSE, CA 95126-3797      | N/A  | PC                                   | FOR GENERAL SUPPORT   | 25,000.  |
| SILICON VALLEY DE-BUG<br>701 LENZEN AVENUE<br>SAN JOSE, CA 95126-2734                                 | N/A  | PC                                   | FOR GENERAL SUPPORT   | 25,000.  |
| <b>Total from continuation sheets</b> .....   |  |                                      |   |          |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)   | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution  | Amount   |
|--|--|--------------------------------------|--|----------|
| SILICON VALLEY DE-BUG<br>701 LENZEN AVENUE<br>SAN JOSE, CA 95126-2734                                    | N/A  | PC                                   | FOR THE NATIONAL PARTICIPATORY DEFENSE NETWORK<br>GATHERING  | 25,000.  |
| SILICON VALLEY DE-BUG<br>701 LENZEN AVENUE<br>SAN JOSE, CA 95126-2734                                    | N/A  | PC                                   | FOR GENERAL SUPPORT  | 300,000. |
| SOCIAL AND ENVIRONMENTAL ENTREPRENEURS<br>INC.<br>23564 CALABASAS ROAD, SUITE 201<br>CALABASAS, CA 91302 | N/A  | PC                                   | FOR WOMEN ON THE RISE GA IN RESPONSE TO COVID-19   | 25,000.  |
| SOCIAL AND ENVIRONMENTAL ENTREPRENEURS<br>INC.<br>23564 CALABASAS ROAD, SUITE 201<br>CALABASAS, CA 91302 | N/A  | PC                                   | FOR WOMEN ON THE RISE  | 100,000. |
| SOCIAL AND ENVIRONMENTAL ENTREPRENEURS<br>INC.<br>23564 CALABASAS ROAD, SUITE 201<br>CALABASAS, CA 91302 | N/A  | PC                                   | FOR CALIFORNIANS UNITED FOR A RESPONSIBLE BUDGET<br>(CURB)   | 100,000. |
| SOCIAL AND ENVIRONMENTAL ENTREPRENEURS<br>INC.<br>23564 CALABASAS ROAD, SUITE 201<br>CALABASAS, CA 91302 | N/A  | PC                                   | FOR DEVELOPMENT OF GRIEVANCE AND CONFLICT PROTOCOLS<br>FOR COMMUNITY-BASED ORGANIZATIONS AND FORMATIONS                  | 150,000. |
| SOCIAL AND ENVIRONMENTAL ENTREPRENEURS<br>INC.<br>23564 CALABASAS ROAD, SUITE 201<br>CALABASAS, CA 91302 | N/A  | PC                                   | TO INCLUDE A SHARED SERVICES APPROACH IN THE<br>DEVELOPMENT OF THE CALIFORNIA MASTER PLAN FOR EARLY<br>LEARNING AND CARE | 10,170.  |
| <b>Total from continuation sheets</b>  |  |                                      |  |          |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)   | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution  | Amount   |
|--|--|--------------------------------------|--|----------|
| SOCIAL GOOD FUND<br>12651 SAN PABLO AVE<br>RICHMOND, CA 94801                                    | N/A  | PC                                   | FOR THE TRANSFORMATIVE IN-PRISON WORKGROUP                                     | 25,000.  |
| SOCIAL GOOD FUND<br>12651 SAN PABLO AVE<br>RICHMOND, CA 94801                                    | N/A  | PC                                   | FOR SILICON VALLEY SOLUTIONS   | 75,000.  |
| SOCIAL GOOD FUND<br>12651 SAN PABLO AVE<br>RICHMOND, CA 94801                                    | N/A  | PC                                   | FOR THE SAFE RETURN PROJECT  | 125,000. |
| SOCIAL GOOD FUND<br>12651 SAN PABLO AVE<br>RICHMOND, CA 94801                                    | N/A  | PC                                   | FOR THE TRANSFORMATIVE IN-PRISON WORKGROUP                                     | 100,000. |
| SOCIAL GOOD FUND<br>12651 SAN PABLO AVE<br>RICHMOND, CA 94801                                    | N/A  | PC                                   | FOR THE CALIFORNIA COLLABORATIVE FOR IMMIGRANT JUSTICE                         | 400,000. |
| SOCIETY FOR RESEARCH IN CHILD DEVELOPMENT<br>1825 K STREET NW, SUITE 325<br>WASHINGTON, DC 20006 | N/A  | PC                                   | FOR THE SRCDC POLICY FELLOWSHIP  | 475,000. |
| SOCIETY FOR SCIENCE & THE PUBLIC<br>1719 N ST., NW<br>WASHINGTON, DC 20036                       | N/A  | PC                                   | TO SUPPORT THE EXPANSION OF THE SCIENCE NEWS COVERAGE OF PHYSICS AND ASTRONOMY | 75,000.  |
| <b>Total from continuation sheets</b>  |  |                                      |  |          |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)  | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution   | Amount   |
|---|--|--------------------------------------|---|----------|
| SOCIETY FOR SCIENCE & THE PUBLIC<br>1719 N ST., NW<br>WASHINGTON, DC 20036                        | N/A  | PC                                   | TO SUPPORT SCIENCE NEWS INCREASED COVERAGE OF<br>COVID-19                           | 200,000. |
| SOLANO COMMUNITY FOUNDATION<br>744 EMPIRE ST., SUITE 240<br>FAIRFIELD, CA 94533                   | N/A  | PC                                   | FOR THE SOLANO DISASTER RELIEF FUND   | 75,000.  |
| SOLUTIONS JOURNALISM NETWORK, INC.<br>79 MADISON AVENUE #224<br>NEW YORK, NY 10016                | N/A  | PC                                   | FOR GENERAL SUPPORT   | 100,000. |
| SOMOS MAYFAIR INC.<br>370B S. KING ROAD<br>SAN JOSE, CA 95116                                     | N/A  | PC                                   | FOR BUILDING RESIDENT POWER IN SAN JOSE   | 300,000. |
| SOMOS MAYFAIR INC.<br>370B S. KING ROAD<br>SAN JOSE, CA 95116                                     | N/A  | PC                                   | FOR GENERAL SUPPORT   | 125,000. |
| SOUTHERN CALIFORNIA GRANTMAKERS<br>1000 N ALAMEDA STREET, SUITE 230<br>LOS ANGELES, CA 90012-1804 | N/A  | PC                                   | FOR PLANNING SUPPORT TO CREATE A COMPREHENSIVE HOME<br>VISITING SYSTEM IN LA COUNTY | 16,500.  |
| SOUTHERN CALIFORNIA GRANTMAKERS<br>1000 N ALAMEDA STREET, SUITE 230<br>LOS ANGELES, CA 90012-1804 | N/A  | PC                                   | FOR 2020 CONFERENCE SPONSORSHIP   | 2,500.   |
| <b>Total from continuation sheets</b>   |  |                                      |   |          |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

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|---|--|--------------------------------------|---|----------|
| SOUTHERN CENTER FOR HUMAN RIGHTS<br>83 POPLAR STREET NW<br>ATLANTA, GA 30303              | N/A  | PC                                   | FOR GENERAL SUPPORT                         | 25,000.  |
| SOUTHERN CENTER FOR HUMAN RIGHTS<br>83 POPLAR STREET NW<br>ATLANTA, GA 30303              | N/A  | PC                                   | FOR GENERAL SUPPORT                         | 150,000. |
| SOUTHERNERS ON NEW GROUND<br>250 GEORGIA AVE., SUITE 201<br>ATLANTA, GA 30312             | N/A  | PC                                   | FOR GENERAL SUPPORT                         | 25,000.  |
| SOUTHERNERS ON NEW GROUND<br>250 GEORGIA AVE., SUITE 201<br>ATLANTA, GA 30312             | N/A  | PC                                   | FOR GENERAL SUPPORT                         | 150,000. |
| STREET LEVEL HEALTH PROJECT<br>3125 EAST 15TH ST.<br>OAKLAND, CA 94601                    | N/A  | PC                                   | FOR GENERAL SUPPORT IN RESPONSE TO COVID-19 | 50,000.  |
| SUNRISE MOVEMENT EDUCATION FUND<br>50 F STREET NORTHWEST, STE 700<br>WASHINGTON, DC 20001 | N/A  | PC                                   | FOR GENERAL SUPPORT                         | 150,000. |
| SUNRISE MOVEMENT EDUCATION FUND<br>50 F STREET NORTHWEST, STE 700<br>WASHINGTON, DC 20001 | N/A  | PC                                   | FOR GENERAL SUPPORT                         | 150,000. |
| <b>Total from continuation sheets</b> .....   |  |                                      |   |          |

**Part XV** Supplementary Information (continued)**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)   | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution   | Amount     |
|--|--|--------------------------------------|---|------------|
| SV@HOME<br>350 W JULIAN ST. #5<br>SAN JOSE, CA 95110   | N/A  | PC                                   | FOR GENERAL SUPPORT FROM COVID-19 RESPONSE FUND   | 10,000.    |
| TAHIRIH JUSTICE CENTER<br>6402 ARLINGTON BOULEVARD, SUITE 300<br>FALLS CHURCH, VA 22042-2333                             | N/A  | PC                                   | FOR THE SAN FRANCISCO BAY AREA OFFICE IN RESPONSE TO COVID-19   | 25,000.    |
| TANDEM PARTNERS IN EARLY LEARNING<br>1275 FAIRFAX AVENUE, SUITE 201<br>SAN FRANCISCO, CA 94124                           | N/A  | PC                                   | FOR A FAMILY AND COMMUNITY MATH RESEARCH-PRACTICE PARTNERSHIP   | 240,013.   |
| TEACHERS COLLEGE COLUMBIA UNIVERSITY<br>525 W 120TH STREET<br>NEW YORK, NY 10027   | N/A  | PC                                   | FOR THE SECOND PHASE OF BUILDING A NEW CADRE OF LEADERS ON EARLY CHILDHOOD POLICY THROUGH HIGHER EDUCATION INSTITUTIONS   | 1,200,000. |
| THE ASPEN INSTITUTE INC.<br>ONE DUPONT CIRCLE, NW<br>WASHINGTON, DC 21658-0222   | N/A  | PC                                   | TO SUPPORT EDUCATIONAL SEMINARS ON ENERGY POLICY  | 100,000.   |
| THE BOARD OF TRUSTEES OF THE LELAND<br>STANFORD JUNIOR UNIVERSITY<br>485 BROADWAY, THIRD FLOOR<br>REDWOOD CITY, CA 94063 | N/A  | PC                                   | FOR THE OPTIMIZATION OF A SINGLE-BEAM OPTICALLY-LEVITATED MICROSPHERE FORCE-SENSOR FOR SHORT-DISTANCE GRAVITY EXPERIMENTS | 328,633.   |
| THE BOARD OF TRUSTEES OF THE LELAND<br>STANFORD JUNIOR UNIVERSITY<br>485 BROADWAY, THIRD FLOOR<br>REDWOOD CITY, CA 94063 | N/A  | PC                                   | FOR THE CONSTRUCTION AND OPERATION OF THE PHASE I DARK MATTER RADIO EXPERIMENT  | 59,474.    |
| <b>Total from continuation sheets</b>  |  |                                      |   |            |



**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

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|--|--|--------------------------------------|---|------------|
| THE BOARD OF TRUSTEES OF THE LELAND<br>STANFORD JUNIOR UNIVERSITY<br>485 BROADWAY, THIRD FLOOR<br>REDWOOD CITY, CA 94063 | N/A  | PC                                   | FOR REMASTERING ARCHIVAL RADAR FILM OF THE GREENLAND<br>ICE SHEET                           | 129,922.   |
| THE BOARD OF TRUSTEES OF THE LELAND<br>STANFORD JUNIOR UNIVERSITY<br>485 BROADWAY, THIRD FLOOR<br>REDWOOD CITY, CA 94063 | N/A  | PC                                   | FOR THE DEVELOPMENT AND RESEARCH IN EARLY MATHEMATICS<br>EDUCATION (DREME) NETWORK          | 5,250,000. |
| THE CENTURY FOUNDATION, INC.<br>1 WHITEHALL STREET, 15TH FLOOR<br>NEW YORK, NY 10004                                     | N/A  | EOF                                  | FOR AN ONLINE FORUM FOR DLL/EL EDUCATORS DURING<br>COVID-19                                 | 50,000.    |
| THE CHILDREN'S FUNDING PROJECT<br>1875 CONNECTICUT AVENUE NORTHWEST<br>WASHINGTON, DC 20009                              | N/A  | PC                                   | FOR CHILDREN'S FUNDING PROJECT EXECUTIVE COACHING AND<br>ORGANIZATIONAL DEVELOPMENT SUPPORT | 19,000.    |
| THE CITY COLLEGE 21ST CENTURY FOUNDATION<br>INC.<br>160 CONVENT AVENUE<br>NEW YORK, NY 10031                             | N/A  | PC                                   | FOR THE CLIMATE POLICY FELLOWS PROGRAM  | 200,000.   |
| THE EXPLORATORIUM<br>PIER 17, SUITE 100<br>SAN FRANCISCO, CA 94111-0000  | N/A  | PC                                   | FOR GENERAL SUPPORT   | 10,000.    |
| THE GLEN PRICE GROUP<br>719 EL CERRITO PLAZA<br>EL CERRITO, CA 94530   | N/A  | NC                                   | FOR CONSULTING SUPPORT FOR WORKFORCE PLANNING IN<br>CALIFORNIA                              | 205,095.   |
| <b>Total from continuation sheets</b>  |  |                                      |   |            |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

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|--|--|--------------------------------------|---|----------|
| THE GLEN PRICE GROUP<br>719 EL CERRITO PLAZA<br>EL CERRITO, CA 94530   | N/A  | NC                                   | FOR TRANSITION PLANNING SUPPORT FOR THE PROPOSED CALIFORNIA DEPARTMENT OF EARLY CHILDHOOD DEVELOPMENT                       | 150,000. |
| THE GLEN PRICE GROUP<br>719 EL CERRITO PLAZA<br>EL CERRITO, CA 94530   | N/A  | NC                                   | FOR APPLIED RESEARCH, POLICY ANALYSIS, PROJECT MANAGEMENT, FACILITATION, AND OTHER DUTIES RELATED TO RESPONDING TO COVID-19 | 120,000. |
| THE GLEN PRICE GROUP<br>719 EL CERRITO PLAZA<br>EL CERRITO, CA 94530   | N/A  | NC                                   | FOR THE TRANSITION OF CHILD CARE AND EARLY LEARNING PROGRAMS TO THE CALIFORNIA DEPARTMENT OF SOCIAL SERVICES                | 130,000. |
| THE INSTITUTE FOR EDUCATIONAL LEADERSHIP<br>INC<br>4301 CONNECTICUT AVENUE NW, SUITE 100<br>WASHINGTON, DC 20008-2304                        | N/A  | PC                                   | FOR SPONSORSHIP OF THE RISE UP FOR EQUITY NATIONAL SUMMIT   | 50,000.  |
| THE JUSTICE AND DIVERSITY CENTER OF THE<br>BAR ASSOCIATION OF SAN FRANCISCO<br>301 BATTERY STREET, 3RD FLOOR<br>SAN FRANCISCO, CA 94111-3237 | N/A  | PC                                   | FOR THE CALIFORNIA COLLABORATIVE FOR IMMIGRANT JUSTICE AND ATTORNEY OF THE DAY PROJECTS                                     | 500,000. |
| THE MIAMI FOUNDATION INC<br>40 NW 3RD STREET, SUITE 305<br>MIAMI, FL 33131   | N/A  | PC                                   | FOR THE LEGAL CLINIC FUND   | 200,000. |
| THE ORDINARY PEOPLE SOCIETY, INC.<br>403 W. POWELL STREET<br>DOTHAN, AL 36303  | N/A  | PC                                   | FOR GENERAL SUPPORT   | 75,000.  |
| <b>Total from continuation sheets</b>  |  |                                      |   |          |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

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|---|--|--------------------------------------|--|----------|
| THE PENNSYLVANIA STATE UNIVERSITY<br>110 TECHNOLOGY CENTER BUILDING<br>UNIVERSITY PARK, PA 16802                    | N/A  | GOV                                  | TO SUPPORT THE DEVELOPMENT AND APPLICATION OF DATA<br>ASSIMILATION TECHNIQUES IN PALEOCLIMATE DEEP TIME  | 59,330.  |
| THE PENNSYLVANIA STATE UNIVERSITY<br>110 TECHNOLOGY CENTER BUILDING<br>UNIVERSITY PARK, PA 16802                    | N/A  | GOV                                  | FOR ENGINEERING ANALYSIS, INSTRUMENTATION UPGRADES,<br>AND LOGISTICS PLANNING FOR A NETWORK OF GLACIER<br>MONITORING SENSORS IN GREENLAND  | 145,000. |
| THE PENNSYLVANIA STATE UNIVERSITY<br>110 TECHNOLOGY CENTER BUILDING<br>UNIVERSITY PARK, PA 16802                    | N/A  | GOV                                  | TO SUPPORT THE DEVELOPMENT OF A NEW COMPUTATIONAL AND<br>STATISTICAL FRAMEWORK FOR DISCOVERING AND<br>CHARACTERIZING LOW-MASS EXOPLANETS USING UPCOMING<br>EXTREMELY PRECISE SPECTROGRAPHS | 300,000. |
| THE PLACE4GRACE<br>PO BOX 750<br>MENIFEE, CA 92586  | N/A  | PC                                   | FOR THE RIDES2FREEDOM PROGRAM  | 40,000.  |
| THE PRAXIS PROJECT INC<br>1900 FRUITVALE AVENUE SUITE 3D<br>OAKLAND, CA 94601                                       | N/A  | PC                                   | FOR THE UNDOCUBLACK NETWORK  | 25,000.  |
| THE PRAXIS PROJECT INC<br>1900 FRUITVALE AVENUE SUITE 3D<br>OAKLAND, CA 94601                                       | N/A  | PC                                   | FOR THE UNDOCUBLACK NETWORK  | 100,000. |
| THE REGENTS OF THE UNIVERSITY OF<br>CALIFORNIA LOS ANGELES<br>10920 WILSHIRE BLVD, STE 620<br>LOS ANGELES, CA 90024 | N/A  | PC                                   | FOR PROFESSIONAL LEARNING OPPORTUNITIES IN<br>MATHEMATICS FOR COMMUNITY AND STATE COLLEGE EARLY<br>CHILDHOOD INSTRUCTORS IN CALIFORNIA   | 171,499. |
| <b>Total from continuation sheets</b>   |  |                                      |  |          |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)   | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution   | Amount   |
|--|--|--------------------------------------|---|----------|
| THE REGENTS OF THE UNIVERSITY OF CALIFORNIA LOS ANGELES<br>10920 WILSHIRE BLVD, STE 620<br>LOS ANGELES, CA 90024 | N/A  | PC                                   | TO DEVELOP TECHNOLOGY AND TECHNIQUES THAT WILL ADVANCE THE STATE-OF-THE-ART IN DIRECT EXOPLANET IMAGING | 200,982. |
| THE REGENTS OF THE UNIVERSITY OF CALIFORNIA LOS ANGELES<br>10920 WILSHIRE BLVD, STE 620<br>LOS ANGELES, CA 90024 | N/A  | PC                                   | FOR THE 51 PEGASI B FELLOWSHIP  | 126,362. |
| THE TECH INTERACTIVE<br>201 SOUTH MARKET STREET<br>SAN JOSE, CA 95113-2008                                       | N/A  | PC                                   | FOR GENERAL SUPPORT   | 10,000.  |
| THE TOWER FOUNDATION OF SAN JOSE STATE UNIVERSITY<br>ONE WASHINGTON SQUARE<br>SAN JOSE, CA 95192                 | N/A  | PC                                   | FOR SOCIAL ACTION MODEL EXPANSION AND INTERNSHIPS   | 200,000. |
| THE TOWER FOUNDATION OF SAN JOSE STATE UNIVERSITY<br>ONE WASHINGTON SQUARE<br>SAN JOSE, CA 95192                 | N/A  | PC                                   | FOR HUMAN RIGHTS INSTITUTE FOR THE PEOPLE'S BUDGET SAN JOSE PROJECT                                     | 143,000. |
| THE TRANSLATING COALITION<br>3055 WILSHIRE BLVD #350<br>LOS ANGELES, CA 90010                                    | N/A  | PC                                   | FOR GENERAL SUPPORT   | 40,000.  |
| THE TRANSLATING COALITION<br>3055 WILSHIRE BLVD #350<br>LOS ANGELES, CA 90010                                    | N/A  | PC                                   | FOR GENERAL SUPPORT   | 10,000.  |
| <b>Total from continuation sheets</b>  |  |                                      |   |          |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)                                     | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution   | Amount   |
|--|--|--------------------------------------|---|----------|
| THE TRANSLATING COALITION<br>3055 WILSHIRE BLVD #350<br>LOS ANGELES, CA 90010        | N/A  | PC                                   | FOR GENERAL SUPPORT   | 95,000.  |
| THE TRUST FOR HIDDEN VILLA<br>26870 MOODY ROAD<br>LOS ALTOS HILLS, CA 94022-4209     | N/A  | PC                                   | FOR GENERAL SUPPORT   | 5,000.   |
| THE UNITY CARE GROUP<br>1400 PARKMOOR AVENUE, SUITE 115<br>SAN JOSE, CA 95126        | N/A  | PC                                   | FOR GENERAL SUPPORT   | 25,000.  |
| THE YOUNG PEOPLE'S PROJECT<br>99 BISHOP ALLEN DRIVE<br>CAMBRIDGE, MA 02139           | N/A  | PC                                   | FOR MATHTALK  | 250,000. |
| THE YOUNG PEOPLE'S PROJECT<br>99 BISHOP ALLEN DRIVE<br>CAMBRIDGE, MA 02139           | N/A  | PC                                   | FOR MATHTALK'S CITYSCAPES TO MATHSCAPES - CREATING<br>HOTSPOTS FOR EARLY MATH LEARNING IN RESPONSE TO<br>COVID-19 | 25,000.  |
| THEATREWORKS SILICON VALLEY<br>P.O. BOX 50458<br>PALO ALTO, CA 94303                 | N/A  | PC                                   | FOR GENERAL SUPPORT   | 10,000.  |
| THIRD SECTOR NEW ENGLAND INC.<br>89 SOUTH STREET, SUITE 700<br>BOSTON, MA 02111-2679 | N/A  | PC                                   | TO PROVIDE CONTINUED QUALITY IMPROVEMENT CONSULTING<br>SUPPORT TO CALIFORNIA                                      | 32,500.  |
| <b>Total from continuation sheets</b>  |  |                                      |   |          |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)                                     | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution   | Amount   |
|--|--|--------------------------------------|---|----------|
| THIRD SECTOR NEW ENGLAND INC.<br>89 SOUTH STREET<br>BOSTON, MA 02111                 | N/A  | PC                                   | FOR 2020 EARLY CHILDHOOD FUNDERS COLLABORATIVE<br>MEMBERSHIP DUES   | 30,000.  |
| THIRD SECTOR NEW ENGLAND INC.<br>89 SOUTH STREET, SUITE 700<br>BOSTON, MA 02111-2679 | N/A  | PC                                   | FOR THE BUILD INITIATIVE TO IDENTIFY AND DOCUMENT HOW<br>DLS ARE INCLUDED IN STATE QRIS SYSTEMS                               | 59,254.  |
| THIRD SECTOR NEW ENGLAND INC.<br>89 SOUTH STREET, SUITE 700<br>BOSTON, MA 02111-2679 | N/A  | PC                                   | FOR CO-SPONSORSHIP OF THE BUILD CONFERENCE  | 20,000.  |
| TIDES CENTER<br>P.O. BOX 29907<br>SAN FRANCISCO, CA 94129                            | N/A  | PC                                   | TO SUPPORT 100KIN10   | 322,614. |
| TIDES CENTER<br>P.O. BOX 29907<br>SAN FRANCISCO, CA 94129                            | N/A  | PC                                   | FOR ABRIENDO PUERTAS/OPENING DOORS  | 175,000. |
| TIDES CENTER<br>P.O. BOX 29907<br>SAN FRANCISCO, CA 94129                            | N/A  | PC                                   | FOR SUPPORT OF COMMUNICATIONS AND CULTURAL TRAINING<br>PROGRAMS   | 200,000. |
| TIDES CENTER<br>P.O. BOX 29907<br>SAN FRANCISCO, CA 94129                            | N/A  | PC                                   | FOR THE CHILDREN'S DATA TRUST TO FACILITATE<br>UNIVERSITY-AGENCY PARTNERSHIP ON CHILD- AND<br>YOUTH-FOCUSED RESEARCH PROJECTS | 950,000. |
| <b>Total from continuation sheets</b>  |  |                                      |   |          |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)                   | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution                                    | Amount   |
|--|--|--------------------------------------|--|----------|
| TIDES CENTER<br>P.O. BOX 29907<br>SAN FRANCISCO, CA 94129          | N/A  | PC                                   | FOR 2020 MEMBERSHIP DUES FOR EMERGING PRACTITIONERS<br>IN PHILANTHROPY | 7,500.   |
| TIDES CENTER<br>PO BOX 29907<br>SAN FRANCISCO, CA 94129            | N/A  | PC                                   | FOR THE COMMUNITY JUSTICE EXCHANGE                                     | 250,000. |
| TIDES CENTER<br>P.O. BOX 29907<br>SAN FRANCISCO, CA 94129          | N/A  | PC                                   | FOR ABRIENDO PUERTAS IN RESPONSE TO COVID-19                           | 25,000.  |
| TIDES CENTER<br>P.O. BOX 29907<br>SAN FRANCISCO, CA 94129          | N/A  | PC                                   | FOR CALIFORNIANS FOR SAFETY AND JUSTICE                                | 200,000. |
| TIDES FOUNDATION<br>P.O. BOX 29903<br>SAN FRANCISCO, CA 94129-0903 | N/A  | PC                                   | FOR THE 'LIFE COMES FROM IT' RESTORATIVE JUSTICE<br>GRANTMAKING FUND   | 225,000. |
| TIDES FOUNDATION<br>P.O. BOX 29903<br>SAN FRANCISCO, CA 94129-0903 | N/A  | PC                                   | FOR THE MASS LIBERATION FUND   | 25,000.  |
| TIDES FOUNDATION<br>PO BOX 29903<br>SAN FRANCISCO, CA 94129        | N/A  | PC                                   | FOR THE CENTER FOR WORKING FAMILIES FUND                               | 200,000. |
| <b>Total from continuation sheets</b>                              |  |                                      |  |          |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)                   | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution                                  | Amount   |
|--|--|--------------------------------------|--|----------|
| TIDES FOUNDATION<br>PO BOX 29903<br>SAN FRANCISCO, CA 94129        | N/A  | PC                                   | FOR SMART JUSTICE CALIFORNIA EDUCATION FUND                          | 150,000. |
| TIDES FOUNDATION<br>PO BOX 29903<br>SAN FRANCISCO, CA 94129        | N/A  | PC                                   | FOR THE 2020 ANNUAL STAFF AND MEMBERSHIP RETREAT                     | 5,000.   |
| TIDES FOUNDATION<br>PO BOX 29903<br>SAN FRANCISCO, CA 94129        | N/A  | PC                                   | TO SUPPORT THE GREEN NEW DEAL NETWORK                                | 350,000. |
| TIDES FOUNDATION<br>P.O. BOX 29903<br>SAN FRANCISCO, CA 94129-0903 | N/A  | PC                                   | FOR CALIFORNIA IMMIGRANT RESILIENCE FUND                             | 500,000. |
| TIDES FOUNDATION<br>PO BOX 29903<br>SAN FRANCISCO, CA 94129        | N/A  | PC                                   | FOR THE CHISPA EDUCATION FUND  | 5,000.   |
| TIDES FOUNDATION<br>PO BOX 29903<br>SAN FRANCISCO, CA 94129        | N/A  | PC                                   | FOR THE 'LIFE COMES FROM IT' RESTORATIVE JUSTICE<br>GRANTMAKING FUND | 200,000. |
| TIDES FOUNDATION<br>PO BOX 22903<br>SAN FRANCISCO, CA 94129        | N/A  | PC                                   | FOR THE JUSTICE TEAM NETWORK EDUCATION FUND                          | 100,000. |
| <b>Total from continuation sheets</b> .....                        |  |                                      |  |          |



**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)   | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution  | Amount   |
|--|--|--------------------------------------|--|----------|
| TIDES FOUNDATION<br>PO BOX 29903<br>SAN FRANCISCO, CA 94129  | N/A  | PC                                   | FOR NY RENEWS COALITION  | 100,000. |
| TIDES FOUNDATION<br>P.O. BOX 29903<br>SAN FRANCISCO, CA 94129-0903   | N/A  | PC                                   | FOR MASS LIBERATION FUND FOR STRATEGIC PLANNING,<br>CONFLICT TRANSFORMATION, AND ORGANIZATIONAL<br>DEVELOPMENT | 45,000.  |
| TIDES FOUNDATION<br>P.O. BOX 29903<br>SAN FRANCISCO, CA 94129-0903   | N/A  | PC                                   | FOR THE FIGHT BACK COLLABORATIVE FUND FOR COUNT EVERY<br>VOTE EDUCATION EXCHANGE                               | 500,000. |
| TIDES FOUNDATION<br>P.O. BOX 29903<br>SAN FRANCISCO, CA 94129-0903   | N/A  | PC                                   | FOR CENTER FOR WORKING FAMILIES FUND FOR FRONTLINE<br>ELECTION DEFENDERS PROGRAM                               | 700,000. |
| TRANSGENDER LAW CENTER<br>P.O. BOX 70976<br>OAKLAND, CA 94612  | N/A  | PC                                   | FOR BLMP SUPPORT FROM COVID-19 RESPONSE FUND   | 25,000.  |
| TRANSGENDER LAW CENTER<br>P.O. BOX 70976<br>OAKLAND, CA 94612  | N/A  | PC                                   | FOR GENERAL SUPPORT (\$350,000) AND SUPPORT OF THE<br>BLACK LGBTQIA+ MIGRANT PROJECT (\$150,000)               | 250,000. |
| TRUSTEES OF COLUMBIA UNIVERSITY IN THE<br>CITY OF NEW YORK<br>615 WEST 131ST STREET, SIXTH FLOOR<br>NEW YORK, NY 10027 | N/A  | PC                                   | FOR THE CREATION OF THE PALEOPCO2.ORG WEBSITE AND<br>ASSOCIATED TOOLS AND CONTENT                              | 48,297.  |
| <b>Total from continuation sheets</b>  |  |                                      |  |          |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)   | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution   | Amount   |
|--|--|--------------------------------------|---|----------|
| TRUSTEES OF DARTMOUTH COLLEGE<br>6066 DEVELOPMENT OFFICE<br>HANOVER, NH 03755              | N/A  | PC                                   | FOR THE DEVELOPMENT AND APPLICATION OF HYDROLOGY<br>MODELS FOR SNOW, FIRN, AND SUBGLACIAL FLOWS AT<br>HELHEIM GLACIER | 136,651. |
| TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA<br>3590 WALNUT STREET<br>PHILADELPHIA, PA 19104 | N/A  | PC                                   | TO EQUIP STATE AGENCIES TO USE DATA EFFECTIVELY IN<br>EARLY CHILDHOOD SYSTEM BUILDING                                 | 300,000. |
| TWIN CITIES INNOVATION ALLIANCE<br>1041 JAMES AVENUE NORTH<br>MINNEAPOLIS, MN 55411        | N/A  | PC                                   | FOR THE DATA FOR PUBLIC GOOD UNCONFERENCE   | 25,000.  |
| TYPE MEDIA CENTER INC.<br>116 EAST 16TH STREET, 8TH FLOOR<br>NEW YORK, NY 10003            | N/A  | PC                                   | FOR REPORTING ON IMMIGRATION POLICY AND THE<br>CRIMINALIZATION OF IMMIGRANT COMMUNITIES                               | 105,000. |
| UNION OF CONCERNED SCIENTISTS<br>TWO BRATTLE SQUARE<br>CAMBRIDGE, MA 02138-3742            | N/A  | PC                                   | FOR CRISIS RESPONSE AND TO ADVANCE TRANSPORTATION<br>ELECTRIFICATION AND POWER SYSTEM RELIABILITY                     | 700,000. |
| UNITED STATES ENERGY FOUNDATION<br>301 BATTERY ST, 5TH FLOOR<br>SAN FRANCISCO, CA 94111    | N/A  | PC                                   | TO ADVANCE CLIMATE AND CLEAN ENERGY POLICY  | 200,000. |
| UNITED STATES ENERGY FOUNDATION<br>301 BATTERY ST, 5TH FLOOR<br>SAN FRANCISCO, CA 94111    | N/A  | PC                                   | TO SUPPORT THE ENERGY EFFICIENCY FOR ALL COALITION  | 50,000.  |
| <b>Total from continuation sheets</b> .....  |  |                                      |   |          |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)   | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution  | Amount     |
|--|--|--------------------------------------|--|------------|
| UNITED STATES ENERGY FOUNDATION<br>301 BATTERY ST, 5TH FLOOR<br>SAN FRANCISCO, CA 94111                    | N/A  | PC                                   | TO ADVANCE EQUITABLE BUILDING ELECTRIFICATION<br>(\$100,000), EQUITABLE TRANSPORTATION POLICY<br>(\$60,000), AND STATE CLEAN TRANSPORTATION POLICY<br>(\$75,000) | 235,000.   |
| UNITE-LA INC.<br>1055 WILSHIRE BOULEVARD<br>LOS ANGELES, CA 90017  | N/A  | PC                                   | FOR GENERAL SUPPORT  | 165,123.   |
| UNIVERSITY OF ARIZONA FOUNDATION<br>1111 N. CHERRY AVENUE<br>TUCSON, AZ 85721                              | N/A  | PC                                   | FOR THE ELIZABETH ROEMER CHAIR AT THE UNIVERSITY OF<br>ARIZONA   | 350,000.   |
| UNIVERSITY OF CALIFORNIA BERKELEY<br>FOUNDATION<br>2080 ADDISON STREET #4200<br>BERKELEY, CA 94720         | N/A  | PC                                   | FOR THE MARY K. GAILLARD ENDOWED GRADUATE FELLOWSHIP<br>IN PHYSICS   | 375,000.   |
| UNIVERSITY OF CALIFORNIA SAN FRANCISCO<br>FOUNDATION<br>220 MONTGOMERY ST<br>SAN FRANCISCO, CA 94143       | N/A  | PC                                   | FOR COVID-19 RESPONSE  | 2,000,000. |
| UNIVERSITY OF CALIFORNIA SANTA BARBARA<br>OFFICE OF RESEARCH, 3227 CHEADLE HALL<br>SANTA BARBARA, CA 93106 | N/A  | PC                                   | FOR THE KAVLI INSTITUTE OF THEORETICAL PHYSICS   | 199,998.   |
| UNIVERSITY OF CALIFORNIA SANTA BARBARA<br>OFFICE OF RESEARCH, 3227 CHEADLE HALL<br>SANTA BARBARA, CA 93106 | N/A  | PC                                   | TO DEVELOP TECHNOLOGY AND TECHNIQUES THAT WILL<br>ADVANCE THE STATE-OF-THE-ART IN EXOPLANET IMAGING  | 241,918.   |
| <b>Total from continuation sheets</b>  |  |                                      |  |            |

**Part XV** Supplementary Information (continued)**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)   | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution  | Amount   |
|--|--|--------------------------------------|--|----------|
| UNIVERSITY OF CALIFORNIA SANTA BARBARA<br>OFFICE OF RESEARCH, 3227 CHEADLE HALL<br>SANTA BARBARA, CA 93106 | N/A  | PC                                   | TO CONTINUE THE EXPERIMENTAL RESEARCH TO NEURAL<br>QUANTUM PROCESSING  | 464,660. |
| UNIVERSITY OF CHICAGO<br>6054 S DREXEL AVENUE<br>CHICAGO, IL 60637   | N/A  | PC                                   | FOR THE 51 PEGASI B FELLOWSHIP   | 120,046. |
| UNIVERSITY OF CHICAGO<br>6054 S DREXEL AVENUE<br>CHICAGO, IL 60637   | N/A  | PC                                   | FOR STUDY AND SCALING OF GETTING ON TRACK FOR SCHOOL<br>SUCCESS  | 775,000. |
| UNIVERSITY OF FLORIDA BOARD OF TRUSTEES<br>219 GRINTER HALL<br>GAINESVILLE, FL 32611                       | N/A  | PC                                   | TO INSTALL, COMMISSION, AND OPERATE THE ALPS-II<br>EXPERIMENT  | 117,236. |
| UNIVERSITY OF HAWAII<br>2440 CAMPUS ROAD, BOX 368<br>HONOLULU, HI 96822                                    | N/A  | GOV                                  | TO DEVELOP TECHNOLOGY AND TECHNIQUES THAT WILL<br>ADVANCE THE STATE-OF-THE-ART IN EXOPLANET IMAGING  | 432,969. |
| UNIVERSITY OF MARYLAND<br>3112 LEE BUILDING<br>COLLEGE PARK, MD 20742                                      | N/A  | GOV                                  | FOR HIGH RESOLUTION SPECTROSCOPY AND<br>MULTI-DIMENSIONAL ATMOSPHERIC CHARACTERIZATION OF HOT<br>JUPITER EXOPLANETS                                  | 75,000.  |
| UNIVERSITY OF MONTANA<br>32 CAMPUS DR<br>MISSOULA, MT 59812  | N/A  | GOV                                  | TO ASSIMILATE THE DATA COLLECTED BY THE HELHEIM<br>GLACIER/SERMILIK FJORD RESEARCH COLLABORATION INTO A<br>CONSISTENT AND COUPLED MODELING FRAMEWORK | 178,045. |
| <b>Total from continuation sheets</b>  |  |                                      |  |          |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

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|---|--|--------------------------------------|--|----------|
| UNIVERSITY OF OREGON<br>5219 UNIVERSITY OF OREGON<br>EUGENE, OR 97403-5219                | N/A  | GOV                                  | FOR A RAPID RESPONSE RESEARCH STUDY ON COVID-19<br>FAMILY WELL-BEING   | 600,004. |
| UNIVERSITY OF OREGON<br>5219 UNIVERSITY OF OREGON<br>EUGENE, OR 97403-5219                | N/A  | GOV                                  | FOR ORGANIZATIONAL DEVELOPMENT AND BUSINESS PLANNING<br>FOR EC PRISM   | 97,750.  |
| UNIVERSITY OF SOUTHERN CALIFORNIA<br>UNIVERSITY GARDENS UGB 203<br>LOS ANGELES, CA 90089  | N/A  | PC                                   | TO SUPPORT DATA-DRIVEN PROGRAM AND POLICY DEVELOPMENT<br>IN CALIFORNIA   | 250,000. |
| UNIVERSITY OF TEXAS AT AUSTIN<br>110 INNER CAMPUS DRIVE<br>AUSTIN, TX 78705               | N/A  | GOV                                  | FOR THE 51 PEGASI B FELLOWSHIP   | 125,473. |
| UNIVERSITY OF TEXAS AT AUSTIN<br>110 INNER CAMPUS DRIVE<br>AUSTIN, TX 78705               | N/A  | GOV                                  | FOR THE 51 PEGASI B FELLOWSHIP   | 127,892. |
| UNIVERSITY OF WASHINGTON<br>4333 BROOKLYN AVENUE NE, BOX 359472<br>SEATTLE, WA 98195-9472 | N/A  | GOV                                  | TO SUPPORT THE DEVELOPMENT AND APPLICATION OF DATA<br>ASSIMILATION TECHNIQUES IN PALEOCLIMATE DEEP TIME                        | 153,732. |
| UNIVERSITY OF WASHINGTON<br>4333 BROOKLYN AVENUE NE, BOX 359472<br>SEATTLE, WA 98195-9472 | N/A  | GOV                                  | TO DEVELOP CLOUD-BASED SERVICES TO FACILITATE TIME<br>DOMAIN ASTRONOMY COMMUNITY EXPLORATION OF THE PUBLIC<br>ZTF ALERT STREAM | 102,849. |
| <b>Total from continuation sheets</b> .....   |  |                                      |  |          |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

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|---|--|--------------------------------------|--|----------|
| UNIVERSITY OF WASHINGTON<br>4333 BROOKLYN AVENUE NE, BOX 359472<br>SEATTLE, WA 98195-9472 | N/A  | GOV                                  | FOR THE DR. ANN NELSON ENDOWED PROFESSORSHIP IN PHYSICS                  | 350,000. |
| UNIVERSITY SYSTEM OF NEW HAMPSHIRE<br>105 MAIN STREET<br>DURHAM, NH 03824                 | N/A  | PC                                   | FOR THE 'A RAINBOW OF DARK SECTORS' ASPEN WINTER CONFERENCE              | 92,000.  |
| URBAN INSTITUTE<br>2100 M STREET NW<br>WASHINGTON, DC 20037-1207                          | N/A  | PC                                   | FOR RAPID RESPONSE RESEARCH AND POLICY SOLUTIONS IN RESPONSE TO COVID-19 | 73,000.  |
| VANDERBILT UNIVERSITY<br>110 21ST AVE. S<br>NASHVILLE, TN 37203                           | N/A  | PC                                   | TO DEVELOP AND PILOT THE ASTROPHYSICS POSTDOCTORAL LAUNCH PROGRAM        | 571,955. |
| VMC FOUNDATION<br>2400 CLOVE DRIVE<br>SAN JOSE, CA 95128                                  | N/A  | PC                                   | FOR COVID-19 RESPONSE  | 200,000. |
| VOICE OF THE EXPERIENCED VOTE<br>P.O. BOX 13622<br>NEW ORLEANS, LA 70185                  | N/A  | PC                                   | FOR GENERAL SUPPORT  | 25,000.  |
| VOICE OF THE EXPERIENCED VOTE<br>P.O. BOX 13622<br>NEW ORLEANS, LA 70185                  | N/A  | PC                                   | FOR GENERAL SUPPORT  | 150,000. |
| <b>Total from continuation sheets</b> .....   |  |                                      |  |          |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)   | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution   | Amount   |
|--|--|--------------------------------------|---|----------|
| WEST HARLEM ENVIRONMENTAL ACTION, INC.<br>1854 AMSTERDAM AVENUE, 2ND FLOOR<br>NEW YORK, NY 10031 | N/A  | PC                                   | FOR GENERAL SUPPORT   | 50,000.  |
| WGBH EDUCATIONAL FOUNDATION<br>1 GUEST STREET<br>BOSTON, MA 02135-2016                           | N/A  | PC                                   | FOR FRONTLINE SEASON 39   | 300,000. |
| WGBH EDUCATIONAL FOUNDATION<br>1 GUEST STREET<br>BOSTON, MA 02135-2016                           | N/A  | PC                                   | FOR THE NOVA DOCUMENTARY NEUTRINOS  | 100,000. |
| WILLIAM J BRENNAN JR CENTER FOR JUSTICE<br>INC<br>120 BROADWAY, SUITE 1750<br>NEW YORK, NY 10271 | N/A  | PC                                   | FOR 2020 CENSUS PROJECT   | 200,000. |
| WOMEN MAKE MOVIES, INC.<br>115 WEST 29TH STREET RM 1200<br>NEW YORK, NY 10001                    | N/A  | PC                                   | FOR PRODUCTION AND OUTREACH AND DISTRIBUTION FOR THE<br>DOCUMENTARY FILM THE UPRISING | 44,964.  |
| WOODS HOLE RESEARCH CENTER INC.<br>149 WOODS HOLE ROAD<br>FALMOUTH, MA 02540                     | N/A  | PC                                   | FOR MAPPING METHANE CRATERS IN THE ARCTIC   | 63,723.  |
| WORKING PARTNERSHIPS USA<br>2102 ALMADEN RD #112<br>SAN JOSE, CA 95125                           | N/A  | PC                                   | FOR BUILDING RESIDENT POWER IN SAN JOSE   | 300,000. |
| <b>Total from continuation sheets</b>  |  |                                      |   |          |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)                                      | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution   | Amount   |
|---|--|--------------------------------------|---|----------|
| WORKING PARTNERSHIPS USA<br>2102 ALMADEN RD #112<br>SAN JOSE, CA 95125                | N/A  | PC                                   | FOR GENERAL SUPPORT   | 125,000. |
| WORKING PARTNERSHIPS USA<br>2102 ALMADEN RD #112<br>SAN JOSE, CA 95125                | N/A  | PC                                   | FOR GENERAL SUPPORT   | 25,000.  |
| WORLD RESOURCES INSTITUTE<br>10 G STREET NORTHEAST, SUITE 800<br>WASHINGTON, DC 20002 | N/A  | PC                                   | FOR GENERAL SUPPORT   | 500,000. |
| YALE UNIVERSITY<br>P.O. BOX 208327<br>NEW HAVEN, CT 06520                             | N/A  | PC                                   | FOR AN EXPERIMENT TO SEARCH FOR TIME-REVERSAL<br>VIOLATING PHYSICS IN AN ATOMIC NUCLEUS   | 97,522.  |
| YALE UNIVERSITY<br>P.O. BOX 208327<br>NEW HAVEN, CT 06520                             | N/A  | PC                                   | FOR THE PHYSICS AND ASTRONOMY LEADERSHIP COUNCIL  | 13,000.  |
| YALE UNIVERSITY<br>P.O. BOX 208327<br>NEW HAVEN, CT 06520                             | N/A  | PC                                   | FOR THE 51 PEGASI B FELLOWSHIP  | 124,355. |
| YALE UNIVERSITY<br>P.O. BOX 208327<br>NEW HAVEN, CT 06520                             | N/A  | PC                                   | FOR THE OPTIMIZATION OF A SINGLE-BEAM<br>OPTICALLY-LEVITATED MICROSPHERE FORCE-SENSOR FOR<br>SHORT-DISTANCE GRAVITY EXPERIMENTS | 361,000. |
| <b>Total from continuation sheets</b> .....   |  |                                      |   |          |



**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)                              | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution   | Amount   |
|---|--|--------------------------------------|---|----------|
| YALE UNIVERSITY<br>P.O. BOX 208327<br>NEW HAVEN, CT 06520                     | N/A  | PC                                   | TO ELIMINATE PRESCHOOL EXPULSIONS, REDUCE IMPLICIT BIASES, AND PROMOTE EDUCATIONAL EQUITY BY PROVIDING HIGH-QUALITY PRACTITIONER-ORIENTED TOOLS AND PROFESSIONAL DEVELOPMENT TRAINING | 312,124. |
| YALE UNIVERSITY<br>P.O. BOX 208327<br>NEW HAVEN, CT 06520                     | N/A  | PC                                   | FOR OBSERVING TIME ON THE DISCOVERY CHANNEL TELESCOPE IN SUPPORT OF THE SEARCH FOR 100 EARTHS PROJECT   | 600,000. |
| YALE UNIVERSITY<br>P.O. BOX 208327<br>NEW HAVEN, CT 06520                     | N/A  | PC                                   | FOR STUDY ON INFECTION RATES AND MITIGATION IN U.S. CHILDCARE PROGRAMS IN RESPONSE TO COVID-19  | 100,000. |
| YALE UNIVERSITY<br>P.O. BOX 208327<br>NEW HAVEN, CT 06520                     | N/A  | PC                                   | FOR CLIMATE CHANGE COMMUNICATIONS   | 175,000. |
| YMCA OF SILICON VALLEY<br>80 SARATOGA AVE<br>SANTA CLARA, CA 95051-7303       | N/A  | PC                                   | FOR PLANNING AND IMPLEMENTATION OF MAKE CONNECTIONS ACROSS THE Y-USA NETWORK  | 200,000. |
| YOUTH JUSTICE COALITION<br>7625 SOUTH CENTRAL AVENUE<br>LOS ANGELES, CA 90001 | N/A  | PC                                   | FOR GENERAL SUPPORT   | 25,000.  |
| YOUTH JUSTICE COALITION<br>7625 SOUTH CENTRAL AVENUE<br>LOS ANGELES, CA 90001 | N/A  | PC                                   | FOR GENERAL SUPPORT   | 150,000. |
| <b>Total from continuation sheets</b>   |  |                                      |   |          |

**Part XV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)                     | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution  | Amount   |
|--|--|--------------------------------------|--|----------|
| YOUTH RADIO<br>1701 BROADWAY<br>OAKLAND, CA 94612-2105               | N/A  | PC                                   | FOR GENERAL SUPPORT  | 20,000.  |
| THE GLEN PRICE GROUP<br>719 EL CERRITO PLAZA<br>EL CERRITO, CA 94530 | N/A  | NC                                   | FOR TRANSITION PLANNING SUPPORT FOR THE PROPOSED<br>CALIFORNIA DEPARTMENT OF EARLY CHILDHOOD DEVELOPMENT | 195,000. |
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| <b>Total from continuation sheets</b> .....                          |  |                                      |  |          |

**Part XV** Supplementary Information (continued)

**3b Grants and Contributions Approved for Future Payment**

| Recipient<br>Name and address (home or business)  | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution   | Amount      |
|---|--|--------------------------------------|---|-------------|
| ARIZONA BOARD OF REGENTS, UNIVERSITY OF<br>ARIZONA<br>933 N CHERRY AVE<br>TUCSON, AZ 85719  | N/A  | GOV                                  | FOR THE UPGRADE AND REFURBISHMENT OF THE 90PRIME<br>OPTICAL IMAGER ON THE BOK 90-INCH TELESCOPE | 485,713.    |
| ARIZONA BOARD OF REGENTS, UNIVERSITY OF<br>ARIZONA<br>933 N CHERRY AVE<br>TUCSON, AZ 85719  | N/A  | GOV                                  | FOR THE PALEOCAMP SUMMER SCHOOL FOR GRADUATE STUDENTS<br>IN PALEOCLIMATOLOGY                    | 249,717.    |
| ASIAN AMERICANS ADVANCING JUSTICE ATLANTA<br>INC.<br>55 COLUMBUS AVE<br>SAN FRANCISCO, CA 94111-2101                              | N/A  | PC                                   | FOR GENERAL SUPPORT   | 200,000.    |
| ASIAN AMERICANS FOR COMMUNITY INVOLVEMENT<br>OF SANTA CLARA COUNTY, INC.<br>2400 MOORPARK AVENUE, SUITE 300<br>SAN JOSE, CA 95128 | N/A  | PC                                   | FOR THE LEADERS FOR EDUCATION, ADVOCACY, AND<br>DEMOCRACY (LEAD) PROGRAM                        | 25,000.     |
| BILL, HILLARY & CHELSEA CLINTON FOUNDATION<br>1633 BROADWAY 5TH FLOOR<br>NEW YORK, NY 10019                                       | N/A  | PC                                   | FOR TOO SMALL TO FAIL   | 750,000.    |
| BOREALIS PHILANTHROPY<br>P.O. BOX 3295<br>MINNEAPOLIS, MN 55403   | N/A  | PC                                   | FOR THE COMMUNITY TRANSFORMING POLICING (\$300,000)<br>AND BLACK-LED MOVEMENT (\$300,000) FUNDS | 300,000.    |
| BYP100 EDUCATION FUND<br>PO BOX 15254<br>CHICAGO, IL 60615  | N/A  | PC                                   | FOR GENERAL SUPPORT   | 150,000.    |
| <b>Total from continuation sheets</b>   |  |                                      |   | 32,345,935. |

**Part XV** Supplementary Information (continued)

**3b Grants and Contributions Approved for Future Payment**

| Recipient<br>Name and address (home or business)  | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution   | Amount   |
|---|--|--------------------------------------|---|----------|
| CALIFORNIA BUDGET & POLICY CENTER<br>1107 9TH STREET, SUITE 310<br>SACRAMENTO, CA 95814                   | N/A  | PC                                   | FOR GENERAL SUPPORT   | 200,000. |
| CALIFORNIA DEPARTMENT OF SOCIAL SERVICES<br>744 P STREET<br>SACRAMENTO, CA 95814                          | N/A  | GOV                                  | FOR A CALIFORNIA CHILD CARE COMMUNICATIONS HUB  | 250,000. |
| CALIFORNIA IMMIGRANT POLICY CENTER<br>634 SOUTH SPRING STREET, SUITE 600A<br>LOS ANGELES, CA 90014        | N/A  | PC                                   | FOR GENERAL SUPPORT   | 100,000. |
| CALIFORNIA INSTITUTE OF TECHNOLOGY<br>1200 E CALIFORNIA BLVD<br>PASADENA, CA 91125                        | N/A  | PC                                   | FOR THE 51 PEGASI B FELLOWSHIP  | 241,610. |
| CALIFORNIA INSTITUTE OF TECHNOLOGY<br>1200 E CALIFORNIA BLVD<br>PASADENA, CA 91125                        | N/A  | PC                                   | FOR THE 51 PEGASI B FELLOWSHIP  | 241,610. |
| CALIFORNIA INSTITUTE OF TECHNOLOGY<br>1200 E CALIFORNIA BLVD<br>PASADENA, CA 91125                        | N/A  | PC                                   | FOR THE DEVELOPMENT AND FABRICATION OF THE NEXT<br>GENERATION PALOMAR SPECTROGRAPH  | 553,160. |
| CALIFORNIANS DEDICATED TO EDUCATION<br>FOUNDATION<br>260 MAIN STREET, SUITE 200<br>REDWOOD CITY, CA 94063 | N/A  | PC                                   | TO CREATE A FAMILY ENGAGEMENT UNIT WITHIN CDE THAT<br>WILL BUILD THE CAPACITY OF EDUCATORS AND PARENTS<br>DURING AND AFTER COVID-19 | 100,000. |
| <b>Total from continuation sheets</b>   |  |                                      |   |          |

**Part XV** Supplementary Information (continued)

**3b Grants and Contributions Approved for Future Payment**

| Recipient<br>Name and address (home or business)  | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution   | Amount   |
|---|--|--------------------------------------|---|----------|
| CENTER FOR AMERICAN PROGRESS<br>1333 H STREET, NW, 10TH FLOOR<br>WASHINGTON, DC 20005                   | N/A  | PC                                   | FOR GENERAL SUPPORT   | 150,000. |
| CENTER FOR LAW AND SOCIAL POLICY<br>1200 18TH STREET NW, SUITE 200<br>WASHINGTON, DC 20036              | N/A  | PC                                   | FOR GENERAL SUPPORT   | 300,000. |
| CENTER FOR YOUNG WOMEN'S DEVELOPMENT<br>832 FOLSOM STREET, SUITE 700<br>SAN FRANCISCO, CA 94107         | N/A  | PC                                   | FOR GENERAL SUPPORT   | 150,000. |
| CHARITABLE VENTURES OF ORANGE COUNTY INC.<br>4041 MACAETHUR BLVD., SUITE 510<br>NEWPORT BEACH, CA 92660 | N/A  | PC                                   | FOR RESILIENCE ORANGE COUNTY  | 100,000. |
| CIVIL RIGHTS CORPS<br>916 G ST. NW APT 701<br>WASHINGTON, DC 20001-4574                                 | N/A  | PC                                   | FOR GENERAL SUPPORT   | 200,000. |
| COMMON COUNSEL FOUNDATION<br>1624 FRANKLIN STREET, #1022<br>OAKLAND, CA 94612                           | N/A  | PC                                   | FOR THE VISIONARY FREEDOM FUND  | 150,000. |
| COMMUNITY CHANGE<br>1536 U ST NW<br>WASHINGTON, DC 20009  | N/A  | PC                                   | FOR ADVOCACY, NARRATIVE CHANGE WORK, AND GRASSROOTS<br>ORGANIZING TO MAKE EARLY CHILDHOOD EDUCATION AND CARE<br>A RESONANT POLICY ISSUE | 500,000. |
| <b>Total from continuation sheets</b>   |  |                                      |   |          |

**Part XV** Supplementary Information (continued)

**3b Grants and Contributions Approved for Future Payment**

| Recipient<br>Name and address (home or business)                                     | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution | Amount   |
|--|--|--------------------------------------|-------------------------------------|----------|
| COMMUNITY INITIATIVES<br>1000 BROADWAY, SUITE 480<br>OAKLAND, CA 94607               | N/A  | PC                                   | FOR THE 100% NETWORK                | 150,000. |
| COMMUNITY PARTNERS<br>1000 N. ALAMEDA ST., SUITE 240<br>LOS ANGELES, CA 90012        | N/A  | PC                                   | FOR CALIFORNIA NATIVE VOTE PROJECT  | 25,000.  |
| CORNELL UNIVERSITY<br>373 PINE TREE RD.<br>ITHACA, NY 14850                          | N/A  | PC                                   | FOR THE 51 PEGASI B FELLOWSHIP      | 246,862. |
| CORNELL UNIVERSITY<br>373 PINE TREE RD.<br>ITHACA, NY 14850                          | N/A  | PC                                   | FOR THE 51 PEGASI B FELLOWSHIP      | 246,825. |
| CRITICAL RESISTANCE<br>1904 FRANKLIN STREET<br>OAKLAND, CA 94612                     | N/A  | PC                                   | FOR GENERAL SUPPORT                 | 100,000. |
| DETENTION WATCH NETWORK<br>1915 I ST NW SUITE 800<br>WASHINGTON, DC 20006            | N/A  | PC                                   | FOR GENERAL SUPPORT                 | 150,000. |
| DIGNITY AND POWER NOW<br>3655 SOUTH GRANT AVENUE, SUITE 240<br>LOS ANGELES, CA 90007 | N/A  | PC                                   | FOR GENERAL SUPPORT                 | 200,000. |
| <b>Total from continuation sheets</b> .....  |  |                                      |                                     |          |

**Part XV** Supplementary Information (continued)

**3b Grants and Contributions Approved for Future Payment**

| Recipient<br>Name and address (home or business)   | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution   | Amount   |
|--|--|--------------------------------------|---|----------|
| EDUCARE CALIFORNIA AT SILICON VALLEY<br>1399 SANTEE DRIVE<br>SAN JOSE, CA 95122-1839                 | N/A  | PC                                   | TO TEST, LEARN, INTEGRATE AND SCALE EFFECTIVE DLL<br>APPROACHES ACROSS CONTEXTS                         | 170,000. |
| EDSOURCE, INC.<br>436 14TH STREET, SUITE 1005<br>OAKLAND, CA 94612                                   | N/A  | PC                                   | TO REPORT ON KEY EARLY EDUCATION SUCCESSES AND<br>CHALLENGES IN CALIFORNIA                              | 325,000. |
| ESSIE JUSTICE GROUP<br>300 FRANK H. OGAWA PLAZA SUITE 420<br>OAKLAND, CA 94612-0000                  | N/A  | PC                                   | FOR GENERAL SUPPORT   | 150,000. |
| FAMILIES FOR FREEDOM INC.<br>35 W. 31ST ST #702<br>NEW YORK, NY 10001                                | N/A  | PC                                   | FOR GENERAL SUPPORT   | 150,000. |
| FAMILY VALUES AT WORK A MULTI-STATE<br>CONSORTIUM<br>207 EAST BUFFALO STREET<br>MILWAUKEE, WI 53202  | N/A  | PC                                   | FOR GENERAL SUPPORT   | 250,000. |
| FOUNDATION FOR CALIFORNIA COMMUNITY<br>COLLEGES<br>1102 Q STREET, SUITE 4800<br>SACRAMENTO, CA 95811 | N/A  | PC                                   | TO PLAN FAMILY CHILD CARE PROVIDER APPRENTICESHIPS<br>THAT BUILD CAPACITY FOR DUAL-LANGUAGE DEVELOPMENT | 266,848. |
| GEORGIA LATINO ALLIANCE FOR HUMAN RIGHTS<br>INC.<br>7 DUNWOODY PARK, SUITE 110<br>ATLANTA, GA 30338  | N/A  | PC                                   | FOR GENERAL SUPPORT   | 150,000. |
| <b>Total from continuation sheets</b>  |  |                                      |   |          |

**Part XV** Supplementary Information (continued)**3b Grants and Contributions Approved for Future Payment**

| Recipient<br>Name and address (home or business)  | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution  | Amount   |
|---|--|--------------------------------------|--|----------|
| GEORGIA TECH RESEARCH CORPORATION<br>926 DELANEY STREET NW<br>ATLANTA, GA 30332                               | N/A  | SO III FI                            | FOR THE APPLICATION OF RADAR TECHNIQUES TO STUDY<br>BASAL AND ENGLACIAL HYDROLOGY AT HELHEIM GLACIER | 520,686. |
| GEORGIA TECH RESEARCH CORPORATION<br>926 DELANEY STREET NW<br>ATLANTA, GA 30332                               | N/A  | SO III FI                            | FOR THE DEVELOPMENT OF THE STOCHASTIC ICE SHEET<br>SYSTEM MODEL (STISSM)                             | 419,876. |
| GRASSROOTS LEADERSHIP INC.<br>PO BOX 6310<br>AUSTIN, TX 78762   | N/A  | PC                                   | FOR GENERAL SUPPORT  | 150,000. |
| HIGHLANDER RESEARCH & EDUCATION CENTER<br>INC.<br>1959 HIGHLANDER WAY<br>NEW MARKET, TN 37820                 | N/A  | PC                                   | FOR BLACK ORGANIZING FOR LEADERSHIP AND DIGNITY<br>(BOLD)  | 200,000. |
| HUMAN RIGHTS WATCH INC.<br>350 FIFTH AVENUE, 34TH FLOOR<br>NEW YORK, NY 10118-3299                            | N/A  | PC                                   | FOR THE U.S. IMMIGRATION AND CRIMINAL JUSTICE<br>PROGRAMS  | 300,000. |
| INITIATE JUSTICE<br>1035 S GRAND STREET, SUITE 300<br>LOS ANGELES, CA 90015                                   | N/A  | PC                                   | FOR GENERAL SUPPORT  | 100,000. |
| INTERNATIONAL COUNCIL ON CLEAN<br>TRANSPORTATION<br>1225 I STREET NORTHWEST SUITE 900<br>WASHINGTON, DC 20005 | N/A  | PC                                   | FOR ORGANIZATIONAL CULTURE, LEADERSHIP DEVELOPMENT,<br>AND ORGANIZATIONAL DEVELOPMENT SUPPORT        | 60,000.  |
| <b>Total from continuation sheets</b>   |  |                                      |  |          |



**Part XV** Supplementary Information (continued)

**3b Grants and Contributions Approved for Future Payment**

| Recipient<br>Name and address (home or business)   | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution                        | Amount   |
|--|--|--------------------------------------|--|----------|
| JUSTLEADERSHIPUSA INC.<br>1900 LEXINGTON AVENUE<br>NEW YORK, NY 10035  | N/A  | PC                                   | FOR GENERAL SUPPORT  | 150,000. |
| KQED INC.<br>2601 MARIPOSA STREET<br>SAN FRANCISCO, CA 94110-1426  | N/A  | PC                                   | FOR A FULL SEASON OF TRUTH BE TOLD                         | 140,000. |
| LATINOJUSTICE PRLDEF<br>475 RIVERSIDE DR, SUITE 1901<br>NEW YORK, NY 10115                                   | N/A  | PC                                   | FOR THE REEVISION JUSTICIA PROGRAM NATIONALLY AND IN TEXAS | 225,000. |
| LEAGUE OF CONSERVATION VOTERS EDUCATION FUND<br>740 15TH STREET NORTHWEST, 7TH FLOOR<br>WASHINGTON, DC 20005 | N/A  | PC                                   | TO ADVANCE ZERO EMISSION TRANSPORTATION POLICY             | 150,000. |
| LEARNING POLICY INSTITUTE<br>1530 PAGE MILL RD STE 200<br>PALO ALTO, CA 94304-1140                           | N/A  | PC                                   | FOR GENERAL SUPPORT  | 300,000. |
| MASSACHUSETTS INSTITUTE OF TECHNOLOGY<br>77 MASSACHUSETTS AVENUE<br>CAMBRIDGE, MA 02139                      | N/A  | PC                                   | FOR THE 51 PEGASI B FELLOWSHIP                             | 180,497. |
| MASSACHUSETTS INSTITUTE OF TECHNOLOGY<br>77 MASSACHUSETTS AVENUE<br>CAMBRIDGE, MA 02139                      | N/A  | PC                                   | FOR PANDEMIC TECHNOLOGY FELLOWSHIP PROGRAM                 | 50,000.  |
| <b>Total from continuation sheets</b> .....  |  |                                      |  |          |

**Part XV** Supplementary Information (continued)

**3b Grants and Contributions Approved for Future Payment**

| Recipient<br>Name and address (home or business)   | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution                 | Amount   |
|--|--|--------------------------------------|---|----------|
| MATHTALK PBC<br>99 BISHOP ALLEN DRIVE<br>CAMBRIDGE, MA 02139   | N/A  | NC                                   | FOR THE MATH! EVERYWHERE! PROJECT                   | 600,240. |
| MEXICAN AMERICAN LEGAL DEFENSE EDUCATIONAL FUND<br>634 S SPRING ST, SUITE 1100<br>LOS ANGELES, CA 90014      | N/A  | PC                                   | FOR THE CALIFORNIA IMMIGRANT YOUTH JUSTICE ALLIANCE | 100,000. |
| MEXICAN AMERICAN LEGAL DEFENSE EDUCATIONAL FUND<br>634 S SPRING RD, SUITE 1100<br>LOS ANGELES, CA 90014      | N/A  | PC                                   | FOR FAMILIA: TRANS QUEER LIBERATION MOVEMENT        | 100,000. |
| MOMSRISING EDUCATION FUND<br>12011 BEL-RED ROAD, SUITE 100B<br>BELLEVUE, WA 98005-2471                       | N/A  | PC                                   | FOR GENERAL SUPPORT                                 | 200,000. |
| NATIONAL CENTER FOR YOUTH LAW<br>1212 BROADWAY, SUITE 600<br>OAKLAND, CA 94612                               | N/A  | PC                                   | FOR GENERAL SUPPORT                                 | 100,000. |
| NATIONAL COUNCIL FOR INCARCERATED AND FORMERLY INCARCERATED WOMEN<br>100R WARREN STREET<br>ROXBURY, MA 02119 | N/A  | PC                                   | FOR GENERAL SUPPORT                                 | 250,000. |
| NATIONAL DAY LABORER ORGANIZING NETWORK<br>1030 S ARROYO PKWY, STE 106<br>PASADENA, CA 91105                 | N/A  | PC                                   | FOR GENERAL SUPPORT                                 | 75,000.  |
| <b>Total from continuation sheets</b>  |  |                                      |   |          |

**Part XV** Supplementary Information (continued)

**3b Grants and Contributions Approved for Future Payment**

| Recipient<br>Name and address (home or business)   | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution   | Amount   |
|--|--|--------------------------------------|---|----------|
| NELSON MULLINS RILEY & SCARBOROUGH LLP<br>101 CONSTITUTION AVE NW SUITE 900 NW<br>WASHINGTON, DC 20001 | N/A  | NC                                   | TO ADVANCE BIRTH -TO-THIRD-GRADE EARLY LEARNING<br>SYSTEMS  | 325,000. |
| NETWORK ON WOMEN IN PRISON<br>4400 MARKET STREET<br>OAKLAND, CA 94608                                  | N/A  | PC                                   | FOR GENERAL SUPPORT   | 100,000. |
| NETWORK ON WOMEN IN PRISON<br>4400 MARKET STREET<br>OAKLAND, CA 94608                                  | N/A  | PC                                   | FOR THE CALIFORNIA COALITION FOR WOMEN PRISONERS  | 80,000.  |
| NEW VENTURE FUND<br>1201 CONNECTICUT AVENUE NW, SUITE 300<br>WASHINGTON, DC 20036-2605                 | N/A  | PC                                   | TO PROVIDE TECHNICAL ASSISTANCE TO THE CALIFORNIA<br>DEPARTMENT OF EDUCATION (CDE) ON DELIVERING AND<br>FINANCING EARLY CHILDHOOD MENTAL HEALTH | 375,000. |
| NEW VENTURE FUND<br>1201 CONNECTICUT AVENUE NW, SUITE 300<br>WASHINGTON, DC 20036-2605                 | N/A  | PC                                   | FOR EARLY EDGE CALIFORNIA   | 300,000. |
| NEW VENTURE FUND<br>1201 CONNECTICUT AVENUE NW, SUITE 300<br>WASHINGTON, DC 20036-2605                 | N/A  | PC                                   | FOR HOPE AND HEAL FUND  | 100,000. |
| NEW VENTURE FUND<br>1201 CONNECTICUT AVENUE NW, SUITE 300<br>WASHINGTON, DC 20036-2605                 | N/A  | PC                                   | FOR FUND FOR A SAFER FUTURE   | 250,000. |
| <b>Total from continuation sheets</b>  |  |                                      |   |          |

**Part XV** Supplementary Information (continued)

**3b Grants and Contributions Approved for Future Payment**

| Recipient<br>Name and address (home or business)   | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution  | Amount     |
|--|--|--------------------------------------|--|------------|
| NEW VENTURE FUND<br>1201 CONNECTICUT AVENUE NW, SUITE 300<br>WASHINGTON, DC 20036-2605                           | N/A  | PC                                   | FOR THE EMERGING BILINGUAL COLLABORATIVE   | 2,000,000. |
| NEW VENTURE FUND<br>1201 CONNECTICUT AVENUE NORTHWEST<br>WASHINGTON, DC 20036                                    | N/A  | PC                                   | FOR ENGLISH LEARNERS SUCCESS FORUM (ELSF) (\$180,000),<br>AND HIGH QUALITY INSTRUCTION FOR ENGLISH LEARNERS<br>(\$420,000) AT ELSF | 300,000.   |
| NORTHEASTERN UNIVERSITY<br>360 HUNTINGTON AVE<br>BOSTON, MA 02115  | N/A  | PC                                   | FOR THE INITIATIVE FOR ENERGY JUSTICE  | 200,000.   |
| PARENTS AS TEACHERS NATIONAL CENTER, INC<br>2228 BALL DRIVE<br>SAINT LOUIS, MO 96346                             | N/A  | PC                                   | FOR THE NATIONAL HOME VISITING MODELS ALLIANCE   | 20,000.    |
| POLICYLINK<br>1438 WEBSTER STREET, SUITE 303<br>OAKLAND, CA 94612  | N/A  | PC                                   | FOR BUILDING BEYOND POLICING WORK  | 150,000.   |
| PRESIDENT AND FELLOWS OF HARVARD COLLEGE<br>1033 MASSACHUSETTS AVENUE, 5TH FLOOR<br>CAMBRIDGE, MA 02138          | N/A  | PC                                   | FOR THE 51 PEGASI B FELLOWSHIP   | 118,769.   |
| PROJECT SOUTH THE INSTITUTION FOR THE<br>ELIMINATION POVERTY & GENOCIDE<br>9 GAMMON AVE, SE<br>ATLANTA, GA 30315 | N/A  | PC                                   | FOR GENERAL SUPPORT  | 150,000.   |
| <b>Total from continuation sheets</b>  |  |                                      |  |            |

**Part XV** Supplementary Information (continued)

**3b Grants and Contributions Approved for Future Payment**

| Recipient<br>Name and address (home or business)  | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution   | Amount   |
|---|--|--------------------------------------|---|----------|
| PROTEUS FUND INC.<br>15 RESEARCH DRIVE, SUITE B<br>AMHERST, MA 01002  | N/A  | PC                                   | FOR EMBRACERACE   | 200,000. |
| REGENTS OF THE UNIVERSITY OF CALIFORNIA AT<br>BERKELEY<br>2150 SHATTUCK AVENUE, SUITE 300<br>BERKELEY, CA 94720 | N/A  | PC                                   | TO PROMOTE EQUITABLE FINANCING OF SCHOOL FACILITIES<br>IN CALIFORNIA  | 266,666. |
| REGENTS OF THE UNIVERSITY OF CALIFORNIA AT<br>BERKELEY<br>2150 SHATTUCK AVENUE, SUITE 300<br>BERKELEY, CA 94720 | N/A  | PC                                   | FOR THE CENTER FOR THE STUDY OF CHILD CARE EMPLOYMENT   | 925,000. |
| REGENTS OF THE UNIVERSITY OF CALIFORNIA AT<br>BERKELEY<br>2150 SHATTUCK AVENUE, SUITE 300<br>BERKELEY, CA 94720 | N/A  | PC                                   | FOR EXPERIMENTAL RESEARCH IN CAVITY-ASSISTED<br>PHOTOASSOCIATION AND COLLECTIVE PHOTOCHEMISTRY                      | 251,566. |
| REGENTS OF THE UNIVERSITY OF CALIFORNIA AT<br>IRVINE<br>141 INNOVATION DRIVE, SUITE 250<br>IRVINE, CA 92697     | N/A  | PC                                   | TO DEVELOP TECHNOLOGY AND TECHNIQUES THAT WILL<br>ADVANCE THE STATE-OF-THE-ART IN EXOPLANET IMAGING                 | 255,326. |
| REGENTS OF THE UNIVERSITY OF CALIFORNIA AT<br>IRVINE<br>141 INNOVATION DRIVE, SUITE 250<br>IRVINE, CA 92697     | N/A  | PC                                   | TO SUPPORT THE CONSTRUCTION, INSTALLATION, AND<br>OPERATION OF THE FASER EXPERIMENT AT THE LARGE HADRON<br>COLLIDER | 396,600. |
| REGENTS OF THE UNIVERSITY OF CALIFORNIA<br>SANTA CRUZ<br>1156 HIGH STREET<br>SANTA CRUZ, CA 95064               | N/A  | PC                                   | TO DEVELOP TECHNOLOGY AND TECHNIQUES THAT WILL<br>ADVANCE THE STATE-OF-THE-ART IN EXOPLANET IMAGING                 | 669,669. |
|   |  |                                      |   |          |
| <b>Total from continuation sheets</b>   |  |                                      |   |          |

**Part XV** Supplementary Information (continued)

**3b Grants and Contributions Approved for Future Payment**

| Recipient<br>Name and address (home or business)  | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution  | Amount   |
|---|--|--------------------------------------|--|----------|
| REGENTS OF THE UNIVERSITY OF CALIFORNIA<br>SANTA CRUZ<br>1156 HIGH STREET<br>SANTA CRUZ, CA 95064   | N/A  | PC                                   | FOR THE 51 PEGASI B FELLOWSHIP   | 235,402. |
| REGENTS OF THE UNIVERSITY OF MICHIGAN<br>3003 SOUTH STATE STREET, ROOM 1062<br>ANN ARBOR, MI 48109-1274   | N/A  | PC                                   | TO UPGRADE THE MIRAC-5 (MID-INFRARED ARRAY CAMERA),<br>UTILIZING A NEW GEOSNAP MID-IR DETECTOR   | 500,000. |
| REGENTS OF THE UNIVERSITY OF MICHIGAN<br>3003 SOUTH STATE STREET, ROOM 1062<br>ANN ARBOR, MI 48109-1274   | N/A  | PC                                   | TO DEVELOP TECHNOLOGY AND TECHNIQUES THAT WILL<br>ADVANCE THE STATE-OF-THE-ART IN DIRECT EXOPLANET<br>IMAGING  | 225,876. |
| REPAIRERS OF THE BREACH INC<br>PO BOX 1638<br>GOLDSBORO, NC 27533-1638  | N/A  | PC                                   | FOR GENERAL SUPPORT  | 200,000. |
| RESEARCH FOUNDATION FOR THE STATE<br>UNIVERSITY OF NEW YORK<br>OFFICE OF SPONSORED PROGRAMS, STONY BROOK<br>UNIVERSITY STONY BROOK, NY 11794-3362 | N/A  | PC                                   | FOR A STUDY OF CREVASSE-MEDIATED WATER TRANSPORT<br>BETWEEN THE ENGLACIAL AND BASAL ENVIRONMENTS OF<br>HELHEIM GLACIER TO BE PERFORMED BY THE UNIVERSITY AT<br>BUFFALO | 563,561. |
| RESEARCH FOUNDATION OF THE CITY UNIVERSITY<br>OF NEW YORK<br>205 E 42ND STREET<br>NEW YORK, NY 10017  | N/A  | PC                                   | FOR THE NEW YORK EARLY CHILDHOOD PROFESSIONAL<br>DEVELOPMENT INSTITUTE   | 177,251. |
| RIGHT TO THE CITY ALLIANCE INC.<br>P.O. BOX 3605<br>BERKELEY, CA 94703  | N/A  | PC                                   | FOR SOIL - A TRANSFORMATIVE JUSTICE PROJECT  | 150,000. |
| <b>Total from continuation sheets</b>   |  |                                      |  |          |

**Part XV** Supplementary Information (continued)

**3b Grants and Contributions Approved for Future Payment**

| Recipient<br>Name and address (home or business)  | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution      | Amount   |
|---|--|--------------------------------------|--|----------|
| RUTGERS, THE STATE UNIVERSITY OF NEW JERSEY<br>3 RUTGERS PLAZA, ASB III<br>NEW BRUNSWICK, NJ 08901    | N/A  | PC                                   | FOR THE 2020 STATE OF PRESCHOOL YEARBOOK | 600,000. |
| SACRED HEART COMMUNITY SERVICE<br>1381 SOUTH FIRST STREET<br>SAN JOSE, CA 95110                       | N/A  | PC                                   | FOR COALITION BUILDING                   | 900,000. |
| SILICON VALLEY COMMUNITY FOUNDATION<br>2440 WEST EL CAMINO REAL, SUITE 300<br>MOUNTAIN VIEW, CA 94040 | N/A  | PC                                   | FOR GENERAL SUPPORT                      | 115,785. |
| SILICON VALLEY DE-BUG<br>701 LENZEN AVENUE<br>SAN JOSE, CA 95126-2734                                 | N/A  | PC                                   | FOR GENERAL SUPPORT                      | 300,000. |
| SOCIAL AND ENVIRONMENTAL ENTREPRENEURS INC.<br>23564 CALABASAS ROAD, SUITE 201<br>CALABASAS, CA 91302 | N/A  | PC                                   | FOR WOMEN ON THE RISE                    | 100,000. |
| SOCIAL GOOD FUND<br>12651 SAN PABLO AVENUE<br>RICHMOND, CA 94805                                      | N/A  | PC                                   | FOR THE SAFE RETURN PROJECT              | 100,000. |
| SOCIETY FOR RESEARCH IN CHILD DEVELOPMENT<br>1825 K STREET NW, SUITE 325<br>WASHINGTON, DC 20006      | N/A  | PC                                   | FOR THE SRCDC POLICY FELLOWSHIP          | 450,000. |
| <b>Total from continuation sheets</b>   |  |                                      |  |          |

**Part XV** Supplementary Information (continued)**3b Grants and Contributions Approved for Future Payment**

| Recipient<br>Name and address (home or business)  | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution  | Amount   |
|---|--|--------------------------------------|--|----------|
| SOUTHERN CENTER FOR HUMAN RIGHTS<br>83 POPLAR STREET NW<br>ATLANTA, GA 30303  | N/A  | PC                                   | FOR GENERAL SUPPORT  | 150,000. |
| SOUTHERNERS ON NEW GROUND<br>250 GEORGIA AVE., SUITE 201<br>ATLANTA, GA 30312   | N/A  | PC                                   | FOR GENERAL SUPPORT  | 150,000. |
| THE BOARD OF TRUSTEES OF THE LELAND<br>STANFORD JUNIOR UNIVERSITY<br>485 BROADWAY, THIRD FLOOR<br>REDWOOD CITY, CA 94063-2136 | N/A  | PC                                   | FOR REMASTERING ARCHIVAL RADAR FILM OF THE GREENLAND<br>ICE SHEET  | 270,078. |
| THE BOARD OF TRUSTEES OF THE LELAND<br>STANFORD JUNIOR UNIVERSITY<br>485 BROADWAY, THIRD FLOOR<br>REDWOOD CITY, CA 94063-2136 | N/A  | PC                                   | FOR RETAINING UNDERGRADUATE WOMEN IN PHYSICS THROUGH<br>RESEARCH AND COMMUNITY BUILDING                            | 300,000. |
| THE GLEN PRICE GROUP<br>719 EL CERRITO PLAZA<br>EL CERRITO, CA 94530  | N/A  | NC                                   | FOR TRANSITION PLANNING SUPPORT FOR THE PROPOSED<br>CALIFORNIA DEPARTMENT OF EARLY CHILDHOOD DEVELOPMENT           | 45,000.  |
| THE GLEN PRICE GROUP<br>719 EL CERRITO PLAZA<br>EL CERRITO, CA 94530  | N/A  | NC                                   | FOR THE TRANSITION OF CHILD CARE AND EARLY LEARNING<br>PROGRAMS TO THE CALIFORNIA DEPARTMENT OF SOCIAL<br>SERVICES | 65,000.  |
| THE PRAXIS PROJECT INC<br>1900 FRUITVALE AVENUE SUITE 3D<br>OAKLAND, CA 94601   | N/A  | PC                                   | FOR THE UNDOCUBLACK NETWORK  | 100,000. |
| <b>Total from continuation sheets</b> .....   |  |                                      |  |          |



**Part XV** Supplementary Information (continued)

**3b Grants and Contributions Approved for Future Payment**

| Recipient<br>Name and address (home or business)  | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution   | Amount   |
|---|--|--------------------------------------|---|----------|
| THE REGENTS OF THE UNIVERSITY OF CALIFORNIA LOS ANGELES<br>475 PORTOLA PLAZA<br>LOS ANGELES, CA 90095-1547              | N/A  | PC                                   | TO DEVELOP TECHNOLOGY AND TECHNIQUES THAT WILL ADVANCE THE STATE-OF-THE-ART IN DIRECT EXOPLANET IMAGING | 403,470. |
| THE REGENTS OF THE UNIVERSITY OF CALIFORNIA LOS ANGELES<br>10920 WILSHIRE BLVD, STE 620<br>LOS ANGELES, CA 90024-6505   | N/A  | PC                                   | FOR THE 51 PEGASI B FELLOWSHIP  | 242,292. |
| THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, SAN FRANCISCO<br>490 ILLINOIS STREET, 4TH FLOOR<br>SAN FRANCISCO, CA 94158 | N/A  | PC                                   | TO DEVELOP COVID-19 RESOURCES AND GUIDELINES FOR CALIFORNIA'S CHILD CARE DIRECTORS                      | 99,997.  |
| THE TRANSLATING COALITION<br>3055 WILSHIRE BLVD #350<br>LOS ANGELES, CA 90010   | N/A  | PC                                   | FOR GENERAL SUPPORT   | 50,000.  |
| TIDES CENTER<br>PO BOX 29907<br>SAN FRANCISCO, CA 94129   | N/A  | PC                                   | FOR THE COMMUNITY JUSTICE EXCHANGE  | 250,000. |
| TIDES CENTER<br>PO BOX 29907<br>SAN FRANCISCO, CA 94129   | N/A  | PC                                   | FOR THE OPPORTUNITY AGENDA'S COMMUNICATIONS AND CULTURAL TRAININGS                                      | 100,000. |
| TIDES CENTER<br>PO BOX 29907<br>SAN FRANCISCO, CA 94129   | N/A  | PC                                   | FOR ABRIENDO PUERTAS/OPENING DOORS  | 300,000. |
| <b>Total from continuation sheets</b>   |  |                                      |   |          |

**Part XV** Supplementary Information (continued)

**3b Grants and Contributions Approved for Future Payment**

| Recipient<br>Name and address (home or business)  | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution   | Amount   |
|---|--|--------------------------------------|---|----------|
| TIDES CENTER<br>PO BOX 29907<br>SAN FRANCISCO, CA 94129                                   | N/A  | PC                                   | FOR HAAS LEADERSHIP INITIATIVES LEADERPOWER COLLABORATIVE FOR EARLY EDUCATION ORGANIZATIONS                     | 105,600. |
| TIDES FOUNDATION<br>PO BOX 29903<br>SAN FRANCISCO, CA 94129-0903                          | N/A  | PC                                   | FOR THE JUSTICE TEAM NETWORK EDUCATION FUND   | 100,000. |
| TIDES FOUNDATION<br>PO BOX 29903<br>SAN FRANCISCO, CA 94129-0903                          | N/A  | PC                                   | FOR NY RENEWS COALITION   | 100,000. |
| TIDES FOUNDATION<br>P.O. BOX 29903<br>SAN FRANCISCO, CA 94129-0903                        | N/A  | PC                                   | FOR MASS LIBERATION FUND FOR STRATEGIC PLANNING, CONFLICT TRANSFORMATION, AND ORGANIZATIONAL DEVELOPMENT        | 15,000.  |
| TRANSGENDER LAW CENTER<br>P.O. BOX 70976<br>OAKLAND, CA 94612                             | N/A  | PC                                   | FOR GENERAL SUPPORT (\$350,000) AND SUPPORT OF THE BLACK LGBTQIA+ MIGRANT PROJECT (\$150,000)                   | 250,000. |
| TRUSTEES OF DARTMOUTH COLLEGE<br>6066 DEVELOPMENT OFFICE<br>HANOVER, NH 03755             | N/A  | PC                                   | FOR THE DEVELOPMENT AND APPLICATION OF HYDROLOGY MODELS FOR SNOW, FIRN, AND SUBGLACIAL FLOWS AT HELHEIM GLACIER | 410,163. |
| TRUSTEES OF PRINCETON UNIVERSITY<br>701 CARNEGIE CENTER, SUITE 445<br>PRINCETON, NJ 08544 | N/A  | PC                                   | FOR THE 51 PEGASI B FELLOWSHIP  | 132,439. |
| <b>Total from continuation sheets</b>   |  |                                      |   |          |

**Part XV** Supplementary Information (continued)

**3b Grants and Contributions Approved for Future Payment**

| Recipient<br>Name and address (home or business)  | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution   | Amount     |
|---|--|--------------------------------------|---|------------|
| UNITED WE DREAM NETWORK INC.<br>1900 L STREET NW, STE. 900<br>WASHINGTON, DC 20036  | N/A  | PC                                   | FOR CAPACITY BUILDING (\$50,000) AND BLACK/BROWN<br>SOLIDARITY WORK (\$175,000)                     | 225,000.   |
| UNITE-LA INC.<br>1055 WILSHIRE BOULEVARD<br>LOS ANGELES, CA 90017   | N/A  | PC                                   | FOR GENERAL SUPPORT   | 150,000.   |
| UNIVERSITY OF CALIFORNIA SANTA BARBARA<br>BROIDA HALL, UNIVERSITY OF CALIFORNIA,<br>SANTA BARBARA SANTA BARBARA, CA 93106 | N/A  | PC                                   | TO DEVELOP TECHNOLOGY AND TECHNIQUES THAT WILL<br>ADVANCE THE STATE-OF-THE-ART IN EXOPLANET IMAGING | 393,082.   |
| UNIVERSITY OF CALIFORNIA SANTA BARBARA<br>OFFICE OF RESEARCH, 3227 CHEADLE HALL<br>SANTA BARBARA, CA 93106                | N/A  | PC                                   | TO CONTINUE THE EXPERIMENTAL RESEARCH TO NEURAL<br>QUANTUM PROCESSING                               | 1,035,340. |
| UNIVERSITY OF FLORIDA BOARD OF TRUSTEES<br>219 GRINTER HALL<br>GAINESVILLE, FL 32611                                      | N/A  | PC                                   | TO INSTALL, COMMISSION, AND OPERATE THE ALPS-II<br>EXPERIMENT                                       | 121,801.   |
| UNIVERSITY OF HAWAII<br>2440 CAMPUS ROAD, BOX 368<br>HONOLULU, HI 96822-2234  | N/A  | GOV                                  | TO DEVELOP TECHNOLOGY AND TECHNIQUES THAT WILL<br>ADVANCE THE STATE-OF-THE-ART IN EXOPLANET IMAGING | 810,513.   |
| UNIVERSITY OF SOUTHERN CALIFORNIA<br>1150 SOUTH OLIVE STREET, SUITE 1400<br>LOS ANGELES, CA 90015                         | N/A  | PC                                   | TO SUPPORT DATA-DRIVEN PROGRAM AND POLICY DEVELOPMENT<br>IN CALIFORNIA                              | 250,000.   |
| <b>Total from continuation sheets</b>   |  |                                      |   |            |

**Part XV** Supplementary Information (continued)

**3b Grants and Contributions Approved for Future Payment**

| Recipient<br>Name and address (home or business)   | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution   | Amount   |
|--|--|--------------------------------------|---|----------|
| UNIVERSITY OF TEXAS AT AUSTIN<br>110 INNER CAMPUS DRIVE<br>AUSTIN, TX 78705                      | N/A  | GOV                                  | FOR THE 51 PEGASI B FELLOWSHIP  | 246,827. |
| VOICE OF THE EXPERIENCED VOTE<br>P.O. BOX 13622<br>NEW ORLEANS, LA 70185                         | N/A  | PC                                   | FOR GENERAL SUPPORT   | 150,000. |
| WEST HARLEM ENVIRONMENTAL ACTION, INC.<br>1854 AMSTERDAM AVENUE, 2ND FLOOR<br>NEW YORK, NY 10031 | N/A  | PC                                   | FOR GENERAL SUPPORT   | 150,000. |
| YALE UNIVERSITY<br>PO BOX 208327<br>NEW HAVEN, CT 06520  | N/A  | PC                                   | TO DISTRIBUTE THE CLIMATE FOR HEALTHY INTERACTIONS<br>FOR LEARNING AND DEVELOPMENT (CHILD) TOOL | 624,218. |
| YOUTH JUSTICE COALITION<br>7625 SOUTH CENTRAL AVENUE<br>LOS ANGELES, CA 90001                    | N/A  | PC                                   | FOR GENERAL SUPPORT   | 150,000. |
|  |  |                                      |   |          |
|  |  |                                      |   |          |
|  |  |                                      |   |          |

**Total from continuation sheets** .....

**Part XV** Supplementary Information

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - 21ST CENTURY SCHOOL FUND

TO INCREASE STATE CAPACITY FOR FACILITIES GUIDANCE, SUPPORT, AND  
OVERSIGHT IN RESPONSE TO COVID-19 THROUGH THE NATIONAL COUNCIL ON  
SCHOOL FACILITIES

**Part XV** Supplementary Information

3b Grants and Contributions Approved for Future Payment Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - ARIZONA BOARD OF REGENTS, UNIVERSITY OF ARIZONA

TO DEVELOP TECHNOLOGY AND TECHNIQUES THAT WILL ADVANCE THE

STATE-OF-THE-ART IN DIRECT EXOPLANET IMAGING

Multiple horizontal lines for additional text entry.

**Schedule B**

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury  
Internal Revenue Service

**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.  
▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for the latest information.

OMB No. 1545-0047

**2020**

Name of the organization

**THE HEISING-SIMONS FOUNDATION**

Employer identification number

**26-0799587**

Organization type (check one):

**Filers of:**

**Section:**

Form 990 or 990-EZ

501(c)( ) (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** \$5,000; or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h; or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 exclusively for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I (entering "N/A" in column (b) instead of the contributor name and address), II, and III.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ..... ▶ \$ \_\_\_\_\_

**Caution:** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

|  |   |
|--|---|
| Name of organization<br><br><b>THE HEISING-SIMONS FOUNDATION</b> | Employer identification number<br><br><b>26-0799587</b> |
|--|---|

**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

| (a)<br>No. | (b)<br>Name, address, and ZIP + 4  | (c)<br>Total contributions | (d)<br>Type of contribution   |
|------------|--|----------------------------|---|
| 1          | HEISING-SIMONS FOUNDATION<br>INTERNATIONAL<br>BUTTERFIELD TRUST (BERMUDA) LIMITED,<br>ROSEBANK CENTER, 11 BERMUDIANA RD.<br><br>PEMBROKE, BERMUDA HM08 | \$ 50,000,000.             | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| _____      | _____<br>_____<br>_____  | \$ _____                   | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.)            |
| _____      | _____<br>_____<br>_____  | \$ _____                   | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.)            |
| _____      | _____<br>_____<br>_____  | \$ _____                   | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.)            |
| _____      | _____<br>_____<br>_____  | \$ _____                   | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.)            |
| _____      | _____<br>_____<br>_____  | \$ _____                   | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.)            |
| _____      | _____<br>_____<br>_____  | \$ _____                   | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.)            |



|  |   |
|--|---|
| Name of organization<br><br><b>THE HEISING-SIMONS FOUNDATION</b> | Employer identification number<br><br><b>26-0799587</b> |
|--|---|

**Part II Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

| (a)<br>No.<br>from<br>Part I | (b)<br>Description of noncash property given | (c)<br>FMV (or estimate)<br>(See instructions.) | (d)<br>Date received |
|------------------------------|--|---|----------------------|
|                              | _____  | \$ _____  | _____                |
|                              | _____  | \$ _____  | _____                |
|                              | _____  | \$ _____  | _____                |
|                              | _____  | \$ _____  | _____                |
|                              | _____  | \$ _____  | _____                |
|                              | _____  | \$ _____  | _____                |
|                              | _____  | \$ _____  | _____                |
|                              | _____  | \$ _____  | _____                |

|  |   |
|--|---|
| Name of organization<br><br><b>THE HEISING-SIMONS FOUNDATION</b> | Employer identification number<br><br><b>26-0799587</b> |
|--|---|

**Part III** Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this info. once.) ▶ \$ \_\_\_\_\_  
Use duplicate copies of Part III if additional space is needed.

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---------------------|---------------------|-----------------|-------------------------------------|
|                     |                     |                 |                                     |

| (e) Transfer of gift                    |  |
|---|--|
| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
|   |  |
|   |  |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---------------------|---------------------|-----------------|-------------------------------------|
|                     |                     |                 |                                     |

| (e) Transfer of gift                    |  |
|---|--|
| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
|   |  |
|   |  |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---------------------|---------------------|-----------------|-------------------------------------|
|                     |                     |                 |                                     |

| (e) Transfer of gift                    |  |
|---|--|
| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
|   |  |
|   |  |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---------------------|---------------------|-----------------|-------------------------------------|
|                     |                     |                 |                                     |

| (e) Transfer of gift                    |  |
|---|--|
| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
|   |  |
|   |  |

# Underpayment of Estimated Tax by Corporations

▶ Attach to the corporation's tax return. **FORM 990-PF**

**2020**

▶ Go to [www.irs.gov/Form2220](http://www.irs.gov/Form2220) for instructions and the latest information.

|  |   |
|--|---|
| Name<br><b>THE HEISING-SIMONS FOUNDATION</b> | Employer identification number<br><b>26-0799587</b> |
|--|---|

**Note:** Generally, the corporation is not required to file Form 2220 (see Part II below for exceptions) because the IRS will figure any penalty owed and bill the corporation. However, the corporation may still use Form 2220 to figure the penalty. If so, enter the amount from page 2, line 38, on the estimated tax penalty line of the corporation's income tax return, but **do not** attach Form 2220.

**Part I Required Annual Payment**

|  |           |           |                   |
|--|-----------|-----------|-------------------|
| 1 Total tax (see instructions) .....   |           | <b>1</b>  | <b>3,141,898.</b> |
| 2 a Personal holding company tax (Schedule PH (Form 1120), line 26) included on line 1 .....   | <b>2a</b> |           |                   |
| b Look-back interest included on line 1 under section 460(b)(2) for completed long-term contracts or section 167(g) for depreciation under the income forecast method .....  | <b>2b</b> |           |                   |
| c Credit for federal tax paid on fuels (see instructions) .....  | <b>2c</b> |           |                   |
| d Total. Add lines 2a through 2c .....   |           | <b>2d</b> |                   |
| 3 Subtract line 2d from line 1. If the result is less than \$500, <b>do not</b> complete or file this form. The corporation does not owe the penalty .....   |           | <b>3</b>  | <b>3,141,898.</b> |
| 4 Enter the tax shown on the corporation's 2019 income tax return. See instructions. <b>Caution:</b> If the tax is zero or the tax year was for less than 12 months, skip this line and enter the amount from line 3 on line 5 ..... |           | <b>4</b>  | <b>1,110,609.</b> |
| 5 <b>Required annual payment.</b> Enter the <b>smaller</b> of line 3 or line 4. If the corporation is required to skip line 4, enter the amount from line 3 .....  |           | <b>5</b>  | <b>1,110,609.</b> |

**Part II Reasons for Filing** - Check the boxes below that apply. If any boxes are checked, the corporation **must** file Form 2220 even if it does not owe a penalty. See instructions.

- 6  The corporation is using the adjusted seasonal installment method.
- 7  The corporation is using the annualized income installment method.
- 8  The corporation is a "large corporation" figuring its first required installment based on the prior year's tax.

**Part III Figuring the Underpayment**

|   |           | (a)      | (b)      | (c)        | (d)        |
|---|-----------|----------|----------|------------|------------|
| 9 <b>Installment due dates.</b> Enter in columns (a) through (d) the 15th day of the 4th (Form 990-PF filers: Use 5th month), 6th, 9th, and 12th months of the corporation's tax year. <b>Filers with installments due on or after April 1, 2020, and before July 15, 2020, see instructions</b> .....              | <b>9</b>  | 07/15/20 | 07/15/20 | 09/15/20   | 12/15/20   |
| 10 <b>Required installments.</b> If the box on line 6 and/or line 7 above is checked, enter the amounts from Sch A, line 38. If the box on line 8 (but not 6 or 7) is checked, see instructions for the amounts to enter. If none of these boxes are checked, enter 25% (0.25) of line 5 above in each column ..... | <b>10</b> | 82,486.  | 148,501. | 412,515.   | 617,974.   |
| 11 Estimated tax paid or credited for each period. For column (a) only, enter the amount from line 11 on line 15. See instructions .....  | <b>11</b> | 891,824. |          | 487,000.   | 700,000.   |
| <b>Complete lines 12 through 18 of one column before going to the next column.</b>  |           |          |          |            |            |
| 12 Enter amount, if any, from line 18 of the preceding column .....   | <b>12</b> |          | 809,338. | 660,837.   | 735,322.   |
| 13 Add lines 11 and 12 .....  | <b>13</b> |          | 809,338. | 1,147,837. | 1,435,322. |
| 14 Add amounts on lines 16 and 17 of the preceding column .....   | <b>14</b> |          |          |            |            |
| 15 Subtract line 14 from line 13. If zero or less, enter -0- .....  | <b>15</b> | 891,824. | 809,338. | 1,147,837. | 1,435,322. |
| 16 If the amount on line 15 is zero, subtract line 13 from line 14. Otherwise, enter -0- .....  | <b>16</b> |          | 0.       | 0.         |            |
| 17 <b>Underpayment.</b> If line 15 is less than or equal to line 10, subtract line 15 from line 10. Then go to line 12 of the next column. Otherwise, go to line 18 .....   | <b>17</b> |          |          |            |            |
| 18 <b>Overpayment.</b> If line 10 is less than line 15, subtract line 10 from line 15. Then go to line 12 of the next column .....  | <b>18</b> | 809,338. | 660,837. | 735,322.   |            |

Go to Part IV on page 2 to figure the penalty. Do not go to Part IV if there are no entries on line 17 - no penalty is owed.

**Part IV Figuring the Penalty**

|  | (a)          | (b) | (c) | (d) |
|--|--------------|-----|-----|-----|
| <b>19</b> Enter the date of payment or the 15th day of the 4th month after the close of the tax year, whichever is earlier.<br><b>(C corporations with tax years ending June 30 and S corporations:</b> Use 3rd month instead of 4th month.<br><b>Form 990-PF and Form 990-T filers:</b> Use 5th month instead of 4th month.) See instructions ..... <b>19</b> |              |     |     |     |
| <b>20</b> Number of days from due date of installment on line 9 to the date shown on line 19 .....   | <b>20</b>    |     |     |     |
| <b>21</b> Number of days on line 20 after 4/15/2020 and before 7/1/2020 .....  | <b>21</b>    |     |     |     |
| <b>22</b> Underpayment on line 17 x $\frac{\text{Number of days on line 21} \times 5\% (0.05)}{366}$ ...   | <b>22</b> \$ | \$  | \$  | \$  |
| <b>23</b> Number of days on line 20 after 6/30/2020 and before 10/1/2020 .....   | <b>23</b>    |     |     |     |
| <b>24</b> Underpayment on line 17 x $\frac{\text{Number of days on line 23} \times 3\% (0.03)}{366}$ ...   | <b>24</b> \$ | \$  | \$  | \$  |
| <b>25</b> Number of days on line 20 after 9/30/2020 and before 1/1/2021 .....  | <b>25</b>    |     |     |     |
| <b>26</b> Underpayment on line 17 x $\frac{\text{Number of days on line 25} \times 3\% (0.03)}{366}$ ...   | <b>26</b> \$ | \$  | \$  | \$  |
| <b>27</b> Number of days on line 20 after 12/31/2020 and before 4/1/2021 .....   | <b>27</b>    |     |     |     |
| <b>28</b> Underpayment on line 17 x $\frac{\text{Number of days on line 27} \times 3\% (0.03)}{365}$ ...   | <b>28</b> \$ | \$  | \$  | \$  |
| <b>29</b> Number of days on line 20 after 3/31/2021 and before 7/1/2021 .....  | <b>29</b>    |     |     |     |
| <b>30</b> Underpayment on line 17 x $\frac{\text{Number of days on line 29} \times \%}{365}$ .....   | <b>30</b> \$ | \$  | \$  | \$  |
| <b>31</b> Number of days on line 20 after 6/30/2021 and before 10/1/2021 .....   | <b>31</b>    |     |     |     |
| <b>32</b> Underpayment on line 17 x $\frac{\text{Number of days on line 31} \times \%}{365}$ .....   | <b>32</b> \$ | \$  | \$  | \$  |
| <b>33</b> Number of days on line 20 after 9/30/2021 and before 1/1/2022 .....  | <b>33</b>    |     |     |     |
| <b>34</b> Underpayment on line 17 x $\frac{\text{Number of days on line 33} \times \%}{365}$ .....   | <b>34</b> \$ | \$  | \$  | \$  |
| <b>35</b> Number of days on line 20 after 12/31/2021 and before 3/16/2022 .....  | <b>35</b>    |     |     |     |
| <b>36</b> Underpayment on line 17 x $\frac{\text{Number of days on line 35} \times \%}{365}$ .....   | <b>36</b> \$ | \$  | \$  | \$  |
| <b>37</b> Add lines 22, 24, 26, 28, 30, 32, 34, and 36 .....   | <b>37</b> \$ | \$  | \$  | \$  |
| <b>38 Penalty.</b> Add columns (a) through (d) of line 37. Enter the total here and on Form 1120, line 34; or the comparable line for other income tax returns .....   | <b>38</b> \$ |     |     | 0.  |

\* Use the penalty interest rate for each calendar quarter, which the IRS will determine during the first month in the preceding quarter. These rates are published quarterly in an IRS News Release and in a revenue ruling in the Internal Revenue Bulletin. To obtain this information on the Internet, access the IRS website at [www.irs.gov](http://www.irs.gov). You can also call 1-800-829-4933 to get interest rate information.

Schedule A Adjusted Seasonal Installment Method and Annualized Income Installment Method

See instructions.

Form 1120-S filers: For lines 1, 2, 3, and 21, "taxable income" refers to excess net passive income or the amount on which tax is imposed under section 1374(a), whichever applies.

Part I Adjusted Seasonal Installment Method

Caution: Use this method only if the base period percentage for any 6 consecutive months is at least 70%. See instructions.

Table with 5 columns: (a) First 3 months, (b) First 5 months, (c) First 8 months, (d) First 11 months. Rows include taxable income for various periods (1-3), calculations for each period (4-6), and final tax calculations (7-19).

**Part II** <sup>\*\*</sup> Annualized Income Installment Method

|     |   | (a)                      | (b)                      | (c)                      | (d)                      |
|-----|---|--------------------------|--------------------------|--------------------------|--------------------------|
|     |   | First <u>2</u><br>months | First <u>3</u><br>months | First <u>6</u><br>months | First <u>9</u><br>months |
| 20  | Annualization periods (see instructions)  |                          |                          |                          |                          |
| 21  | Enter taxable income for each annualization period. See instructions for the treatment of extraordinary items                                 | 739,163.                 | 1,070,573.               | 1,910,262.               | 2,920,674.               |
| 22  | Annualization amounts (see instructions)  | 6.000000                 | 4.000000                 | 2.000000                 | 1.333330                 |
| 23a | Annualized taxable income. Multiply line 21 by line 22  | 4,434,978.               | 4,282,292.               | 3,820,524.               | 3,894,222.               |
| 23b | Extraordinary items (see instructions)  | 19,302,095.              | 28,953,142.              | 57,906,284.              | 86,859,426.              |
| 23c | Add lines 23a and 23b   | 23,737,073.              | 33,235,434.              | 61,726,808.              | 90,753,648.              |
| 24  | Figure the tax on the amount on line 23c using the instructions for Form 1120, Schedule J, line 2, or comparable line of corporation's return | 329,945.                 | 461,973.                 | 858,003.                 | 1,261,476.               |
| 25  | Enter any alternative minimum tax (trusts only) for each payment period (see instructions)  |                          |                          |                          |                          |
| 26  | Enter any other taxes for each payment period. See instr.   |                          |                          |                          |                          |
| 27  | Total tax. Add lines 24 through 26  | 329,945.                 | 461,973.                 | 858,003.                 | 1,261,476.               |
| 28  | For each period, enter the same type of credits as allowed on Form 2220, lines 1 and 2c. See instructions                                     |                          |                          |                          |                          |
| 29  | Total tax after credits. Subtract line 28 from line 27. If zero or less, enter -0-  | 329,945.                 | 461,973.                 | 858,003.                 | 1,261,476.               |
| 30  | Applicable percentage   | 25%                      | 50%                      | 75%                      | 100%                     |
| 31  | Multiply line 29 by line 30   | 82,486.                  | 230,987.                 | 643,502.                 | 1,261,476.               |

**Part III** Required Installments

|    |  | 1st         | 2nd         | 3rd         | 4th         |
|----|--|-------------|-------------|-------------|-------------|
|    |  | installment | installment | installment | installment |
|    | <b>Note:</b> Complete lines 32 through 38 of one column before completing the next column.   |             |             |             |             |
| 32 | If only Part I or Part II is completed, enter the amount in each column from line 19 or line 31. If both parts are completed, enter the <b>smaller</b> of the amounts in each column from line 19 or line 31 | 82,486.     | 230,987.    | 643,502.    | 1,261,476.  |
| 33 | Add the amounts in all preceding columns of line 38. See instructions  |             | 82,486.     | 230,987.    | 643,502.    |
| 34 | <b>Adjusted seasonal or annualized income installments.</b> Subtract line 33 from line 32. If zero or less, enter -0-  | 82,486.     | 148,501.    | 412,515.    | 617,974.    |
| 35 | Enter 25% (0.25) of line 5 on page 1 of Form 2220 in each column. <b>Note:</b> "Large corporations," see the instructions for line 10 for the amounts to enter   | 277,652.    | 1,293,297.  | 785,475.    | 785,474.    |
| 36 | Subtract line 38 of the preceding column from line 37 of the preceding column  |             | 195,166.    | 1,339,962.  | 1,712,922.  |
| 37 | Add lines 35 and 36  | 277,652.    | 1,488,463.  | 2,125,437.  | 2,498,396.  |
| 38 | <b>Required installments.</b> Enter the <b>smaller</b> of line 34 or line 37 here and on page 1 of Form 2220, line 10. See instructions  | 82,486.     | 148,501.    | 412,515.    | 617,974.    |

Form 2220 (2020)

**\*\* ANNUALIZED INCOME INSTALLMENT METHOD USING STANDARD OPTION**

FORM 990-PF

GAIN OR (LOSS) FROM SALE OF ASSETS

STATEMENT 1

| (A)<br>DESCRIPTION OF PROPERTY  | (B)<br>GROSS<br>SALES PRICE | (C)<br>COST OR<br>OTHER BASIS | (D)<br>EXPENSE OF<br>SALE | (E)<br>DEPREC. | (F)<br>GAIN OR LOSS |
|---------------------------------|-----------------------------|-------------------------------|---------------------------|----------------|---------------------|
| SENTINEL HS FOUNDATION FUND, LP | 332,273.                    | 332,273.                      | 0.                        | 0.             | 0.                  |

| (A)<br>DESCRIPTION OF PROPERTY        | (B)<br>GROSS<br>SALES PRICE | (C)<br>COST OR<br>OTHER BASIS | (D)<br>EXPENSE OF<br>SALE | (E)<br>DEPREC. | (F)<br>GAIN OR LOSS |
|---------------------------------------|-----------------------------|-------------------------------|---------------------------|----------------|---------------------|
| PRIME FINANCE CMBS B-PIECE FUND I, LP | 75,322.                     | 75,322.                       | 0.                        | 0.             | 0.                  |

| (A)<br>DESCRIPTION OF PROPERTY           | (B)<br>GROSS<br>SALES PRICE | (C)<br>COST OR<br>OTHER BASIS | (D)<br>EXPENSE OF<br>SALE | (E)<br>DEPREC. | (F)<br>GAIN OR LOSS |
|--|-----------------------------|-------------------------------|---------------------------|----------------|---------------------|
| EIGHTFOLD REAL ESTATE CAPITAL FUND V, LP | 28,719.                     | 28,719.                       | 0.                        | 0.             | 0.                  |

| (A)<br>DESCRIPTION OF PROPERTY | (B)<br>GROSS<br>SALES PRICE | (C)<br>COST OR<br>OTHER BASIS | (D)<br>EXPENSE OF<br>SALE | (E)<br>DEPREC. | (F)<br>GAIN OR LOSS |
|--------------------------------|-----------------------------|-------------------------------|---------------------------|----------------|---------------------|
| THE RISE FUND (A), LP          | 52,668.                     | 52,668.                       | 0.                        | 0.             | 0.                  |

| (A)<br>DESCRIPTION OF PROPERTY                      | (B)<br>GROSS<br>SALES PRICE | (C)<br>COST OR<br>OTHER BASIS | (D)<br>EXPENSE OF<br>SALE | (E)<br>MANNER<br>ACQUIRED<br>DEPREC. | (F)<br>DATE<br>ACQUIRED<br>GAIN OR LOSS | DATE SOLD |
|---|-----------------------------|-------------------------------|---------------------------|--------------------------------------|---|-----------|
| OCTAGON INVESTMENT GRADE CLO OPPORTUNITY FUND, LTD. | 55,632,265.                 | 55,000,000.                   | 0.                        | PURCHASED                            | 01/01/20                                | 10/31/20  |

| (A)<br>DESCRIPTION OF PROPERTY | (B)<br>GROSS<br>SALES PRICE | (C)<br>COST OR<br>OTHER BASIS | (D)<br>EXPENSE OF<br>SALE | (E)<br>MANNER<br>ACQUIRED<br>DEPREC. | (F)<br>DATE<br>ACQUIRED<br>GAIN OR LOSS | DATE SOLD |
|--------------------------------|-----------------------------|-------------------------------|---------------------------|--------------------------------------|---|-----------|
| PUBLICLY TRADED SECURITIES     | 92,877,000.                 | 92,879,688.                   | 0.                        | PURCHASED                            | 01/01/20                                | 10/31/20  |

|                                       |  |  |  |  |  |          |
|---------------------------------------|--|--|--|--|--|----------|
| CAPITAL GAINS DIVIDENDS FROM PART IV  |  |  |  |  |  | 0.       |
| TOTAL TO FORM 990-PF, PART I, LINE 6A |  |  |  |  |  | 629,577. |

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 2

| SOURCE                  | (A)<br>REVENUE<br>PER BOOKS | (B)<br>NET INVESTMENT<br>INCOME | (C)<br>ADJUSTED<br>NET INCOME |
|-------------------------|-----------------------------|---------------------------------|-------------------------------|
| INTEREST INCOME         | 1,574,560.                  | 1,574,560.                      |                               |
| TOTAL TO PART I, LINE 3 | 1,574,560.                  | 1,574,560.                      |                               |



FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 3

| SOURCE                                       | GROSS AMOUNT | CAPITAL GAINS DIVIDENDS | (A) REVENUE PER BOOKS | (B) NET INVESTMENT INCOME | (C) ADJUSTED NET INCOME |
|--|--------------|-------------------------|-----------------------|---------------------------|-------------------------|
| JP MORGAN PASSTHROUGH DIVIDENDS AND INTEREST | 21,943.      | 0.                      | 21,943.               | 21,943.                   |                         |
|  | 0.           | 0.                      | 0.                    | 11,376,854.               |                         |
| TO PART I, LINE 4                            | 21,943.      | 0.                      | 21,943.               | 11,398,797.               |                         |

FORM 990-PF OTHER INCOME STATEMENT 4

| DESCRIPTION                               | (A) REVENUE PER BOOKS | (B) NET INVESTMENT INCOME | (C) ADJUSTED NET INCOME |
|---|-----------------------|---------------------------|-------------------------|
| OTHER PASSTHROUGH INCOME SUBPART F INCOME | 0.                    | 716,478.                  |                         |
| SECTION 951A GILTI                        | 138,500,375.          | 214,964,876.              |                         |
| TOTAL TO FORM 990-PF, PART I, LINE 11     | 138,500,375.          | 216,483,382.              |                         |

FORM 990-PF LEGAL FEES STATEMENT 5

| DESCRIPTION                | (A) EXPENSES PER BOOKS | (B) NET INVESTMENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|----------------------------|------------------------|---------------------------|-------------------------|-------------------------|
| LEGAL FEES                 | 339,408.               | 0.                        |                         | 392,385.                |
| TO FM 990-PF, PG 1, LN 16A | 339,408.               | 0.                        |                         | 392,385.                |

FORM 990-PF ACCOUNTING FEES STATEMENT 6

| DESCRIPTION                  | (A) EXPENSES PER BOOKS | (B) NET INVESTMENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|------------------------------|------------------------|---------------------------|-------------------------|-------------------------|
| ACCOUNTING FEES              | 162,987.               | 0.                        |                         | 108,908.                |
| TO FORM 990-PF, PG 1, LN 16B | 162,987.               | 0.                        |                         | 108,908.                |

FORM 990-PF

OTHER PROFESSIONAL FEES

STATEMENT 7

| DESCRIPTION                  | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| HONORARIA                    | 99,500.                      | 0.                                |                               | 98,500.                       |
| COMMUNICATIONS               | 122,028.                     | 0.                                |                               | 124,028.                      |
| PROGRAM CONSULTING           | 476,390.                     | 0.                                |                               | 536,043.                      |
| HUMAN RESOURCE               | 152,475.                     | 0.                                |                               | 107,652.                      |
| IT CONSULTANT                | 169,486.                     | 0.                                |                               | 167,831.                      |
| INVESTMENT MANAGEMENT FEES   | 1,342,018.                   | 1,342,018.                        |                               | 0.                            |
| TO FORM 990-PF, PG 1, LN 16C | 2,361,897.                   | 1,342,018.                        |                               | 1,034,054.                    |

FORM 990-PF

TAXES

STATEMENT 8

| DESCRIPTION                 | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| STATE TAXES & FEES          | 300.                         | 0.                                |                               | 300.                          |
| FEDERAL EXCISE TAXES        | 3,546,000.                   | 0.                                |                               | 0.                            |
| TO FORM 990-PF, PG 1, LN 18 | 3,546,300.                   | 0.                                |                               | 300.                          |

FORM 990-PF

OTHER EXPENSES

STATEMENT 9

| DESCRIPTION                                | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|--|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| INSURANCE                                  | 62,550.                      | 0.                                |                               | 53,702.                       |
| OFFICE SUPPLIES & EXPENSES                 | 38,783.                      | 0.                                |                               | 39,258.                       |
| OFFICE EQUIPMENT                           | 44,237.                      | 0.                                |                               | 44,237.                       |
| SOFTWARE                                   | 247,245.                     | 0.                                |                               | 247,428.                      |
| MEMBERSHIPS                                | 43,479.                      | 0.                                |                               | 43,147.                       |
| TEMPORARY PERSONNEL                        | 18,529.                      | 0.                                |                               | 20,457.                       |
| DIRECT CHARITABLE ACTIVITY<br>EXPENDITURES | 2,668,657.                   | 0.                                |                               | 2,849,704.                    |
| PASSTHROUGH EXPENSES                       | 0.                           | 2,078,872.                        |                               | 0.                            |
| TO FORM 990-PF, PG 1, LN 23                | 3,123,480.                   | 2,078,872.                        |                               | 3,297,933.                    |

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FORM 990-PF      OTHER INCREASES IN NET ASSETS OR FUND BALANCES      STATEMENT 10

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| DESCRIPTION                            | AMOUNT       |
|--|--------------|
| UNREALIZED GAINS ON INVESTMENTS        | 120,700,759. |
| GRANTS RESCINDED/FUNDS RETURNED        | 1,574,764.   |
| <br>                                   | <br>         |
| TOTAL TO FORM 990-PF, PART III, LINE 3 | 122,275,523. |

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FORM 990-PF                                      CORPORATE BONDS                                      STATEMENT 11

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| DESCRIPTION                             | BOOK VALUE  | FAIR MARKET VALUE |
|---|-------------|-------------------|
| THE KROGER CO.                          | 1,311,662.  | 1,311,662.        |
| MORGAN STANLEY                          | 2,978,119.  | 2,978,119.        |
| AMERICAN INTERNTN                       | 1,784,133.  | 1,784,133.        |
| EDISON INTERNATIONAL                    | 3,041,705.  | 3,041,705.        |
| ELECTRONIC ARTS                         | 1,014,069.  | 1,014,069.        |
| AON PLC                                 | 2,022,357.  | 2,022,357.        |
| XCEL ENTERGY INC.                       | 2,310,621.  | 2,310,621.        |
| KEYCORP                                 | 1,024,488.  | 1,024,488.        |
| WELLS FARGO & CO.                       | 1,020,973.  | 1,020,973.        |
| THE GOLDMAN SAC                         | 891,786.    | 891,786.          |
| ABBVIE INC.                             | 1,967,448.  | 1,967,448.        |
| DR. PEPPER SNAPP                        | 3,094,886.  | 3,094,886.        |
| CVS HEALTH CORP                         | 1,209,631.  | 1,209,631.        |
| ENTERGY TEXAS                           | 2,102,622.  | 2,102,622.        |
| EQUIFAX INC                             | 1,058,338.  | 1,058,338.        |
| LAM RESEARCH CORP                       | 3,535,579.  | 3,535,579.        |
| PSEG POWER                              | 2,971,864.  | 2,971,864.        |
| THE TJX COMPANIES                       | 1,828,357.  | 1,828,357.        |
| O'REILLY AUTO                           | 3,100,695.  | 3,100,695.        |
| CIGNA CORP                              | 3,094,441.  | 3,094,441.        |
| CITIGROUP                               | 3,069,128.  | 3,069,128.        |
| HP                                      | 1,696,398.  | 1,696,398.        |
| <br>                                    | <br>        | <br>              |
| TOTAL TO FORM 990-PF, PART II, LINE 10C | 46,129,300. | 46,129,300.       |

| FORM 990-PF  | OTHER INVESTMENTS | STATEMENT 12 |                   |
|--|-------------------|--------------|-------------------|
| DESCRIPTION  | VALUATION METHOD  | BOOK VALUE   | FAIR MARKET VALUE |
| MEDALLION CAPITAL INVESTMENTS, LTD.                  | FMV               | 300,882,914. | 300,882,914.      |
| SENTINEL HS FOUNDATION FUND, LP                      | FMV               | 137,697,042. | 137,697,042.      |
| RENAISSANCE INSTITUTIONAL DIVERSIFIED ALPHA FUND LLC | FMV               | 23,090,196.  | 23,090,196.       |
| MEDLEY HS F LLC                                      | FMV               | 30,327,878.  | 30,327,878.       |
| TOTAL TO FORM 990-PF, PART II, LINE 13               |                   | 491,998,030. | 491,998,030.      |

| FORM 990-PF                        | DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT | STATEMENT 13             |            |
|------------------------------------|--|--------------------------|------------|
| DESCRIPTION                        | COST OR OTHER BASIS                            | ACCUMULATED DEPRECIATION | BOOK VALUE |
| FURNITURE                          | 1,213,728.                                     | 692,486.                 | 521,242.   |
| COMPUTER EQUIPMENT                 | 837,896.                                       | 548,781.                 | 289,115.   |
| ARTWORK                            | 382,933.                                       | 143,066.                 | 239,867.   |
| TENANT IMPROVEMENTS                | 7,157,226.                                     | 2,357,118.               | 4,800,108. |
| TOTAL TO FM 990-PF, PART II, LN 14 | 9,591,783.                                     | 3,741,451.               | 5,850,332. |

| FORM 990-PF                      | OTHER ASSETS               | STATEMENT 14           |                   |
|----------------------------------|----------------------------|------------------------|-------------------|
| DESCRIPTION                      | BEGINNING OF YR BOOK VALUE | END OF YEAR BOOK VALUE | FAIR MARKET VALUE |
| SECURITY DEPOSIT                 | 143,011.                   | 143,011.               | 143,011.          |
| FUNDS IN TRANSIT                 | 37,627,853.                | 39,915,960.            | 39,915,960.       |
| LOAN RECEIVABLE                  | 2,281,165.                 | 2,406,164.             | 2,406,164.        |
| TO FORM 990-PF, PART II, LINE 15 | 40,052,029.                | 42,465,135.            | 42,465,135.       |

| FORM 990-PF                            | OTHER LIABILITIES | STATEMENT 15 |  |
|--|-------------------|--------------|--|
| DESCRIPTION                            | BOY AMOUNT        | EOY AMOUNT   |  |
| DEFERRED TAX LIABILITY                 | 2,483,000.        | 4,078,000.   |  |
| DIRECT CHARITABLE ACTIVITIES PAYABLE   | 2,684,067.        | 2,640,152.   |  |
| TOTAL TO FORM 990-PF, PART II, LINE 22 | 5,167,067.        | 6,718,152.   |  |

FORM 990-PF

LIST OF SUBSTANTIAL CONTRIBUTORS  
PART VII-A, LINE 10

STATEMENT 16

NAME OF CONTRIBUTOR

ADDRESS

HEISING-SIMONS FOUNDATION  
INTERNATIONAL

BUTTERFIELD TRUST (BERMUDA) LIMITED,  
ROSEBANK CENTER, 11 BERMUDIANA RD.  
PEMBROKE, BERMUDA HM08

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS  
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 17

| NAME AND ADDRESS  | TITLE AND<br>AVRG HRS/WK                        | COMPEN-<br>SATION | EMPLOYEE<br>BEN PLAN<br>CONTRIB | EXPENSE<br>ACCOUNT |
|---|---|-------------------|---------------------------------|--------------------|
| DEANNA GOMBY<br>400 MAIN STREET, SUITE 200<br>LOS ALTOS, CA 94022       | PRESIDENT AND CEO<br>32.00                      | 509,249.          | 83,194.                         | 0.                 |
| JEFFREY P. MALLOY<br>400 MAIN STREET, SUITE 200<br>LOS ALTOS, CA 94022  | COO AND TREASURER<br>34.00                      | 385,531.          | 87,403.                         | 0.                 |
| BRIAN EULE<br>400 MAIN STREET, SUITE 200<br>LOS ALTOS, CA 94022         | DIRECTOR OF COMMUNICATIONS & SECRETARY<br>36.00 | 301,262.          | 64,696.                         | 0.                 |
| ELIZABETH D SIMONS<br>400 MAIN STREET, SUITE 200<br>LOS ALTOS, CA 94022 | CHAIR OF THE BOARD<br>20.00                     | 0.                | 0.                              | 0.                 |
| CAITLIN HEISING<br>400 MAIN STREET, SUITE 200<br>LOS ALTOS, CA 94022    | VICE CHAIR OF THE BOARD<br>5.00                 | 0.                | 0.                              | 0.                 |
| MARK W HEISING<br>400 MAIN STREET, SUITE 200<br>LOS ALTOS, CA 94022     | VICE CHAIR OF THE BOARD<br>5.00                 | 0.                | 0.                              | 0.                 |
| TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII                            |   | 1,196,042.        | 235,293.                        | 0.                 |

FORM 990-PF

EXPENDITURE RESPONSIBILITY STATEMENT  
PART VII-B, LINE 5C

STATEMENT 18

GRANTEE'S NAME

LAS CUMBRES OBSERVATORY GLOBAL TELESCOPE NETWORK INC.

GRANTEE'S ADDRESS6740 CORTONA DRIVE, SUITE 102  
GOLETA, CA 93117-5575

| <u>GRANT AMOUNT</u> | <u>DATE OF GRANT</u> | <u>AMOUNT EXPENDED</u> | <u>VERIFICATION DATE</u> |
|---------------------|----------------------|------------------------|--------------------------|
| 1,000,000.          | 08/27/17             | 1,000,000.             | 01/20/20                 |

PURPOSE OF GRANTFOR THE CONSTRUCTION, INSTALLATION, AND COMMISSIONING OF A NEW 1-METER  
TELESCOPE AT THE MCDONALD OBSERVATORY, AND ITS INTEGRATION INTO THE LAS  
CUMBRES OBSERVATORY NETWORK OF TELESCOPESDATES OF REPORTS BY GRANTEE

12/19/2017, 1/23/2018, 3/27/2019, 11/22/2019

ANY DIVERSION BY GRANTEE

NONE

RESULTS OF VERIFICATION

ALL EXPENDITURES WERE MADE FOR PROPER PURPOSES.

GRANTEE'S NAME

MATHEMATICA POLICY RESEARCH, INC.

GRANTEE'S ADDRESS

P.O. BOX 2393  
PRINCETON, NJ 08543

| <u>GRANT AMOUNT</u> | <u>DATE OF GRANT</u> | <u>AMOUNT EXPENDED</u> | <u>VERIFICATION DATE</u> |
|---------------------|----------------------|------------------------|--------------------------|
| 497,932.            | 09/01/18             | 497,932.               | 10/30/20                 |

PURPOSE OF GRANT

FOR AN IMPLEMENTATION STUDY OF THE SCALE UP OF TWO FAMILY ENGAGEMENT PROGRAMS

DATES OF REPORTS BY GRANTEE

2/8/2019, 8/2/2019, 9/30/2020

ANY DIVERSION BY GRANTEE

NONE

RESULTS OF VERIFICATION

ALL EXPENDITURES WERE MADE FOR PROPER PURPOSES.

GRANTEE'S NAME

NELSON MULLINS RILEY & SCARBOROUGH LLP

GRANTEE'S ADDRESS

101 CONSTITUTION AVE NW SUITE 900 NW  
WASHINGTON, DC 20001

| <u>GRANT AMOUNT</u> | <u>DATE OF GRANT</u> | <u>AMOUNT EXPENDED</u> | <u>VERIFICATION DATE</u> |
|---------------------|----------------------|------------------------|--------------------------|
| 650,000.            | 02/07/18             | 650,000.               | 05/07/20                 |

PURPOSE OF GRANT

TO LEVERAGE THE OPPORTUNITIES WITHIN ESSA TO BUILD BIRTH-TO-THIRD GRADE SYSTEMS AT THE STATE AND DISTRICT LEVEL

DATES OF REPORTS BY GRANTEE

1/16/2019, 4/15/2020

ANY DIVERSION BY GRANTEE

NONE

RESULTS OF VERIFICATION

ALL EXPENDITURES WERE MADE FOR PROPER PURPOSES.



GRANTEE'S NAME

UPTRUST, INC.

GRANTEE'S ADDRESS

3981 25TH STREET  
SAN FRANCISCO, CA 94114

| <u>GRANT AMOUNT</u> | <u>DATE OF GRANT</u> | <u>AMOUNT EXPENDED</u> | <u>VERIFICATION DATE</u> |
|---------------------|----------------------|------------------------|--------------------------|
| 100,000.            | 04/20/18             | 100,000.               | 03/09/20                 |

PURPOSE OF GRANT

FOR A TWO-YEAR PILOT TO REDUCE THE NUMBER OF FAILURE TO APPEAR CASES IN  
SELECT CALIFORNIA COUNTIES

DATES OF REPORTS BY GRANTEE

9/1/2018, 1/31/2019, 1/31/2020

ANY DIVERSION BY GRANTEE

NONE

RESULTS OF VERIFICATION

ALL EXPENDITURES WERE MADE FOR PROPER PURPOSES.

GRANTEE'S NAME

LAS CUMBRES OBSERVATORY GLOBAL TELESCOPE NETWORK INC.

GRANTEE'S ADDRESS

6740 CORTONA DRIVE, SUITE 102  
GOLETA, CA 93117-5575

| <u>GRANT AMOUNT</u> | <u>DATE OF GRANT</u> | <u>AMOUNT EXPENDED</u> | <u>VERIFICATION DATE</u> |
|---------------------|----------------------|------------------------|--------------------------|
| 650,000.            | 08/09/18             | 541,863.               | 07/22/20                 |

PURPOSE OF GRANT

FOR THE DEVELOPMENT OF A TARGET AND OBSERVATION MANAGEMENT SOFTWARE TOOLKIT  
FOR THE TIME DOMAIN ASTRONOMY COMMUNITY

DATES OF REPORTS BY GRANTEE

2/28/2019, 6/30/2020

ANY DIVERSION BY GRANTEE

NONE

RESULTS OF VERIFICATION

ALL EXPENDITURES WERE MADE FOR PROPER PURPOSES.

GRANTEE'S NAME

ROSENBERG FOUNDATION

GRANTEE'S ADDRESS

131 STEUART STREET  
SAN FRANCISCO, CA 94105

| <u>GRANT AMOUNT</u> | <u>DATE OF GRANT</u> | <u>AMOUNT EXPENDED</u> | <u>VERIFICATION DATE</u> |
|---------------------|----------------------|------------------------|--------------------------|
| 250,000.            | 07/04/18             | 83,334.                | 03/04/20                 |

PURPOSE OF GRANT

FOR ONE, THREE-YEAR, LEADING EDGE FELLOW IN CALIFORNIA

DATES OF REPORTS BY GRANTEE

1/29/2020

ANY DIVERSION BY GRANTEE

NONE

RESULTS OF VERIFICATION

ALL EXPENDITURES WERE MADE FOR PROPER PURPOSES.

GRANTEE'S NAME

THE EDNA MCCONNELL CLARK FOUNDATION

GRANTEE'S ADDRESS

415 MADISON AVE, TENTH FLOOR  
NEW YORK, NY 10017

| <u>GRANT AMOUNT</u> | <u>DATE OF GRANT</u> | <u>AMOUNT EXPENDED</u> | <u>VERIFICATION DATE</u> |
|---------------------|----------------------|------------------------|--------------------------|
| 200,000.            | 07/20/18             | 200,000.               | 12/23/20                 |

PURPOSE OF GRANT

FOR CAPACITY BUILDING FOR TWO PROPELNEXT GRANTEES

DATES OF REPORTS BY GRANTEE

5/6/2019, 11/6/2019, 11/15/2019, 6/18/2020, 12/8/2020, 12/16/2020

ANY DIVERSION BY GRANTEE

NONE

RESULTS OF VERIFICATION

ALL EXPENDITURES WERE MADE FOR PROPER PURPOSES.

GRANTEE'S NAME

JAMES BELL ASSOCIATES

GRANTEE'S ADDRESS

3033 WILSON BLVD., SUITE 650  
ARLINGTON, VA 22201

| <u>GRANT AMOUNT</u> | <u>DATE OF GRANT</u> | <u>AMOUNT EXPENDED</u> | <u>VERIFICATION DATE</u> |
|---------------------|----------------------|------------------------|--------------------------|
| 1,900,000.          | 11/27/18             | 420,709.               | 12/16/19                 |

PURPOSE OF GRANT

TO SUPPORT THE NATIONAL HOME VISITING RESOURCE CENTER TO COLLECT, ANALYZE, AND DISSEMINATE INFORMATION AND RESEARCH ABOUT HOME VISITING PROGRAMS TO DESCRIBE THE CURRENT STATE OF THE FIELD AND ULTIMATELY INFORM POLICY AND PROGRAM IMPROVEMENTS

DATES OF REPORTS BY GRANTEE

11/26/2019

ANY DIVERSION BY GRANTEE

NONE

RESULTS OF VERIFICATION

ALL EXPENDITURES WERE MADE FOR PROPER PURPOSES.

GRANTEE'S NAME

AEM CORPORATION

GRANTEE'S ADDRESS

760 PASEO CAMARILLO  
CAMARILLO, CA 93010

| <u>GRANT AMOUNT</u> | <u>DATE OF GRANT</u> | <u>AMOUNT EXPENDED</u> | <u>VERIFICATION DATE</u> |
|---------------------|----------------------|------------------------|--------------------------|
| 199,318.            | 01/25/19             | 199,318.               | 05/06/20                 |

PURPOSE OF GRANT

TO REVIEW MILITARY CHILD DEVELOPMENT PROGRAM

DATES OF REPORTS BY GRANTEE

3/24/2020

ANY DIVERSION BY GRANTEE

NONE

RESULTS OF VERIFICATION

ALL EXPENDITURES WERE MADE FOR PROPER PURPOSES.

GRANTEE'S NAME

CALIFORNIA STRATEGIES, LLC

GRANTEE'S ADDRESS

980 9TH STREET, SUITE 2000  
SACRAMENTO, CA 95814

| <u>GRANT AMOUNT</u> | <u>DATE OF GRANT</u> | <u>AMOUNT EXPENDED</u> | <u>VERIFICATION DATE</u> |
|---------------------|----------------------|------------------------|--------------------------|
| 45,000.             | 03/01/19             | 45,000.                | 03/13/20                 |

PURPOSE OF GRANT

TO STRENGTHEN COMMUNICATIONS AND MEDIA RELATIONS WORK OF THE EARLY CARE AND EDUCATION COALITION TO ADVANCE POLICY AND BUDGET ADVOCACY EFFORTS IN CALIFORNIA

DATES OF REPORTS BY GRANTEE

12/9/2019

ANY DIVERSION BY GRANTEE

NONE

RESULTS OF VERIFICATION

ALL EXPENDITURES WERE MADE FOR PROPER PURPOSES.

GRANTEE'S NAME

PARENTS AS TEACHERS NATIONAL CENTER, INC

GRANTEE'S ADDRESS

2228 BALL DRIVE  
SAINT LOUIS, MO 96346

| <u>GRANT AMOUNT</u> | <u>DATE OF GRANT</u> | <u>AMOUNT EXPENDED</u> | <u>VERIFICATION DATE</u> |
|---------------------|----------------------|------------------------|--------------------------|
| 60,000.             | 04/19/19             | 60,000.                | 10/12/20                 |

PURPOSE OF GRANT

FOR THE NATIONAL HOME VISITING MODELS ALLIANCE

DATES OF REPORTS BY GRANTEE

9/30/2019, 10/12/2020

ANY DIVERSION BY GRANTEE

NONE

RESULTS OF VERIFICATION

ALL EXPENDITURES WERE MADE FOR PROPER PURPOSES.



GRANTEE'S NAME

ROSENBERG FOUNDATION

GRANTEE'S ADDRESS

131 STEUART STREET  
SAN FRANCISCO, CA 94105

| <u>GRANT AMOUNT</u> | <u>DATE OF GRANT</u> | <u>AMOUNT EXPENDED</u> | <u>VERIFICATION DATE</u> |
|---------------------|----------------------|------------------------|--------------------------|
| 50,000.             | 02/25/19             | 50,000.                | 03/30/20                 |

PURPOSE OF GRANT

FOR THE JUSTICE AND FREEDOM FOR ALL 2019 STATEWIDE SUMMIT ON CRIMINAL JUSTICE REFORM

DATES OF REPORTS BY GRANTEE

3/9/2020

ANY DIVERSION BY GRANTEE

NONE

RESULTS OF VERIFICATION

ALL EXPENDITURES WERE MADE FOR PROPER PURPOSES.

GRANTEE'S NAME

THE GLEN PRICE GROUP

GRANTEE'S ADDRESS

719 EL CERRITO PLAZA  
EL CERRITO, CA 94530

| <u>GRANT AMOUNT</u> | <u>DATE OF GRANT</u> | <u>AMOUNT EXPENDED</u> | <u>VERIFICATION DATE</u> |
|---------------------|----------------------|------------------------|--------------------------|
| 410,190.            | 05/05/19             | 245,032.               | 04/28/20                 |

PURPOSE OF GRANT

FOR CONSULTING SUPPORT FOR WORKFORCE PLANNING IN CALIFORNIA

DATES OF REPORTS BY GRANTEE

4/17/2020

ANY DIVERSION BY GRANTEE

NONE

RESULTS OF VERIFICATION

ALL EXPENDITURES WERE MADE FOR PROPER PURPOSES.

GRANTEE'S NAME

RHODIUM GROUP, LLC

GRANTEE'S ADDRESS

5 COLUMBUS CIRCLE, SUITE 1801  
NEW YORK, NY 10019

| <u>GRANT AMOUNT</u> | <u>DATE OF GRANT</u> | <u>AMOUNT EXPENDED</u> | <u>VERIFICATION DATE</u> |
|---------------------|----------------------|------------------------|--------------------------|
| 350,000.            | 06/05/19             | 350,000.               | 12/10/20                 |

PURPOSE OF GRANT

TO COMMUNICATE THE IMPACTS OF CLIMATE CHANGE

DATES OF REPORTS BY GRANTEE

1/10/2020, 6/30/2020

ANY DIVERSION BY GRANTEE

NONE

RESULTS OF VERIFICATION

ALL EXPENDITURES WERE MADE FOR PROPER PURPOSES.

GRANTEE'S NAME

EDUCATION FIRST CONSULTING LLC

GRANTEE'S ADDRESS

P.O. BOX 22871  
SEATTLE, WA 98122

| <u>GRANT AMOUNT</u> | <u>DATE OF GRANT</u> | <u>AMOUNT EXPENDED</u> | <u>VERIFICATION DATE</u> |
|---------------------|----------------------|------------------------|--------------------------|
| 422,804.            | 06/09/19             | 399,378.               | 12/01/20                 |

PURPOSE OF GRANT

TO SUPPORT THE FAMILY MATH ROADMAP YEAR TWO

DATES OF REPORTS BY GRANTEE

1/30/2020, 10/28/2020

ANY DIVERSION BY GRANTEE

NONE

RESULTS OF VERIFICATION

ALL EXPENDITURES WERE MADE FOR PROPER PURPOSES.

GRANTEE'S NAME

PARENTS AS TEACHERS NATIONAL CENTER, INC

GRANTEE'S ADDRESS

2228 BALL DRIVE  
SAINT LOUIS, MO 96346

| <u>GRANT AMOUNT</u> | <u>DATE OF GRANT</u> | <u>AMOUNT EXPENDED</u> | <u>VERIFICATION DATE</u> |
|---------------------|----------------------|------------------------|--------------------------|
| 23,000.             | 07/16/19             | 23,000.                | 02/25/20                 |

PURPOSE OF GRANT

FOR SUSTAINABILITY PLANNING

DATES OF REPORTS BY GRANTEE

1/31/2020

ANY DIVERSION BY GRANTEE

NONE

RESULTS OF VERIFICATION

ALL EXPENDITURES WERE MADE FOR PROPER PURPOSES.

GRANTEE'S NAME

THE GLEN PRICE GROUP

GRANTEE'S ADDRESS

719 EL CERRITO PLAZA  
EL CERRITO, CA 94530

GRANT AMOUNT

55,275.

DATE OF GRANT

10/11/19

AMOUNT EXPENDED

55,275.

VERIFICATION DATE

05/04/20

PURPOSE OF GRANT

TO PROJECT MANAGE THE CALIFORNIA PRESCHOOL DEVELOPMENT GRANT BIRTH THROUGH FIVE RENEWAL GRANT

DATES OF REPORTS BY GRANTEE

4/17/2020

ANY DIVERSION BY GRANTEE

NONE

RESULTS OF VERIFICATION

ALL EXPENDITURES WERE MADE FOR PROPER PURPOSES.

GRANTEE'S NAME

ARABELLA ADVISORS

GRANTEE'S ADDRESS

1201 CONNECTICUT AVE NW, SUITE 300  
WASHINGTON, DC 20036

| <u>GRANT AMOUNT</u> | <u>DATE OF GRANT</u> | <u>AMOUNT EXPENDED</u> | <u>VERIFICATION DATE</u> |
|---------------------|----------------------|------------------------|--------------------------|
| 150,000.            | 12/05/19             | 150,000.               | 10/20/20                 |

PURPOSE OF GRANT

TO DEVELOP A PLAN FOR A PROFESSIONAL DEVELOPMENT PLATFORM FOR ECE  
PROFESSIONALS IN CALIFORNIA

DATES OF REPORTS BY GRANTEE

3/31/2020, 9/30/2020

ANY DIVERSION BY GRANTEE

NONE

RESULTS OF VERIFICATION

ALL EXPENDITURES WERE MADE FOR PROPER PURPOSES.

GRANTEE'S NAME

THE CHILDREN'S FUNDING PROJECT

GRANTEE'S ADDRESS

1875 CONNECTICUT AVENUE NORTHWEST  
WASHINGTON, DC 20009

| <u>GRANT AMOUNT</u> | <u>DATE OF GRANT</u> | <u>AMOUNT EXPENDED</u> | <u>VERIFICATION DATE</u> |
|---------------------|----------------------|------------------------|--------------------------|
| 190,000.            | 12/11/19             | 71,028.                | 05/04/20                 |

PURPOSE OF GRANT

TO FIND, ALIGN, GENERATE AND ACTIVATE STATE AND LOCAL FUNDING FOR ECE

DATES OF REPORTS BY GRANTEE

3/30/2020

ANY DIVERSION BY GRANTEE

NONE

RESULTS OF VERIFICATION

ALL EXPENDITURES WERE MADE FOR PROPER PURPOSES.



GRANTEE'S NAME

EDUCATION FIRST CONSULTING LLC

GRANTEE'S ADDRESS

P.O. BOX 22871  
SEATTLE, WA 98122

| <u>GRANT AMOUNT</u> | <u>DATE OF GRANT</u> | <u>AMOUNT EXPENDED</u> |
|---------------------|----------------------|------------------------|
| 176,515.            | 06/29/20             |                        |

PURPOSE OF GRANT

TO SUPPORT THE FAMILY MATH ROADMAP YEAR 3

RESULTS OF VERIFICATION

THE FOUNDATION EXPECTS TO RECEIVE THE REPORT WITHIN A REASONABLE PERIOD OF TIME.

GRANTEE'S NAME

NELSON MULLINS RILEY & SCARBOROUGH LLP

GRANTEE'S ADDRESS

101 CONSTITUTION AVE NW SUITE 900 NW  
WASHINGTON, DC 20001

| <u>GRANT AMOUNT</u> | <u>DATE OF GRANT</u> | <u>AMOUNT EXPENDED</u> |
|---------------------|----------------------|------------------------|
| 650,000.            | 02/02/20             |                        |

PURPOSE OF GRANT

TO ADVANCE BIRTH -TO-THIRD-GRADE EARLY LEARNING SYSTEMS

RESULTS OF VERIFICATION

THE FOUNDATION EXPECTS TO RECEIVE THE REPORT WITHIN A REASONABLE PERIOD OF TIME.

GRANTEE'S NAME

NELSON MULLINS RILEY & SCARBOROUGH LLP

GRANTEE'S ADDRESS

101 CONSTITUTION AVE NW SUITE 900 NW  
WASHINGTON, DC 20001

| <u>GRANT AMOUNT</u> | <u>DATE OF GRANT</u> | <u>AMOUNT EXPENDED</u> |
|---------------------|----------------------|------------------------|
| 200,000.            | 02/14/20             |                        |

PURPOSE OF GRANT

TO ADVANCE EDUCATION EQUITY AND CIVIL RIGHTS FOR YOUNG CHILDREN PRE-K TO GRADE 3 IN THE NEXT PRESIDENTIAL ADMINISTRATION

RESULTS OF VERIFICATION

THE FOUNDATION EXPECTS TO RECEIVE THE REPORT WITHIN A REASONABLE PERIOD OF TIME.

GRANTEE'S NAME

LAS CUMBRES OBSERVATORY GLOBAL TELESCOPE NETWORK INC.

GRANTEE'S ADDRESS

6740 CORTONA DRIVE, SUITE 102  
GOLETA, CA 93117-5575

| <u>GRANT AMOUNT</u> | <u>DATE OF GRANT</u> | <u>AMOUNT EXPENDED</u> |
|---------------------|----------------------|------------------------|
| 499,696.            | 03/14/20             |                        |

PURPOSE OF GRANT

FOR THE DEVELOPMENT OF AN OPEN SOURCE OBSERVATORY CONTROL SYSTEM

RESULTS OF VERIFICATION

THE FOUNDATION EXPECTS TO RECEIVE THE REPORT WITHIN A REASONABLE PERIOD OF TIME.

GRANTEE'S NAME

IN CLASS TODAY INC.

GRANTEE'S ADDRESS

303 TWIN DOLPHIN DRIVE, SUITE 600, REDWOOD CITY, CA 94065  
REDWOOD CITY, CA 94065

| <u>GRANT AMOUNT</u> | <u>DATE OF GRANT</u> | <u>AMOUNT EXPENDED</u> | <u>VERIFICATION DATE</u> |
|---------------------|----------------------|------------------------|--------------------------|
| 294,600.            | 03/31/20             | 266,000.               | 06/12/20                 |

PURPOSE OF GRANT

TO PROVIDE SCHOOL DISTRICTS WITH ACCURATE CONTACT INFORMATION FOR  
DISCONNECTED FAMILIES

DATES OF REPORTS BY GRANTEE

6/1/2020

ANY DIVERSION BY GRANTEE

NONE

RESULTS OF VERIFICATION

ALL EXPENDITURES WERE MADE FOR PROPER PURPOSES.

GRANTEE'S NAME

THE GLEN PRICE GROUP

GRANTEE'S ADDRESS

719 EL CERRITO PLAZA  
EL CERRITO, CA 94530

| <u>GRANT AMOUNT</u> | <u>DATE OF GRANT</u> | <u>AMOUNT EXPENDED</u> |
|---------------------|----------------------|------------------------|
| 195,000.            | 03/04/20             |                        |

PURPOSE OF GRANT

FOR TRANSITION PLANNING SUPPORT FOR THE PROPOSED CALIFORNIA DEPARTMENT OF  
EARLY CHILDHOOD DEVELOPMENT

RESULTS OF VERIFICATION

THIS GRANT WAS AWARDED AND CANCELLED IN 2020

GRANTEE'S NAME

RHODIUM GROUP, LLC

GRANTEE'S ADDRESS

5 COLUMBUS CIRCLE, SUITE 1801  
NEW YORK, NY 10019

| <u>GRANT AMOUNT</u> | <u>DATE OF GRANT</u> | <u>AMOUNT EXPENDED</u> |
|---------------------|----------------------|------------------------|
| 500,000.            | 06/02/20             |                        |

PURPOSE OF GRANT

TO COMMUNICATE THE IMPACTS OF CLIMATE CHANGE

RESULTS OF VERIFICATION

THE FOUNDATION EXPECTS TO RECEIVE THE REPORT WITHIN A REASONABLE PERIOD OF TIME.

GRANTEE'S NAME

THE GLEN PRICE GROUP

GRANTEE'S ADDRESS

719 EL CERRITO PLAZA  
EL CERRITO, CA 94530

GRANT AMOUNT

120,000.

DATE OF GRANT

04/28/20

AMOUNT EXPENDED

VERIFICATION DATE

11/13/20

PURPOSE OF GRANT

FOR APPLIED RESEARCH, POLICY ANALYSIS, PROJECT MANAGEMENT, FACILITATION,  
AND OTHER DUTIES RELATED TO RESPONDING TO COVID-19

DATES OF REPORTS BY GRANTEE

10/29/2020

ANY DIVERSION BY GRANTEE

NONE

RESULTS OF VERIFICATION

ALL EXPENDITURES WERE MADE FOR PROPER PURPOSES.



GRANTEE'S NAME

THE GLEN PRICE GROUP

GRANTEE'S ADDRESS

719 EL CERRITO PLAZA  
EL CERRITO, CA 94530

| <u>GRANT AMOUNT</u> | <u>DATE OF GRANT</u> | <u>AMOUNT EXPENDED</u> |
|---------------------|----------------------|------------------------|
| 195,000.            | 08/21/20             |                        |

PURPOSE OF GRANT

FOR THE TRANSITION OF CHILD CARE AND EARLY LEARNING PROGRAMS TO THE CALIFORNIA DEPARTMENT OF SOCIAL SERVICES

RESULTS OF VERIFICATION

THE FOUNDATION EXPECTS TO RECEIVE THE REPORT WITHIN A REASONABLE PERIOD OF TIME.

GRANTEE'S NAME

MATHTALK PBC

GRANTEE'S ADDRESS

99 BISHOP ALLEN DRIVE, CAMBRIDGE, MA 02139  
CAMBRIDGE, MA 02139

GRANT AMOUNT                      DATE OF GRANT                      AMOUNT EXPENDED

600,240.                      12/10/20

PURPOSE OF GRANT

FOR THE MATH! EVERYWHERE! PROJECT

RESULTS OF VERIFICATION

THE FOUNDATION EXPECTS TO RECEIVE THE REPORT WITHIN A REASONABLE PERIOD OF TIME.

FORM 990-PF

ELECTION UNDER REGULATIONS SECTION  
53.4942(A)-3(D)(2) TO TREAT  
EXCESS QUALIFYING DISTRIBUTIONS  
AS DISTRIBUTIONS OUT OF CORPUS

STATEMENT 19

PURSUANT TO IRC SECTION 4942(H)(2) AND REGULATION 53.4942(A)-3(D)(2), THE FOUNDATION HEREBY ELECTS TO TREAT CURRENT YEAR QUALIFYING DISTRIBUTIONS IN EXCESS OF THE IMMEDIATELY PRECEDING TAX YEAR'S UNDISTRIBUTED INCOME AS BEING MADE OUT OF CORPUS.

990-PF

INVOLVEMENT WITH NONCHARITABLE ORGANIZATIONS  
PART XVII, LINE 1, COLUMN (D)

STATEMENT 20

NAME OF NONCHARITABLE EXEMPT ORGANIZATION

HSAF

DESCRIPTION OF TRANSFERS, TRANSACTIONS, AND SHARING ARRANGEMENTS

SUBLEASE OF OFFICE SPACE TO WELFARE ORGANIZATION AT FAIR MARKET VALUE. THE ENTITY WAS DISSOLVED ON 9/10/20.

NAME OF NONCHARITABLE EXEMPT ORGANIZATION

HSAF

DESCRIPTION OF TRANSFERS, TRANSACTIONS, AND SHARING ARRANGEMENTS

REIMBURSEMENT OF OPERATING EXPENSES FROM WELFARE ORGANIZATION. THE ENTITY WAS DISSOLVED ON 9/10/20.

NAME OF NONCHARITABLE EXEMPT ORGANIZATION

HEISING-SIMONS ACTION FUND

DESCRIPTION OF TRANSFERS, TRANSACTIONS, AND SHARING ARRANGEMENTS

SUBLEASE OF OFFICE SPACE TO WELFARE ORGANIZATION AT FAIR MARKET VALUE

NAME OF NONCHARITABLE EXEMPT ORGANIZATION

HEISING-SIMONS ACTION FUND

DESCRIPTION OF TRANSFERS, TRANSACTIONS, AND SHARING ARRANGEMENTS

REIMBURSEMENT OF OPERATING EXPENSES FROM WELFARE ORGANIZATION

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| 990-PF | AFFILIATION WITH TAX-EXEMPT ORGANIZATIONS<br>PART XVII, LINE 2, COLUMN (C) | STATEMENT 21 |
|--------|--|--------------|

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NAME OF AFFILIATED OR RELATED ORGANIZATION

HSAF

DESCRIPTION OF RELATIONSHIP WITH AFFILIATED OR RELATED ORGANIZATION

COMMON DIRECTORS. THE ENTITY WAS DISSOLVED ON 9/10/20.

NAME OF AFFILIATED OR RELATED ORGANIZATION

HEISING-SIMONS ACTION FUND

DESCRIPTION OF RELATIONSHIP WITH AFFILIATED OR RELATED ORGANIZATION

COMMON DIRECTORS.

## GENERAL EXPLANATION

STATEMENT 22

## FORM/LINE IDENTIFIER AND DESCRIPTION/RETURN REFERENCE

FORM 990-PF, PART VII-A, LINE 11: - SCHEDULE OF CONTROLLED ENTITIES

## EXPLANATION:

MEDLEY HS F LLC  
 FEIN: 26-0799587  
 50 CALIFORNIA STREET, SUITE 3350, SAN FRANCISCO, CA 94111  
 EXCESS BUSINESS HOLDING: NO

OCTAGON INVESTMENT GRADE CLO OPPORTUNITY FUND, LTD.  
 C/O OCTAGON CREDIT INVESTORS, LLC  
 250 PARK AVENUE, 15TH FLOOR  
 NEW YORK, NY 10177  
 EXCESS BUSINESS HOLDING: NO

## GENERAL EXPLANATION

STATEMENT 23

## FORM/LINE IDENTIFIER AND DESCRIPTION/RETURN REFERENCE

FORM 990-PF, PART VII-B, LINE 5C: - ADDITIONAL INFORMATION FOR EXPENDITUR

## EXPLANATION:

THE DATES OF REPORTS BY GRANTEE ATTEMPTS TO LIST ALL THE DATES OF ALL REPORTS RECEIVED FROM THE GRANTEES. IN CERTAIN CASES, THE LIMITED TEXT FIELD WILL NOT ALLOW ALL REPORTS RECEIVED FROM A GRANTEE TO BE LISTED, SO FOR THOSE GRANTEES, THE REPORT LISTS AT LEAST ONE REPORT IN EACH YEAR THAT REPORTS WERE RECEIVED. REPORTS RECEIVED DURING 2021 WILL BE REPORTED ON THE 2021 RETURN. IF ANY REQUIRED REPORTS HAVE NOT BEEN RECEIVED, THE FOUNDATION IS MAKING ALL REASONABLE EFFORTS TO OBTAIN THE REPORTS AND IS WITHHOLDING ANY ADDITIONAL PAYMENTS ON GRANTS UNTIL THE REPORTS ARE FURNISHED.

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GENERAL EXPLANATION

STATEMENT 24

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FORM/LINE IDENTIFIER AND DESCRIPTION/RETURN REFERENCE

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FORM 990-PF, PART VIII: - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDA

EXPLANATION:

THE FOUNDATION HAS A RESOURCE SHARING AGREEMENT WITH THE HEISING-SIMONS ACTION FUND, A SECTION 501(C)(4) ORGANIZATION. THE ACTION FUND MUST PAY FAIR MARKET VALUE FOR ALL SERVICES PROVIDED BY THE FOUNDATION, INCLUDING BUT NOT LIMITED TO STAFF TIME, OFFICE SPACE, COMPUTERS, PAYROLL, BENEFITS, AND BOOKKEEPING.

**AMENDED AND RESTATED  
BYLAWS  
OF  
THE HEISING-SIMONS FOUNDATION**

**April 2020**



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**BYLAWS  
OF  
THE HEISING-SIMONS FOUNDATION**

**ARTICLE I  
PRINCIPAL OFFICE**

The principal office of this corporation shall be located anywhere in the State of California as approved by the Board of Directors of this corporation.

**ARTICLE II  
MEMBERSHIP**

Section 1. Voting Members. This corporation shall have one class of members. Each member shall have the same voting rights as specified in these Bylaws. The qualifications or eligibility requirements for membership and the rights and obligations of members shall be as provided in these Bylaws or under applicable law. If at any time, for any reason, there shall be no members of this corporation, and no Guardian Members as defined in Section 3 below, all powers of the membership under these Bylaws or applicable law shall be held by the Board of Directors of this corporation.

Section 2. Identification of Initial Members. The initial members of this corporation are Mark Heising and Elizabeth Simons, husband and wife, and their two children Caitlin Heising and Matthew Heising.

Section 3. Admission of Members. The members may admit any person to membership in this corporation by unanimous vote of the then current members. The members may also admit any person to membership contingent upon the occurrence of a future specified event (“Guardian Members”).

Section 4. Membership Roster. This corporation shall keep a membership roster containing the name of each member and the last address provided to this corporation by the member for purposes of notice. This corporation shall also keep a record of any appointed Guardian Members and the last known address of such Guardian Member.

Section 5. Nonliability of Members. No member of this corporation shall be personally liable for the debts, liabilities, or obligations of this corporation.

Section 6. Transferability of Memberships. Membership in this corporation, or any right arising therefrom, may not be transferred or assigned. Any attempted transfer shall be void.

Section 7. Termination of Members. A member’s membership in this corporation shall continue until terminated as provided in this Section, or until the member dies, becomes incapacitated as defined in Section 8 below, or resigns in a writing delivered to the Chair,

the Secretary, or the President of this corporation. Membership in this corporation shall terminate upon the occurrence of any of the following events or conditions:

i. Expiration. If a membership is issued for a period of time, such membership shall automatically terminate when such period of time has elapsed. Any such time limit on any membership must be specified at the time of issuance.

ii. Removal. Upon the vote of at least two thirds of the total number of then current members, the members may remove any member at any time, for any reason or no reason (including that continued participation by the member in this corporation as a member is not in the best interests of this corporation and the furtherance of its purposes). A member who is proposed to be terminated shall receive reasonable notice of the proposed termination and the reasons therefor, if any, and an opportunity to be heard, orally or in writing, by the other members before the termination vote. The decision of the members to remove a member shall be effective immediately and final. The President and Secretary will be notified promptly in the event of any termination of a member of this corporation.

Section 8. Incapacity. An individual's incapacity for purposes of these Bylaws shall be deemed to exist when it has been declared by a court of competent jurisdiction, or when a conservator for such individual has been appointed, or upon execution of a certificate by a physician licensed to practice in the State of California or wherever such individual may then reside, which states the doctor's opinion that such individual is, by reason of advanced age, infirmity, mental or physical illness, or other disability, unable adequately to provide for his or her personal needs or to manage his or her financial affairs. The effective date of such incapacity shall be the date of the decree adjudicating the incapacity, the date of the decree appointing the conservator, or the date of the doctor's certificate, as the case may be.

### **ARTICLE III MEMBERSHIP RIGHTS**

Section 1. Voting Rights. In addition to voting on any other matters that may properly be presented to members for a vote pursuant to this corporation's Articles, these Bylaws, or by action of the Board of Directors or operation of law, the members shall have the right to vote, as set forth elsewhere in these Bylaws, on the actions listed in this Section.

A. Each of the following actions shall require the unanimous vote of all members at the time of the vote:

- (i) the election of directors;
- (ii) changing the number of directors if fixed in these Bylaws; changing the maximum or minimum number of directors if a range is stated in these Bylaws; changing these Bylaws from a fixed number of directors to a range or vice versa; and setting the authorized number of directors within any range specified in these Bylaws;
- (iii) filling any vacancy on the Board of Directors;

- (iv) all amendments to these Bylaws (whether with or without approval of the Board of Directors); and
- (v) all amendments to the Articles of Incorporation of this corporation (which shall also require the approval of the Board of Directors).

B. Each of the following actions shall require the vote of two-thirds of all members at the time of the vote:

- (i) the removal of any director(s) for any reason or no reason, except that the Board of Directors may remove a director for cause as cause is defined in Section 5221 of the California Nonprofit Public Benefit Corporation Law;
- (ii) the disposition of all or substantially all of the assets of this corporation (which shall also require the approval of the Board of Directors);
- (iii) any merger of this corporation (which shall also require the approval of the Board of Directors);
- (iv) any voluntary dissolution of this corporation (whether with or without approval of the Board of Directors); and
- (v) the annual grant-making and operating budgets of this corporation (which shall also require the approval of the Board of Directors).

Section 2. Inspection Rights.

A. Articles and Bylaws. This corporation shall keep at its principal office in California current copies of the Articles of Incorporation and Bylaws of this corporation, which shall be open to inspection by members at all reasonable times. If this corporation has no principal office in California, the Secretary shall furnish such copies to any member on written request therefor.

B. Accounting Records; Minutes. On written request, any member (in person or through an agent or attorney) may inspect and copy the accounting books and records of this corporation and the minutes of the proceedings of the members, the Board, or any Board Committee, at any reasonable time and for a purpose reasonably related to the member's interests as a member.

C. Membership Records. The right of members to have access to the membership records of this corporation shall be governed by Sections 6330 through 6332 of the California Nonprofit Public Benefit Corporation Law.

Section 3. Other Rights. In addition to the rights described in these Bylaws, members of this corporation shall have any other rights afforded voting members under the California Nonprofit Public Benefit Corporation Law.

## **ARTICLE IV MEMBER MEETINGS AND VOTING**

Section 1. Member Voting. Each member shall have one vote on each matter on which the members are entitled to vote.

Section 2. Annual and Special Member Meetings. An annual meeting of the membership will be held in conjunction with the annual meeting of the Board of Directors, at a date, place, and time determined by the Board of Directors, for the purpose of electing directors and transacting such business as may come before the meeting. Special meetings of the members may be called for any purpose (i) by the Board of Directors, the Chair of the Board, or the President, or (ii) by five percent of the then current members, who may also call the annual membership meeting in the event the Board fails to do so.

Section 3. Member Meetings Not Required. Notwithstanding Section 2 of this Article IV, at any time when the only members of this corporation are the only directors of this corporation, all requirements for meetings of the membership may be dispensed with, and any action of the Board of Directors at a Board meeting may be designated by the Board as an action by, as, and on behalf of the members.

Section 4. Time and Manner of Notice of Meetings. The Secretary shall give written notice of each members' meeting to each member who would be entitled to vote at such meeting on the date notice is given. The notice shall be delivered to the last address provided by the member to this corporation for purposes of notice, either personally or by telegram, facsimile transmission, electronic transmission in compliance with Article XI, Section 6, or first-class, registered, or certified mail not less than ten nor more than ninety days before the date of such meeting, or by other mail not less than twenty nor more than ninety days before the date of such meeting.

Section 5. Contents of Notice. The notice shall state the place, date and time of the meeting and (a) in the case of special meetings, the general nature of the business to be transacted, and no other business may be transacted; or (b) in the case of the annual meeting, the names of all those who are nominees for director as of the date of the notice, and those matters which the Board, as of the date of the notice, intends to present for action by the members, but any proper matter may be presented at the annual meeting for such action.

Section 6. Notice of Certain Actions Required. Unless the vote of all then current members shall be unanimous, any of the following votes shall be valid only if the general nature of the action approved was stated in the notice of the meeting at which the vote occurred: (a) to remove a director for any reason or no reason, (b) to fill a vacancy on the Board, (c) to amend this corporation's Bylaws or Articles of Incorporation, or (d) to voluntarily dissolve this corporation.

Section 7. Member Quorum. A majority of the memberships then in effect shall constitute a quorum. A meeting at which a quorum is initially present may continue to transact business notwithstanding the withdrawal of enough members to leave less than a quorum, so long as any action taken thereafter is approved by at least a majority of the required quorum.

Section 8. Act of the Members. The act of the majority of the total number of members at the time of the vote shall be the act of the members, except as specifically otherwise provided in Article III, Section 1, or elsewhere in these Bylaws.

Section 9. Manner of Voting.

A. Voting at Meetings. Voting at meetings may be by voice or by secret ballot, provided that any election of directors, and any other vote designated by the chairman of the meeting, in his or her discretion, or requested by ten percent of the voting power present at the meeting, shall be conducted by secret ballot. Subject to any guidelines and procedures that the Board of Directors may adopt, members not physically present in person at a face-to-face membership meeting may, by electronic transmission in compliance with Section 12 of this Article, or by electronic video screen communication, participate in the meeting, be deemed present in person and vote at the meeting.

B. Proxy Voting. Any member may grant any other member his or her proxy to vote on any matter put to the vote of the members. The proxy shall be in writing, and may grant the proxyholder the right to vote on any matter in the proxyholder's discretion or may direct the proxyholder how the member's vote shall be cast. Any member who grants a proxy shall be deemed present for any vote at any meeting at which the proxy applies.

C. Cumulative Voting Prohibited. Cumulative voting shall not be permitted.

Section 10. Waiver of Notice or Consent by Members.

A. Generally. Any action of the members taken at a meeting where a quorum is present but for which proper notice was not given, will be valid if, either before or after the meeting, each member entitled to vote who was not present at the meeting signs (i) a waiver of notice, (ii) a consent to holding the meeting, or (iii) an approval of the minutes, in any case in writing, which may include electronic mail or facsimile transmitted by a member in compliance with Article XI, Section 5, of these Bylaws. The waiver of notice, consent to holding the meeting, or approval of minutes need not specify the purpose or general nature of business to be transacted at such meeting, unless action is taken or proposed to be taken on matters specified in Section 6 of this Article, in which case the waiver, consent, or approval must state the general nature of the matter. All such waivers, consents, or approvals shall be filed with the minutes of the meeting.

B. Effect of Attendance at Meeting. Attendance by a member at a meeting shall also constitute a waiver of notice of that meeting, unless the member attends for the sole purpose of objecting at the beginning of the meeting to the transaction of any business due to the inadequacy or illegality of the notice. Attendance at a meeting is not a waiver of any right to object to the consideration of matters not included in the notice of the meeting which are required to be described therein pursuant to Section 6 of this Article, if that objection is expressly made at the meeting.

Section 11. Action by Unanimous Written Consent. Any action required or permitted to be taken by the members at a meeting (other than termination of a member's membership) may be taken without a meeting if all members shall individually or collectively consent to such action in writing. Any action to terminate a member's membership may only be



taken at a meeting and not by written consent. If action is taken by written consent, the consent(s) shall be filed with the corporate minutes. Written consent shall include electronic mail or facsimile transmitted by a member in compliance with Article XI, Section 5, of these Bylaws. The action by written consent shall have the same force and effect as the unanimous vote of the members.

Section 12. Meetings by Electronic Transmission. A meeting of the members may be conducted, in whole or in part, by electronic transmission in compliance with Article XI, Section 5 and Section 6, as applicable, or by electronic media screen communication, so long as all of the following apply:

(a) This corporation has implemented reasonable measures to provide members a reasonable opportunity to participate in the meeting and to vote on matters submitted to the members, including an opportunity to read or hear the proceedings of the meeting substantially concurrently with those proceedings; and

(b) If any member votes or takes other action at the meeting by means of electronic transmission or electronic video screen communication, this corporation maintains a record of any vote or action taken by a member by means of electronic transmission.

Any request by this corporation for a member's consent to conduct a meeting by electronic transmission shall include a notice that, absent consent of the member, the face-to-face membership meeting shall be held at a physical location at which members may attend and participate in person.

## **ARTICLE V BOARD OF DIRECTORS**

Section 1. Corporate Powers; Exercise By Board. This corporation shall have powers to the full extent allowed by law. Except for the powers reserved to the members in these Bylaws or by the California Nonprofit Public Benefit Corporation Law, all powers and activities of this corporation shall be exercised and managed by the Board of Directors of this corporation directly or, if delegated, under the ultimate direction of the Board.

Section 2. Number and Qualification of Directors. The number of directors shall be not less than one (1) nor more than nine (9), with the exact authorized number of directors to be fixed from time to time by the members in accordance with Article III, Section 1.

Section 3. Limitations on Interested Persons. At all times, not more than forty-nine percent of the directors of this corporation may be interested persons. An interested person means either:

(a) any person currently being compensated by this corporation for services rendered to it within the previous twelve months, whether as a full-time or part-time employee, independent contractor, or otherwise, excluding any reasonable compensation paid to a director in his or her capacity as director; or

(b) any brother, sister, ancestor, descendant, spouse, brother-in-law, sister-in-law, son-in-law, daughter-in-law, mother-in-law, or father-in-law of any such person.

Section 4. Nomination of Directors. The Board may adopt any reasonable procedures for the nomination of directors. In addition, any member may nominate a director at any meeting of the members at which directors are elected.

Section 5. Election and Term of Office of Directors. The directors shall be elected at each annual meeting of the members, or, if such directors are not elected at the meeting, they may be elected at any special meeting of the members, or by written ballot. Each director shall be elected for a term of one year. Each director shall hold office until the later of (i) the expiration of the director's term and (ii) until a successor has been elected.

Section 6. Vacancies. A vacancy shall be deemed to exist on the Board in the event that the actual number of directors is less than the authorized number for any reason, including but not limited to the death, incapacity (as defined in Article II, Section 8), resignation, or removal of a director. Vacancies may be filled only by the members in accordance with Article III, Section 1.

Section 7. Resignation and Removal of Directors. Resignations shall be effective upon receipt in writing by the Chair of the Board, the President, or the Secretary of this corporation, unless a later effective date is specified in the resignation. The members may remove any director for any reason or no reason at any time in accordance with Article III, Section 1.

Section 8. Annual Board Meetings. A meeting of the Board of Directors shall be held at least once a year. Annual meetings shall be called by the Chair of the Board, the President, or any two directors, and noticed in accordance with Section 10 of this Article.

Section 9. Special Board Meetings. Special meetings of the Board of Directors may be called by the Chair of the Board, the President, or any two directors, and noticed in accordance with Section 10 of this Article.

Section 10. Notice. Notice of the annual meeting and any special meetings of the Board of Directors shall state the date, place, and time of the meeting and shall be given to each director at least four days before any such meeting if given by first-class mail or forty-eight hours before any such meeting if given personally or by telephone, including a voice messaging system, or by other electronic transmission such as e-mail, in compliance with Article XI, Section 5, of these Bylaws.

Section 11. Waiver of Notice. The transactions of any meeting of the Board of Directors, however called and noticed and wherever held, shall be valid as though taken at a meeting duly held after proper call and notice, if a quorum is present, and if, either before or after the meeting, each of the directors not present provides in writing a waiver of notice, a consent to holding the meeting, or an approval of the minutes. The waiver of notice or consent need not specify the purpose of the meeting. All waivers, consents and approvals shall be filed with the corporate records or made a part of the minutes of the meeting. Notice of a meeting shall also be deemed given to any director who attends the meeting without protesting the lack of adequate notice before the meeting or at its commencement.

Section 12. Quorum; Acts of the Board. A majority of the total number of directors then in office shall constitute a quorum, provided that in no event shall the required quorum be less than one-fifth of the authorized number of directors or two directors, whichever is larger. Each director shall have one vote on each matter on which the directors are entitled to vote. The act of a majority of the directors present at a meeting at which a quorum is present shall be the act of the Board of Directors, except as otherwise provided in Article V, Section 13 (taking action without a meeting); Article VI, Section 1 (appointing Board Committees); Article VIII, Section 3 (approving self-dealing transactions); and Article IX, Section 2 (approving indemnification); and Article XI, Section 7 (amending Bylaws), of these Bylaws or in the California Nonprofit Public Benefit Corporation Law. A meeting at which a quorum is initially present may continue to transact business notwithstanding the withdrawal of directors, if any action taken is approved by at least a majority of the required quorum for such meeting.

Section 13. Action Without a Meeting. Any action required or permitted to be taken by the Board may be taken without a meeting if all members of the Board (other than any director interested in a transaction so approved) shall individually or collectively consent to such action in writing. Such written consents shall be filed with the minutes of the proceedings of the Board, and shall have the same force and effect as the unanimous vote of such directors.

Section 14. Telephone and Electronic Meetings. Directors may participate in a meeting through use of conference telephone, electronic video screen communication, or other electronic transmission in compliance with Article XI, Section 5, of these Bylaws so long as all of the following apply:

(a) each director participating in the meeting can communicate with all of the other directors concurrently; and

(b) each director is provided with the means of participating in all matters before the Board, including the capacity to propose, or to interpose an objection to, a specific action to be taken by this corporation.

Section 15. Standard of Care.

A. General. A director shall perform the duties of a director, including duties as a member of any Board Committee on which the director may serve, in good faith, in a manner such director believes to be in the best interest of this corporation and with such care, including reasonable inquiry, as an ordinarily prudent person in a like situation would use under similar circumstances. These duties include the duties to pay reasonable attention to this corporation's affairs, to attend Board meetings, to act without conflicts of interest on Board decisions and only after full disclosure, and to maintain the confidentiality of this corporation's confidential information.

In performing the duties of a director, a director shall be entitled to rely on information, opinions, reports, or statements, including financial statements and other financial data, in each case prepared or presented by:

(i) one or more officers or employees of this corporation whom the director believes to be reliable and competent as to the matters presented;

(ii) counsel, independent accountants, or other persons as to matters which the director believes to be within such person's professional or expert competence; or

(iii) committee upon which the director does not serve that is composed exclusively of any combination of directors or persons described in (i) or (ii) above, as to matters within the committee's designated authority, provided that the director believes such committee merits confidence;

so long as in any such case, the director acts in good faith after reasonable inquiry when the need therefor is indicated by the circumstances and without knowledge that would cause such reliance to be unwarranted.

Except as provided in Article VIII below, a person who performs the duties of a director in accordance with this Section shall have no liability based upon any failure or alleged failure to discharge that person's obligations as a director, including, without limiting the generality of the foregoing, any actions or omissions which exceed or defeat a public or charitable purpose to which this corporation, or assets held by it, are dedicated.

B. Investments. Except with respect to assets held for use or used directly in carrying out this corporation's public or charitable activities, in managing and investing this corporation's investments, the Board shall adhere to the standards set forth in the preceding Paragraph A, above, and shall: (a) consider the charitable purposes of this corporation; and (b) consider:

- (1) General economic conditions;
- (2) The possible effect of inflation or deflation;
- (3) The expected tax consequences, if any, of investment decisions or strategies;
- (4) The role that each investment or course of action plays within the overall portfolio;
- (5) The expected total return from income and appreciation of investments;
- (6) This corporation's other resources;
- (7) The needs of this corporation to make distributions and to preserve capital;
- (8) An asset's special relationship or special value, if any, to the charitable purposes of this corporation.

Board decisions about an individual investment shall be made not in isolation but rather in the context of this corporation's portfolio of investments as a whole and as a part of an overall investment strategy having risk and return objectives reasonably suited to this corporation.

Notwithstanding the above, no investment violates this Section where it conforms to: (a) the intent of the donor as expressed in a gift instrument; or (b) provisions authorizing such investment contained in an instrument or agreement pursuant to which the assets were contributed to this corporation.

Section 16. Director Inspection Rights. Every director shall have the absolute right at any reasonable time to inspect and copy all books, records, and documents, and to inspect the physical properties of this corporation.

Section 17. Compensation of Directors. The Board of Directors may authorize, by resolution, the payment to a director of reasonable compensation for services as a director. The Board may authorize the advance or reimbursement to a director of actual reasonable expenses incurred in carrying out his or her duties as a director, such as for attending meetings of the Board and Board Committees.

Section 18. Executive Compensation Review. The Board of Directors (or a Board Committee) shall review any compensation packages (including all benefits) of the President or the chief executive officer and the Treasurer or chief financial officer, regardless of job title, and shall approve such compensation only after determining that the compensation is just and reasonable. This review and approval shall occur when such officer is hired, when the term of employment of such officer is renewed or extended, and when the compensation of such officer is modified, unless the modification applies to substantially all of the employees of this corporation.

## **ARTICLE VI COMMITTEES**

Section 1. Board Committees. In addition to the committees set forth in these Bylaws, the Board of Directors may, by resolution adopted by a majority of the directors then in office, create any number of Board Committees, each consisting of two or more directors, and only of directors, to serve at the pleasure of the Board. Appointments to any Board Committee shall be by a majority vote of the directors then in office. Board Committees may be given all the authority of the Board, except that the Board may not delegate any of the following authorities, which are required to be held by the full Board by the California Nonprofit Public Benefit Corporation Law or these Bylaws:

(a) to fix compensation of directors for serving on the Board or any Board Committee;

(b) to approve, together with the members, any amendments to the Articles of Incorporation of this corporation;

(c) to amend or repeal any resolution of the Board of Directors which by its express terms is not so amendable or repealable;

(d) to create any other Board Committees or appoint the members of any Board Committees;

(e) to approve, together with the members, any merger, reorganization, voluntary dissolution, or disposition of substantially all of the assets of this corporation; and

(f) to approve the annual grant-making and operating budgets of this corporation.

Section 2. Advisory Committees. The Board of Directors may establish one or more Advisory Committees to the Board. The members of any Advisory Committee may consist of directors or non-directors and may be appointed as the Board determines. On matters requiring Board authority, Advisory Committees shall be restricted to making recommendations to the Board or Board Committees, and implementing Board or Board Committee decisions and policies under the supervision and control of the Board or Board Committee.

Section 3. Committee Supervision and Reliance. If a committee is composed and appointed as required by Section 1 above (concerning Board Committees), it may act with the authority of the Board to the extent and with the scope provided by the Board. Otherwise, the Board of Directors shall remain responsible for oversight and supervision of the committee as an Advisory Committee. If a committee meets the criteria of Article V, Section 15.A.(iii), the individual directors may rely on it in discharging their fiduciary duties as provided in that Section.

Section 4. Audit Committee. For any tax year in which this corporation has gross revenues of \$2 million or more, this corporation shall have an Audit Committee whose members shall be appointed by the Board of Directors, and who may include both directors and non-directors, subject to the following limitations: (a) members of the finance committee, if any, shall constitute less than one-half of the membership of the Audit Committee; (b) the chair of the Audit Committee may not be a member of the Finance Committee, if any; (c) the Audit Committee may not include any member of the staff, including the President or chief executive officer and Treasurer or chief financial officer; (d) the Audit Committee may not include any person who has a material financial interest in any entity doing business with this corporation; and (e) Audit Committee members who are not directors may not receive compensation greater than the compensation paid to directors for their Board service.

The Audit Committee shall: (1) recommend to the full Board of Directors for approval the retention and, when appropriate, the termination of an independent certified public accountant to serve as auditor, (2) subject to the supervision of the full Board, negotiate the compensation of the auditor on behalf of the Board, (3) confer with the auditor to satisfy the Audit Committee members that the financial affairs of this corporation are in order, (4) review and determine whether to accept the audit, and (5) approve performance of any non-audit services provided to this corporation by the auditor's firm.

Section 5. Meetings.

A. Of Board Committees. Meetings and actions of Board Committees shall be governed by and held and taken in accordance with the provisions of Article V of these Bylaws concerning meetings and actions of the Board of Directors, with such changes in the content of those Bylaws as are necessary to substitute the Board Committee and its members for the Board

of Directors and its members. Minutes shall be kept of each meeting of any Board Committee and shall be filed with the corporate records.

B. Of Advisory Committees. Subject to the authority of the Board of Directors, Advisory Committees may determine their own meeting rules and whether minutes shall be kept.

The Board of Directors may adopt rules for the governance of any Board or Advisory Committee not inconsistent with the provisions of these Bylaws.

## **ARTICLE VII OFFICERS**

Section 1. Officers. The officers of this corporation shall be a Chair of the Board, a President, a Secretary, and a Treasurer. This corporation may also have, at the discretion of the Board of Directors, such other officers as may be appointed by the Board of Directors. Any number of offices may be held by the same person, except that neither the Secretary nor the Treasurer or Chief Financial Officer, may serve concurrently as the President or Chair of the Board. The Chair of the Board shall be elected from among the directors of this corporation.

Section 2. Election. The Chair of the Board, and the Secretary shall be elected annually by the Board of Directors; the President and the Treasurer shall be hired and terminated by the Board as needed. All officers shall serve at the pleasure of the Board, subject to the rights, if any, of an officer under any contract of employment.

Section 3. Removal. Subject to the rights, if any, of an officer under any contract of employment, any officer may be removed, with or without cause, by the Board of Directors or by an officer on whom such power of removal may be conferred by the Board of Directors.

Section 4. Resignation. Any officer may resign at any time by giving written notice to this corporation. Any resignation shall take effect on receipt of that notice by such officer or at any later time specified by that notice and, unless otherwise specified in that notice, the acceptance of the resignation shall not be necessary to make it effective. Any resignation is without prejudice to the rights, if any, of this corporation under any contract to which the officer is a party.

Section 5. Vacancies. A vacancy in any office for any reason shall be filled in the same manner as these Bylaws provide for election to that office.

Section 6. Chair of the Board. The Chair of the Board shall preside at all meetings of the members and the Board of Directors and shall have such other powers and duties as may be prescribed by the Board or these Bylaws.

Section 7. President. The President shall be the chief executive officer of this corporation and shall, subject to control of the Board, generally supervise, direct and control the business and other officers of this corporation, other than the Chair of the Board. The President

shall be the most senior member of this corporation's staff, shall have the general powers and duties of management usually vested in the office of president of a corporation, and shall have such other powers and duties as may be prescribed by the Board or these Bylaws.

Section 8. Secretary. The Secretary shall supervise the keeping of a full and complete record of the proceedings of the members and the Board of Directors and its committees, if any, shall supervise the giving of such notices as may be proper or necessary, shall supervise the keeping of the minute books and membership records of this corporation, and shall have such other powers and duties as may be prescribed by the Board or these Bylaws.

Section 9. Treasurer. The Treasurer shall be the chief financial officer of this corporation and the most senior member of this corporation's financial staff, and shall supervise the charge and custody of all funds of this corporation, the deposit of such funds in the manner prescribed by the Board of Directors, and the keeping and maintaining of adequate and correct accounts of this corporation's properties and business transactions, shall render reports and accountings as required, and shall have such other powers and duties as may be prescribed by the Board or these Bylaws.

## **ARTICLE VIII CERTAIN TRANSACTIONS**

Section 1. Loans. Except as permitted by Section 5236 of the California Nonprofit Public Benefit Corporation Law, this corporation shall not make any loan of money or property to, or guarantee the obligation of, any director or officer; provided, however, that this corporation may advance money to a director or officer of this corporation or any subsidiary for expenses reasonably anticipated to be incurred in performance of the duties of such director or officer so long as such individual would be entitled to be reimbursed for such expenses absent that advance.

Section 2. Self-Dealing Transactions. Except as provided in Section 3 of this Article, the Board of Directors shall not approve, or permit this corporation to engage in, any self-dealing transaction. A self-dealing transaction is a transaction to which this corporation is a party and in which one or more of its directors has a material financial interest, unless the transaction comes within Section 5233(b) of the California Nonprofit Public Benefit Corporation Law.

Section 3. Approval. This corporation may engage in a self-dealing transaction if the transaction is approved by a court or by the Attorney General. This corporation may also engage in a self-dealing transaction if the Board determines, before the transaction, that (a) this corporation is entering into the transaction for its own benefit; (b) the transaction is fair and reasonable to this corporation at the time; and (c) after reasonable investigation, the Board determines that it could not have obtained a more advantageous arrangement with reasonable effort under the circumstances. Such determinations must be made by the Board in good faith, with knowledge of the material facts concerning the transaction and the director's interest in the transaction, and by a vote of a majority of the directors then in office, without counting the vote of the interested director or directors.



Where it is not reasonably practicable to obtain approval of the Board before entering into a self-dealing transaction, a Board Committee may approve such transaction in a manner consistent with the foregoing requirements, provided that, at its next meeting, the full Board determines in good faith that the Board Committee's approval of the transaction was consistent with such requirements and that it was not reasonably practical to obtain advance approval by the full Board, and ratifies the transaction by a majority of the directors then in office without the vote of any interested director.

Section 4. Compliance with Private Foundation Rules. Any provision of these Bylaws or the Articles of Incorporation of this corporation to the contrary notwithstanding, so long as this corporation is deemed to be a "private foundation" as defined in Section 509 of the Internal Revenue Code of 1986, as amended (the "Code"), this corporation:

(a) shall distribute its income for each taxable year (and principal, if necessary) at such time and in such manner as not to subject this corporation to tax under Section 4942 of the Code;

(b) shall not approve of, or engage in, any act of self-dealing as defined in subsection (d) of Section 4941 of the Code;

(c) shall not retain any excess business holdings as defined in subsection (c) of Section 4943 of the Code;

(d) shall not make any investments in such a manner as to subject this corporation to tax under Section 4944 of the Code; and

(e) shall not make any taxable expenditure as defined in subsection (d) of Section 4945 of the Code.

## **ARTICLE IX INDEMNIFICATION AND INSURANCE**

Section 1. Right of Indemnity. To the fullest extent allowed by Section 5238 of the California Nonprofit Public Benefit Corporation Law, this corporation shall indemnify its agents, in connection with any proceeding, and in accordance with Section 5238. For purposes of this Article, "agent" shall have the same meaning as in Section 5238(a), including directors, officers, employees, other agents, and persons formerly occupying such positions; "proceeding" shall have the same meaning as in Section 5238(a), including any threatened action or investigation under Section 5233 or brought by the Attorney General; and "expenses" shall have the same meaning as in Section 5238(a), including reasonable attorneys' fees.

Section 2. Approval of Indemnity. On written request to the Board of Directors in each specific case by any agent seeking indemnification, to the extent that the agent has been successful on the merits, the Board shall promptly authorize indemnification in accordance with Section 5238(d). Otherwise, the Board shall promptly determine, by a majority vote of a quorum consisting of directors who are not parties to the proceeding, whether, in the specific case, the agent has met the applicable standard of conduct stated in Section 5238(b) or Section 5238(c), and,

if so, shall authorize indemnification to the extent permitted thereby. If the Board cannot do so because there is no quorum of directors who are not party to the proceeding for which indemnification is sought, the Board shall promptly call a meeting of the members. At that meeting, the members shall determine whether, in the specific case, the applicable standard of conduct stated in such Section has been met, and, if so, the members shall authorize indemnification to the extent permitted thereby.

Section 3. Advancing Expenses. The Board of Directors may authorize the advance of expenses incurred by or on behalf of an agent of this corporation in defending any proceeding prior to final disposition, if the Board finds that:

(a) the requested advances are reasonable in amount under the circumstances;  
and

(b) before any advance is made, the agent will submit a written undertaking satisfactory to the Board to repay the advance unless it is ultimately determined that the agent is entitled to indemnification for the expenses under this Article.

The Board shall determine whether undertaking must be secured, and whether interest shall accrue on the obligation created thereby.

Section 4. Insurance. The Board of Directors may adopt a resolution authorizing the purchase of insurance on behalf of any agent against any liability asserted against or incurred by the agent in such capacity or arising out of the agent's status as such, and such insurance may provide for coverage against liabilities beyond this corporation's power to indemnify the agent under law.

## **ARTICLE X GRANTS ADMINISTRATION**

Section 1. Purpose of Grants. This corporation shall have the power to make grants and contributions and to render other financial assistance for the purposes expressed in this corporation's Articles of Incorporation.

Section 2. Board of Directors Oversight. The Board of Directors shall exercise itself, or delegate, subject to its supervision, control over grants, contributions, and other financial assistance provided by this corporation. The Board shall approve a process for reviewing and approving or declining all requests for funds made to this corporation, which shall require such requests to specify the use to which the funds will be put, and include a mechanism for regular Board review of all grants made. The Board shall similarly approve a process for authorizing payment of duly approved grants to the approved grantee.

Section 3. Refusal; Withdrawal. The Board of Directors, in its absolute discretion, shall have the right to refuse to make any grants or contributions, or to render other financial assistance, for any or all of the purposes for which the funds are requested. In addition, the Board, in its absolute discretion, shall have the right to withdraw its approval of any grant at any time and use the funds for other purposes within the scope of the purposes expressed in this

corporation's Articles of Incorporation, subject to any rights of third parties under any contract relating to such grant.

Section 4. Accounting. The Board of Directors shall determine under what circumstances to require that grantees furnish a periodic accounting to show that the funds granted by this corporation were expended for the purposes that were approved by the Board.

Section 5. Restrictions on Contributions. Unless otherwise determined by resolution of the Board of Directors in particular cases, this corporation shall retain complete control and discretion over the use of all contributions it receives, subject only to any charitable trust restrictions that apply to such contributions, and all contributions received by this corporation from solicitations for specific grants shall be regarded as for the use of this corporation and not for any particular organization or individual mentioned in the solicitation. This corporation may accept contributions earmarked by the donor exclusively for allocation to one or more foreign organizations or individuals only if the Board of Directors of this corporation: (1) has determined that the specific charitable activity for which the donation was made furthers this corporation's exempt purposes; (2) has approved in advance disbursements of funds to support such charitable activity; (3) retains discretion and control as to the use of the contributions received by this corporation, and (4) exercises appropriate supervision to ensure funds are actually spent for the intended purposes.

## **ARTICLE XI MISCELLANEOUS**

Section 1. Fiscal Year. The fiscal year of this corporation shall end each year on December 31.

Section 2. Contracts, Notes, and Checks. All contracts entered into on behalf of this corporation must be authorized by the Board of Directors or the person or persons on whom such power may be conferred by the Board from time to time, and, except as otherwise provided by law, every check, draft, promissory note, money order, or other evidence of indebtedness of this corporation shall be signed by the person or persons on whom such power may be conferred by the Board from time to time.

Section 3. Annual Reports to Members and Directors.

A. Financial Report. Unless this corporation receives less than \$25,000 in gross revenues or receipts during the fiscal year, within 120 days after the end of this corporation's fiscal year, the Board shall furnish a written report to all of the directors and members of this corporation containing the following information:

- (i) the assets and liabilities, including the trust funds of this corporation, as of the end of the fiscal year;
- (ii) the principal changes in assets and liabilities, including trust funds, during the fiscal year;

(iii) the revenue or receipts of this corporation, both unrestricted and restricted for particular purposes, for the fiscal year;

(iv) the expenses or disbursements of this corporation, for both general and restricted purposes, for the fiscal year; and

(v) any information required by subsection B below.

The foregoing report shall be accompanied by any report thereon of independent accountants or, if there is no such report, the certificate of an authorized officer of this corporation that such statements were prepared without an audit from the books and records of this corporation. The report and any accompanying material may be sent by electronic transmission in compliance with Article XI, Section 5, of these Bylaws.

If this corporation receives less than \$25,000 in gross revenues or receipts during the fiscal year, the report described above must be furnished only to the directors and any member who requests it in writing.

B. Report of Certain Transactions. Unless this corporation furnishes the report required by subsection A above, within 120 days after the end of this corporation's fiscal year, the Board shall furnish a written report to all of the members and directors of this corporation containing the following:

(i) a description of any transaction during the previous fiscal year involving more than \$50,000 between this corporation (or its parent or subsidiary, if any) and any of its directors or officers (or those of its parent or subsidiary, if any), or any member holding more than ten percent of the voting power of the membership of this corporation (or its parent or subsidiary, if any), including the names of the interested persons, their relationship to this corporation, the nature of their interest in the transaction and, where practicable, the value of such interest; and

(ii) the amount and circumstances of any indemnifications or advances aggregating more than \$10,000 that were paid during the fiscal year to any director or officer of this corporation, and that were not approved by the members of this corporation.

If no transaction required to be reported has occurred during the fiscal year, no report is required for that fiscal year.

Section 4. Required Financial Audits. This corporation shall obtain a financial audit for any tax year in which it receives or accrues gross revenue of \$2 million or more, excluding grant or contract income from any governmental entity for which the governmental entity requires an accounting. Whether or not they are required by law, any audited financial statements obtained by this corporation shall be made available for inspection by the Attorney General and the general public within nine months after the close of the fiscal year to which the statements relate, and shall remain available for three years (1) by making them available at this corporation's principal, regional, and district offices during regular business hours and (2) either by mailing a copy to any person who so requests in person or in writing or by posting them on this corporation's website.

Section 5. Electronic Transmissions. Unless otherwise provided in these Bylaws, and subject to any guidelines and procedures that the Board of Directors may adopt from time to time, the terms “written” and “in writing” as used in these Bylaws include any form of recorded message in the English language capable of comprehension by ordinary visual means, and may include electronic transmissions, such as facsimile or email, provided (i) for electronic transmissions from this corporation, this corporation has obtained an unrevoked written consent from the recipient to the use of such means of communication; (ii) for electronic transmissions to this corporation, this corporation has in effect reasonable measures to verify that the sender is the individual purporting to have sent such transmission; and (iii) the transmission creates a record that can be retained, retrieved, reviewed, and rendered into clearly legible tangible form.

Section 6. Electronic Transmissions to Members. An electronic transmission by this corporation to a member is valid only if the following requirements have been satisfied:

(i) The member has affirmatively consented (and has not withdrawn consent) to the use of electronic transmissions, as required by the preceding Section;

(ii) If the member is a natural person, prior to or at the time of consenting, the member received a clear written statement informing him or her of:

- a) any right or option to have the transmissions provided or made available on paper or in non-electronic form;
- b) whether the consent applies only to that transmission, to specified categories of communications, or to all communications from this corporation; and
- c) the procedures the member must use to withdraw consent.

Section 7. Amendments. Amendments to these Bylaws may be adopted by approval of (a) the members acting alone in accordance with Article III, Section 1, or (b) the Board of Directors (by the vote of a majority of the directors then in office, or the unanimous written consent of the Board) and the members (in accordance with Article III, Section 1). If a proposed Bylaw amendment will be considered at a meeting, it shall be submitted in writing to the persons entitled to vote thereon at least one week before such meeting.

Section 8. Governing Law. In all matters not specified in these Bylaws, or in the event these Bylaws shall not comply with applicable law, the California Nonprofit Public Benefit Corporation Law as then in effect shall apply.

## CERTIFICATE OF SECRETARY

I, Brian Eule, certify that I am presently the duly elected and acting Secretary of The Heising-Simons Foundation, a California nonprofit public benefit corporation, and that the above Bylaws, consisting of 19 pages, are the Bylaws of this corporation as adopted by the Board of Directors on July 13, 2019.

DATED: 5/11/2020  
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DocuSigned by:

*Brian Eule*

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Brian Eule, Secretary